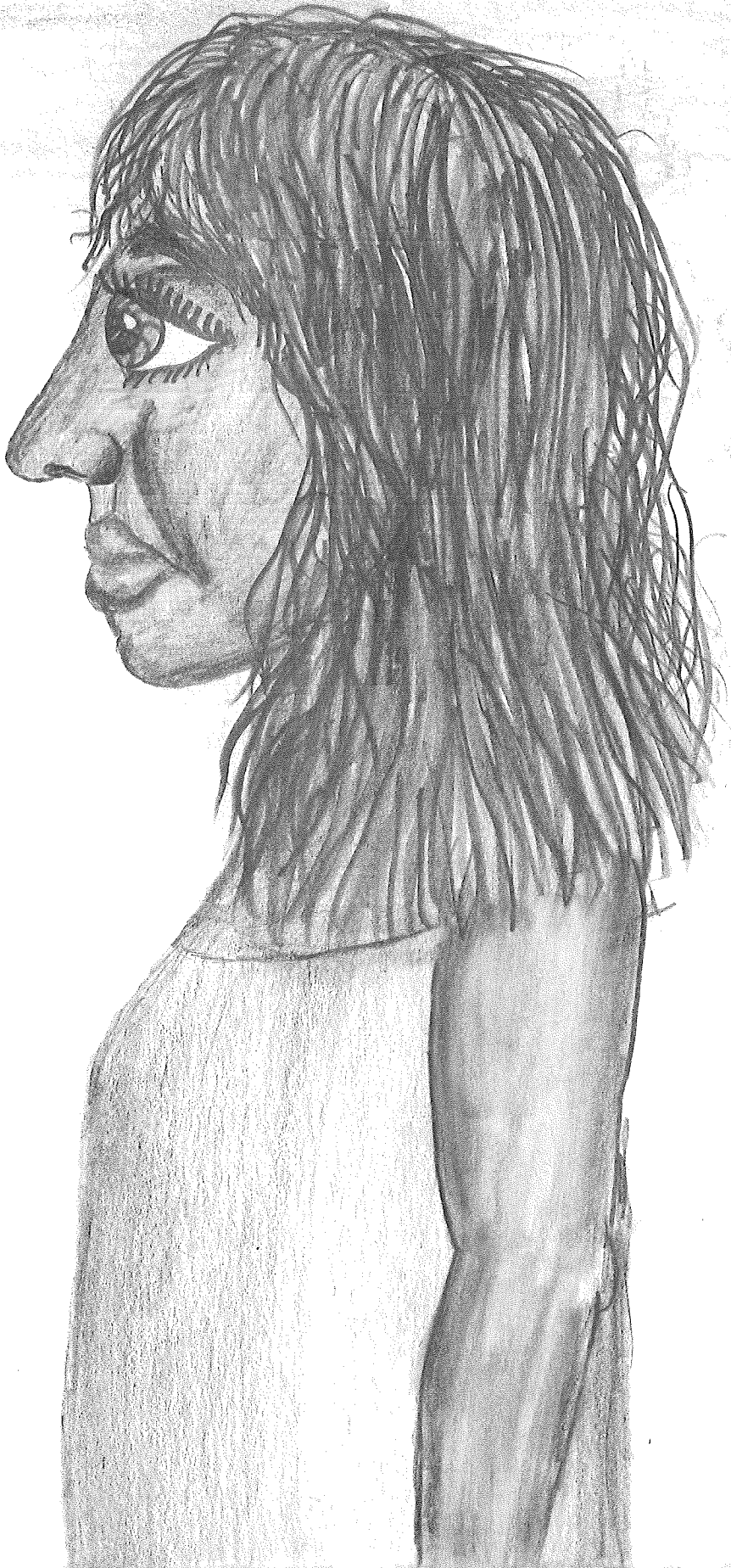


# **Optics**

**R.J. Marks II Class Notes**

**Fourier: Rose-Hulman Institute of Technology (1971)**

**Statistical: Texas Tech University (1976)**



OPTICS

3-15-72 (WED)

2-9, 2-12, 2-13

3-16-72 (THURS)

$$\omega = 2\pi f = \frac{2\pi}{T} \quad \text{TIME}$$

$$k = \frac{2\pi}{\lambda} \quad \text{SPACE}$$

$$f(t) = \int_{-\infty}^{\infty} F(f) e^{jft} df$$

$$F(f) = \int_{-\infty}^{\infty} f(t) e^{-j\omega t} dt \Rightarrow \omega = 2\pi f$$

TRAVELING WAVES

$$e^{j(\omega t - kx)} \Rightarrow \omega t - kx \text{ IS PHASE FACTOR}$$

$$V_p = \frac{\omega}{k} = \text{PHASE VELOCITY}$$

FOR SINUSOIDAL-STEADY STATE

USE  $e^{-jkx}$

$$f(x) = \int_{-\infty}^{\infty} F(k) e^{jkx} dk$$

FOR TWO DIMENSIONAL TRANSFORM

$$f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} F(k_x, k_y) e^{j(k_x x + k_y y)} dk_x dk_y$$

MULTIPLY BOTH SIDES BY  $e^{j\omega t}$

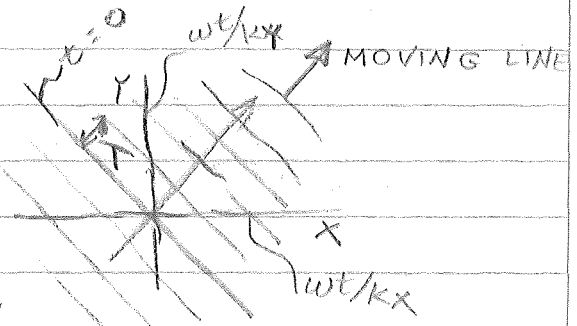
$$e^{j(\omega t - k_x x - k_y y)} \Rightarrow \text{PHASE PHACTOR}$$

DIFFERENTIATE,  $\neq$  SET TO 0

$$\Rightarrow \omega = k_x V_x + k_y V_y$$

SET TO CONSTANT

$$k_x x + k_y y = \omega t$$



$\frac{\omega t}{kx}$  DETERMINES DIRECTION  $\leftarrow$

$$(2.1) a) \delta(ax, by) = \frac{1}{|ab|} \delta(x, y)$$

$$f(0,0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x,y) \delta(x,y) dx dy$$

$$f(\xi, \eta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x,y) \delta(x-\xi, y-\eta) dx dy$$

INTRODUCE CHANGE OF VARIABLES

$$\xi = ax, \eta = by \Rightarrow dx dy = \frac{1}{|ab|} d\xi d\eta$$

$$b) \text{comb}(ax) \text{comb}(ay) = \frac{1}{|ab|} \sum_{n=-\infty}^{\infty} \delta(x - \frac{n}{a}, y - \frac{n}{b})$$

$$\text{comb}(ax) = \sum_{n=-\infty}^{\infty} \delta(ax - n)$$



$x \rightarrow$

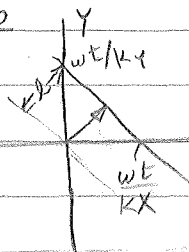
$$= \frac{1}{|a|} \sum_{n=-\infty}^{\infty} \delta(x - \frac{n}{a})$$

$$\text{comb}(by) = \frac{1}{|b|} \sum_{m=-\infty}^{\infty} \delta(y - \frac{m}{b})$$

$$\therefore \text{comb}(ax) \text{comb}(by) = \frac{1}{|ab|} \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \delta(x - \frac{n}{a}, y - \frac{m}{b})$$

$$= \frac{1}{|ab|} \sum_{m,n=-\infty}^{\infty} \delta(x - \frac{n}{a}, y - \frac{m}{b})$$

3-17-72



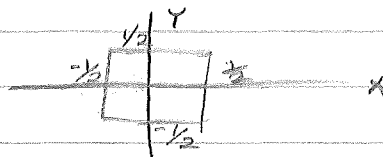
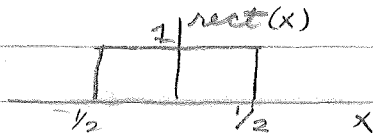
$$l = \frac{1}{\sqrt{\frac{K_x^2}{w^2 \epsilon^2} + \frac{K_y^2}{w^2 \epsilon^2}}} = \frac{wL}{\sqrt{K_x^2 + K_y^2}}$$

$$v = \frac{dl}{dt} = \frac{w}{K} \Rightarrow \vec{K} = K_x + K_y$$

$$v_x, v_y > v$$

TURN IN 2-5 MONDAY

$$(2.2) a) \tilde{F}\{\text{rect}(x) \text{rect}(y)\} = \text{sinc}(f_x) \text{sinc}(f_y)$$

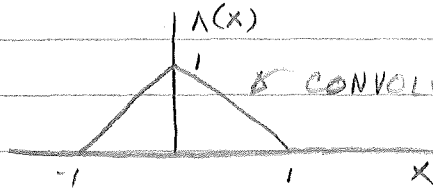


(CONT.)



$$\begin{aligned}
 \tilde{F}_1(\cdot) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \text{rect}(x) \text{rect}(y) e^{-j2\pi(f_x x + f_y y)} dx dy \\
 &= \int_{-\infty}^{\infty} \text{rect}(x) e^{-j2\pi f_x x} dx \cdot \int_{-\infty}^{\infty} \text{rect}(y) e^{-j2\pi f_y y} dy \\
 &= \tilde{F}_1\{\text{rect}(x)\} \tilde{F}_1\{\text{rect}(y)\} \\
 &= \frac{\sin(\pi f_x)}{\pi f_x} \frac{\sin(\pi f_y)}{\pi f_y}
 \end{aligned}$$

b)  $\tilde{F}_1\{\Lambda(x) \Lambda(y)\} = \text{sinc}^2(f_x) \text{sinc}^2(f_y)$

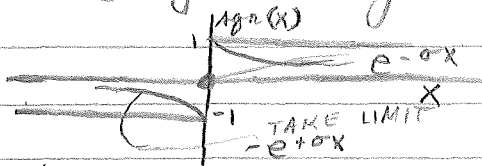


Δ CONVOLUTION OF THE RECT FUNCTIONS

$$\begin{aligned}
 &\Rightarrow \tilde{F}_1\{\Lambda(x) \Lambda(y)\} = \text{sinc}^2(f_x) \text{sinc}^2(f_y) \\
 \text{c) } \tilde{F}_1\{\delta(x, y)\} &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta(x, y) e^{-j2\pi(f_x x + f_y y)} dx dy = 1 \\
 \tilde{F}_1^{-1}\{1\} &= \delta(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (1) e^{j2\pi(f_x x + f_y y)} df_x df_y \\
 &= \delta(x, y)
 \end{aligned}$$

$$\tilde{F}_1\{\delta(x-\eta, y-\eta)\} = e^{-j2\pi(f_x \eta + f_y \eta)}$$

d)  $\tilde{F}_1\{\text{sgn}(x) \text{sgn}(y)\} = \frac{1}{j\pi f_x} \frac{1}{j\pi f_y}$



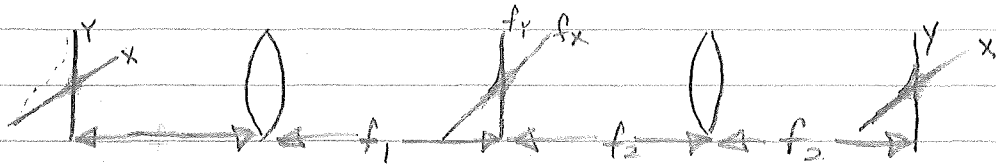
$$\begin{aligned}
 \tilde{F}_1\{\text{sgn}(x) \text{sgn}(y)\} &= \tilde{F}_1\{\text{sgn}(x)\} \cdot \tilde{F}_1\{\text{sgn}(y)\} \\
 &= \int_{-\infty}^{\infty} \text{sgn}(x) e^{-j2\pi f_x x} dx \cdot \int_{-\infty}^{\infty} \text{sgn}(y) e^{-j2\pi f_y y} dy \\
 \text{Now } \tilde{F}_1\{e^{\sigma x} \text{sgn}(x)\} &= \int_{-\infty}^0 e^{\sigma x} e^{-j2\pi f_x x} dx + \int_0^{\infty} e^{-\sigma x} e^{-j2\pi f_x x} dx \\
 &= \frac{1}{\sigma - j2\pi f_x} + \frac{1}{\sigma + j2\pi f_x}
 \end{aligned}$$

TAKE LIMIT AS  $\sigma \rightarrow 0$

$$\begin{aligned}
 \Rightarrow \tilde{F}_1\{\text{sgn}(x)\} &= \frac{1}{j\pi f_x} \\
 \tilde{F}_1\{\text{sgn}(x) \text{sgn}(y)\} &= \frac{1}{j\pi f_y} \frac{1}{j\pi f_x}
 \end{aligned}$$

$$(2-6) \tilde{F}_A\{g\} = G_A(f_x, f_y) = \frac{1}{a} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta) e^{-j\frac{2\pi}{a}(f_x \xi + f_y \eta)} d\xi d\eta$$

$$\tilde{F}_B\{g\} = \frac{1}{b} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta) e^{-j\frac{2\pi}{b}(f_x \xi + f_y \eta)} d\xi d\eta$$



$$\tilde{F}_B[\tilde{F}_A\{g(x, y)\}] = \frac{1}{b} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[-j\frac{2\pi}{b}(f_x \xi + f_y \eta)] G_A(f_x, f_y) df_x df_y$$

$$= \frac{1}{ab} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[-j\frac{2\pi}{b}(f_x \xi + f_y \eta)] \left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) \exp[j\frac{2\pi}{a}(f_x x + f_y y)] dx dy \right] df_x df_y$$

$$= \frac{1}{ab} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) \left\{ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[j2\pi \left[ \left(\frac{x}{a} + \frac{\xi}{b}\right) f_x + \left(\frac{y}{a} + \frac{\eta}{b}\right) f_y \right]] df_x df_y \right\} dx dy$$

$$= \frac{1}{ab} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) \delta\left(\frac{x}{a} + \frac{\xi}{b}, \frac{y}{a} + \frac{\eta}{b}\right) dx dy$$

$$\frac{x}{a} = u \quad \frac{y}{a} = v \Rightarrow dx = a du; dy = a dv$$

$$\tilde{F}_B[\tilde{F}_A\{g(x, y)\}] = \frac{1}{ab} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(au, av) \delta\left(u + \frac{\xi}{b}, v + \frac{\eta}{b}\right) a^2 du dv$$

$$= \frac{a}{b} g\left(-\frac{a}{b}\xi, -\frac{a}{b}\eta\right)$$

$$\text{IF } a=b=1: \quad \tilde{F}(\tilde{F}\{g\}) = g(-x, -y)$$

(2-3)(a) SPECIALIZED CASE OF (2-6)

$$(b) \tilde{F}\{g(x, y) h(x, y)\} = \tilde{F}\{g(x, y)\} * \tilde{F}\{h(x, y)\}$$

① ⇒ COMPLEX CONVOLUTION

$$\equiv g_2(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) h(x_2 - \xi, y_2 - \eta) d\xi d\eta$$

$$\text{LET } h(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y$$

⊗  $\tilde{F}$ -x FORM OF  $h(x, y)$

$$\therefore g(x, y) h(x, y) = g(x, y) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y$$

$$\therefore \tilde{F}\{g(x, y) h(x, y)\} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi(\xi x + \eta y)} \left\{ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y \right\} dx dy$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(f_x, f_y) \left\{ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi[x(-f_x + \xi) + y(-f_y + \eta)]} dx dy \right\} df_x df_y$$

3-20-72 (MON)

$$\begin{aligned} \mathcal{F}\{g(x, y) h(x, y)\} &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi [x(q_x - f_x) + y(q_y - f_y)]} dx dy \\ &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} H(f_x, f_y) G(q_x - f_x, q_y - f_y) df_x df_y \\ &= \mathcal{F}\{g\} \otimes \mathcal{F}\{h\} \end{aligned}$$

(2-12 AND 2-13 DUE)

$$(2-11) \quad \nabla_{xy} = \left| \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) dx dy}{g(0, 0)} \right| = \text{NORMALIZED EQUIVALENT AREA}$$

$$\nabla_{f_x f_y} = \left| \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G(f_x, f_y) df_x df_y}{G(0, 0)} \right| = \text{EQUIV. B.W.}$$

$$\nabla_{xy} \nabla_{f_x f_y} = \frac{|\iint g(x, y) dx dy| |\iint G(f_x, f_y) df_x df_y|}{|G(0, 0)| |g(0, 0)|}$$

$$\text{NOTE } G(f_x, f_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi (f_x x + f_y y)} dx dy$$

$$\text{THUS: } G(0, 0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) dx dy$$

$$\text{ALSO } g(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G(f_x, f_y) e^{j2\pi (f_x x + f_y y)} df_x df_y$$

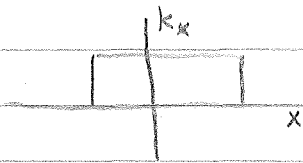
$$\text{THUS: } g(0, 0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} G(f_x, f_y) df_x df_y$$

$$\Rightarrow \nabla_{xy} \nabla_{f_x f_y} = 1 \quad (\text{HEISENBERG'S UNCERTAINTY PRINCIPLE})$$

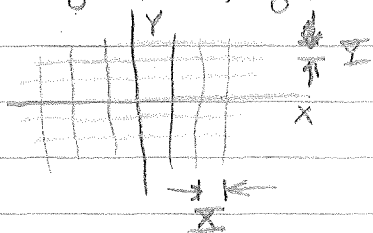
3-22-72 (WED)

SAMPLING THEM,

BAND LIMITED (SPECTRUM IS BOUNDED IN  $k_x, k_y$  SPACE);  
(FINITE ENERGY SIGNAL)



SAMPLED  $g(x, y)$ ;  $g_s(x, y) = \text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{y}{Y}\right) g(x, y)$



IN ORDER TO COMPUTE SPECTRUM, USE COMPLEX CONV:

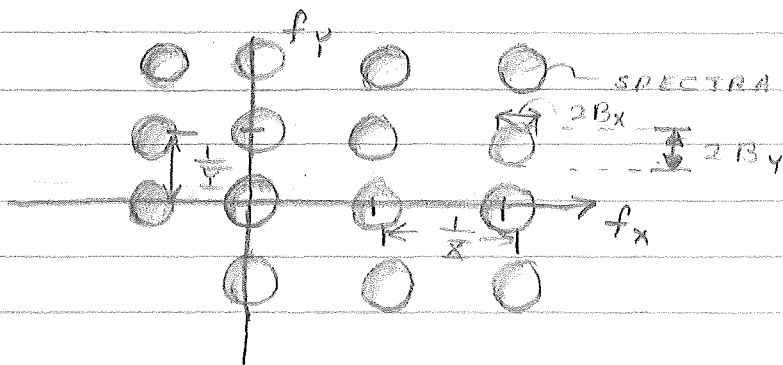
$$G_s(f_x, f_y) = \mathcal{F}\left\{\text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{y}{Y}\right)\right\} \otimes G(f_x, f_y)$$

$$= X Y \text{comb}(X f_x) \text{comb}(Y f_y) \otimes G(f_x, f_y)$$

$$= \sum_m \sum_n \delta\left(f_x - \frac{m}{X}, f_y - \frac{n}{Y}\right) \otimes G(f_x, f_y)$$

$$G_s(f_x, f_y) = \sum_{m,n} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \delta\left(f_x - \frac{m}{X}\right) G\left(f_x - \frac{m}{X}, f_y - \frac{n}{Y}\right) df_x df_y$$

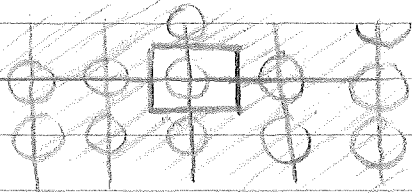
$$= \sum_{m,n} G\left(f_x - \frac{m}{X}, f_y - \frac{n}{Y}\right)$$



$$\frac{1}{X} \geq 2B_x \Rightarrow X \leq \frac{1}{2B_x}$$

$$\frac{1}{Y} \geq 2B_y \Rightarrow Y \leq \frac{1}{2B_y}$$

PASS SPECTRA THRU FILTER TO GET BACK 1 SPECTRA



$$O(f_x, f_y) = H(f_x, f_y) G_S(f_x, f_y)$$

$$H(f_x, f_y) = \text{rect}\left(\frac{f_x}{2B_x}\right) \text{rect}\left(\frac{f_y}{2B_y}\right)$$

LOW-PASS  
FILTER

IN SPACE DOMAIN

$$g(x, y) = h(f_x, f_y) \otimes G_S(f_x, f_y)$$

$$= \left[ \text{comb}\left(\frac{x}{\Delta x}\right) \text{comb}\left(\frac{y}{\Delta y}\right) g(x, y) \right] \otimes h(x, y)$$

$$h(x, y) = 4B_x B_y \text{sinc}(2B_x x) \text{sinc}(2B_y y)$$

$$\Rightarrow g(x, y) = 4B_x B_y \Delta x \Delta y \sum_{m, n} g(n\Delta x, m\Delta y) \text{sinc}[2B_x(x-n\Delta x)] \text{sinc}[2B_y(y-m\Delta y)]$$

3-24-72 (ERI)

$$\nabla \times \mathbf{H} = \epsilon_0 \frac{\delta \mathbf{E}}{\delta t}$$

$$\nabla \times \mathbf{E} = -\mu_0 \frac{\delta \mathbf{H}}{\delta t}$$

SINUSOIDAL STEADY-STATE

$$\bar{\mathbf{E}} = \text{Re}(\bar{\mathbf{E}} e^{-j\omega t})$$

$$\bar{\mathbf{H}} = \text{Re}(\bar{\mathbf{H}} e^{-j\omega t})$$

$$\Rightarrow \nabla \times \bar{\mathbf{H}} = j\omega \epsilon_0 \bar{\mathbf{E}}$$

$$\nabla \times \bar{\mathbf{E}} = -j\omega \mu_0 \bar{\mathbf{H}}$$

$$\nabla \times \nabla \times \bar{\mathbf{H}} = j\omega \epsilon_0 \nabla \times \bar{\mathbf{E}} = \omega^2 \mu_0 \epsilon_0 \bar{\mathbf{H}}$$

$$\nabla \times \nabla \times \bar{\mathbf{H}} = -\nabla^2 \bar{\mathbf{H}} + \nabla(\nabla \cdot \bar{\mathbf{H}}) = -\nabla^2 \bar{\mathbf{H}}$$

$$\therefore -\nabla^2 \bar{\mathbf{H}} = \omega^2 \mu_0 \epsilon_0 \bar{\mathbf{H}} = \frac{\omega^2}{c^2} \bar{\mathbf{H}} = k^2 \bar{\mathbf{H}} ; \text{VECTOR HELMHOLTZ EQN.}$$

WORKING IN ONE DIMENSION

$$k = \frac{\omega}{c}$$

LET  $U$  BE A RECT COMP OF  $\bar{\mathbf{H}}$  (OR  $\bar{\mathbf{E}}$ )

$$\Rightarrow \nabla^2 U + k^2 U = 0 ; \text{SCALAR HELMHOLTZ EQN.}$$

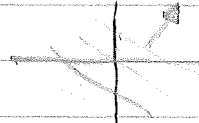
## DIRECTION ON WAVE TYPES IN VARIOUS COORDINATES

### 1) RECTANGULAR

$$\frac{\partial^2 U}{\partial x^2} + \frac{\partial^2 U}{\partial y^2} + \frac{\partial^2 U}{\partial z^2} + k^2 U = 0$$

$$\Rightarrow U(x, y, z) = A e^{\pm j(k_x x + k_y y + k_z z)} \Rightarrow \text{PLANE WAVE TRAVELING IN } \pm k \text{ DIRECTION}$$

$$\mathbf{K} = k_x \mathbf{a}_x + k_y \mathbf{a}_y + k_z \mathbf{a}_z$$



PLANE:  $k_x x + k_y y + k_z z = \text{CONSTANT}$ ; (PLANE)

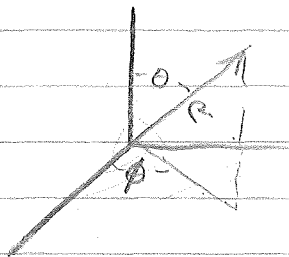
$$k^2 = \left(\frac{\omega}{c}\right)^2 = \left(\frac{2\pi}{\lambda}\right)^2 = k_x^2 + k_y^2 + k_z^2 \Rightarrow |k| = \frac{2\pi}{\lambda}$$

### 2) SPHERICAL COORDINATES

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right)$$

$$\therefore \nabla^2 V + k^2 V = 0 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial V}{\partial r} \right) + k^2 V$$

$$\Rightarrow V = \frac{1}{r} e^{\pm jkr} \quad (k \text{ IS NOT A VECTOR})$$



FOR CONSTANT PHASE  $kr = \text{CONST}$

$\Rightarrow$  SPHERE OF RADIUS =  $\frac{\text{CONST}}{k}$

$$V e^{-j\omega t} = \frac{1}{r} e^{j(\pm kr - \omega t)}$$

$$\frac{d}{dt} (\pm kr - \omega t) = \pm k \frac{dr}{dt} - \omega = 0$$

$$\Rightarrow \pm \frac{dr}{dt} = \frac{\omega}{k} = c$$

PLUS SIGN  $\Rightarrow$  SPHERICALLY DIVERGING FROM ORIGIN

MINUS SIGN  $\Rightarrow$  " " CONVERGING " "

SOMMERFELD-RADIATION CONDITION:

FOR OUTGOING WAVES, WE MUST SATISFY THE CONDITION

$\lim_{R \rightarrow \infty} R \left( \frac{\partial v}{\partial R} - j k v \right) = 0$  ON A LARGE SPHERE  
FOR INCOMING WAVES (OR REFLECTED WAVES @  $\infty$ )

WE MUST HAVE:

$$\lim_{R \rightarrow \infty} R \left( \frac{\partial v}{\partial R} + j k v \right) = 0$$

EXAMPLE:

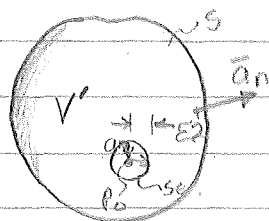
(3-1)

$$v = \frac{A}{R} e^{-j k R}, \quad \frac{\partial v}{\partial R} = \pm j k \frac{A}{R} e^{\pm j k R} - \frac{A}{R^2} e^{\pm j k R}$$

$$\therefore \frac{\partial v}{\partial R} \pm j k v = -\frac{A}{R^2} e^{\pm j k R}$$

$$\lim_{R \rightarrow \infty} R \left( -\frac{A}{R^2} e^{\pm j k R} \right) = \lim_{R \rightarrow \infty} \left( -\frac{A}{R} e^{\pm j k R} \right) = 0$$

GREEN'S THEM:



$$\iiint_V (G \nabla^2 U - U \nabla^2 G) dV = \iint_S (G \frac{\partial U}{\partial n} - U \frac{\partial G}{\partial n}) dS$$

$$\text{LET } \nabla^2 G + k^2 G = 0 \quad (\text{HEMHOLTZ})$$

$$\nabla^2 U + k^2 U = 0$$

LET  $G$  BE A GREEN'S FUNCTION HAVING A POLE (OR SINGULARITY) AT  $P_0$ , (SUCH AS  $\frac{1}{r} e^{+j k r}$ )  
IE  $G$  BEHAVES AS A SPHERICALLY OUTGOING WAVE WHOSE SOURCE IS @  $P_0$ .

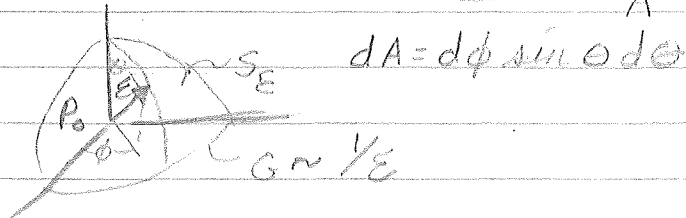
$$\text{THEN } G \nabla^2 U - U \nabla^2 G = -k G U + k^2 G U = 0$$

$$\iint_S (G \frac{\partial U}{\partial n} - U \frac{\partial G}{\partial n}) dS = \iiint_V (G \frac{\partial U}{\partial n} - U \frac{\partial G}{\partial n}) dS + \iint_S (G \frac{\partial U}{\partial n} - U \frac{\partial G}{\partial n}) dS = 0$$



NEAR  $P_0$ ,  $G \sim \frac{1}{r}$ , THUS  $\frac{\delta G}{\delta r} \sim -\frac{1}{r^2}$  BUT IN THE NEGATIVE DIRECTION  $\therefore \frac{\delta G}{\delta r} \sim \frac{1}{r^2}$

$$\therefore \int_S (G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n}) dS = \int_0^{2\pi} d\phi \int_0^\pi \sin\theta d\theta \cdot \epsilon^2 \left( \frac{1}{\epsilon} \frac{\delta U}{\delta r} - \frac{U(P_0)}{\epsilon^2} \right)$$



$$\lim_{\epsilon \rightarrow 0} A = \int_0^{2\pi} \int_0^\pi d\phi \int_0^\pi \epsilon \sin\theta d\theta (-U(P_0)) = -4\pi U(P_0)$$

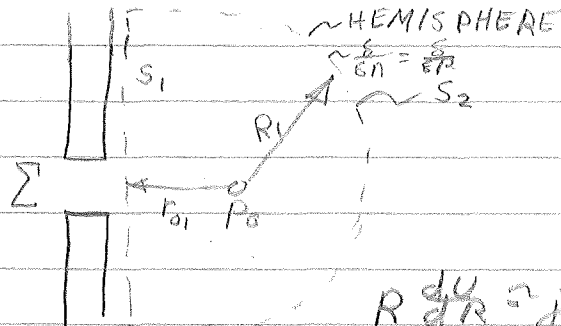
THUS:

$$U(P_0) = \frac{1}{4\pi} \int_S (G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n}) dS$$

$G$  IS AN OUTGOING GREEN'S FUNCTION WITH SOURCE @  $P_0$



IT APPEARS THAT KNOWLEDGE OF BOTH  $U$  AND  $\frac{\delta U}{\delta n}$  IS NECESSARY, NOT SO! (AS WE'LL SEE)



$$R \frac{\delta G}{\delta r} \sim j k G R \text{ FOR } R \rightarrow \infty$$

(FROM SOMMERFIELD)

$$\lim_{R \rightarrow \infty} R \left( \frac{\delta G}{\delta r} - j k G \right) = 0$$

$$R \frac{dU}{dr} \sim j k U R$$

$$\oint_S = \iint_{S_1} + \iint_{S_2}$$

$$\text{CONSIDER: } \int_{S_2} (G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n}) dS$$

$$= \int_0^{2\pi} d\phi \int_0^\pi \sin\theta d\theta R^2 (G \frac{dU}{dr} + U \frac{\delta G}{\delta r})$$

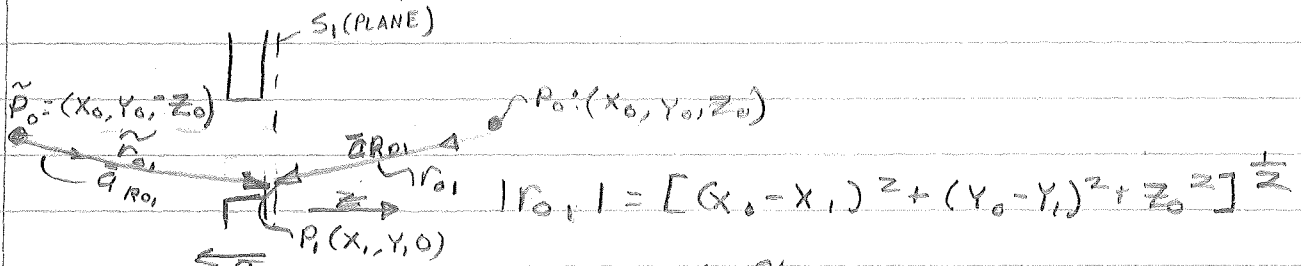
$\rightarrow 0$  as  $R \rightarrow \infty$

BY VIRTUE OF THE SOMMERFIELD R.O.D CONDITION

$$\therefore U(P_0) = \frac{1}{4\pi} \int_{S_1} \left( G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n} \right) dS$$

3-27-72 (MONDAY)

$$\textcircled{1} U(P_0) = \frac{1}{4\pi} \int_{S_1} \left( G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n} \right) dS$$



$$G(P_1) = \frac{1}{r_{01}} e^{jk r_{01}} - \frac{1}{r_{01}} e^{jk r_{01}}$$

ON THE SURFACE:  $G(P_1) = 0$

NOW

$$\left. \frac{\delta G(P_1)}{\delta n} \right|_{\text{ON SCREEN}} = \vec{a}_n \cdot \nabla G(P_1)$$

$$= \vec{a}_n \cdot \nabla \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$= \vec{a}_n \cdot \nabla \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$\text{NOW } \nabla \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) = \vec{a}_{r_{01}} \frac{\delta}{\delta r_{01}} \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$= \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$\Rightarrow \left. \frac{\delta G(P_1)}{\delta n} \right|_{\text{ON SURF}} = \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$- \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

$$\text{NOW } \vec{a}_n \cdot \vec{a}_{r_{01}} = -\vec{a}_n \cdot \vec{a}_{r_{01}}$$

$$\Rightarrow \left. \frac{\delta G(P_1)}{\delta n} \right|_{\text{ON SCREEN}} = 2 \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right)$$

SUBSTITUTING BACK IN  $\textcircled{1}$

$$U(P_0) = \frac{1}{4\pi} \int_{S_1} 2 \vec{a}_n \cdot \vec{a}_{r_{01}} \left( \frac{1}{r_{01}} - jk \right) \frac{e^{jk r_{01}}}{r_{01}} U(P_1) dS$$

$$k = \frac{2\pi}{\lambda} \sim 10,000 \text{ \AA} \text{ (FOR LASERS)} = 10^{-6} \text{ M}$$

$$\rightarrow k \gg \frac{1}{r_{01}}$$

$$U(P_0) = \frac{-jk}{4\pi} \int_{S_1} \vec{a}_n \cdot \vec{a}_{r_{01}} \frac{1}{r_{01}} e^{jk r_{01}} U(P_1) dS$$

A TWO DIMENSIONAL CONVOLUTION

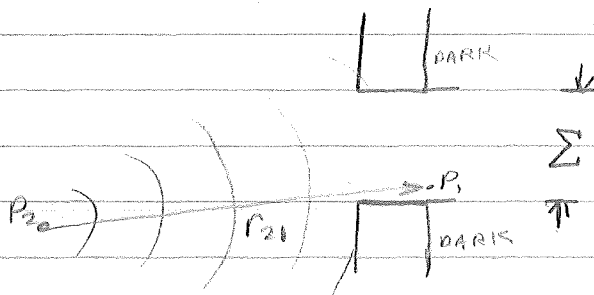
$$U(P_0) = \int h(P_0 - P_1) U(P_1) dS$$

NOTE REPROCCITY

HOMEWORK: 3-3 - GETS OTHER PART FROM  $\textcircled{1}$

CONSIDER SPECIAL CASE (3-2)

(3-4)



$\circ P_0: (x_0, y_0, z_0)$

$$U(P_1) = A e^{jk r_{21}}$$

$$\Rightarrow U(P_0) = \frac{A}{j\lambda} \int_{\Sigma} \bar{a}_n \cdot \bar{a}_{R_0} \frac{1}{r_{21} r_{01}} e^{jk(r_{01} + r_{21})} dS$$

3-29-72 (WED)

ANGULAR SPECTRUM

TO SOLVE HELMHOLTZ EQUATION USING FOURIER TRANS.

$$\nabla^2 U + k^2 U = 0 = \frac{\partial^2 U}{\partial x^2} + \frac{\partial^2 U}{\partial y^2} + \frac{\partial^2 U}{\partial z^2} + k^2 U \Rightarrow k^2 = \frac{\omega^2}{c^2} = \left(\frac{2\pi}{\lambda}\right)^2$$

LET  $U(x, y, z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) e^{j2\pi[f_x x + f_y y + z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}]} df_x df_y$

$$\nabla^2 U = \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}\right) \left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) e^{j2\pi[f_x x + f_y y + z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}]} df_x df_y \right]$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) \left[ -(2\pi)^2 f_x^2 - (2\pi)^2 f_y^2 - (2\pi)^2 \left(\frac{1}{\lambda^2} - f_x^2 - f_y^2\right) \right] e^{j2\pi[f_x x + f_y y + z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}]} df_x df_y$$

$$= -k^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) e^{j2\pi[f_x x + f_y y + z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}]} df_x df_y$$

$= -k^2 U(x, y, z) \Rightarrow$  SOLUTION OF HELMHOLTZ'S EQUATION

$$U(x, y, 0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) e^{j2\pi[f_x x + f_y y]} df_x df_y$$

$$\Rightarrow A(f_x, f_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x, y, 0) e^{-j2\pi[f_x x + f_y y]} dx dy$$

ANGULAR SPECTRUM



NOTE THAT IF  $A(f_x, f_y)$  IS THE X-FORM OF THE INPUT, THEN  $A(f_x, f_y) e^{j2\pi z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}}$  IS THE F X-FORM OF THE OUTPUT

OUTPUT:

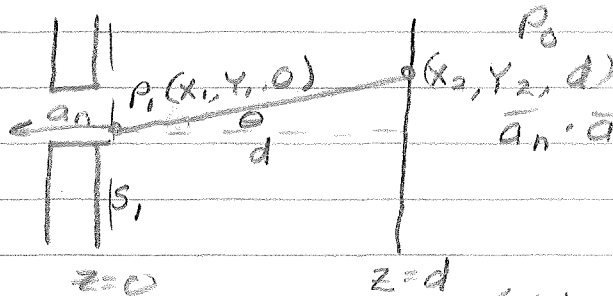
$$U(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underbrace{A(f_x, f_y)}_{A_0} e^{j2\pi z(\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{1/2}} e^{j2\pi(f_x x + f_y y)} df_x df_y$$

# SYSTEM TRANSFORM FUNCTION

$$\frac{A_o(f_x, f_y)}{A_{in}(f_x, f_y)} = e^{j2\pi z (\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{\frac{1}{2}}}$$

REMEMBER:

$$U(P_o) = \frac{1}{j\lambda} \int_S \frac{\exp(jk\sqrt{(x_2-x_1)^2 + (y_2-y_1)^2 + d^2})}{\sqrt{(x_2-x_1)^2 + (y_2-y_1)^2 + d^2}} \bar{a}_i \cdot \bar{a}_{rot} U(x, y) dx, dy$$



$$\bar{a}_n \cdot \bar{a}_{rot} = \frac{d}{\sqrt{(x_2-x_1)^2 + (y_2-y_1)^2 + d^2}}$$

$$\Rightarrow U(P_o) = \frac{d}{j\lambda} \int_S \frac{\exp(jk\sqrt{(x_2-x_1)^2 + (y_2-y_1)^2 + d^2})}{(x_2-x_1)^2 + (y_2-y_1)^2 + d^2}$$

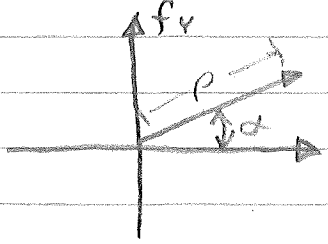
$$= \frac{d}{j\lambda} \frac{\exp(jk\sqrt{x^2 + y^2 + d^2})}{x^2 + y^2 + d^2} \quad (*) U(P_1)$$

$$= \mathcal{F}^{-1} \left[ e^{j2\pi d (\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{\frac{1}{2}}} \right]$$

TO SHOW  $\mathcal{F}^{-1} \left[ e^{j2\pi d (\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{\frac{1}{2}}} \right] = -\frac{j d}{\lambda} \frac{\exp(jk\sqrt{x^2 + y^2 + d^2})}{(x^2 + y^2 + d^2)}$

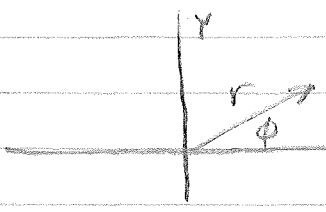
$$\mathcal{F}^{-1} [H(f_x, f_y)] = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j2\pi d (\frac{1}{\lambda^2} - f_x^2 - f_y^2)^{\frac{1}{2}}} e^{-j2\pi (f_x x + f_y y)} df_x df_y$$

FOR  $d^2 \gg x^2 + y^2$



$$f_x = p \cos \alpha$$

$$f_y = p \sin \alpha$$



$$x = r \cos \phi$$

$$y = r \sin \phi$$

$$df_x df_y = p dp d\alpha$$

$$\mathcal{F}^{-1} [H(f_x, f_y)] = \int_0^{\infty} \int_0^{2\pi} e^{j2\pi d (\frac{1}{\lambda^2} - p^2)^{\frac{1}{2}}} e^{-j2\pi (p \cos \alpha x + p \sin \alpha y)} p dp d\alpha$$

$$2\pi \int_0^{\infty} (2\pi r p)$$

$J_0$  IS BESSEL FUNCTION OF ZERO ORDER

$$\mathcal{F}^{-1} [H(f_x, f_y)] = 2\pi \int_0^{\infty} \frac{d}{(d^2 + r^2)^{\frac{1}{2}}} e^{j2\pi d (\frac{1}{\lambda^2} - r^2)^{\frac{1}{2}}} J_0(2\pi r p) dp$$

$$= \frac{1}{2\pi} \frac{d}{(d^2 + r^2)^{\frac{1}{2}}} e^{j2\pi d (\frac{1}{\lambda^2} - r^2)^{\frac{1}{2}}} \left[ \frac{1}{d^2 + r^2} - jk \right]$$

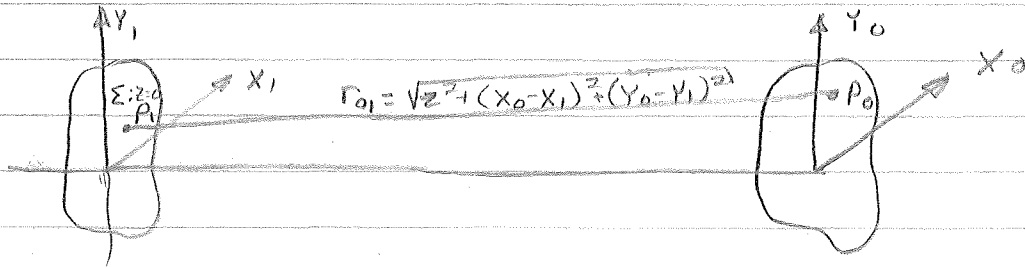
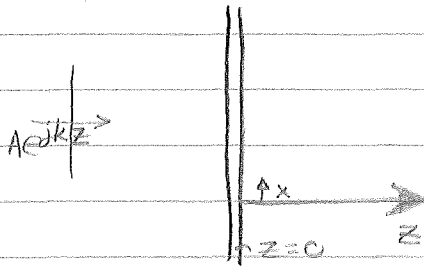
$$= \frac{-j}{\lambda} \frac{d}{d^2 + r^2} e^{j2\pi d (\frac{1}{\lambda^2} - r^2)^{\frac{1}{2}}} \quad \text{TA TA!}$$

3-31-72 (EPL)

HANDOUT  
(DUE MONDAY)

(DUE WED)

DO 4-2, 4-4 → DISCUSS!



$$U(P_0) = \frac{1}{j\lambda} \int_{\Sigma} \frac{1}{r_0} e^{-jk r_0} \bar{a}_n \cdot \bar{a}_{r_01} U(P_1) dS$$

LETTING  $U(P_1) = 0$  @  $P_1$  AND  $\Sigma$

$$U(P_0) = \frac{1}{j\lambda} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{r_01} e^{jk r_01} \bar{a}_n \cdot \bar{a}_{r_01} U(x_1, y_1) dx_1 dy_1$$

FOR  $z \gg$  MAXIMUM DIMENSION OF  $\Sigma$ ,  $\bar{a}_n \cdot \bar{a}_{r_01} = 1$

$$r_01 \sim z$$

BUT DO NOT ASSUME  $e^{jk r_01} = e^{jk z}$

EXPANSION OF  $r_01$ ;  $r_0 = \sqrt{z^2 + (x_0 - x_1)^2 + (y_0 - y_1)^2}$

$$\approx z \left[ 1 + \frac{1}{2} \left( \frac{x_0 - x_1}{z} \right)^2 + \frac{1}{2} \left( \frac{y_0 - y_1}{z} \right)^2 \right]$$

$$= z \left[ 1 + \frac{1}{2} \left( \frac{x_0}{z} \right)^2 + \frac{1}{2} \left( \frac{y_0}{z} \right)^2 - \left( \frac{x_0 x_1 + y_0 y_1}{z^2} \right) + \frac{1}{2} \left( \left( \frac{x_1}{z} \right)^2 + \left( \frac{y_1}{z} \right)^2 \right) \right]$$

$$\therefore U(P_0) = \frac{1}{j\lambda} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{r_01} e^{jk r_01} U(x_1, y_1) dx_1 dy_1$$

$$= \frac{1}{j\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{jk z} e^{j \frac{kz}{2} \left[ \left( \frac{x_0}{z} \right)^2 + \left( \frac{y_0}{z} \right)^2 \right]} e^{j \frac{kz}{2} \left[ \left( \frac{x_1}{z} \right)^2 + \left( \frac{y_1}{z} \right)^2 \right]} e^{-j \frac{kz}{z^2} (x_0 x_1 + y_0 y_1)} U(x_1, y_1) dx_1 dy_1$$

YIELDING FRESNEL APPROXIMATION

$$U(P_0) = \frac{\exp(jkz)}{j\lambda z} \cdot \exp \left[ \frac{jk}{2z} (x_0^2 + y_0^2) \right]$$

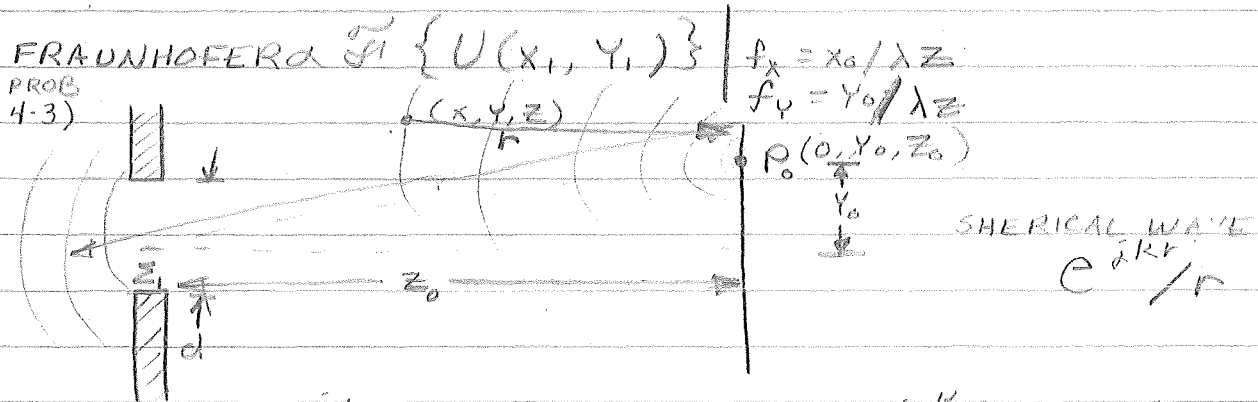
$$\cdot \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp \left[ \frac{jk}{2z} (x_1^2 + y_1^2) \right] U(x_1, y_1)$$

$$\exp \left( -\frac{jk}{z} (x_0 x_1 + y_0 y_1) \right) dx_1 dy_1$$

FRAUNHOFER DISCARDS QUADRATIC TERM  $e^{j\frac{k}{2z}(x_0^2 + y_0^2)}$

$$U(P_0) = \frac{\exp(jkz)}{j\lambda z} e^{j\frac{k}{2z}(x_0^2 + y_0^2)} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x, y) e^{-j\frac{k}{z}(x_0 x_1 + y_0 y_1)} dx_1 dy_1$$

FRESNEL  $\propto \int \left\{ \exp \left[ j\frac{k}{2z}(x_1^2 + y_1^2) \right] U(x_1, y_1) \right\} \left| \begin{array}{l} f_x = \frac{kx_0}{2\pi z} = \frac{x_0}{\lambda z} \\ f_y = \frac{ky_0}{2\pi z} = \frac{y_0}{\lambda z} \end{array} \right.$



$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp \left( j\frac{k}{2z}(x^2 + y^2) \right) U(x, y) e^{-j\frac{k}{z}(x_0 x_1 + y_0 y_1)} dx_1 dy_1$$

$r =$  DISTANCE FROM P TO ARBITRARY PT. IN SPACE  
 $\Rightarrow r = \sqrt{x^2 + (y - y_0)^2 + (z - z_0)^2}$

QUADRATIC APPROX IN PLANE OF APERTURE;  $z = 0$

$$r = \sqrt{z_0^2 + x^2 + (y - y_0)^2}$$

$$= z_0 \sqrt{1 + \left(\frac{x}{z_0}\right)^2 + \left(\frac{y - y_0}{z_0}\right)^2}$$

$$\approx z_0 \left[ 1 + \frac{1}{2} \left(\frac{x}{z_0}\right)^2 + \frac{1}{2} \left(\frac{y - y_0}{z_0}\right)^2 \right]; \text{ GOOD IF } d \ll z$$

MAKING PLANE WAVE APPROXIMATION OF SPHERE @  $\Sigma$ ,  
 $\therefore \frac{1}{r} e^{-jkr} \approx \frac{1}{z_0} e^{-jkz_0} \exp \left( -j\frac{k}{2z_0}(x^2 + (y - y_0)^2) \right)$   
 $\therefore U(x_0, y_0) \approx \frac{1}{z_0} e^{-jkz_0} \exp \left[ -j\frac{k}{2z_0}(x_1^2 + (y_1 - y_0)^2) \right]$

AND FOR FRESNEL

$$U(P_0) = \frac{1}{j\lambda z_0} e^{jkz_0} \exp \left[ j\frac{k}{2z_0}(x_0^2 + y_0^2) \right] \int_{\Sigma} \int_{\Sigma} \exp \left[ j\frac{k}{2z_0}(x_1^2 + y_1^2) \right] \left[ \frac{1}{z_0} e^{-jkz_0} \exp \left( -j\frac{k}{2z_0}(x_0 x_1 + y_0(y_1 - y_0)) \right) \right] dx_1 dy_1$$

$$= \frac{1}{j\lambda z_0^2} \exp \left[ j\frac{k}{2z_0}(x_0^2 + y_0^2 - y_0^2) \right] \int_{\Sigma} \int_{\Sigma} \exp \left[ -j\frac{k}{z_0}(x_0 x_1 + y_0(y_1 - y_0)) \right] dx_1 dy_1$$

INTENSITY  $\propto \left( \int \int \right)^2 \left| \begin{array}{l} f_y = y_0 - y_0/\lambda z_0 \\ f_x = x_0/\lambda z_0 \end{array} \right.$

4-1-72 (MON) ; 4-2, 4-6 - ONE WEDNESDAY w 4-4

$e^{j(kz - \omega t)}$  ; PLANE WAVE

### FOURIER-BESSEL TRANSFORMS

$$G(f_x, f_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi(f_x X + f_y Y)} dx dy$$

$$\text{Let } x = r \cos \theta ; y = r \sin \theta$$

$$f_x = \rho \cos \phi ; f_y = \rho \sin \phi$$

$\therefore g(x, y) = g(r \cos \theta, r \sin \theta) = g_R(r)$  FOR A CIRCULARY SYMMETRIC FUNCTION  $\Rightarrow$  AXIAL SYMMETRY

$$\begin{aligned} \Rightarrow G(f_x, f_y) &= \int_0^{\infty} g_R(r) r dr \int_0^{2\pi} d\theta e^{-j2\pi\rho(\cos\theta \cos\phi + \sin\theta \sin\phi)} \\ &= \int_0^{\infty} g_R(r) r dr \int_0^{2\pi} d\theta e^{-j2\pi\rho \cos(\theta - \phi)} \end{aligned}$$

$2\pi J_0(2\pi\rho)$  = BESSEL FUNCTION OF FIRST KIND, ORDER ZERO (INDEPENDENT OF  $\phi$ )

$$\begin{aligned} \Rightarrow G(f_x, f_y) &= 2\pi \int_0^{\infty} g_R(r) J_0(2\pi\rho) r dr = G_0(\rho) = \mathcal{B}\{g_R(r)\} \\ &= \text{FOURIER-BESSEL TRANSFORM} \end{aligned}$$

EXAMPLE :

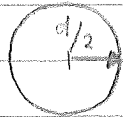
$$\begin{aligned} \text{circ}(r) &= 1 \quad r \leq 1 \\ &= 0 \quad \text{OTHERWISE} \end{aligned}$$

$$g_R(r) = \text{circ}(r)$$

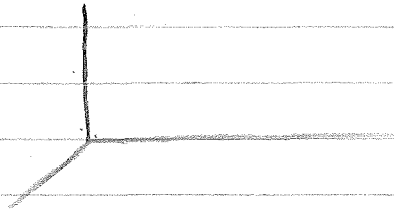
$$\begin{aligned} \Rightarrow \mathcal{B}\{\text{circ}(r)\} &= 2\pi \int_0^1 J_0(2\pi r \rho) r dr \\ &= \frac{J_1(2\pi\rho)}{\rho} \quad \Rightarrow J_1(2\pi\rho) \text{ IS BESSEL FUNCTION OF FIRST KIND, ORDER 1} \end{aligned}$$



3-4)

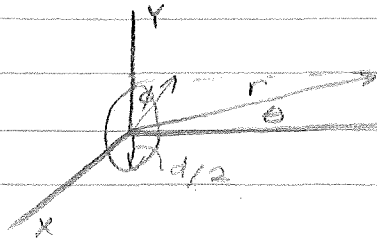


$$\begin{aligned}
 A_t \left( \frac{\alpha}{\lambda}, \frac{\beta}{\lambda} \right) &= \sqrt{r} \left\{ \text{circ} \left( \frac{r}{d/2} \right) \right\} \\
 &= \beta \left\{ \text{circ} \left( \frac{r}{d/2} \right) \right\} \\
 &= (d/2)^2 \cdot J_1 \left( 2\pi \frac{d}{2} \rho \right) / d \rho / 2 \\
 &= \frac{d}{2} \frac{J_1 \left( \pi \frac{d}{\lambda} (\alpha^2 + \beta^2)^{1/2} \right)}{\frac{1}{\lambda} (\alpha^2 + \beta^2)^{1/2}} \\
 &= \left( \frac{d}{2} \right)^2 \frac{J_1 \left( \pi \frac{d}{\lambda} (\alpha^2 + \beta^2)^{1/2} \right)}{\frac{d}{\lambda} (\alpha^2 + \beta^2)^{1/2}}
 \end{aligned}$$



4-4-72 (WED)

$$A \left( \frac{\alpha}{\lambda}, \frac{\beta}{\lambda} \right) = \left( \frac{d^2}{2} \right) \frac{J_1 \left( \frac{\pi d}{\lambda} \sin \theta \right)}{\frac{d}{\lambda} \sin \theta}$$



$\phi \neq \theta$ ; SPHERICAL CO-ORDINATES

$$\alpha = \sin \theta \cos \phi; \quad x = r \sin \theta \cos \phi$$

$$\beta = \sin \theta \sin \phi; \quad y = r \sin \theta \sin \phi$$

$\alpha$  &  $\beta$  ARE DIRECTION COSINES RELATIVE

TO THE X & Y AXES, RESPECTIVELY (SEE FIG 2-3, Pg 16)

NOTE THAT THE LARGER  $\frac{d}{\lambda} \sin \theta$ , THE SMALLER  $A_t$

$$4-6) \psi = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j\frac{k}{2\pi}(x_1^2 + y_1^2)} e^{-j2\pi(f_x x + f_y y)} dx_1 dy_1 \quad \text{SEPARABLE}$$

$$\int_{-\infty}^{\infty} e^{j\frac{k}{2\pi}x_1^2} e^{-j2\pi f_x x} dx_1 = \int_{-\infty}^{\infty} e^{j\frac{k}{2\pi}(x_1^2 - \frac{4\pi^2}{k} f_x x_1)} dx_1$$

COMPLETE SQUARE

$$x_1^2 - bx + c = (x_1 - a)^2 = x_1^2 - 2ax_1 + a^2 \Rightarrow a = b/2; a^2 = (b/2)^2$$

$$b = \frac{2\pi^2}{k} f_x \Rightarrow (b/2)^2 = \frac{4\pi^4}{k^2} f_x^2 = \frac{4\pi^4}{k^2} f_x^2$$

$$\Rightarrow \psi = \int_{-\infty}^{\infty} e^{-j\frac{k}{2\pi}(x_1^2 - 4\pi^2 f_x x_1/k + \frac{4\pi^4}{k^2} f_x^2 - \frac{4\pi^4}{k^2} f_x^2)} dx_1$$

$$= \int_{-\infty}^{\infty} \exp[-j\frac{2\pi^3}{k} f_x^2] \exp[j\frac{k}{2\pi}(x_1 - \frac{2\pi^2}{k} f_x)^2] dx_1$$

$$\text{LET } u = \sqrt{k/2\pi}(x_1 - \frac{2\pi^2}{k} f_x)$$

$$u^2 = \frac{k}{2\pi}(x_1 - \frac{2\pi^2}{k} f_x)^2$$

$$du = \sqrt{k/2\pi} dx_1$$

$$\psi = \sqrt{\frac{2\pi}{k}} \exp(-j\frac{2\pi^3}{k} f_x^2) \int_{-\infty}^{\infty} e^{j u^2} du$$

$$\int_{-\infty}^{\infty} e^{j u^2} du = \sqrt{\frac{\pi}{j}} (1 + j)$$

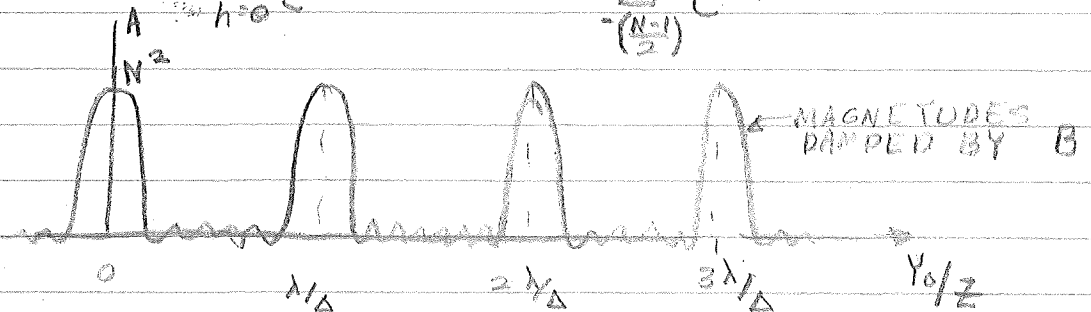
$$\Rightarrow \psi = \pi \sqrt{\frac{2}{k}} e^{-j(\frac{2\pi^3}{k} f_x^2 - \pi/x)}$$

4-2) ANS)

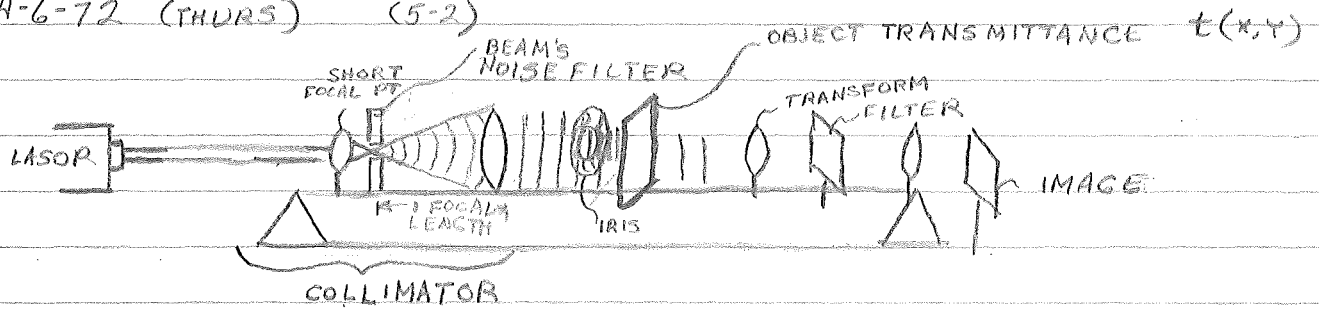
$$I(x_0, y_0) = \left(\frac{XY}{\lambda z}\right)^2 \text{sinc}^2\left(\frac{x_0 X}{\lambda z}\right) \text{sinc}^2\left(\frac{y_0 Y}{\lambda z}\right) \left(\frac{\sin N \frac{\pi}{\lambda} \Delta y_0 / z}{\sin \frac{\pi}{\lambda} \Delta y_0 / z}\right)^2$$

$$U(x_0, y_0) = \frac{XY}{\lambda z} \text{sinc}\left(\frac{x_0 X}{\lambda z}\right) \text{sinc}\left(\frac{y_0 Y}{\lambda z}\right) \left(\frac{1 - e^{j\pi N \Delta y_0 / \lambda z}}{1 - e^{j\pi \Delta y_0 / \lambda z}}\right)$$

$$A = e^{j2\pi(N-1)f_y} \sum_{n=0}^{N-1} e^{-j2\pi n \Delta f_y} = \sum_{n=-(N-1)/2}^{(N-1)/2} e^{-j2\pi n \Delta f_y}$$

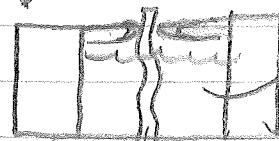


4-6-72 (THURS) (5-2)



LIQUID GATE

OBJECT NOT FLAT  
→ PHASE MODULATION (BAD)



OIL WITH SAME INDEX OF REFRACTION AS NEGATIVE

SMOOTH // GLASS PLATES

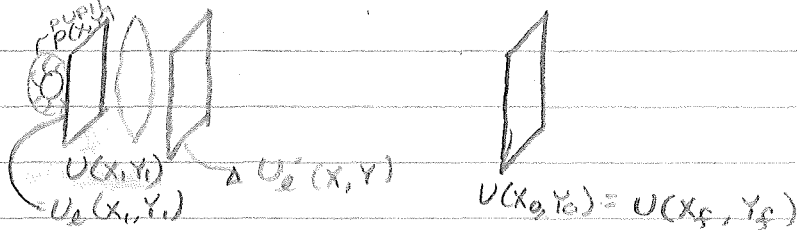
OBJECT

4-20-72 (5-2 DUE FRIDAY; LOOK @ 5-8)

$$U(x_0, y_0) = \frac{\exp(jkz)}{j\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x, y) \exp\left\{\frac{jk}{2z} [(x_0 - x)^2 + (y_0 - y)^2]\right\} dx dy$$

$$= \frac{\exp(jkz)}{j\lambda z} \exp\left[\frac{jk}{2z} (x_0^2 + y_0^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x, y) \exp\left[\frac{jk}{2z} (x^2 + y^2)\right] \exp\left[-\frac{jk}{z} (x_0 x + y_0 y)\right] dx dy$$

① OBJECT AGAINST LENS



$$U_o'(x, y) = U_o(x, y) \underbrace{t_o(x, y)}_{\text{OBJECT FUNCTION}} e^{-\frac{jk}{2f} (x^2 + y^2)}$$

LET  $U_o(x, y) = A$

$$U_o'(x, y) = A t_o(x, y) e^{-\frac{jk}{2f} (x^2 + y^2)} P(x, y)$$

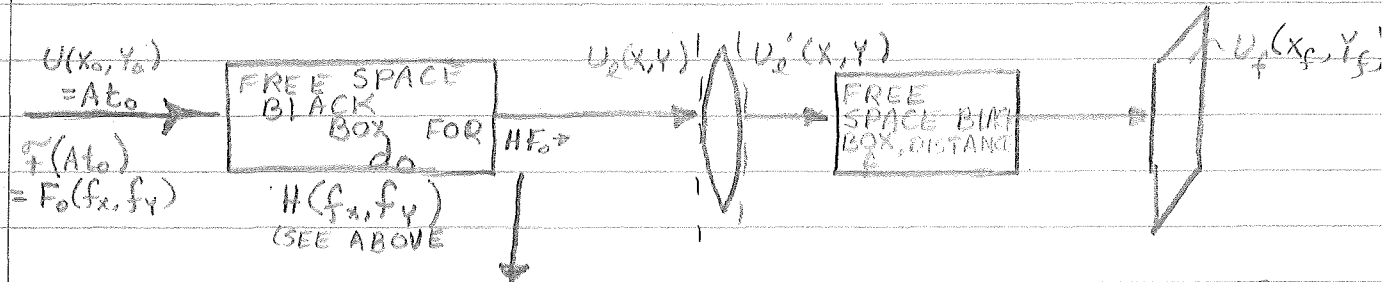
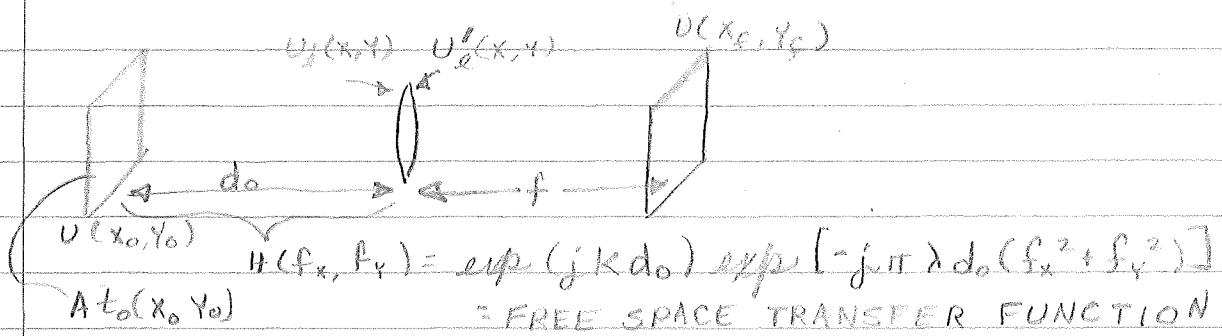
NEGLECTING  $e^{jkz}$

$$U(x_f, y_f) = \frac{1}{j\lambda z} \exp\left[\frac{jk}{2z} (x_0^2 + y_0^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A t_o(x, y) \exp\left[-\frac{jk}{2f} (x^2 + y^2)\right] P(x, y) \exp\left[-\frac{jk}{z} (x x_f + y y_f)\right] \exp\left[\frac{jk}{2f} (x^2 + y^2)\right] dx dy$$

FOR  $z = f$  (FOCAL DISTANCE)

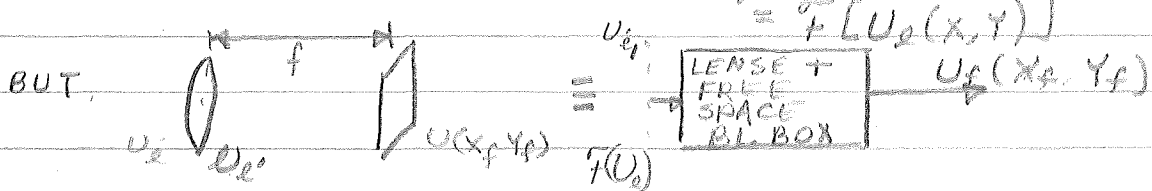
$$U(x_f, y_f) = \frac{1}{j\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \{A t_o(x, y) P(x, y)\} \exp\left[\frac{jk}{2f} (x^2 + y^2)\right] dx dy$$

WHERE  $f_x = \frac{x}{\lambda f}$  AND  $f_y = \frac{y}{\lambda f}$



$$= F_0(f_x, f_y) \exp[-j2\pi \lambda d_0 (f_x^2 + f_y^2)]$$

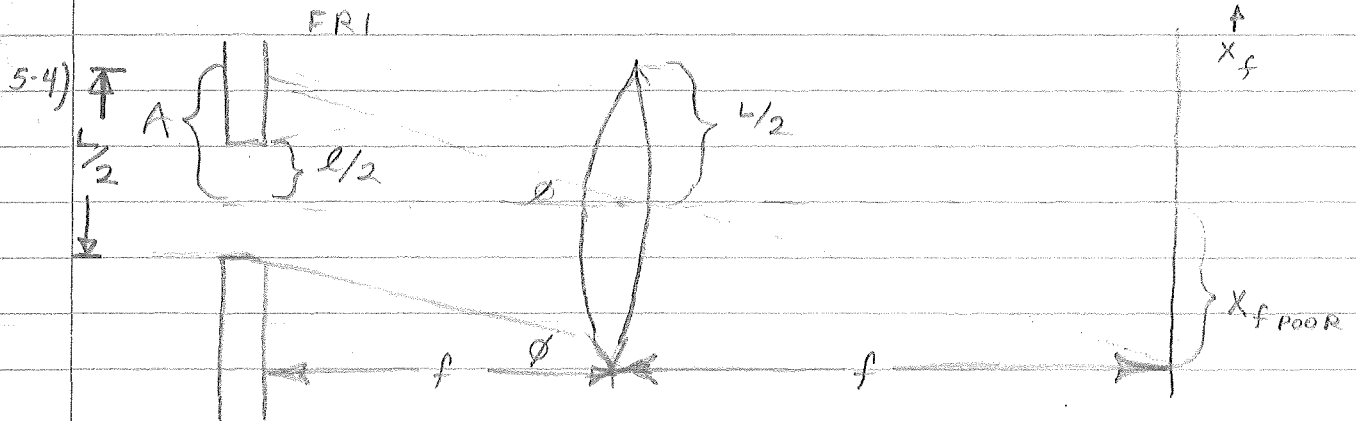
$$= \mathcal{F}\{U_2(x, y)\}$$



$$= \frac{1}{j\lambda z} \exp\left[\frac{jk}{2f} (x_f^2 + y_f^2)\right] \mathcal{F}\{U_2\}$$

$$\Rightarrow \mathcal{F}\{U_2\} = \exp[-j\pi \lambda d_0 (f_x^2 + f_y^2)] \mathcal{F}\{U_0\}$$

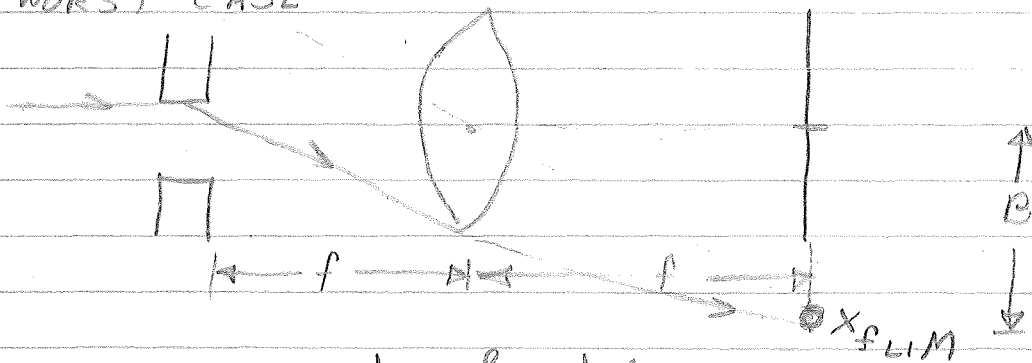
FOR  $z_0 = f$ ;  $U_f(x_f, y_f) = \mathcal{F}\{U_0\} \exp[-j\pi \lambda d_0 (f_x^2 + f_y^2)]$



$$|A| = |X_{f \text{ POOR}}|$$

$$\frac{L}{2} = A + \frac{l}{2} \implies A = \frac{L}{2} - \frac{l}{2} = \frac{1}{2}(L - l) = X_f$$

WORST CASE



$$B = X_{f \text{ LIM}} = \frac{L}{2} + \frac{l}{2} = \frac{1}{2}(L + l)$$

GOOD VALUES:

$$L = 4 \text{ cm} \quad f = 50 \text{ cm}$$

$$l = 2 \text{ cm} \quad \lambda = 6 \times 10^{-7} \text{ m}$$

$$\Rightarrow f_{\text{poor}} = \frac{X_f}{\lambda f} = \frac{1}{2} (.04 - .02) \left( \frac{1}{6 \times 10^{-7}} \right) / .5 \left( \frac{1}{\text{m}} \right)$$

$$= \frac{1}{2} \times 10^5 \text{ m} = \frac{1}{3} \times 10^2 / \text{mm}$$

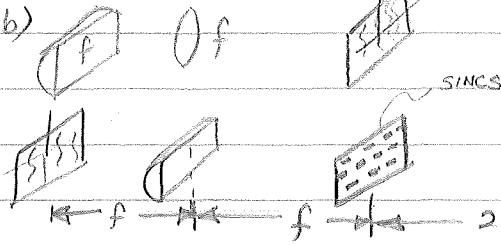
$$f_{\text{lim}} = 100 \text{ CYCLES/mm}$$

5-8 DUE MONDAY

FINISH CHAPTER

MON (4-17-72)

5-6) b)



$$\frac{1}{d_o} + \frac{1}{d_i} = \frac{2}{f} \quad (\text{TRANSFORM})$$

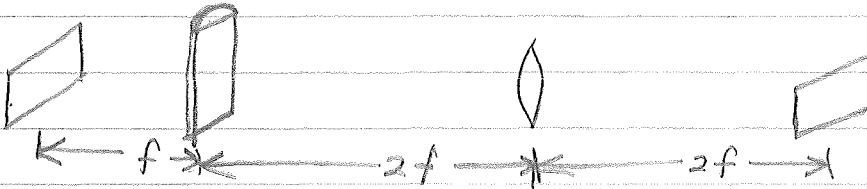
$$\frac{1}{d_o} + \frac{1}{d_i} = \frac{2}{f} \quad (\text{IMAGING})$$

FOR UNIT MAGNIFICATION  $d_o = d_i$

OR



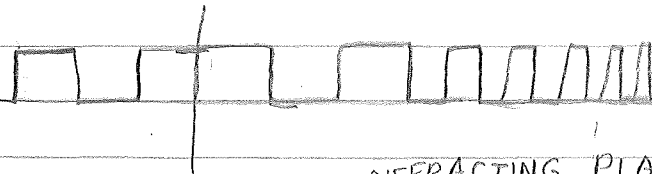
OR



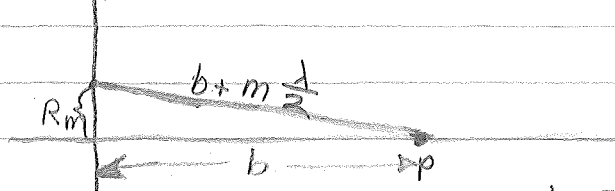
(5-5 & 5-10)

$$t(r) = \left( \frac{1}{2} + \frac{1}{2} \cos \alpha r^2 \right) \text{circ} \left( \frac{r}{l} \right)$$

CARBOR ZONE PLATE



DIFFRACTING PLANE



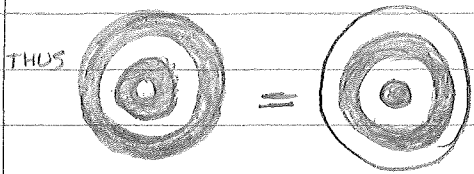
$$\Rightarrow R_m^2 = \left( b + \frac{m\lambda}{2} \right)^2 - b^2 = bm\lambda + \left( \frac{m\lambda}{2} \right)^2$$

$$\approx bm\lambda \Rightarrow R_m = \sqrt{bm\lambda} = \sqrt{b\lambda} \sqrt{m}$$

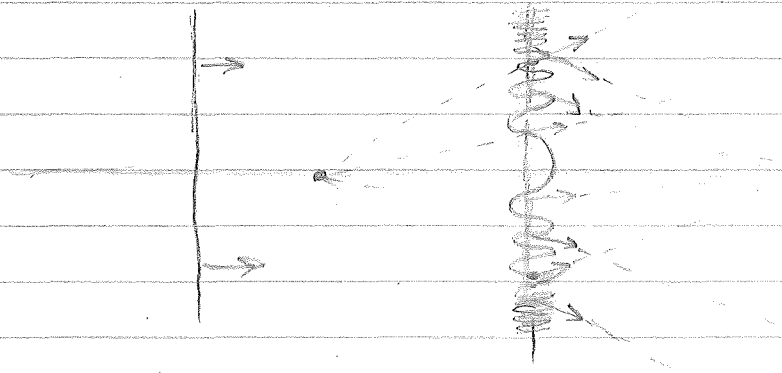
IF  $\cos \alpha r^2 = 0 \Rightarrow r = \sqrt{\frac{\pi}{2\alpha}} \sqrt{m}$  NOTE CORRELATION

NOW focal LENGTH =  $b = \frac{R_m^2}{m\lambda} = \frac{(R\sqrt{m})^2}{m\lambda} = \frac{R^2}{\lambda} \left( f \propto \frac{1}{\lambda} \right)$

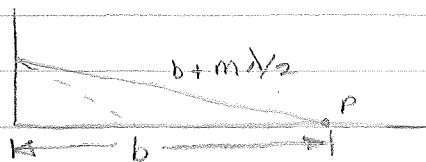




YIELD LIGHT PT. @ P FOR BOTH CONFIGURATIONS. (POISSON'S SPOT)



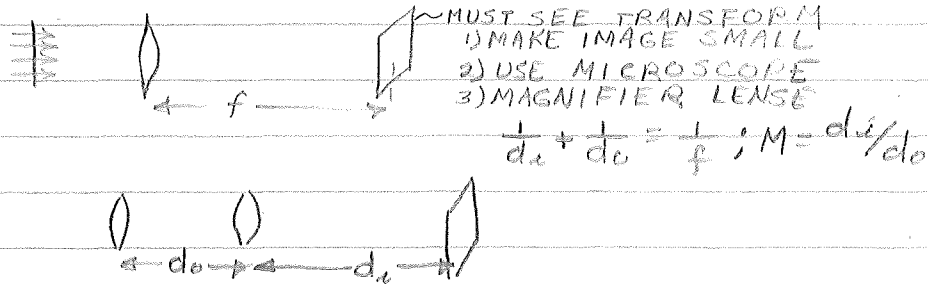
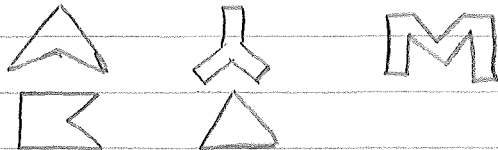
FRESNEL ZONE PLATES GOOD FOR "LENSES"



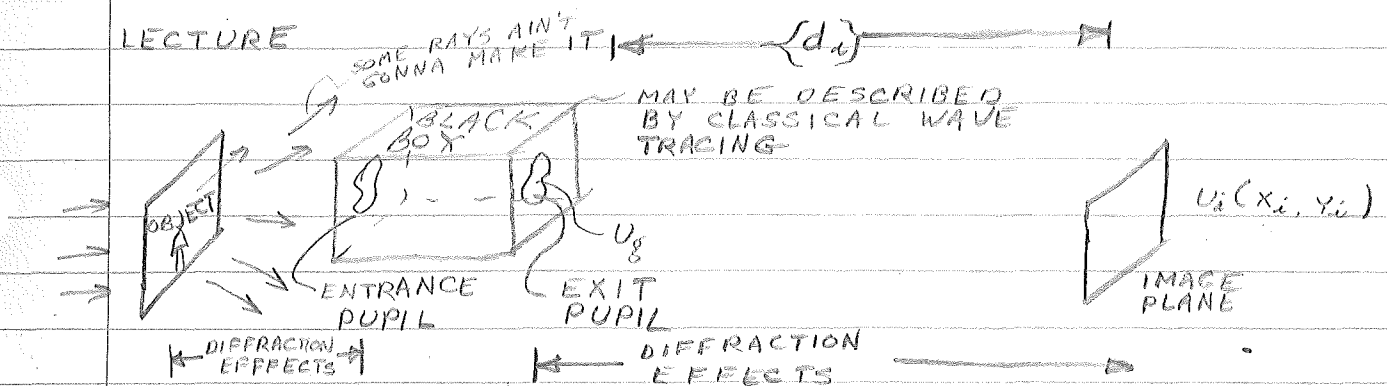
FOCAL PTS @  $b, \frac{1}{3}b, \frac{1}{5}b, \dots$ , EACH DECREASING FOCAL PT HAS LESS ENERGY

4-18-71 (WED) 6-3 DUE MONDAY

LAB APERTURES



LECTURE

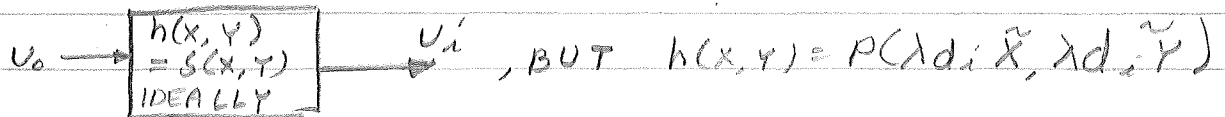


NEED ONLY CONSIDER SMALLEST PUPIL  
 ENTRANCE PUPIL MAY BE LOOKED UPON AS  
 LOW PASS FILTER

$$U_i(x_i, y_i) = \iint h(x_i - \tilde{x}_o, y_i - \tilde{y}_o) U_o(\tilde{x}_o, \tilde{y}_o) d\tilde{x}_o d\tilde{y}_o$$

EXIT PUPIL

$$\tilde{x}_o = M x_o; \tilde{y} = y/\lambda d_i; h(x_i, y_i) = \int_{-\infty}^{\infty} P(\lambda d_i \tilde{x}, \lambda d_i \tilde{y}) e^{j2\pi(x_i \tilde{x} + y_i \tilde{y})} d\tilde{x} d\tilde{y}$$

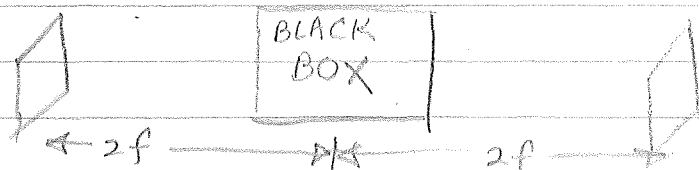
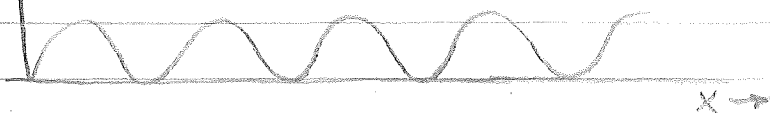


$$U_i = U_i(\omega) * U_o$$

$$\begin{aligned}
 \text{LET } G_g(f_x, f_y) &= \tilde{F}_1 [U_g(x_0, y_0)] \\
 G_i(f_x, f_y) &= \tilde{F}_1 [U_i(x_i, y_i)] \\
 H(f_x, f_y) &= \tilde{F}_1 [\tilde{h}(x_i, y_i)] \\
 G_i &= H \cdot G_g, \text{ BUT } H(f_x, f_y) = \tilde{F} [\tilde{h}] \\
 &= \tilde{F}_1 [\tilde{F}_1 \{P(x, y)\}] \\
 &= P(-\lambda d_i f_x, -\lambda d_i f_y)
 \end{aligned}$$

4-24-72 (MON)

6-4)  $t(x, y) = \frac{1}{2} (1 + \cos 2\pi \tilde{f} x)$  (AMPLITUDE)



$$\begin{aligned}
 T'(x, y) &= \frac{1}{2} \delta(f_x) + \frac{1}{4} \delta(f_x - \tilde{f}) + \frac{1}{4} \delta(f_x + \tilde{f}) \\
 H(f_x, f_y) &= P[\lambda d_i f_x, \lambda d_i f_y] \exp[jk W(\lambda d_i f_x, \lambda d_i f_y)]
 \end{aligned}$$

ASSUME = 1

PHASE PHACTOR DUE TO ABERATIONS

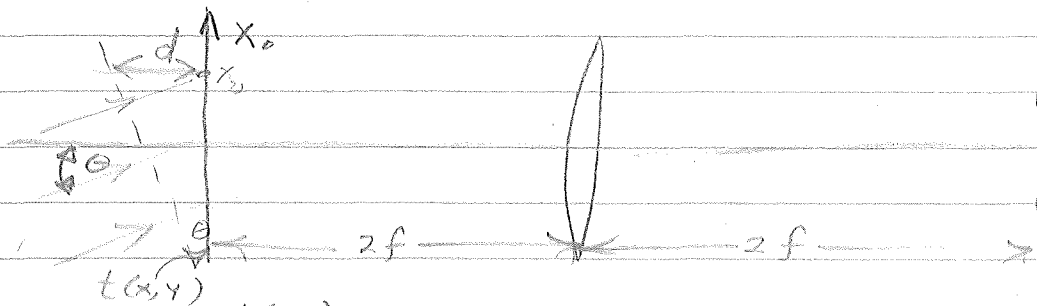
$$\text{IF } \frac{1}{d_o} + \frac{1}{d_i} = \frac{1}{f} = \epsilon \Rightarrow W(\lambda d_i f_x, \lambda d_i f_y) = \frac{\epsilon}{2} [(\lambda d_i f_x)^2 + (\lambda d_i f_y)^2]$$

$$\begin{aligned}
 U_i(f_x, f_y) &= T' \cdot H \\
 &= \left[ \frac{1}{2} \delta(f_x) + \frac{1}{4} \delta(f_x - \tilde{f}) + \frac{1}{4} \delta(f_x + \tilde{f}) \right] \\
 &\quad \exp[jk \epsilon [(\lambda d_i f_x)^2 + (\lambda d_i f_y)^2] / 2] \\
 &= \frac{1}{2} \delta(f_x) + \frac{1}{4} \delta(f_x - \tilde{f}) e^{jk \epsilon (\lambda d_i \tilde{f})^2 / 2} \\
 &\quad + \frac{1}{4} \delta(f_x + \tilde{f}) e^{jk \epsilon (\lambda d_i \tilde{f})^2 / 2} \\
 &= \frac{1}{2} \delta(f_x) + \frac{1}{4} [\delta(f_x + \tilde{f}) + \delta(f_x - \tilde{f})] \exp[jk \epsilon (\lambda d_i \tilde{f})^2]
 \end{aligned}$$

NOW

$\exp[jk \epsilon (\lambda d_i \tilde{f})^2] = 1$  WHEN ARGUMENT =  $2n\pi$ ,  
WHERE OBJECT WILL BE REGAINED.

(6-5)  $\mathcal{F}\{e^{j\omega t}\} = \delta(\omega - \omega_0)$  (TILTED PLANE WAVE)



$$U_i = A e^{j\phi(x_0)} = A \exp(jkx_0 \tan \theta) \Rightarrow \theta = \tan^{-1} \frac{d}{x_0}$$

$$t(x, y) = \frac{1}{2} [1 + \cos 2\pi f x]$$

$$\Rightarrow U_o(x_0) = \frac{A}{2} [1 + \cos 2\pi f x_0] \exp[j 2\pi f_s x_0]$$

$$\Rightarrow f_s = \frac{\tan \theta}{\lambda}$$

$$\Rightarrow \mathcal{F}\{U_o\} = \frac{A}{2} \delta(f_x) + \frac{A}{4} \delta(f_x - f) + \frac{A}{4} \delta(f_x + f)$$

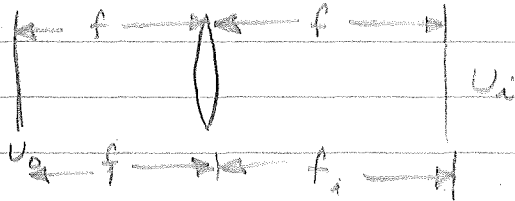
SHIFTED BY  $f_s = \frac{\tan \theta}{\lambda}$  IN THE POSITIVE  $+x_0$  DIRECTION

NOW  $H = \text{circ} \left( \frac{\sqrt{f_x^2 + f_y^2}}{2\lambda d_i} \right)$

MAX DIST:  $F_s = \frac{e}{2\lambda d_i} = \tan \theta$

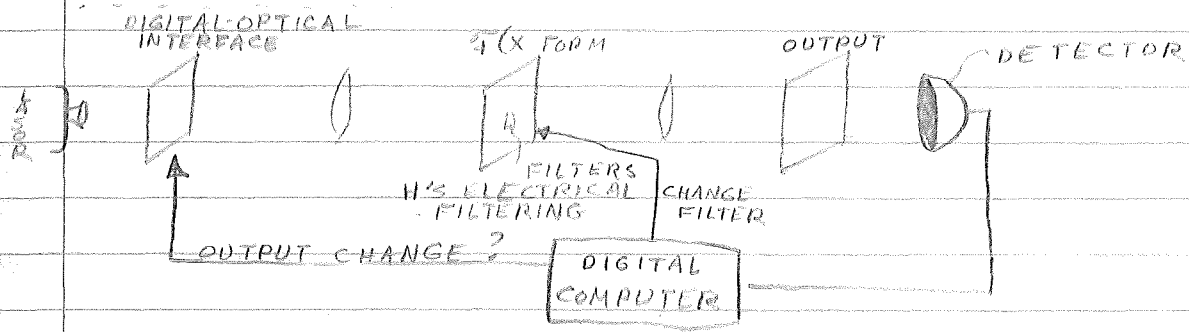
$$\Rightarrow \tan \theta \leq H$$

4-2b-72 (WED)



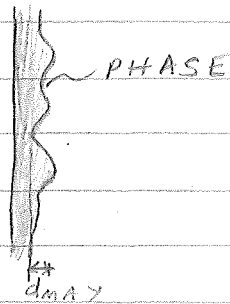
$$H = e^{-jk_w^2}$$

GIVES H WHICH GIVES  $\tilde{u}_1(x)$  FROM  $x$  PHASE FACTOR



### PHASE MODULATION

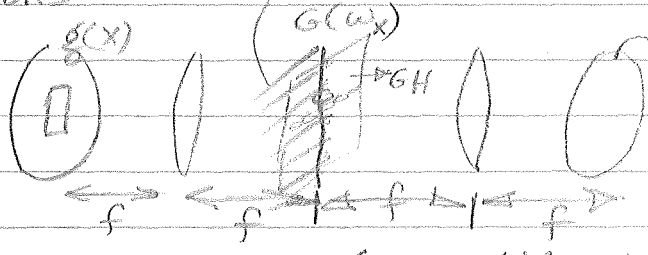
$$t(x, y) = e^{j\phi(x, y)} \approx 1 + j\phi(x, y) \quad \text{FOR SMALL } \phi(x, y) \quad (\phi \sim 1 \text{ RAD})$$



$$\Rightarrow \phi_{\text{MAX}} = \frac{2\pi(N-1)}{\lambda} \cdot d_{\text{MAX}}$$

THURS

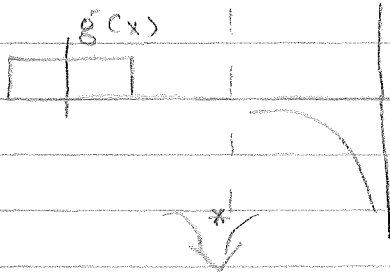
KNIFE EDGE FILTER



$$F(G \cdot H) = g(x) * (\pi \delta(x) + \frac{1}{f} x) \quad |$$

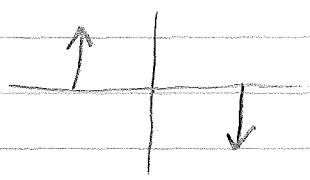
$$= \pi g(x) - j \int g(x) * \frac{1}{x}$$

$$H(w_x) = U(w_x)$$

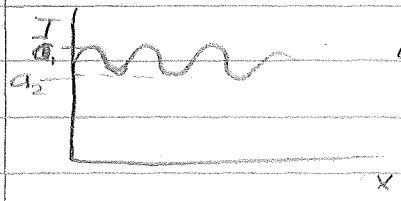
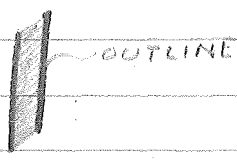


(DOUBLET)

NOW  $\int f(x) \delta'(x - t_0) dt = -f'(t_0)$

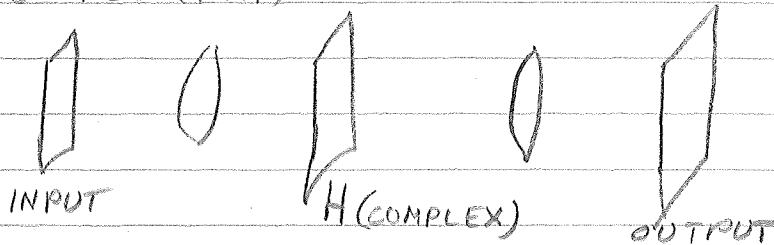


WILL SEE

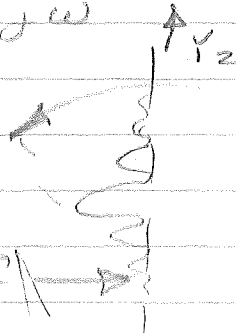
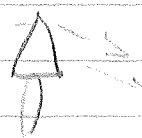


CONTRAST =  $a_2/a_1$

5-5-72 (FR1)

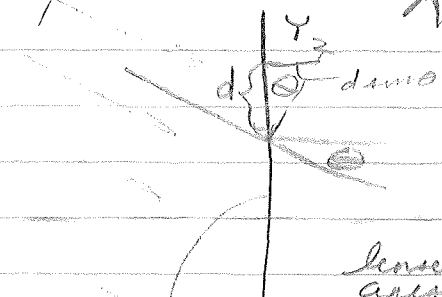


TO DIFFERENTIATE:  $H(j\omega) = j\omega$



$$U_r = r_0 \exp(-j 2\pi \alpha Y_2)$$

$$\exists \alpha = \frac{\sin \theta}{\lambda}$$



$$U_r = \exp(-j 2\pi \alpha Y_2) \quad \exists \alpha = \frac{\sin \theta}{\lambda}$$

$$\phi(Y_2)$$

$$\exp(-j \phi(Y_2)) = \exp(-j k d(Y_2))$$

$$I(x_2, Y_2) = \left| r_0 \exp(-j \phi(Y_2)) + \frac{1}{\lambda f} H \right|^2$$

$$= \left( r_0 \exp(-j \phi(Y_2)) + \frac{1}{\lambda f} H \right) (\text{CONJUGATE})$$

$$= r_0^2 + \frac{r_0}{\lambda f} \exp[j \phi(Y_2)] H + \frac{r_0}{\lambda f} \exp(-j \phi(Y_2)) H^*$$

$$+ \frac{1}{(\lambda f)^2} |H|^2$$

IF  $H = A \exp[-j \psi]$

THEN

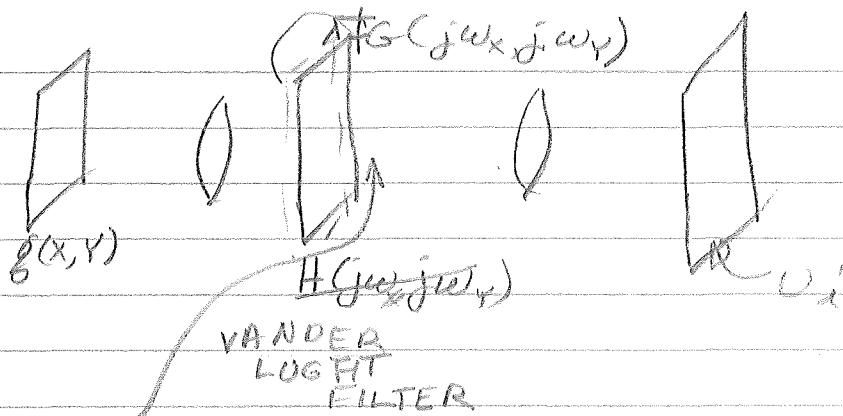
$$I = r_0^2 + \frac{A^2}{(\lambda f)^2} + \frac{r_0 A}{\lambda f} [\cos \phi + j \sin \phi] [\cos \psi - j \sin \psi]$$

$$+ (\cos \phi - j \sin \phi) (\cos \psi + j \sin \psi)$$

$$= r_0^2 + \frac{A^2}{(\lambda f)^2} (\cos \phi \cos \psi + \sin \phi \sin \psi)$$

$$= r_0^2 + \frac{A^2}{(\lambda f)^2} \cos(\phi - \psi)$$



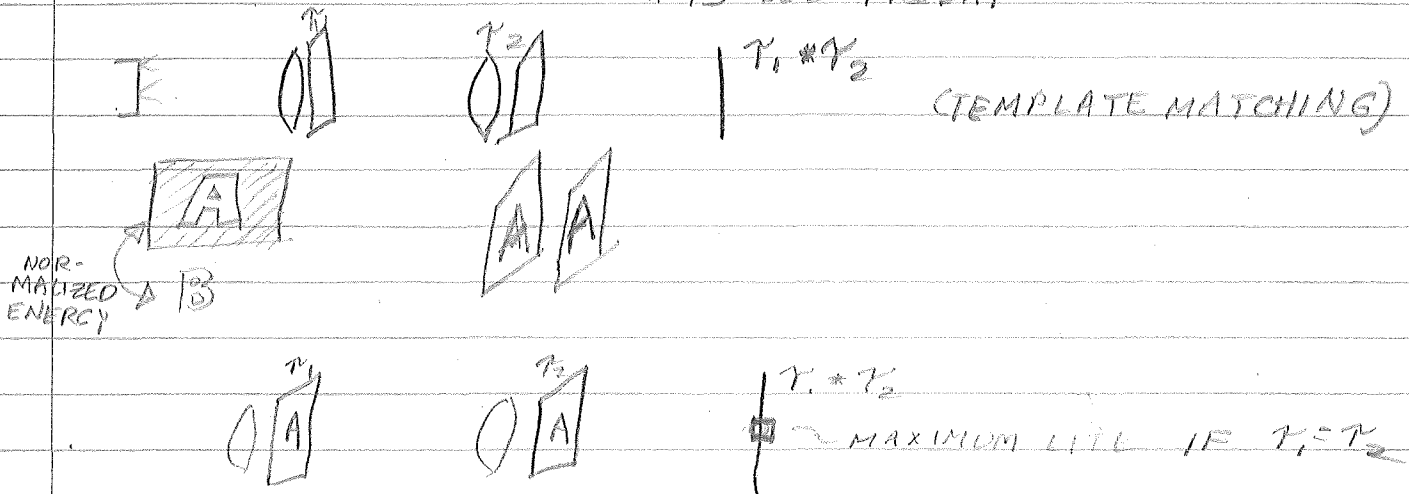


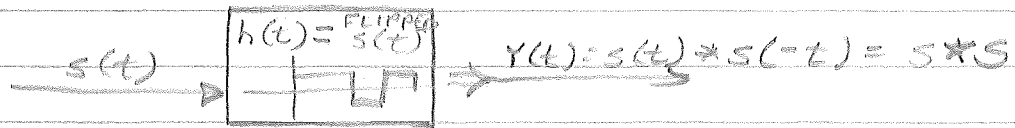
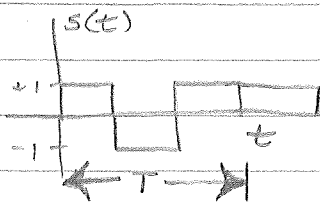
$$U_i \propto \frac{1}{\lambda f} G r_0^2 + \frac{G/H^2}{(\lambda f)^3} + \frac{r_0}{(\lambda f)^2} G H \exp(j\phi) + \frac{r_0}{(\lambda f)^2} G H^* \exp(-j\phi) \quad (7-11)$$

$$U_i \propto \frac{1}{\lambda f} G r_0^2 + \frac{1}{(\lambda f)^2} G * h * h^* (-x_i, -y_i) + \frac{r_0}{\lambda f} G * h * \delta(x_i, y_i - f(\phi)) + \frac{r_0}{\lambda f} G * h^* (-x_i, y_i) * \delta(x_i, y_i - f(\phi))$$

CROSS CORRELATION

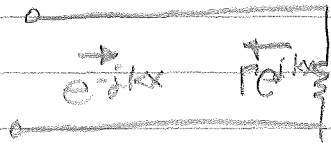
5-8-72 (MON) CHAPT 7, #9 - DUE WEDNESDAY  
 #13 DUE FRIDAY





@  $t = T$ ,  $s * s$  WILL OVERLAP, YIELDING MAXIMUM VALUE  
 NOW  $H(\omega) = S(-\omega) = S^*(\omega)$

WED (5-10-72)



$$\begin{aligned} & |A|^2 [e^{-jkx} + \Gamma e^{jkx}]^2 \\ &= A^2 [e^{-jkx} + \Gamma e^{jkx}] [e^{jkx} + \Gamma e^{-jkx}] \\ &= A^2 [1 + \Gamma^2 + \Gamma (e^{jkx} + e^{-jkx})] \\ &= A^2 [1 + \Gamma^2 + 2\Gamma \cos kx] \end{aligned}$$

$$e^{j\omega_0 t} = \cos \omega_0 t + j \sin \omega_0 t \quad (\text{ANALYTIC SIGNAL})$$

$\cos \omega t$  &  $\sin \omega t$  ARE HILBERT TRANSFORM

$$V(t) = \text{ANALYTIC SIGNAL} = V^r(t) + j V^i(t)$$

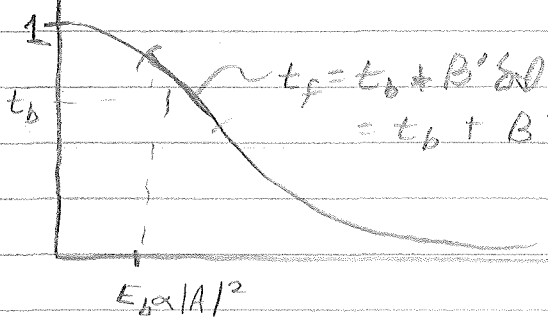
$\Rightarrow V^r(t) \neq V^i(t)$  ARE HILBERT TRANSFORMS

$$\mathcal{F}\{e^{j\omega t}\} = \delta(\omega - \omega_0)$$



5-15-72 (MON)

$t$  = TRANSMISSION COEFFICIENT (NEGATIVE TRANSPARENCY)  
SLOPE IS NEGATIVE

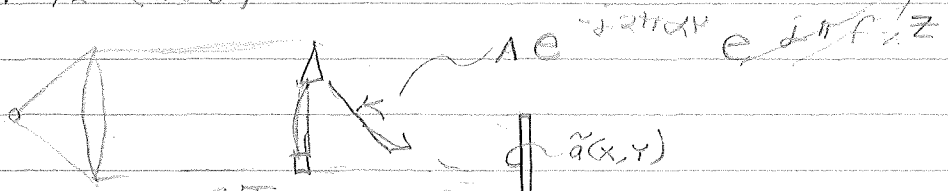


ASSUME  $|\tilde{A}|^2$  IS UNIFORM

EXPOSURE (TIME)

5-17-72 (WED)

SUPPRESSED



$$k = \frac{2\pi}{\lambda} \Rightarrow \lambda = \frac{c}{f}$$

$$k_y = k \sin \theta = \frac{2\pi}{\lambda} \sin \theta = 2\pi f_y \Rightarrow f_y = \alpha = \frac{\sin \theta}{\lambda}$$

$$U = a e^{-j2\pi\alpha y} + \phi(x, y) = A e^{-j2\pi\alpha y} + a(x, y) e^{j\phi(x, y)}$$

$\uparrow$  REFERENCE       $\uparrow$  PHASE

$$I = |U|^2 = \left| A e^{-j2\pi\alpha y} + a e^{j\phi} \right| \left| A e^{j2\pi\alpha y} + a^* e^{-j\phi} \right|$$

$$|U|^2 = A^2 + a^2(x, y) + 2Aa(x, y) \cos[2\pi\alpha y + \phi(x, y)]$$

(AMPLITUDE AND PHASE MODULATED)

$$\bar{E}_f(x, y) = \bar{E}_b + B \cdot [I \bar{x} + A a e^{-j2\pi\alpha y} + A a^* e^{j2\pi\alpha y}]$$



$$\begin{aligned}
 &= e^{j(\frac{\pi}{\lambda f})x^2} \mathcal{F}[p(q-q_0)t(q-q_0)]_{fx = x/\lambda f} \\
 &= e^{j\frac{\pi}{\lambda f}x^2} e^{-j\frac{q_0}{\lambda f}2\pi fx} \mathcal{F}[p(q-q_0)t(q-q_0)] \\
 &= e^{j\frac{\pi}{\lambda f}x^2} e^{j2\pi x q_0/\lambda f} T(\frac{x}{\lambda f}) \quad \mathcal{F}[p(q)] = T(\frac{x}{\lambda f})
 \end{aligned}$$

$$\begin{aligned}
 \therefore U_f(x) &= A_0 e^{j\frac{\pi}{\lambda f}x^2} + e^{j\frac{\pi}{\lambda f}x^2} e^{-j2\pi x q_0/\lambda f} T(\frac{x}{\lambda f}) \\
 I(x) &= |U_f(x)|^2 = A_0^2 + |T(\frac{x}{\lambda f})|^2 + A_0 e^{-j2\pi x q_0/\lambda f} T(\frac{x}{\lambda f}) \\
 &\quad + A_0 e^{j2\pi x q_0/\lambda f} T^*(\frac{x}{\lambda f})
 \end{aligned}$$

$$\begin{aligned}
 T^*(\frac{x}{\lambda f}) &= \int_{-\infty}^{\infty} t^*(q) e^{j2\pi(\frac{x}{\lambda f})x q} dq \\
 &= \mathcal{F}^{-1}(t^*(q))
 \end{aligned}$$

FILM TRANSPARENCY TRANSMITTANCE

$$t_f(x) = t_b + B' \{ |T(\frac{x}{\lambda f})|^2 + A_0 e^{-j2\pi(\frac{x}{\lambda f})q_0} T(\frac{x}{\lambda f}) + A_0 e^{j2\pi(\frac{x}{\lambda f})q_0} T^*(\frac{x}{\lambda f}) \}$$

FOURIER X-FORM HOLOGRAM

RECONSTRUCTION OF HOLOGRAM TAKE  $\mathcal{F}$ -X FORM AGAIN, DO THIS BY PUTTING THE HOLOG.  $t_f(x)$  IN FRONT FOCAL PLANE  $f_L$  OF A (+) LENS (EQ 5-19)

$$\begin{aligned}
 \Rightarrow U_{f_L}(q_L) &= \int_{-\infty}^{\infty} t_f(x) e^{-j2\pi x/\lambda f_L} x q_L dx \\
 &= \mathcal{F}\{t_f(x)\} \Big|_{fx = q_L/\lambda f_L}
 \end{aligned}$$

$$U_f = \mathcal{F}\{t_b\} \Big|_{fx = \frac{q_L}{\lambda f_L}} = t_b \delta(q_L/\lambda f_L)$$

$$U_{f_L2} = \mathcal{F}\{B'|t(q)|^2\} \Big|_{x/\lambda f} = B' \mathcal{F}\{\mathcal{F}\{t(q)\}\} \cdot \mathcal{F}^*\{t(q)\} \Big|_{x/\lambda f}$$

$$\begin{aligned}
 U_{f_L3} &= \mathcal{F}\{B'A e^{-j2\pi x q_0/\lambda f} \mathcal{F}\{t(q)\} \} \Big|_{x/\lambda f} \\
 &= B'A_0 \int_{-\infty}^{\infty} e^{-j2\pi(\frac{x}{\lambda f})q_0} \{ \int_{-\infty}^{\infty} t(q) e^{-j2\pi(\frac{x}{\lambda f})q} dq \} \\
 &\quad e^{-j2\pi x q_L/\lambda f_L} dx \\
 &= B'A_0 \int_{-\infty}^{\infty} e^{-j2\pi x (\frac{q_0}{\lambda f} + \frac{q_L}{\lambda f_L})} dx
 \end{aligned}$$

$$= B'A_0 \delta(-q_0 - q_L \frac{f}{f_L}); \text{ INVERTED MAGNIFIED IMAGE}$$

SIMILARLY

$$U_{SL4} = \beta' A_0 \lambda f t^* (-q_0 + q_L f/f_L)$$

(8-9) TAKE FX FORM OF  $V(x)$ , DO





2.5) a)  $p(x, y) = g(x, y) * [\text{comb}(\frac{x}{X}) \text{comb}(\frac{y}{Y})]$   
 $\Rightarrow \mathcal{F}\{p(x, y)\} = \mathcal{F}\{g(x, y)\} \mathcal{F}\{\text{comb}(\frac{x}{X}) \text{comb}(\frac{y}{Y})\}$   
 $P(f_x, f_y) = G(f_x, f_y) \mathcal{F}\{\text{comb}(\frac{x}{X})\} \mathcal{F}\{\text{comb}(\frac{y}{Y})\}$

now:  $\text{comb}(\frac{x}{X}) = \sum_{n=-\infty}^{\infty} \delta(\frac{x}{X} - n)$   
 $= \sum_{n=-\infty}^{\infty} \delta(x - Xn)$

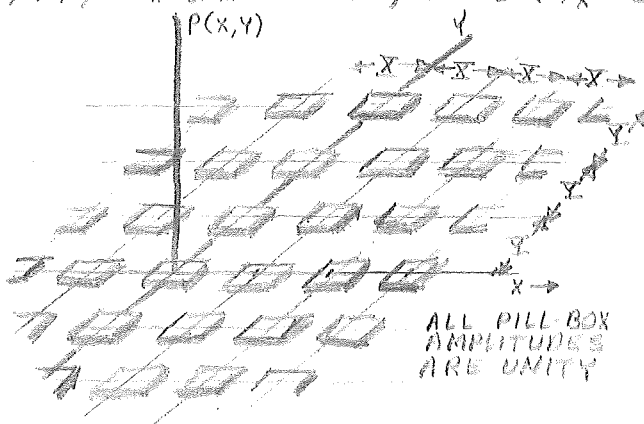
FROM COOPER-McGILLEM; pg 129:

$\sum_{n=-\infty}^{\infty} \delta(x - nX) = \sum_{n=-\infty}^{\infty} \frac{2\pi}{X} \delta(\omega_x - \frac{2\pi n}{X}) \quad \exists \omega_x = 2\pi f_x \checkmark$   
 $= \sum_{n=-\infty}^{\infty} \delta(f_x - \frac{n}{X})$

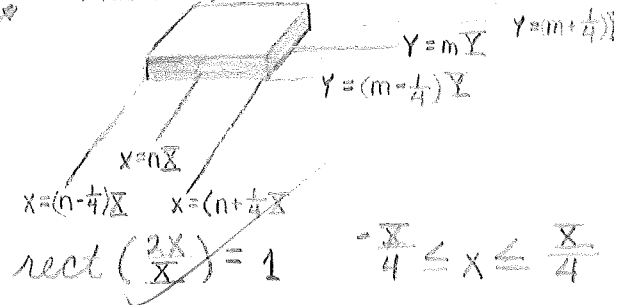
$\Rightarrow P(f_x, f_y) = G(f_x, f_y) \sum_{n=-\infty}^{\infty} \delta(f_x - \frac{n}{X}) \sum_{m=-\infty}^{\infty} \delta(f_y - \frac{m}{Y})$   
 $= G(f_x, f_y) \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \delta(f_x - \frac{n}{X}, f_y - \frac{m}{Y})$

$P(f_x, f_y)$  ASSUMES ZERO VALUES FOR  $f_x \neq \frac{n}{X}$  AND  $f_y \neq \frac{m}{Y}$   
 $\therefore P(f_x, f_y) = \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} G(\frac{n}{X}, \frac{m}{Y}) \delta(f_x - \frac{n}{X}, f_y - \frac{m}{Y})$

b)



SAMPLE PILL BOX:



$g(x, y) = \text{rect}(\frac{2x}{X}) \text{rect}(\frac{2y}{Y})$ ; FROM 2-2 AND SIMILARITY THEM:  
 $\mathcal{F}\{g(x, y)\} = G(f_x, f_y) = \frac{XY}{4} \text{sinc}(\frac{f_x X}{2}) \text{sinc}(\frac{f_y Y}{2})$

HENCE:

$P(f_x, f_y) = \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \frac{XY}{4} \text{sinc}(\frac{n}{2}) \text{sinc}(\frac{m}{2}) \delta(f_x - \frac{n}{X}, f_y - \frac{m}{Y})$

$P(f_x, f_y) = 0$  FOR ALL NON-ZERO EVEN  $m$  AND  $n$

LET  $n = 2p + 1$ ;  $m = 2q + 1$   
 $\Rightarrow P(f_x, f_y) = \frac{XY}{4} \left[ \delta(f_x, f_y) + \sum_{p=-\infty}^{\infty} \sum_{q=-\infty}^{\infty} \frac{4}{(2p+1)(2q+1)\pi^2} \frac{\sin((2p+1)\pi/2) \sin((2q+1)\pi/2)}{\sin^2} \delta(f_x - \frac{2p+1}{X}, f_y - \frac{2q+1}{Y}) \right]$   
 $= \frac{XY}{4} \left[ \delta(f_x, f_y) + \sum_{p=-\infty}^{\infty} \sum_{q=-\infty}^{\infty} \frac{4}{(2p+1)(2q+1)\pi^2} (-1)^{p+q} \delta(f_x - \frac{2p+1}{X}, f_y - \frac{2q+1}{Y}) \right]$



$$p(x, y) = KI \sum_{m,n} \text{rect}\left(\frac{x}{K}\right) \text{rect}\left(\frac{y}{K}\right) g(x, y)$$

$\therefore p(x, y)$  is just the original function  $\text{rect}\left(\frac{x}{K}\right) \text{rect}\left(\frac{y}{K}\right)$

scaled by  $KI$  and translated to the lattice points  $x = uK$ ,  $y = vK$ ,  $u, v = -\infty, \dots, \infty$ .

Note that  $P(f_x, f_y)$  is just a scaled version of  $G(f_x, f_y)$  in the frequency domain, while  $p(x, y)$  is the translated version of  $g(x, y)$  in the spatial domain. Compare these results with the sampling theorem.

(2-9). In the development of the sampling theorem, take  $H(f_x, f_y)$  (eqn. 2-29) to be

$$H(f_x, f_y) = \text{circ}\left(\frac{f}{B}\right).$$

Then the impulse response of this filter, which is the same as its inverse Fourier transform (Fourier-Bessel transform) is (see p. 13).

$$\mathcal{F}^{-1}\{\text{circ}\left(\frac{f}{B}\right)\} = \mathcal{B}\{\text{circ}\left(\frac{f}{B}\right)\} = \frac{B^2 J_1(2\pi B r)}{B r}$$

$$\text{comb}\left(\frac{x}{K}\right) \text{comb}\left(\frac{y}{K}\right) g(x, y) = KI \sum_{m,n} g(uK, vK) \delta(uK - x) \delta(vK - y)$$

$$p(x, y) = KI \sum_{m,n} g(uK, vK) \delta(uK - x) \delta(vK - y) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \text{circ}\left(\frac{f}{B}\right) e^{-j2\pi f_x x - j2\pi f_y y} df_x df_y$$

(3)

$$z(x, y) = \sum_{m, n} z(x_m, y_n) \left\{ \frac{J_1(2\pi B \sqrt{(x-x_m)^2 + (y-y_n)^2})}{B \sqrt{(x-x_m)^2 + (y-y_n)^2}} \right\}$$

Now the maximum spacing for  $x$  and  $y$ , each, is  $\frac{1}{2B}$ . Then, letting  $x = \frac{x}{2B}$ ,  $y = \frac{y}{2B}$ , we get

$$z(x, y) = \sum_{m, n} z\left(\frac{x}{2B}, \frac{y}{2B}\right) \left\{ \frac{J_1(2\pi B \sqrt{(x-\frac{x}{2B})^2 + (y-\frac{y}{2B})^2})}{4B \sqrt{(x-\frac{x}{2B})^2 + (y-\frac{y}{2B})^2}} \right\}$$

(2.12). Sampling in the  $x$  and  $y$  directions must occur at the respective rates  $1/2B_x$ ,  $1/2B_y$  per meter. Hence, in an area

$$2B_x \times 2B_y = 4B_x B_y \text{ m}^2, \text{ we must have } \frac{4B_x B_y}{4B_x B_y} = 16B_x B_y \Delta x \Delta y$$

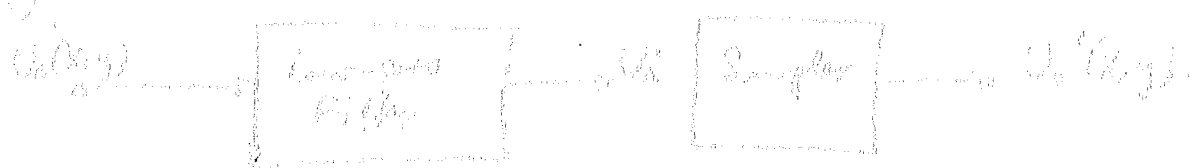
For each part (real and imaginary), making  $32B_x B_y \Delta x \Delta y$  small.

We know, in general, that we need all discrete data points to recover exactly the given function. If the sampled values  $z(\frac{x}{2B_x}, \frac{y}{2B_y})$  are sufficiently small outside the given area.

$|x| \leq X$ ,  $|y| \leq Y$ , then the approximation will be good.

It should be kept in mind, however, that all space functions

do not have infinite bandwidth, and that only a few functions are such that a function is both square and band



$U_1$  is bandlimited after  $U_0$  is passed through the low-pass filter (the imaging system). The rectangular response of the low-pass filter is

$$f^{-1} \left\{ \text{rect} \left( \frac{f_x}{2B_x} \right) \text{rect} \left( \frac{f_y}{2B_y} \right) \right\} = 4B_x B_y \text{sinc}(2B_x x) \text{sinc}(2B_y y)$$

$$U_1(x, y) = \iint_{-\infty}^{\infty} U_0(\xi, \eta) \text{sinc}(2B_x(x-\xi)) \text{sinc}(2B_y(y-\eta)) d\xi d\eta$$

The sampling operation simply multiplies  $U_1(x, y)$  by the function  $\text{comb} \left( \frac{x}{T_x} \right) \text{comb} \left( \frac{y}{T_y} \right)$ , where we take  $T_x = \frac{1}{2B_x}$ ,  $T_y = \frac{1}{2B_y}$ .

$$\therefore \text{comb} \left( \frac{x}{T_x} \right) \text{comb} \left( \frac{y}{T_y} \right) = \frac{1}{4B_x B_y} \sum_{m, n} \delta \left( x - \frac{m}{2B_x}, y - \frac{n}{2B_y} \right)$$

$$\text{and } U_0'(x, y) = \sum_{m, n} \delta \left( x - \frac{m}{2B_x}, y - \frac{n}{2B_y} \right) \cdot \iint_{-\infty}^{\infty} U_0(\xi, \eta) \text{sinc}(2B_x(x-\xi)) \text{sinc}(2B_y(y-\eta)) d\xi d\eta$$

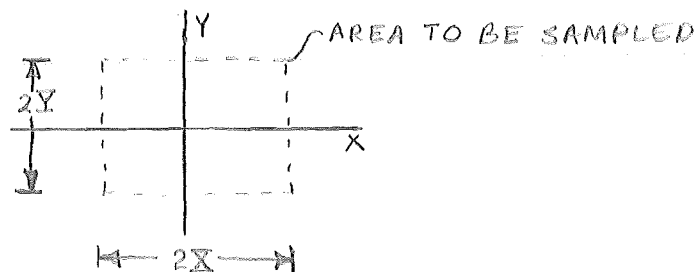
$$= \sum_{m, n} \delta \left( x - \frac{m}{2B_x}, y - \frac{n}{2B_y} \right) \cdot \iint_{-\infty}^{\infty} U_0(\xi, \eta) \text{sinc}(x - 2B_x \xi) \text{sinc}(y - 2B_y \eta) d\xi d\eta$$

(Note that due to the delta function we may just replace  $x = \frac{m}{2B_x}$  and  $y = \frac{n}{2B_y}$  in the integrand.)

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20

2-12) LET  $g(x, y)$  BE THAT "CERTAIN COMPLEX FUNCTION"

$$G(f_x, f_y) = 0, \text{ FOR } |f_x| \leq B_x, |f_y| \leq B_y$$



FUNCTION IS BAND LIMITED. SAMPLING THEM. DICTATES SAMPLING MUST TAKE PLACE AT INTERVALS OF  $\frac{1}{2B_x}$  IN X DIRECTION AND  $\frac{1}{2B_y}$  IN Y DIRECTION, NUMBER OF INTERVALS IN X DIRECTION ( $S_x$ ) IN

AREA  $|x| \leq X$  :

$$S_x = \frac{2X}{1/2B_x} = 4XB_x$$

AND  $S_y = \frac{2Y}{1/2B_y} = 4YB_y$

TOTAL NUMBER ON SAMPLES:

$$S = S_x S_y = 16XYB_x B_y$$

EACH SAMPLE IS COMPLEX, AND THUS REQUIRES NO LESS THAN TWO REAL NUMBERS PER SAMPLE

⇒ FUNCTION CAN BE SPECIFIED BY  $32XYB_x B_y$  REAL NUMBERS.

ALTHOUGH  $g(x, y)$  HAS A FINITE SPECTRA, SAMPLING ONLY OVER  $x \leq X$  AND  $y \leq Y$  IMPLIES

$g(x, y)$  IS ZERO OUTSIDE  $X$  AND  $Y$  WHICH FURTHER IMPLIES A NON-FINITE SPECTRUM. ✓

ie LIMITING  $g(x, y)$  TO THE ABOVE INTERVALS CHANGES ITS SPECTRA FROM FINITE TO

INFINITE (HEISENBERG'S UNCERTAINTY PRINCIPLE)

THIS SAMPLING WOULD YIELD A GOOD RECONSTRUCTION IF  $g(x, y)$  IS VERY SMALL OUTSIDE THE SPACIAL RECTANGLE AS OPPOSED TO  $g(x, y)$  INSIDE.

$$2-13) U_i(x, Y) = \mathcal{S}[U_o(x, Y)]$$

SYSTEM: LOW PASS FILTER

$$H(f_x, f_y) = \text{rect}\left(\frac{f_x}{2B_x}\right) \text{rect}\left(\frac{f_y}{2B_y}\right)$$

$$\Rightarrow h(x, Y) = 4B_x B_y \text{sinc}(2B_x x) \text{sinc}(2B_y Y)$$

$$\text{NOW: } U_i(x, Y) = U_o(x, Y) \otimes h(x, Y)$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_o(\epsilon, \eta) h[(x-\epsilon), (Y-\eta)] d\epsilon d\eta$$

$$= 4B_x B_y \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_o(\epsilon, \eta) \text{sinc}[2B_x(x-\epsilon)] \text{sinc}[2B_y(Y-\eta)] d\epsilon d\eta$$

$$U_o'(x, Y) = \text{SAMPLED } U_i(x, Y)$$

$$\Rightarrow U_o'(x, Y) = \text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{Y}{Y}\right) U_i(x, Y)$$

FOR MINIMUM NUMBER OF SAMPLING POINTS:

$$X = \frac{1}{2B_x} \quad ; \quad Y = \frac{1}{2B_y}$$

$$\Rightarrow U_o'(x, Y) = \text{comb}(2B_x x) \text{comb}(2B_y Y) U_i(x, Y)$$

$$= \sum_{n, m=-\infty}^{\infty} \delta(2B_x x - n, 2B_y Y - m) U_i(x, Y)$$

$$= \frac{1}{4B_x B_y} \sum_{n, m=-\infty}^{\infty} \delta\left(x - \frac{n}{2B_x}, Y - \frac{m}{2B_y}\right) U_i(x, Y)$$

$$= \frac{1}{4B_x B_y} \sum_{n, m=-\infty}^{\infty} \delta\left(x - \frac{n}{2B_x}, Y - \frac{m}{2B_y}\right)$$

$$\cdot 4B_x B_y \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_o(\epsilon, \eta) \text{sinc}[2B_x(x-\epsilon)] \text{sinc}[2B_y(Y-\eta)] d\epsilon d\eta$$

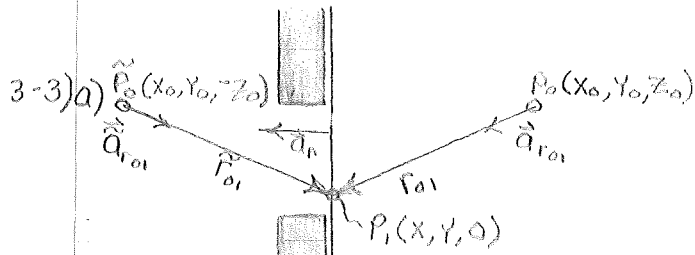
$$= \sum_{n, m=-\infty}^{\infty} \delta\left(x - \frac{n}{2B_x}, Y - \frac{m}{2B_y}\right) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_o(\epsilon, \eta) \text{sinc}[2B_x(x-\epsilon)] \text{sinc}[2B_y(Y-\eta)] d\epsilon d\eta$$

$U_o'(x, Y)$  ASSUMES NON-ZERO VALUES ONLY AT  $x = \frac{n}{2B_x}$  AND  $Y = \frac{m}{2B_y}$

$$\Rightarrow U_o'(x, Y) = \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_o(\epsilon, \eta) \text{sinc}(n-2B_x \epsilon) \text{sinc}(m-2B_y \eta) d\epsilon d\eta \right] \delta\left(x - \frac{n}{2B_x}, Y - \frac{m}{2B_y}\right)$$

HENCE, PASSING  $U_o$  THRU THE LOW PASS FILTER YIELDS  $U_i$  WHICH IS LIMITED IN BANDWIDTH. SAMPLING  $U_i$  AT MINIMUM RATE YIELDS  $U_o'$ . PASSING  $U_o'$  AGAIN THRU THE FILTER WILL AGAIN PRODUCE  $U_i$ , IN THAT CRITERIA FOR THE SAMPLING THEM, IS MET

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$$G_+(P_1) = \frac{\exp(jk r_{01})}{r_{01}} + \frac{\exp(jk \tilde{r}_{01})}{\tilde{r}_{01}}$$

NORMAL DIRECTIONAL DERIVATIVE:  $\frac{\delta G_+(P_1)}{\delta n}$

$$\begin{aligned} \frac{\delta G_+(P_1)}{\delta n} &= \vec{a}_n \cdot \nabla G_+(P_1) \\ &= \vec{a}_n \cdot \nabla \left[ \frac{\exp(jk r_{01})}{r_{01}} + \frac{\exp(jk \tilde{r}_{01})}{\tilde{r}_{01}} \right] \end{aligned}$$

$$\text{NOW: } \nabla \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) = \vec{a}_{r_{01}} \frac{\delta}{\delta r_{01}} \left[ \frac{1}{r_{01}} e^{jk r_{01}} \right]$$

$$= \vec{a}_{r_{01}} \left[ jk - \frac{1}{r_{01}} \right] \left[ \frac{1}{r_{01}} e^{jk r_{01}} \right]$$

$$\text{SIMILARLY: } \nabla \left( \frac{1}{\tilde{r}_{01}} e^{jk \tilde{r}_{01}} \right) = \vec{a}_{\tilde{r}_{01}} \left[ jk - \frac{1}{\tilde{r}_{01}} \right] \left[ \frac{1}{\tilde{r}_{01}} e^{jk \tilde{r}_{01}} \right]$$

$$\Rightarrow \frac{\delta G_+(P_1)}{\delta n} = \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) + \vec{a}_n \cdot \vec{a}_{\tilde{r}_{01}} \left( jk - \frac{1}{\tilde{r}_{01}} \right) \left( \frac{1}{\tilde{r}_{01}} e^{jk \tilde{r}_{01}} \right)$$

$$\text{@ } P_1, \vec{a}_n \cdot \vec{a}_{r_{01}} = -\vec{a}_n \cdot \vec{a}_{\tilde{r}_{01}}$$

$$\begin{aligned} \therefore \frac{\delta G_+(P_1)}{\delta n} &= \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) \\ &\quad - \vec{a}_n \cdot \vec{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) = 0 \end{aligned}$$

$$\text{b) } U(P_0) = \frac{1}{4\pi} \int_{S_1} \int (G \frac{\delta U}{\delta n} - U \frac{\delta G}{\delta n}) dS$$

FROM (a)  $\frac{\delta G}{\delta n} = 0$  @  $P_1$  ON  $S_1$

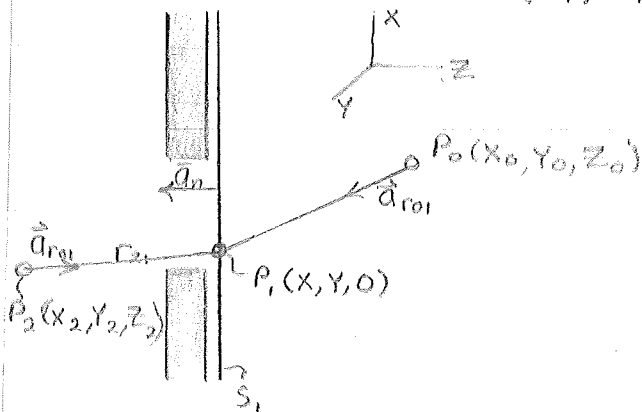
$$\begin{aligned} \Rightarrow U(P_0) &= \frac{1}{4\pi} \int_{S_1} \int G \frac{\delta U}{\delta n} dS \\ &= \frac{1}{4\pi} \int_{S_1} \int \left( \frac{2}{r_{01}} e^{jk r_{01}} \right) \frac{\delta U}{\delta n} dS \end{aligned}$$

BECAUSE  $r_{01} = \tilde{r}_{01}$  @  $P_1 \Rightarrow G_+(P_1) = \frac{2}{r_{01}} e^{jk r_{01}}$

$$\therefore U(P_0) = \frac{1}{2\pi} \int_{S_1} \int \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) \frac{\delta U(P)}{\delta n} dS$$



C) SPHERICAL WAVE:  $U(P_1) = \frac{A}{r_{21}} e^{jk r_{21}}$



AS IN (b);  $\frac{\delta U(P_1)}{\delta n} = \vec{a}_n \cdot \nabla U(P_1)$   
 $= \vec{a}_n \cdot \vec{a}_{r_{21}} \left( jk - \frac{1}{r_{21}} \right) \frac{A}{r_{21}} e^{jk r_{21}}$

LET  $\vec{a}_x$ ,  $\vec{a}_y$ , AND  $\vec{a}_z$  BE UNIT VECTORS IN X, Y, AND Z DIRECTION RESPECTIVELY;

$$\vec{a}_{r_{21}} = \left[ (x-x_2)^2 + (y-y_2)^2 + z_2^2 \right]^{-\frac{1}{2}} \left[ (x_2-x) \vec{a}_x + (y_2-y) \vec{a}_y + z_2 \vec{a}_z \right]$$

$$= \frac{1}{r_{21}} \left[ (x_2-x) \vec{a}_x + (y_2-y) \vec{a}_y + z_2 \vec{a}_z \right]$$

AND:  $\vec{a}_n = -\vec{a}_z$

$$\Rightarrow \vec{a}_{r_{21}} \cdot \vec{a}_n = -\frac{1}{r_{21}} z_2$$

$$\Rightarrow \frac{\delta U(P_1)}{\delta n} = -\frac{A z_2}{r_{21}^2} \left( jk - \frac{1}{r_{21}} \right) e^{jk r_{21}}$$

FROM (b);

$$U(P_0) = \frac{1}{2\pi} \int_{S_1} \int \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) \frac{\delta U(P_1)}{\delta n} ds$$

$$= \frac{1}{2\pi} \int_{S_1} \int \left( \frac{1}{r_{01}} e^{jk r_{01}} \right) \frac{A z_2}{r_{21}^2} \left( jk - \frac{1}{r_{21}} \right) e^{jk r_{21}} ds$$

$$= \frac{A z_2}{2\pi} \int_{S_1} \int \frac{1}{r_{01} r_{21}^2} \left( jk - \frac{1}{r_{21}} \right) e^{jk(r_{21} + r_{01})} ds$$

FOR  $|jk| \gg 1/r_{21}$

$$U(P_0) = \frac{jk A z_2}{2\pi} \int_{S_1} \int \frac{1}{r_{01} r_{21}^2} e^{jk(r_{21} + r_{01})} ds$$

$$\exists r_{01} = \left[ (x_0-x)^2 + (y_0-y)^2 + z_0^2 \right]^{\frac{1}{2}}$$

$$r_{21} = \left[ (x_2-x)^2 + (y_2-y)^2 + z_2^2 \right]^{\frac{1}{2}}$$

$ds = dx dy$ ; INTEGRATION OVER "WINDOW"

Fourier Optics: Solution of 3-3.

$$(3-3) \quad (a) \quad G_+(P_1) = \frac{\exp(jkr_{01})}{r_{01}} + \frac{\exp(jkr_{01})}{\bar{r}_{01}}$$

$$\text{on } S_1, \quad G_+(P_1) = \frac{2\exp(jkr_{01})}{r_{01}}$$

$$\frac{\partial G_+}{\partial n} = \bar{a}_n \cdot \nabla G_+(P_1) = \bar{a}_n \cdot \left( \bar{a}_{r_{01}} \left( jk - \frac{1}{r_{01}} \right) + \bar{a}_{\bar{r}_{01}} \left( jk - \frac{1}{\bar{r}_{01}} \right) \right) \cdot \frac{e^{jkr_{01}}}{r_{01}}$$

But  $\bar{a}_n \cdot \bar{a}_{r_{01}} = -\bar{a}_n \cdot \bar{a}_{\bar{r}_{01}}$  on  $S_1$ . Thus,

$$\boxed{\frac{\partial G_+}{\partial n} = 0} \quad \text{on } S_1.$$

$$(b) \quad U(P_0) = \frac{1}{4\pi} \int_{S_1} \frac{2\exp(jkr_{01})}{r_{01}} \cdot \frac{\partial U(P_1)}{\partial n} ds.$$

Must now give the normal derivative  $\frac{\partial U}{\partial n}$  on  $S_1$ .

$$(c) \quad U(P_0) = \frac{1}{2\pi} \int_{\Sigma'} \frac{\exp(jkr_{01})}{r_{01}} \cdot \frac{\partial U}{\partial n}(P_1) ds, \quad \text{where } \frac{\partial U}{\partial n} = 0 \text{ on } S_1, \text{ except on } \Sigma'.$$

for spherical wave diverging from  $P_2$ :  $U(P_1) = \frac{A \exp(jkr_{21})}{r_{21}}$

$$\frac{\partial U(P_1)}{\partial n} = \bar{a}_n \cdot A \frac{\partial}{\partial r_{21}} \left( \frac{\exp(jkr_{21})}{r_{21}} \right) \bar{a}_{r_{21}} = \bar{a}_n \cdot \bar{a}_{r_{21}} A \left[ jk - \frac{1}{r_{21}} \right] \frac{e^{jkr_{21}}}{r_{21}}$$

(2)

If  $r_{21} \gg \lambda$  or  $\frac{\lambda}{r_{21}} \ll \frac{2\pi}{\lambda} = k$ , then  $k \gg \frac{\lambda}{r_{21}}$

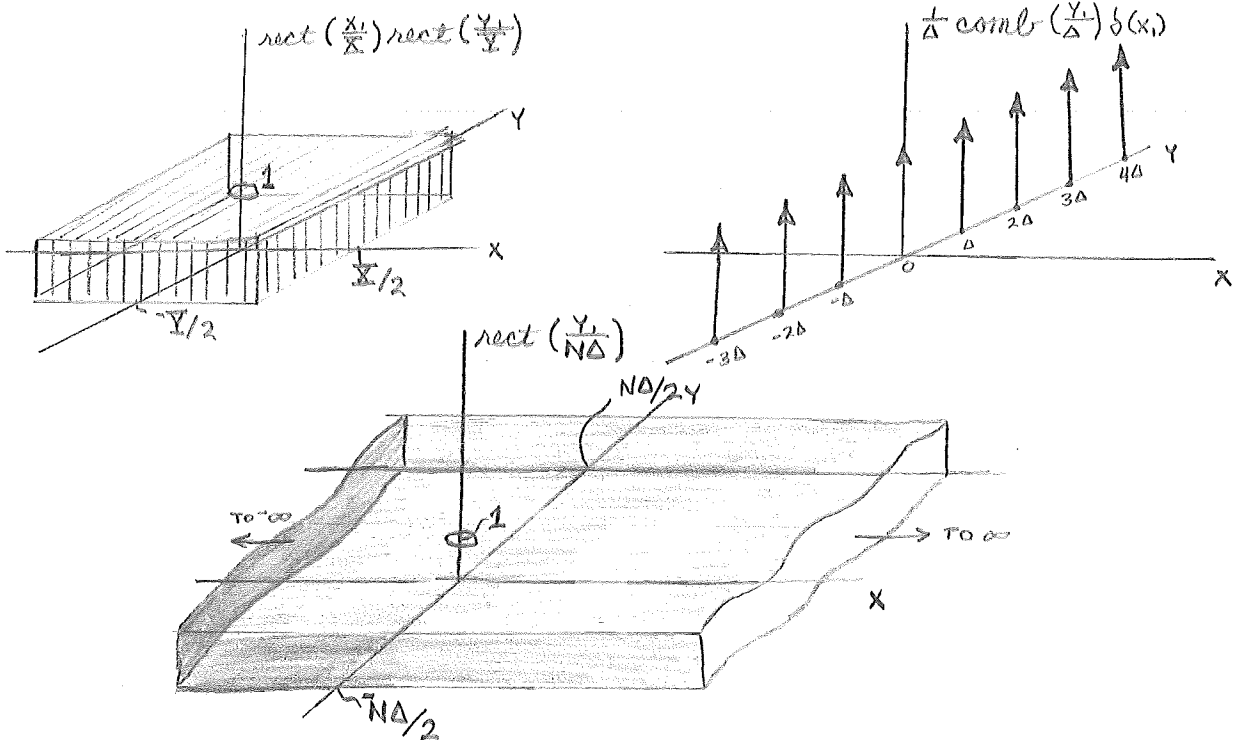
So that  $\frac{\partial U}{\partial n}(P_1) \approx \bar{a}_n \cdot \bar{a}_{r_{21}} jkA \frac{e^{jk r_{21}}}{r_{21}}$  and

$$U(P_0) = jkA \int_{\Sigma'} \frac{\bar{a}_n \cdot \bar{a}_{r_{21}} \exp(jk(r_{01} + r_{21}))}{r_{01} r_{21}} dS$$

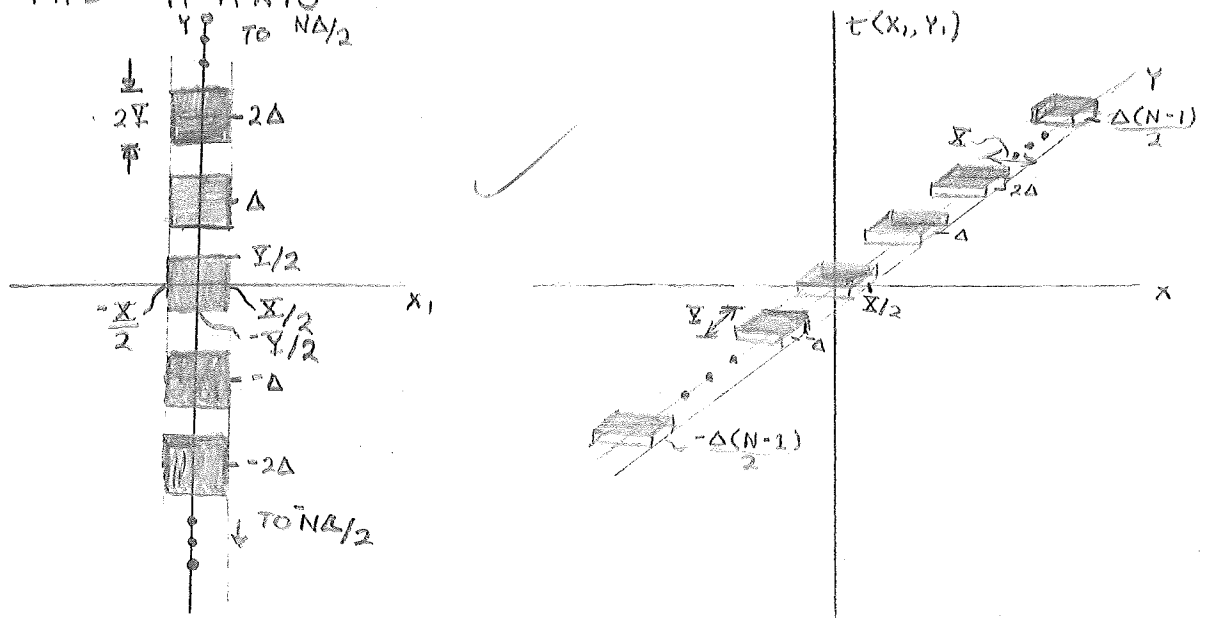
$$= -\frac{A}{j\lambda} \int_{\Sigma'} \frac{\bar{a}_n \cdot \bar{a}_{r_{21}} \exp(jk(r_{01} + r_{21}))}{r_{01} r_{21}} dS.$$

20/20

$$4.2) t_1(x_1, y_1) = \left\{ \left[ \text{rect} \left( \frac{x_1}{X} \right) \text{rect} \left( \frac{y_1}{Y} \right) \right] * \left[ \frac{1}{\Delta} \text{combl} \left( \frac{y_1}{\Delta} \right) \delta(x_1) \right] \right\} \text{rect} \left( \frac{y_1}{N\Delta} \right)$$



$t(x_1, y_1)$  IS THUS REPRESENTED BY N UNIT AMPLITUDE "PILL BOXES" SPACED EVERY  $\Delta$  UP THE  $y_1$  AXIS



b)  $t(x_1, y_1) = \text{rect}\left(\frac{x_1}{X}\right) \sum_{n=-(N-1)/2}^{(N-1)/2} \text{rect}\left(\frac{y_1 - n\Delta}{Y}\right)$ ; THEN FROM SCALING AND "TIME" SHIFT THEOREMS

$\Rightarrow T(f_x, f_y) = XY \text{sinc}(Xf_x) \text{sinc}(Yf_y) \sum_{n=-(N-1)/2}^{(N-1)/2} e^{-j2\pi f_y n\Delta}$

FRAUNHOFFER APPROXIMATION;

$U(x_0, y_0) = \frac{j}{\lambda z} e^{jkz} \exp\left[\frac{jk}{2z}(x_0^2 + y_0^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) \exp\left[-\frac{jk}{z}(x_0 x_1 + y_0 y_1)\right] dx_1 dy_1$

BUT  $\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) \exp\left[-\frac{jk}{z}(x_0 x_1 + y_0 y_1)\right] dx_1 dy_1 = \mathcal{F}\{U(x_1, y_1)\} \Big|_{f_x = x_0/\lambda z, f_y = y_0/\lambda z}$

NOW  $U_i = A e^{jkz} \Rightarrow U(x_1, y_1) = A t(x_1, y_1)$

$\therefore \mathcal{F}\{U(x_1, y_1)\} = XY A \text{sinc}(Xf_x) \text{sinc}(Yf_y) \sum_{n=-(N-1)/2}^{(N-1)/2} e^{-j2\pi f_y n\Delta}$

BUT  $\sum_{n=-(N-1)/2}^{(N-1)/2} e^{-j2\pi f_y n\Delta}$  IS A SUMMATION OF N TERMS OF

A GEOMETRIC SERIES, WITH A COMMON RATIO  $= \frac{a_{n+1}}{a_n} = r = e^{-j2\pi f_y \Delta}$

$\Rightarrow \sum_{n=-(N-1)/2}^{(N-1)/2} e^{-j2\pi f_y n\Delta} = a_1 \left[ \frac{1 - r^N}{1 - r} \right]$  Good boy.

$= e^{j2\pi f_y \left(\frac{N-1}{2}\right)\Delta} \left[ \frac{1 - e^{-j2\pi f_y N\Delta}}{1 - e^{-j2\pi f_y \Delta}} \right]$

$= e^{j2\pi f_y \left(\frac{N-1}{2}\right)\Delta} \left[ \frac{e^{-j\pi f_y N\Delta}}{e^{-j\pi f_y \Delta}} \right] \left[ \frac{e^{j\pi f_y N\Delta} - e^{-j\pi f_y N\Delta}}{e^{j\pi f_y \Delta} - e^{-j\pi f_y \Delta}} \right]$

$= \frac{\sin(\pi f_y N\Delta)}{\sin(\pi f_y \Delta)}$

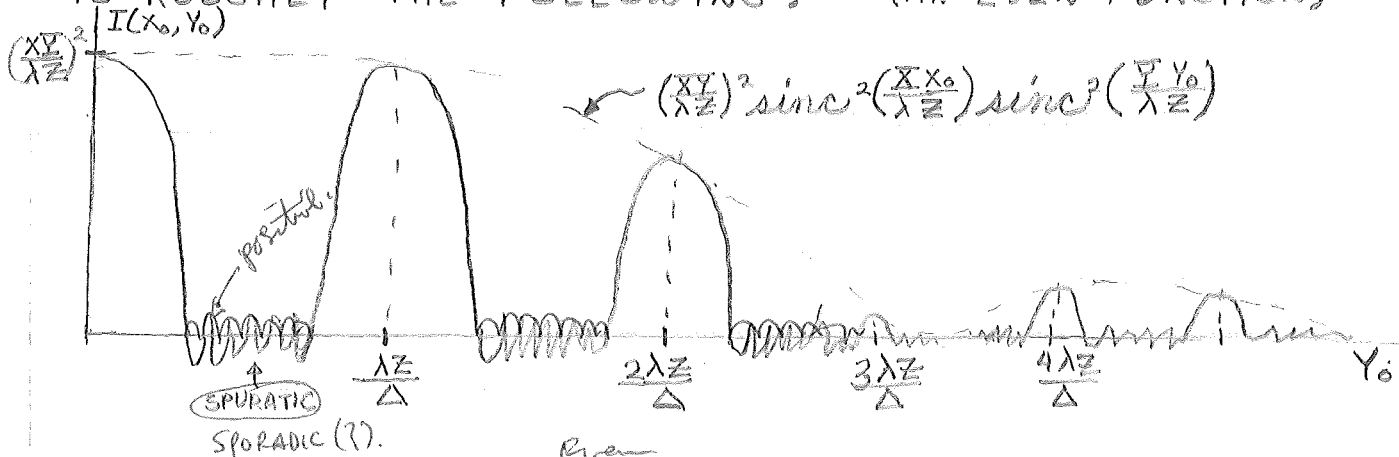
$\Rightarrow \mathcal{F}\{U(x_1, y_1)\} = XY A \text{sinc}(Xf_x) \text{sinc}(Yf_y) \frac{\sin(\pi f_y N\Delta)}{\sin(\pi f_y \Delta)}$

NOW  $f_x = \frac{x_0}{\lambda z}$  AND  $f_y = \frac{y_0}{\lambda z}$

$\therefore U(x_0, y_0) = \frac{j}{\lambda z} e^{jkz} \exp\left\{\frac{jk}{2z}(x_0^2 + y_0^2)\right\} XY A \text{sinc}\left(\frac{X x_0}{\lambda z}\right) \text{sinc}\left(\frac{Y y_0}{\lambda z}\right) \frac{\sin\left(\frac{\pi Y_0 N\Delta}{\lambda z}\right)}{\sin\left(\frac{\pi Y_0 \Delta}{\lambda z}\right)}$

$\Rightarrow I(x_0, y_0) = |U(x_0, y_0)|^2 = \left(\frac{XY}{\lambda z}\right)^2 \text{sinc}^2\left(\frac{X x_0}{\lambda z}\right) \text{sinc}^2\left(\frac{Y y_0}{\lambda z}\right) \frac{\sin^2\left(\frac{\pi Y_0 N\Delta}{\lambda z}\right)}{\sin^2\left(\frac{\pi Y_0 \Delta}{\lambda z}\right)}$

c) AT A GIVEN  $Z$  AND  $X_0$ , THE INTENSITY (GRAPHICALLY) IS ROUGHLY THE FOLLOWING: (AN EVEN FUNCTION)



THE REDUCTION OF HIGHER ORDER PULSES MAY BE ACCOMPLISHED IN TWO MANNERS

- 1) DECREASE THE VALUE OF  $\Delta$ , THUS MAKING THE INTERVALS BETWEEN ADJACENT PULSES LARGER.
- 2) INCREASE THE VALUE OF  $\Upsilon$ , MAKING A FASTER FALL OFF TIME IN THE DAMPING TERM  $\text{sinc}^2\left(\frac{\Upsilon Y_0}{\lambda Z}\right)$

BECAUSE  $\Upsilon < \Delta$ , THE LIMITING CASE WOULD BE  $\Upsilon = \Delta$ , IN WHICH CASE THE APERTURE WOULD BE A LONG NARROW STRIP

$$4-6) \quad t(x, y) = \frac{1}{2} (1 + m \cos 2\pi f_0 x) \quad ; \quad U_i = A e^{jkz}$$

$$\Rightarrow T(f_x, f_y) = \frac{1}{2} [\delta(f_x, f_y) + \frac{m}{2} \{ \delta(f_x + f_0, f_y) + \delta(f_x - f_0, f_y) \}]$$

a) FRESNEL DIFFRACTION

$$U(x_0, y_0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\lambda z} \exp(jkz) \exp\left[\frac{jk}{2z}(x_0^2 + y_0^2)\right] \exp\left[\frac{jk}{2z}(x_1^2 + y_1^2)\right] \exp\left[-\frac{jk}{z}(x_0 x_1 + y_0 y_1)\right] dx_1 dy_1$$

$$\text{BUT } \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) \exp\left[\frac{jk}{2z}(x_1^2 + y_1^2)\right] \exp\left[-\frac{jk}{z}(x_0 x_1 + y_0 y_1)\right] dx_1 dy_1$$

$$= \mathcal{F}_1 \left[ U(x_1, y_1) \exp\left\{\frac{jk}{2z}(x_1^2 + y_1^2)\right\} \right]_{f_x = x_0/\lambda z}^{f_y = y_0/\lambda z}$$

$$= \mathcal{F}_1 [U(x_1, y_1)] \otimes \mathcal{F}_1 \left[ \exp\left\{\frac{jk}{2z}(x_1^2 + y_1^2)\right\} \right]_{f_x = kx_0/2\pi z}^{f_y = ky_0/2\pi z}$$

$$\mathcal{F}_1 [U(x_1, y_1)] = \frac{A}{2} [\delta(f_x, f_y) + \frac{m}{2} \{ \delta(f_x + f_0, f_y) + \delta(f_x - f_0, f_y) \}]$$

$$\mathcal{F}_1 \left[ \exp\left\{\frac{jk}{2z}(x_1^2 + y_1^2)\right\} \right] = \mathcal{F}_1 \left[ \exp\left(\frac{jkx_1^2}{2z}\right) \right] \mathcal{F}_1 \left[ \exp\left(\frac{jky_1^2}{2z}\right) \right]$$

$$\mathcal{F}_1 \left[ \exp\left(\frac{jkx_1^2}{2z}\right) \right] = \int_{-\infty}^{\infty} \exp\left[\frac{jk}{2z}x_1^2\right] \exp[-j2\pi f_x x_1] dx_1$$

$$= \int_{-\infty}^{\infty} \exp j \left( \frac{k}{2z} x_1^2 - 2\pi f_x x_1 \right) dx_1$$

$$= \int_{-\infty}^{\infty} \exp j \left[ \left( \sqrt{\frac{k}{2z}} x_1 - \pi f_x \sqrt{\frac{2z}{k}} \right)^2 - \frac{2\pi^2 f_x^2 z}{k} \right] dx_1$$

$$= \exp\left(-j \frac{2\pi^2 f_x^2 z}{k}\right) \int_{-\infty}^{\infty} \exp\left(\sqrt{\frac{k}{2z}} x_1 - \pi f_x \sqrt{\frac{2z}{k}}\right)^2 dx_1$$

$$\text{LET } U = \sqrt{\frac{k}{2z}} x_1 - \pi f_x \sqrt{\frac{2z}{k}} \Rightarrow dx_1 = \sqrt{\frac{2z}{k}} dU$$

$$\Rightarrow \mathcal{F}_1 \left[ \exp\left(\frac{jkx_1^2}{2z}\right) \right] = \sqrt{\frac{2z}{k}} \exp\left(-j \frac{2\pi^2 f_x^2 z}{k}\right) \int_{-\infty}^{\infty} \exp(U^2) dU$$

$$= \sqrt{\frac{2z}{k}} \exp\left(-j \frac{2\pi^2 f_x^2 z}{k}\right) \sqrt{\frac{\pi}{2}} (j+1)$$

$$= \sqrt{\frac{2\pi z}{k}} \exp\left(-j \frac{2\pi^2 f_x^2 z}{k}\right) \exp\left(\frac{j\pi}{4}\right)$$

$$\Rightarrow \mathcal{F}_1 \left[ \exp\left\{\frac{jk}{2z}(x_1^2 + y_1^2)\right\} \right] = \frac{2\pi z}{k} \exp\left[j \left\{ \frac{2\pi^2 z}{k} (f_x^2 + f_y^2) - \frac{\pi}{2} \right\}\right]$$

$$\mathcal{F}_1 [U(x_1, y_1) \exp\left\{\frac{jk}{2z}(x_1^2 + y_1^2)\right\}] = \frac{A}{2} [\delta(f_x, f_y) + \frac{m}{2} \{ \delta(f_x + f_0, f_y) + \delta(f_x - f_0, f_y) \}]$$

$$\otimes \left[ \frac{2\pi z}{k} \exp\left\{j \left( \frac{\pi}{2} - \frac{2\pi^2 z}{k} (f_x^2 + f_y^2) \right)\right\} \right]$$

$$= \frac{\pi A z}{k} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} [\delta(\epsilon, \eta) + \frac{m}{2} \{ \delta(\epsilon + f_0, \eta) + \delta(\epsilon - f_0, \eta) \}]$$

$$\exp\left[j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{k} \{ (f_x - \epsilon)^2 + (f_y - \eta)^2 \} \right\}\right] d\epsilon d\eta$$

$$= \frac{\pi A z}{k} \left[ \exp j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{k} (f_x^2 + f_y^2) \right\} + \frac{m}{2} \left\{ \exp j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{k} [(f_x + f_0)^2 + f_y^2] \right\} \right. \right.$$

$$\left. + \exp j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{k} [(f_x - f_0)^2 + f_y^2] \right\} \right]$$

$$= \frac{\pi A z}{k} \exp j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{k} f_y^2 \right\} \left[ \exp\left(-j \frac{2\pi^2 z}{k} f_x^2\right) + \frac{m}{2} \left\{ \exp\left\{-j \frac{2\pi^2 z}{k} (f_x + f_0)^2\right\} \right. \right.$$

$$\left. + \exp\left\{-j \frac{2\pi^2 z}{k} (f_x - f_0)^2\right\} \right]$$

$$\begin{aligned}
 & \mathcal{F}_1 \left[ U(x, y) \exp \left\{ \frac{jk}{2z} (x^2 + y^2) \right\} \right]_{\substack{f_y = k y_0 / 2\pi z \\ f_x = k x_0 / 2\pi z}} \\
 &= \frac{\pi A z}{K} \exp j \left\{ \frac{\pi}{2} - \frac{2\pi^2 z}{K} \left( \frac{K y_0}{2\pi z} \right)^2 \right\} \left[ \exp \left\{ -\frac{j 2\pi^2 z}{K} \left( \frac{K x_0}{2\pi z} \right)^2 \right\} \right. \\
 & \quad \left. + \frac{m}{2} \left( \exp \left\{ -\frac{j 2\pi^2 z}{K} \left( \frac{K x_0}{2\pi z} + f_0 \right)^2 \right\} + \exp \left\{ -\frac{j 2\pi^2 z}{K} \left( \frac{K x_0}{2\pi z} - f_0 \right)^2 \right\} \right) \right] \\
 &= \frac{\pi A z}{K} \exp j \left\{ \frac{\pi}{2} - \frac{K y_0^2}{2z} \right\} \exp \left\{ \frac{-j K x_0^2}{2z} \right\} \left[ 1 + \frac{m}{2} \left( \exp \left\{ -\frac{j 2\pi^2 z}{K} \left( \frac{2K x_0 f_0}{2\pi z} + f_0^2 \right) \right\} \right. \right. \\
 & \quad \left. \left. + \exp \left\{ -\frac{j 2\pi^2 z}{K} \left( \frac{2K x_0 f_0}{2\pi z} - f_0^2 \right) \right\} \right) \right] \\
 &= \frac{\pi A z}{K} \exp j \left\{ \frac{\pi}{2} - \frac{K}{2z} (x_0^2 + y_0^2) \right\} \left[ 1 + \frac{m}{2} \exp \left\{ -\frac{j 2\pi^2 z f_0^2}{K} \right\} \right. \\
 & \quad \left. \left\{ \exp(-j 2\pi x_0 f_0) + \exp(j 2\pi x_0 f_0) \right\} \right] \\
 &= \frac{\pi A z}{K} \exp j \left\{ \frac{\pi}{2} - \frac{K}{2z} (x_0^2 + y_0^2) \right\} \left[ 1 + m \exp \left( -\frac{j 2\pi^2 z f_0^2}{K} \right) \cos 2\pi x_0 f_0 \right] \\
 \therefore U(x_0, y_0) &= \frac{K}{j 2\pi z} \exp(j k z) \exp \left\{ \frac{jk}{z} (x_0^2 + y_0^2) \right\} \frac{\pi A z}{K} \exp j \left\{ \frac{\pi}{2} - \frac{K}{2z} (x_0^2 + y_0^2) \right\} \\
 & \quad \left[ 1 + m \exp \left( -\frac{j 2\pi^2 z f_0^2}{K} \right) \cos 2\pi x_0 f_0 \right] \\
 &= \frac{-j A}{2} \exp \left[ j \left( k z + \frac{\pi}{2} \right) \right] \left[ 1 + m \exp \left( -\frac{j 2\pi^2 z f_0^2}{K} \right) \cos 2\pi x_0 f_0 \right]
 \end{aligned}$$

b) A GENERAL EXPRESSION FOR AMPLITUDE MODULATION OF THIS TYPE IN THE TIME DOMAIN (DSB):

$$\begin{aligned}
 S_m(t) &= A [1 + m(t)] \cos \omega_0 t \\
 \Rightarrow |S_m(t)|^2 &= A^2 [1 + m(t)]^2 \cos^2 \omega_0 t \\
 \text{Now } |U(x_0, y_0)|^2 &= \frac{A^2}{4} \left| 1 + m \exp \left( -\frac{j 2\pi^2 z f_0^2}{K} \right) \cos 2\pi x_0 f_0 \right|^2 \\
 &= \frac{A^2}{4} \left| 1 + m \cos \frac{2\pi^2 z f_0^2}{K} \cos 2\pi x_0 f_0 \right. \\
 & \quad \left. - j m \sin \frac{2\pi^2 z f_0^2}{K} \cos 2\pi x_0 f_0 \right|^2 \\
 &= \frac{A^2}{4} \left[ \left( 1 + m \cos \frac{2\pi^2 z f_0^2}{K} \cos 2\pi x_0 f_0 \right)^2 \right. \\
 & \quad \left. + m^2 \sin^2 \left( \frac{2\pi^2 z f_0^2}{K} \right) \cos^2 (2\pi x_0 f_0) \right] \\
 &= \frac{A^2}{4} \left[ 1 + 2m \cos \frac{2\pi^2 z f_0^2}{K} \cos 2\pi x_0 f_0 \right. \\
 & \quad \left. + m^2 \cos^2 (2\pi x_0 f_0) \right]
 \end{aligned}$$

TO MAKE ANALOGY WITH EXPRESSION FOR  $|S_m(t)|^2$ ;

$$\cos \frac{2\pi^2 z f_0^2}{K} = \pm 1$$

$$\Rightarrow \frac{2\pi^2 z f_0^2}{K} = n\pi \quad n = 0, \pm 1, \pm 2, \dots$$

$$\therefore z = \frac{nK}{2\pi f_0^2} = \frac{n}{\lambda f_0^2} \quad \text{FOR PURE AMPLITUDE MODULATION}$$



AND NOW, AN EXERCISE IN HAND-WAVING:

A GENERAL EXPRESSION FOR PHASE MODULATION:

$$\begin{aligned} S_m(t) &= A_p \cos 2\pi [f'_0 t + W_2 m(t)] \\ \Rightarrow |S_m(t)|^2 &= A_p^2 \cos^2 2\pi [f'_0 t + W_2 m(t)] \\ &= \frac{A_p^2}{2} [1 + \cos 4\pi (f'_0 t + W_2 m(t))] \end{aligned}$$

AGAIN;

$$|U(x_0, y_0)|^2 = \frac{A^2}{4} [1 + 2m \cos \frac{2\pi^2 z f_0^2}{k} \cos 2\pi x_0 f_0 + m^2 \cos^2(2\pi f_0 x_0)]$$

THERE EXISTS NO CORRELATION BETWEEN  $m$  AND  $m(t)$ , SINCE THE FORMER DETERMINES AMPLITUDE, AND THE LATTER PHASE.

FOR  $m \ll 1$ ,  $m^2 \ll \ll 1$

$$\begin{aligned} \Rightarrow |U(x_0, y_0)|^2 &\approx \frac{A^2}{4} [1 + 2m \cos \frac{2\pi^2 z f_0^2}{k} \cos 2\pi x_0 f_0] \\ &= \frac{A^2}{4} [1 + m (\cos 2\pi f_0 \{ \frac{\pi z f_0}{k} + x_0 \} \\ &\quad + \cos 2\pi f_0 \{ \frac{\pi z f_0}{k} - x_0 \} )] \\ &= \frac{A^2}{2} [(1 + 2m \cos 2\pi f_0 \{ \frac{\pi z f_0}{k} + x_0 \} ) \\ &\quad + (1 + 2m \cos 2\pi f_0 \{ \frac{\pi z f_0}{k} - x_0 \} )] \end{aligned}$$

THUS,  $\frac{\pi f_0^2}{2k}$  MAY BE LOOKED UPON AS MODULATING  $x_0$  IN THE  $z$  DIRECTION. (WELL, MAYBE).

It's as good a guess as

I could make.



SPECIAL PROBLEM ON ANGULAR SPECTRUM

The transmittance function of an infinite aperture (some aperture!) is

$$t(x, y) = \frac{1}{2} \left[ 1 + \cos\left(\frac{2\pi x}{\lambda_0}\right) \cos\left(\frac{2\pi y}{\lambda_0}\right) \right]$$

The aperture is illuminated by a plane-wave of magnitude  $A$  propagating in the  $z$ -direction (the aperture plane is the  $x$ - $y$  plane,  $z=0$ ).

(a) Show that the field at  $z=0$  has an angular spectrum

$$A(f_x, f_y) = \frac{A}{2} \left\{ \delta(f_x) \delta(f_y) + \frac{1}{4} \left[ \delta\left(f_x - \frac{1}{\lambda_0}\right) \delta\left(f_y - \frac{1}{\lambda_0}\right) + \delta\left(f_x - \frac{1}{\lambda_0}\right) \delta\left(f_y + \frac{1}{\lambda_0}\right) + \delta\left(f_x + \frac{1}{\lambda_0}\right) \delta\left(f_y - \frac{1}{\lambda_0}\right) + \delta\left(f_x + \frac{1}{\lambda_0}\right) \delta\left(f_y + \frac{1}{\lambda_0}\right) \right] \right\}$$

(b) Show that the field at the plane  $z=d$  is given by

$$U(x, y, d) = \frac{A}{2} \left\{ e^{j2\pi \frac{z}{\lambda}} + \frac{1}{2} e^{j2\pi z \left(\frac{1}{\lambda^2} - \frac{2}{\lambda_0^2}\right)^{1/2}} \left[ \cos 2\pi \left(\frac{x+y}{\lambda_0}\right) + \cos 2\pi \left(\frac{x-y}{\lambda_0}\right) \right] \right\}$$

Interpret each of these terms. Consider the cases:

- (1)  $\lambda < \lambda_0/\sqrt{2}$
- (2)  $\lambda > \lambda_0/\sqrt{2}$

a) FOR PLANE WAVE OF MAGNITUDE A @  $z=0$  ( $U_z = A e^{jkz}$ )

$$A(f_x, f_y) = \tilde{\mathcal{F}}^{-1}\{A t(x, y)\}$$

$$= \tilde{\mathcal{F}}^{-1}\left\{\frac{A}{2} \left[1 + \cos\left(\frac{2\pi x}{\lambda_0}\right) \cos\left(\frac{2\pi y}{\lambda_0}\right)\right]\right\}$$

Now;  $\tilde{\mathcal{F}}^{-1}\left\{\cos\left(\frac{2\pi x}{\lambda_0}\right)\right\} = \frac{1}{2}[\delta(f_x - 1/\lambda_0) + \delta(f_x + 1/\lambda_0)]$

$$\Rightarrow A(f_x, f_y) = \frac{A}{2} \left[ \delta(f_x, f_y) + \frac{1}{4} \left\{ \delta(f_x - 1/\lambda_0) + \delta(f_x + 1/\lambda_0) \right\} \cdot \left\{ \delta(f_y - 1/\lambda_0) + \delta(f_y + 1/\lambda_0) \right\} \right]$$

$$= \frac{A}{2} \left[ \delta(f_x) \delta(f_y) + \frac{1}{4} \left\{ \delta(f_x - 1/\lambda_0) \delta(f_y - 1/\lambda_0) + \delta(f_x - 1/\lambda_0) \delta(f_y + 1/\lambda_0) + \delta(f_x + 1/\lambda_0) \delta(f_y - 1/\lambda_0) + \delta(f_x + 1/\lambda_0) \delta(f_y + 1/\lambda_0) \right\} \right]$$

b)  $U(x, y, z) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(f_x, f_y) \exp\left[j 2\pi \left\{ f_x x + f_y y + z \left( \frac{1}{\lambda^2} - f_x^2 - f_y^2 \right)^{\frac{1}{2}} \right\}\right] df_x df_y$

FROM THE DEL SIFTING PROPERTY, AND EXPRESSION FOR  $A(f_x, f_y)$  ABOVE:

$$U(x, y, z) = \frac{A}{2} \left[ \exp\left(\frac{j 2\pi z}{\lambda}\right) + \frac{1}{4} \left\{ \exp\left(j 2\pi \left[ \frac{x+y}{\lambda_0} + z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}} \right]\right) + \exp\left(j 2\pi \left[ \frac{x-y}{\lambda_0} + z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}} \right]\right) + \exp\left(j 2\pi \left[ \frac{-x+y}{\lambda_0} + z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}} \right]\right) + \exp\left(j 2\pi \left[ \frac{-x-y}{\lambda_0} + z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}} \right]\right) \right\} \right]$$

$$= \frac{A}{2} \left[ \exp\left(\frac{j 2\pi z}{\lambda}\right) + \frac{1}{4} \left\{ \exp\left(j 2\pi z \left[ \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right]^{\frac{1}{2}}\right) \left[ \exp\left(\frac{j 2\pi(x+y)}{\lambda_0}\right) + \exp\left(\frac{j 2\pi(x-y)}{\lambda_0}\right) + \exp\left(\frac{j 2\pi(x-y)}{\lambda_0}\right) + \exp\left(\frac{j 2\pi(x+y)}{\lambda_0}\right) \right] \right\} \right]$$

$$= \frac{A}{2} \left[ e^{\frac{j 2\pi z}{\lambda}} + \frac{1}{2} e^{j 2\pi z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}}} \left\{ \cos \frac{2\pi(x+y)}{\lambda_0} + \cos \frac{2\pi(x-y)}{\lambda_0} \right\} \right]$$

FOR  $\lambda \gg \lambda_0/\sqrt{2}$ ,  $U(x, y, z)$  WILL ENTER THE EVANESCENT MODE, (i.e. THE  $e^{j 2\pi z \left( \frac{1}{\lambda^2} - \frac{2}{\lambda_0^2} \right)^{\frac{1}{2}}}$  BECOMES REAL INSTEAD OF COMPLEX), YIELDING AN EXPONENTIAL DECAY IN THE  $z$  DIRECTION OF PART OF THE WAVE. THE LIMIT ( $\lambda \rightarrow \infty$ ) PRODUCES THE MAXIMUM DAMPING:  $e^{-2\sqrt{2}\pi z/\lambda_0}$ . SO ROUGHLY, IF THE DIMENSION OF THE WAVE'S WAVELENGTH IS MUCH GREATER THAN THAT OF THE APERTURE, DAMPING WILL OCCUR, AND FURTHERMORE, AT LARGE

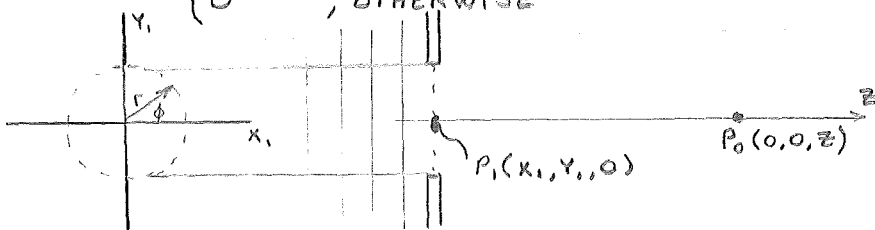
DISTANCES  $Z$  FROM THE APERTURE, THE  $\frac{A}{2} e^{jkz}$  TERM OF  $U(x,y,z)$  WILL DOMINATE. THUS, NO DIFFRACTION, ONLY A CLUMPSE OF  $\frac{1}{2}$  THE INCIDENT WAVE ( $U_i = A e^{jkz}$ ). DAMPING OF THIS SORT IS OF SMALL CONCERN AT OPTICAL FREQUENCIES.

FOR  $\lambda < \lambda_0/\sqrt{2}$ , THE INCIDENT WAVE IS DIVIDED INTO TWO CAMPS, ONE BEING THE FOREMENTIONED REDUCED INCIDENT WAVE ( $\frac{A}{2} e^{jkz}$ ). THE TERM  $\cos \frac{2\pi(x+y)}{\lambda_0} + \cos \frac{2\pi(x-y)}{\lambda_0}$  ( $= 2 \cos \frac{2\pi}{\lambda_0} x \cos \frac{2\pi}{\lambda_0} y$ ) IS A STANDING WAVE IN THE  $X-Y$  PLANE, WITH RECTANGULAR NODES @  $x = (n+1)\lambda_0$ ,  $n = 0, \pm 1, \pm 2, \dots$ ; AND  $y = (m+1)\lambda_0$ ,  $m = 0, \pm 1, \pm 2, \dots$ , AND CHANGING PHASE IN ACCORDANCE TO THE  $e^{j2\pi z(\frac{1}{\lambda_2} - \frac{1}{\lambda_0})}$  TERM.

This is precisely why you can't see diffraction here. No a wave of  $\lambda$  even at optical frequencies.

$$4-4) a) t(x_1, y_1) = \text{circ} \sqrt{x_1^2 + y_1^2}$$

$$= \begin{cases} 1 & ; \sqrt{x_1^2 + y_1^2} = r \leq 1 \\ 0 & ; \text{OTHERWISE} \end{cases}$$



FRESNEL APPROXIMATION: ( $P_0 \ll z$ )

$$U(P_0) = \frac{1}{j\lambda z} e^{jk[z + \frac{1}{2z}(x_0^2 + y_0^2)]} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) e^{j[k\frac{1}{2z}(x_1^2 + y_1^2) - \frac{2\pi}{\lambda z}(x_0 x_1 + y_0 y_1)]} dx_1 dy_1$$

ON APERTURE AXIS,  $x_0 = y_0 = 0$

$$\Rightarrow U(P_0) = \frac{1}{j\lambda z} e^{jkz} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) e^{j\frac{k}{2z}(x_1^2 + y_1^2)} dx_1 dy_1$$

$$\Rightarrow U(P_0) = \frac{1}{j\lambda z} e^{jkz} \int_{\text{CIRCLE}} e^{j\frac{k}{2z}(x_1^2 + y_1^2)} \text{circ} \sqrt{x_1^2 + y_1^2} dx_1 dy_1$$

IN CYLINDRICAL COORDINATES:  $\text{circ} \sqrt{x_1^2 + y_1^2} = 1$ ;  $r_1^2 = x_1^2 + y_1^2$ ;  $dx_1 dy_1 = r dr d\phi$

$$\begin{aligned} \Rightarrow U(P_0) &= \frac{1}{j\lambda z} e^{jkz} \int_{\phi=0}^{2\pi} \int_{r=0}^1 e^{j\frac{k}{2z}r^2} r dr d\phi \\ &= \frac{1}{j\lambda z} e^{jkz} \int_{\phi=0}^{2\pi} \frac{z}{jk} e^{j\frac{k}{2z}r^2} \Big|_0^1 d\phi \\ &= \frac{1}{\lambda k} e^{jkz} (2\pi \{e^{jk/2z} - 1\}) \Rightarrow k = \frac{2\pi}{\lambda} \\ &= e^{jk(z + \frac{1}{2z})} + e^{jkz} \end{aligned}$$

$$\begin{aligned} I(P_0) &= [U U^*] \\ &= [e^{jk(z + \frac{1}{2z})} + e^{jkz}] [-e^{-jk(z + \frac{1}{2z})} + e^{-jkz}] \\ &= [2 - e^{-jkz + jk(z + \frac{1}{2z})} - e^{jkz - jk(z + \frac{1}{2z})}] \\ &= 2[1 - \cos \frac{k}{2z}] \quad (\text{COSINE ARGUMENT IS DIMENSIONLESS BECAUSE } k \text{ AND } z \text{ ARE NORMALIZED TO } r \text{ WHICH WAS EQUATED TO UNITY}) \end{aligned}$$

$$b) t(x, y) = 1 \quad a \leq \sqrt{x^2 + y^2} \leq 1 \quad \text{OR} \quad a \leq r \leq 1$$

$$= 0 \quad \text{OTHERWISE}$$

FROM (a)

$$U(P_0) = \frac{1}{j\lambda z} e^{jkz} \int_{\phi=0}^{2\pi} \int_{r=a}^1 e^{jk r^2 / 2z} r_1 dr_1 d\phi$$

$$= \frac{1}{j\lambda z} e^{jkz} \int_0^{2\pi} \frac{z}{j k} [e^{jk/2z} - e^{jka^2/2z}] d\phi$$

$$= -e^{jkz} [e^{jk/2z} - e^{jka^2/2z}]$$

$$= -e^{jk(z+1/2z)} + e^{jk(z+\frac{a^2}{2z})}$$

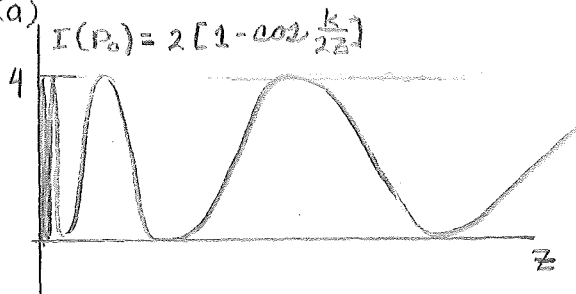
$$I(P_0) = U U^*$$

$$= (-e^{jk(z+1/2z)} + e^{jk(z+\frac{a^2}{2z})}) (-e^{-jk(z+\frac{1}{2z})} + e^{-jk(z+\frac{a^2}{2z})})$$

$$= 2 - e^{jk(\frac{1}{2z} - \frac{a^2}{2z})} - e^{-jk(\frac{1}{2z} - \frac{a^2}{2z})}$$

$$= 2 [1 - \cos \frac{k}{2z} (1 - a^2)]$$

CASE (a)



$$\text{MAXIMA @ } z = \frac{k}{2(2n+1)\pi}$$

$$\text{MINIMA @ } z = \frac{k}{4n\pi}$$

$$n = 0, 1, 2, \dots$$

CASE (b) (SAME GENERAL GRAPH)

$$\text{MAXIMA @ } z = \frac{k(1-a^2)}{2(2m+1)\pi}$$

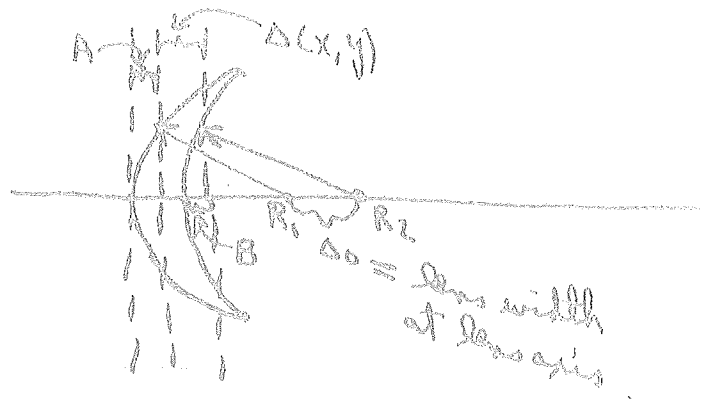
$$\text{MINIMA @ } z = \frac{k(1-a^2)}{4m\pi}$$

THE SAME GENERAL INTENSITY PATTERN OCCURS IN BOTH CASES, A  $\sin \frac{1}{z}$  CURVE. INCREASING  $z$  DECREASES  $\delta x / \delta z$ , WHILE BETWEEN 0 AND  $\Delta z$ , THE INTENSITY CHANGES AN INFINITE NUMBER OF TIMES, FROM MAXIMUM TO MINIMUM. THE INTRODUCTION OF  $a$  DECREASED THE RESPECTIVE SPACINGS BETWEEN MAXIMA AND MINIMA

(ie  $I(P_0)_a|_{n+1} + I(P_0)_a|_n > I(P_0)_b|_{m+1} + I(P_0)_b|_m$ ), AND FURTHER INCREASING  $a$  WOULD PRODUCE SMALLER SPACINGS, THE LIMIT BEING  $a \rightarrow 1$ , IN WHICH CASE THE INTENSITY PATTERN WOULD BUNCH CLOSER & CLOSER TO THE ORIGIN



(5-1) Biconvex meniscus lens



$$A = R_1 - \sqrt{R_1^2 - x^2 - y^2}$$

$$B = R_2 - \sqrt{R_2^2 - x^2 - y^2}$$

$$\Delta(x, y) = \Delta_0 - A + B$$

$$= \Delta_0 - (R_1 - \sqrt{R_1^2 - x^2 - y^2}) + (R_2 - \sqrt{R_2^2 - x^2 - y^2})$$

$$= \Delta_0 - \left( R_1 \left[ 1 - \sqrt{1 - \frac{x^2 + y^2}{R_1^2}} \right] \right) + R_2 \left( 1 - \sqrt{1 - \frac{x^2 + y^2}{R_2^2}} \right)$$

$$\approx \Delta_0 - \left( R_1 \left[ 1 - \left( 1 - \frac{x^2 + y^2}{2R_1^2} \right) \right] \right) + R_2 \left( 1 - \left( 1 - \frac{x^2 + y^2}{2R_2^2} \right) \right)$$

$$= \Delta_0 - R_1 \frac{x^2 + y^2}{2R_1^2} + R_2 \frac{x^2 + y^2}{2R_2^2} = \Delta_0 - \frac{x^2 + y^2}{2} \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$$

$$t_2(x, y) = \exp(i k n \Delta_0) \exp \left[ -i k (n-1) \frac{x^2 + y^2}{2} \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \right]$$

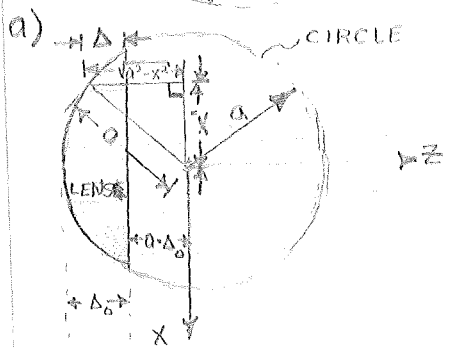
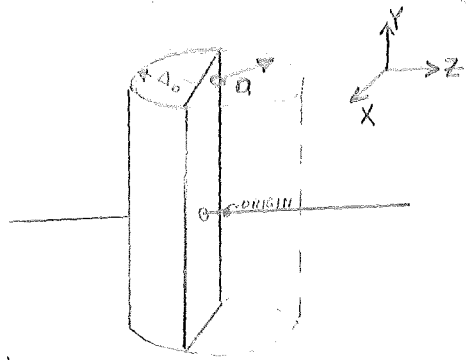
$$= \exp(i k n \Delta_0) \exp \left( -\frac{i k}{2f} (x^2 + y^2) \right)$$

where  $\frac{1}{f} \triangleq (n-1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$  and  $f$  is positive

since  $R_1 < R_2$ .

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5-2)



FROM GEOMETRY:

$$\Delta(x, y) = \begin{cases} \sqrt{a^2 - x^2} - (a - \Delta_0) & ; |x| \leq \sqrt{a^2 - (a - \Delta_0)^2} \\ 0 & ; \text{OTHERWISE} \end{cases}$$

$$= \Delta_0 - a + \sqrt{a^2 - x^2} \quad ; \text{(LIMITS UNDERSTOOD)}$$

$$= \Delta_0 - a \left( 1 - \sqrt{1 - \left(\frac{x}{a}\right)^2} \right)$$

PARAXIAL APPROXIMATION (IN ONE DIMENSION):

$$\sqrt{1 - \left(\frac{x}{a}\right)^2} \approx 1 - \frac{x^2}{2a^2} \quad \text{FOR SMALL } \left(\frac{x}{a}\right)$$

THUS:  $U(x, y) \approx \Delta_0 - a \left[ 1 - \left( 1 - \frac{x^2}{2a^2} \right) \right]$

$$= \Delta_0 - \frac{x^2}{2a}$$

NOW:  $t_e(x, y) = \exp(jk\Delta_0) \exp[jk(n-1)\Delta(x, y)]$

$$= \exp(jk\Delta_0) \exp[jk(n-1)\left(\Delta_0 - \frac{x^2}{2a}\right)]$$

ALSO:  $f \approx \frac{a}{n-1}$

$$\Rightarrow t_e(x, y) = \exp(jk\Delta_0) \exp[jk\left\{ (n-1)\Delta_0 - \frac{x^2}{2f} \right\}]$$

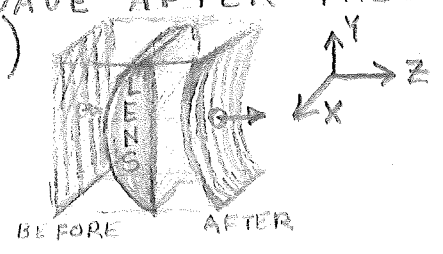
$$= \exp(jnk\Delta_0) \exp\left(\frac{-jkx^2}{2f}\right)$$

b) THE  $\exp(jnk\Delta_0)$  TERM IS SIMPLY A CONSTANT PHASE DELAY, WHILE THE  $\exp\left(\frac{-jkx^2}{2f}\right)$  TERM MAY BE CONSIDERED A QUADRATIC APPROXIMATION OF A CYLINDRICAL WAVE  $\left(\frac{1}{x} \exp(jkx)\right)$ . WITH AN INCIDENT PLANE WAVE  $(Ae^{jkz})$ , THE WAVE BECOMES A CYLINDRICAL WAVE AFTER PASSING THRU THE LENS (@  $z=0$ )

$$U_e(x, y) = t(x, y) U(x, y)$$

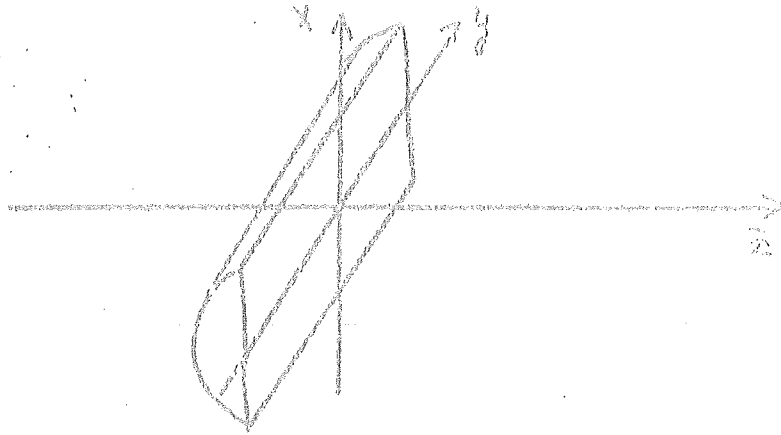
$$\approx Ae^{jnk\Delta_0} e^{-jkx^2/2f}$$

(SMALL  $\frac{x}{a}$ )

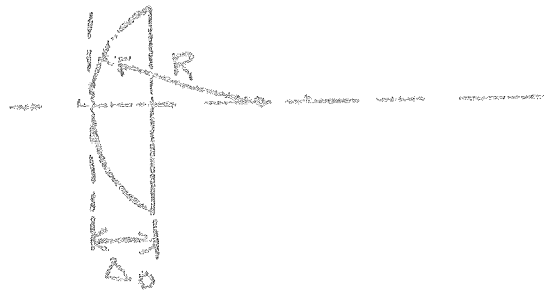




Solution to Prob. 5.2



cross-section:



$$\begin{aligned}
 \Delta(x, y) &= \Delta_0 - (R - \sqrt{R^2 - x^2}) = \Delta_0 - R + \sqrt{R^2 - x^2} \quad \text{independent of } y \\
 &= \Delta_0 - R \left(1 - \sqrt{1 - \frac{x^2}{R^2}}\right) \\
 &\approx \Delta_0 - R \left[1 - \frac{x^2}{2R^2}\right] = \Delta_0 - \frac{x^2}{2R}
 \end{aligned}$$

$$t_2(x, y) = \exp[jk\Delta_0] \exp\left[jk(m-1)\left(\Delta_0 - \frac{x^2}{2R}\right)\right]$$

$$= \exp[jkm\Delta_0] \exp\left[-jk(m-1)\frac{x^2}{2R}\right]$$

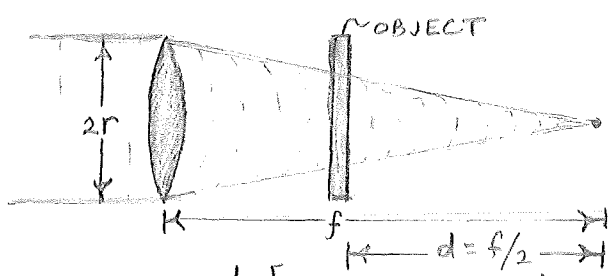
$$= \exp[jkm\Delta_0] \exp\left[-j\frac{k}{2f}x^2\right] \quad \text{where } f \triangleq \frac{R}{(m-1)}$$

since  $R_2 = \infty$

(b) An incident plane wave is transformed to a quadratic approximation to a cylindrical wave which is focused to a line at  $f$  parallel to the  $y$ -axis.

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5-8)



$$t_o(x_o, y_o) = \frac{1}{2} [1 + \cos(2\pi f_o x_o)] \text{rect}\left(\frac{x_o}{L}\right) \text{rect}\left(\frac{y_o}{L}\right)$$

AND:

$$U_f(x_f, y_f) = \frac{A f}{d} \frac{1}{j \lambda d} \exp\left[\frac{j k}{2d} (x_f^2 + y_f^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} t_o(x_o, y_o) P\left(\frac{x_o f}{d}, \frac{y_o f}{d}\right) \exp\left[-\frac{j 2\pi}{\lambda d} (x_o x_f + y_o y_f)\right] dx_o dy_o$$

FOR A CIRCULAR LENS

$$P(x_o, y_o) = \text{circ}\left[\frac{r}{f} \sqrt{x_o^2 + y_o^2}\right]$$

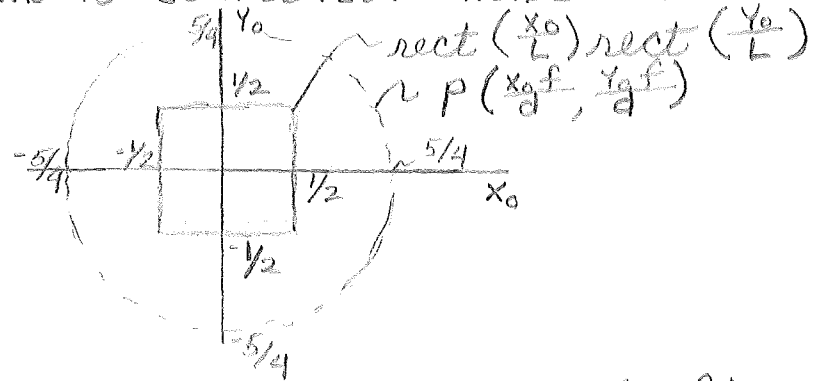
$$\Rightarrow P\left(\frac{x_o f}{d}, \frac{y_o f}{d}\right) = \text{circ}\left[\frac{r}{d} \sqrt{x_o^2 + y_o^2}\right]$$

NOW  $f = 200 \text{ cm}$ ,  $d = 100 \text{ cm}$ , AND  $r = \frac{5}{2} \text{ cm}$

$$\Rightarrow P\left(\frac{x_o f}{d}, \frac{y_o f}{d}\right) = P(2x_o, 2y_o) = \text{circ}\left[\frac{4}{5} \sqrt{x_o^2 + y_o^2}\right]$$

THE PUPIL FUNCTION ON THE OBJECT PLANE IS THUS A CIRCLE OF RADIUS  $\frac{5}{4} \text{ cm}$ , AN OBVIOUS CONCLUSION, IN THAT THE DIAMETER OF THE DIVERGING RAY IS ONE HALF OF THE ORIGINAL PUPIL FUNCTION @  $f/2$ .

THE  $\text{rect}\left(\frac{x_o}{L}\right) \text{rect}\left(\frac{y_o}{L}\right)$  TERM IN  $t_o(x, y)$  DEFINES A UNIT SQUARE CENTERED AT THE ORIGIN ( $L=1$ ), AND IS COMPLETELY INSIDE THE SCALED PUPIL FUNCTION;



$$\text{THUS: } \text{rect}\left(\frac{x_o}{L}\right) \text{rect}\left(\frac{y_o}{L}\right) P\left(\frac{x_o f}{d}, \frac{y_o f}{d}\right) = \text{rect}\left(\frac{x_o}{L}\right) \text{rect}\left(\frac{y_o}{L}\right)$$

$$\Rightarrow U_f(x_f, y_f) = \frac{Af}{j\lambda d^2} \exp\left[\frac{jk}{2d}(x_f^2 + y_f^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} t_o(x_o, y_o) \exp\left[-\frac{j2\pi}{\lambda d}(x_o x_f + y_o y_f)\right] dx_o dy_o$$

$$= \frac{Af}{j\lambda d^2} \exp\left[\frac{jk}{2d}(x_f^2 + y_f^2)\right] \mathcal{F}_t\{t_o(x_o, y_o)\} \Big|_{\substack{f_y = y_f/\lambda d \\ f_x = x_f/\lambda d}}$$

$\mathcal{F}_t\{t_o(x_o, y_o)\} = \frac{1}{2} \mathcal{F}_x\left[(1 + \cos 2\pi f_o x_o) \text{rect}\left(\frac{x_o}{L}\right)\right] \mathcal{F}_y\left[\text{rect}\left(\frac{y_o}{L}\right)\right]$  (SEPARABLE FUNCTIONS)

$$\mathcal{F}_x\left[(1 + \cos 2\pi f_o x_o) \text{rect}\left(\frac{x_o}{L}\right)\right] = \mathcal{F}_x\{1 + \cos 2\pi f_o x_o\} * \mathcal{F}_x\left\{\text{rect}\left(\frac{x_o}{L}\right)\right\}$$

$$= [\delta(f_x) + \frac{1}{2}\delta(f_x - f_o) + \frac{1}{2}\delta(f_x + f_o)] * L \text{sinc}(f_x L)$$

$$= L \int_{-\infty}^{\infty} [\delta(\epsilon) + \frac{1}{2}\delta(\epsilon - f_o) + \frac{1}{2}\delta(\epsilon + f_o)] \text{sinc}[(\epsilon - f_x)L] d\epsilon$$

$$= L [\text{sinc}(-Lf_x) + \frac{1}{2}\text{sinc}[(f_o - f_x)L] + \frac{1}{2}\text{sinc}[-(f_o + f_x)L]]$$

$$= L [\text{sinc}(Lf_x) + \frac{1}{2}\text{sinc}[(f_x - f_o)L] + \frac{1}{2}\text{sinc}[(f_o + f_x)L]]$$

BECAUSE  $\text{sinc}(\psi) = \text{sinc}(-\psi)$

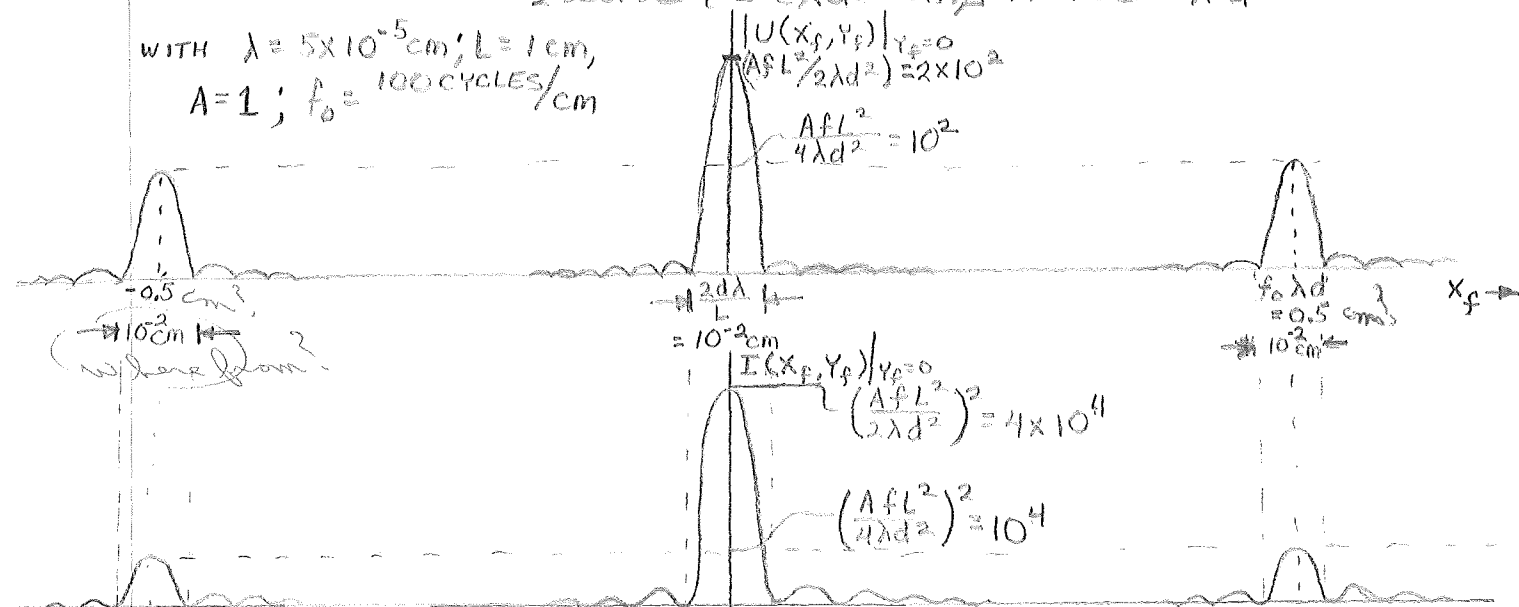
HENCE:

$$U_f(x_f, y_f) = \frac{AFL^2}{j2\lambda d^2} \exp\left[\frac{jk}{2d}(x_f^2 + y_f^2)\right] \left[ \text{sinc}\left(\frac{Lx_f}{\lambda d}\right) + \frac{1}{2}\text{sinc}\left(L\left\{\frac{x_f}{\lambda d} - f_o\right\}\right) + \frac{1}{2}\text{sinc}\left(L\left\{\frac{x_f}{\lambda d} + f_o\right\}\right) \right] \text{sinc}\left(\frac{Ly_f}{\lambda d}\right)$$

$$I(x_f, y_f) = |U(x_f, y_f)|^2$$

$$= \left(\frac{AFL^2}{2\lambda d^2}\right)^2 \left[ \text{sinc}\left(\frac{Lx_f}{\lambda d}\right) + \frac{1}{2}\text{sinc}\left\{L\left(\frac{x_f}{\lambda d} - f_o\right)\right\} + \frac{1}{2}\text{sinc}\left\{L\left(\frac{x_f}{\lambda d} + f_o\right)\right\} \right]^2 \text{sinc}^2\left(\frac{Ly_f}{\lambda d}\right)$$

WITH  $\lambda = 5 \times 10^{-5} \text{ cm}$ ;  $L = 1 \text{ cm}$ ,  
 $A = 1$ ;  $f_o = 100 \text{ CYCLES/cm}$



DUE TO THE PULSES NEAR ORTHOGONALITY  $y_f$   
 $I(x_f, y_f)|_{y_f=0} = \left(\frac{AFL^2}{2\lambda d^2}\right)^2 \left[ \text{sinc}^2\left(\frac{Lx_f}{\lambda d}\right) + \frac{1}{4}\text{sinc}^2\left\{L\left(\frac{x_f}{\lambda d} - f_o\right)\right\} + \frac{1}{4}\text{sinc}^2\left\{L\left(\frac{x_f}{\lambda d} + f_o\right)\right\} \right]$   
 THIS DIFFRACTION PROCESS IS ANALAGOUS TO AM  
 HETRODYNING OF BASEBAND SIGNAL  $\text{sinc}(Lx_f/\lambda d)$   
 TO CARRIER FREQUENCY  $x_{fc} (= f_o \lambda d)$ , THE SIGNAL  
 THUS BEING THE "FRAME" OF  $t(x_o, y_o)$ .



$$t(x_0, y_0) = \frac{1}{2} (1 + \cos 2\pi f_x x_0)$$

and  $f_0 = 10^4 \frac{\text{cycles}}{\text{cm}} = 10^4 \frac{\text{cycles}}{\text{m}}$

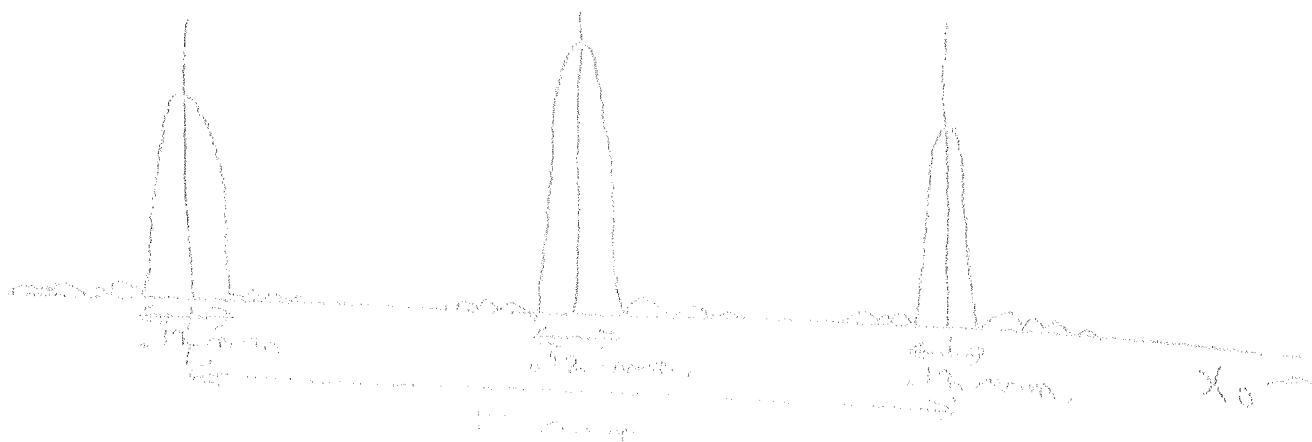
$$F\{t(x_0, y_0)\} = \left[ \frac{1}{2} \delta(f_x, f_y) + \frac{1}{4} \delta(f_x + 10^4, f_y) + \frac{1}{4} \delta(f_x - 10^4, f_y) \right] \\ * \text{sinc}(L f_x) \text{sinc}(L f_y)$$

The frequency shift  $f_x' = 10^4 \frac{\text{cycles}}{\text{m}} = \frac{\lambda_0'}{\lambda d}$ ,  $\delta \lambda = 6 \times 10^{-7} \text{ m}$ .

$\therefore$  The sidebands are shifted by  $x_0' = f_x' \lambda d = 10^4 \times 6 \times 10^{-7} \times 1 = 6 \text{ mm}$ .

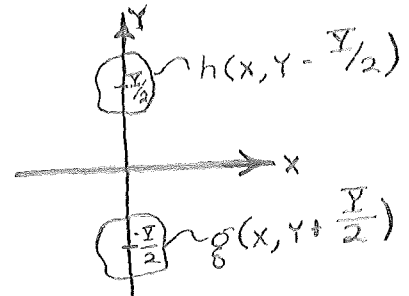
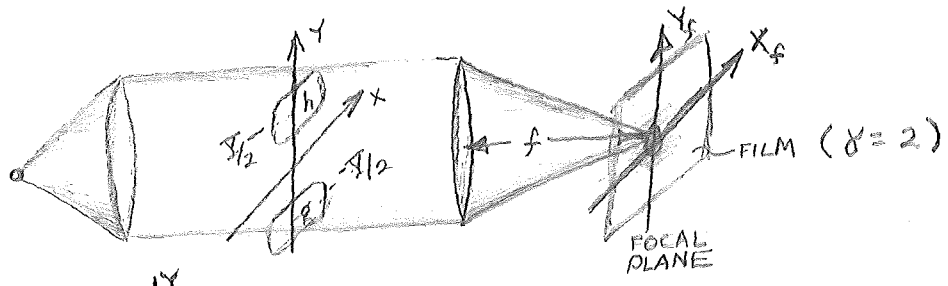
Also,  $\text{sinc}(L f_x) = \frac{\sin\left(\frac{\pi L x_0''}{\lambda d}\right)}{\frac{\pi L x_0''}{\lambda d}}$ ; the 1st zeros occur

when  $\frac{\pi L x_0''}{\lambda d} = \pi$ ,  $\therefore x_0'' = \frac{6 \times 10^{-7} \times 1}{10^{-2}} = 0.06 \text{ mm}$ .



13  
15

7-9)



IN THE FOCAL PLANE:  $U_f(x_f, y_f) = \frac{1}{j\lambda f} \tilde{F}_1 \left\{ t(x, y) \right\} \Big|_{\substack{f_y = y_f/\lambda f \\ f_x = x_f/\lambda f}}$  (UNIT AMPLITUDE PLANE WAVE)

WHERE  $t(x, y) = h(x, y - \frac{Y}{2}) + g(x, y + \frac{Y}{2})$

$\Rightarrow U_f(x_f, y_f) = \frac{1}{j\lambda f} \tilde{F}_1 \left\{ h(x, y - \frac{Y}{2}) + g(x, y + \frac{Y}{2}) \right\} \Big|_{\substack{f_y = y_f/\lambda f \\ f_x = x_f/\lambda f}}$

FROM THE SHIFT THEM.:  $\left\{ \tilde{F}_1 \left\{ g(x - a) \right\} = G(f_x) e^{-j2\pi f_x a} \right\}$  AND LIN. THEM.

$$U_f(x_f, y_f) = \frac{1}{j\lambda f} \left[ H(f_x, f_y) e^{-j\pi f_y Y} + G(f_x, f_y) e^{j\pi f_y Y} \right] \Big|_{\substack{f_y = y_f/\lambda f \\ f_x = x_f/\lambda f}}$$

ASSUMING POSITIVE TRANSPARENCY, THE RECORDED AMPLITUDE TRANSMITTANCE IS EXPRESSED AS:

$$\begin{aligned} t_p(x_f, y_f) &= k_p |U_f(x_f, y_f)|^2 \\ &= k_p |U_f(x_f, y_f)|^2 \\ &= k_p U_f(x_f, y_f) U_f^*(x_f, y_f) \end{aligned}$$

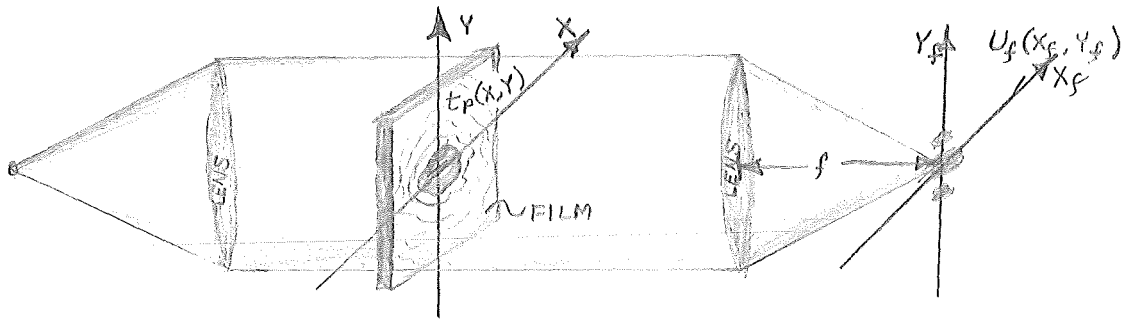
NOTING:  $ab=c \Rightarrow a^*b^*=c^*$  AND  $a+b=c \Rightarrow a^*+b^*=c^*$

$$\begin{aligned} t_p &= \frac{k_p}{(\lambda f)^2} \left[ H(f_x, f_y) e^{j\pi f_y Y} + G(f_x, f_y) e^{j\pi f_y Y} \right] \left[ H^*(f_x, f_y) e^{-j\pi f_y Y} + G^*(f_x, f_y) e^{-j\pi f_y Y} \right] \\ &= \frac{k_p}{(\lambda f)^2} \left[ |H(f_x, f_y)|^2 + |G(f_x, f_y)|^2 + H(f_x, f_y) G^*(f_x, f_y) e^{-j2\pi f_y Y} + H^*(f_x, f_y) G(f_x, f_y) e^{j2\pi f_y Y} \right] \end{aligned}$$

$t_p$  IS PURE REAL, DESPITE THE APPARENT PHASE FACTORS,

IN THAT  $GG^*$  IS ALWAYS PURE REAL.

AGAIN:  $f_x = x_f/\lambda f$  AND  $f_y = y_f/\lambda f$ .



$$t_p = \frac{K_p}{(\lambda f)^2} \left[ |H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f})|^2 + |G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f})|^2 + H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) G^*(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{-\frac{j2\pi xy}{\lambda f}} + H^*(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{\frac{j2\pi xy}{\lambda f}} \right]$$

AS BEFORE:  $U_f(x_f, y_f) = \frac{1}{j\lambda f} \mathcal{F}\{t_p(x, y)\} \Big|_{f_x = x_f/\lambda f, f_y = y_f/\lambda f}$

$$U_f(x_f, y_f) = \frac{1}{j\lambda f} \frac{K_p}{(\lambda f)^2} \left[ \mathcal{F}\{ |H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f})|^2 \} + \mathcal{F}\{ |G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f})|^2 \} + \mathcal{F}\{ H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{-\frac{j\pi xy}{\lambda f}} G^*(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{-\frac{j\pi xy}{\lambda f}} \} + \mathcal{F}\{ H^*(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{\frac{j\pi xy}{\lambda f}} G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{\frac{j\pi xy}{\lambda f}} \} \right]$$

NOW, BOTH  $h(x, y)$  AND  $g(x, y)$  ARE PURE REAL, THUS THEIR TRANSFORMS  $\Lambda$  AND THE TRANSFORMS <sup>CONJUGATES</sup> <sup>magnitudes</sup> ARE EVEN FUNCTIONS ( $|H(\frac{x}{\lambda f}, \frac{y}{\lambda f})| = |H(\frac{-x}{\lambda f}, \frac{-y}{\lambda f})|$ , etc.)

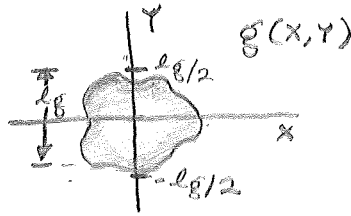
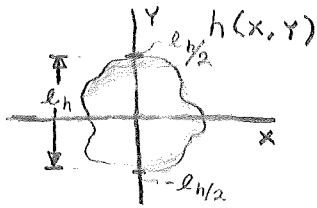
NOTE ALSO  $a(x, y) * b^*(-x, -y) = a(x, y) * b(x, y)$ .

THE FACTORS  $e^{\pm \frac{j\pi xy}{\lambda f}}$  MERELY REPRESENT SHIFTS OF  $\frac{y}{\lambda f}$  IN THE TRANSFORM PLANE.   
 *the phase portions of the transforms are odd functions.*

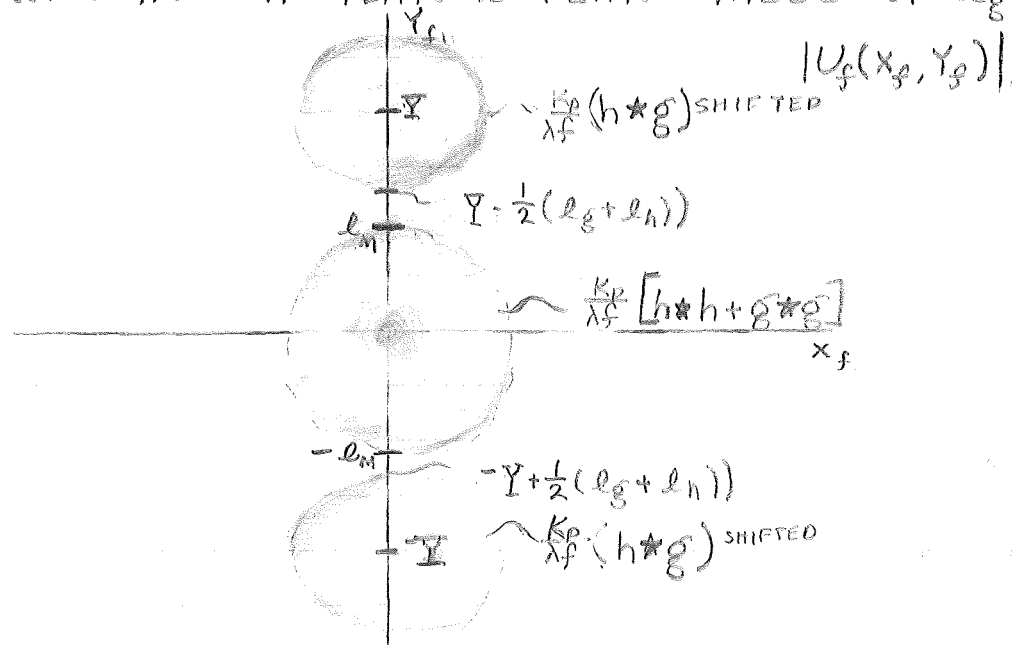
$$\begin{aligned} \Rightarrow U(x_f, y_f) &= \frac{1}{j\lambda f} \frac{K_p}{(\lambda f)^2} \left[ \mathcal{F}\{ H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) H^*(\frac{+x_f}{\lambda f}, \frac{+y_f}{\lambda f}) \} + \mathcal{F}\{ G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) G^*(\frac{+x_f}{\lambda f}, \frac{+y_f}{\lambda f}) \} \right. \\ &\quad + \mathcal{F}\{ H(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{-\frac{j\pi xy}{\lambda f}} G^*(\frac{+x_f}{\lambda f}, \frac{+y_f}{\lambda f}) e^{-\frac{j\pi xy}{\lambda f}} \} \\ &\quad \left. + \mathcal{F}\{ G(\frac{x_f}{\lambda f}, \frac{y_f}{\lambda f}) e^{\frac{j\pi xy}{\lambda f}} H^*(\frac{+x_f}{\lambda f}, \frac{+y_f}{\lambda f}) e^{\frac{j\pi xy}{\lambda f}} \} \right] \\ &= \frac{1}{j\lambda f} \frac{K_p}{(\lambda f)^2} \left[ (\lambda f)^2 h(\lambda f f_x, \lambda f f_y) * h^*(\lambda f f_x, \lambda f f_y) \right. \\ &\quad + (\lambda f)^2 g(\lambda f f_x, \lambda f f_y) * g^*(\lambda f f_x, \lambda f f_y) \\ &\quad + (\lambda f)^2 h(\lambda f f_x, \lambda f \{f_y - \frac{y_f}{2\lambda f}\}) * g(\lambda f f_x, \lambda f \{f_y - \frac{y_f}{2\lambda f}\}) \\ &\quad \left. + (\lambda f)^2 g(\lambda f f_x, \lambda f \{f_y + \frac{y_f}{2\lambda f}\}) * h(\lambda f f_x, \lambda f \{f_y + \frac{y_f}{2\lambda f}\}) \right] \end{aligned}$$

Now  $f_x = \frac{x_f}{\lambda f}$ ;  $f_y = \frac{y_f}{\lambda f}$

$$\begin{aligned} \Rightarrow U(x_f, y_f) &= \frac{K_p}{j\lambda f} \left[ h(x_f, y_f) * h(x_f, y_f) + g(x_f, y_f) * g(x_f, y_f) \right. \\ &\quad + h(x_f, y_f - \frac{y_f}{2}) * g(x_f, y_f - \frac{y_f}{2}) \\ &\quad \left. + h(x_f, y_f + \frac{y_f}{2}) * g(x_f, y_f + \frac{y_f}{2}) \right] \end{aligned}$$



AS SHOWN,  $h(x, y)$  HAS A "PEAK TO PEAK" PUPIL DIAMETER OF  $l_h$  IN THE Y DIRECTION, AND  $g(x, y)$  A SIMILAR VALUE OF  $l_g$ . THE Y PUPIL OF  $g * g$  WILL THUS HAVE A VALUE OF  $2l_g$ , AND  $h * h$  OF  $2l_h$ .  $h * g$  WILL HAVE A "PEAK TO PEAK" VALUE OF  $l_g + l_h$ .



$$l_m = \begin{cases} l_g & \text{IF } l_g \geq l_h \\ l_h & \text{IF } l_g < l_h \end{cases} \quad \text{ie } l_m = \text{MAXIMUM}(l_g, l_h)$$

THE CROSS-CORRELATION  $(\frac{K_p}{\lambda_f} g * h)$  CAN BE SEPARATED FROM THE OTHER COMPONENTS IF THERE IS NO OVERLAP.

$$\Rightarrow Y - \frac{1}{2}(l_g + l_h) \geq l_m$$

$$\therefore Y \geq l_m + \frac{1}{2}(l_g + l_h)$$

## Prob. 7-9 Solution

The total amplitude in the focal plane, from the superposition theorem, is the sum of the Fourier transform of  $g$  and  $h$  shifted.

$$\mathcal{F}\left\{g\left(x, y - \frac{t}{2}\right)\right\} = \frac{1}{\lambda F} G\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right) \exp\left(-i \frac{t}{2} \frac{y}{\lambda F}\right)$$

$$\mathcal{F}\left\{h\left(x, y + \frac{t}{2}\right)\right\} = \frac{1}{\lambda F} H\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right) \exp\left(i \frac{t}{2} \frac{y}{\lambda F}\right)$$

$$d(x_F, y_F) = \frac{1}{\lambda F} G(x_F, y_F) \exp\left(-i \frac{t}{2} \frac{y_F}{\lambda F}\right) + \frac{1}{\lambda F} H(x_F, y_F) \exp\left(i \frac{t}{2} \frac{y_F}{\lambda F}\right)$$

$$= \left[ \frac{1}{\lambda F} G(x_F, y_F) \exp\left(-i \frac{t}{2} \frac{y_F}{\lambda F}\right) + \frac{1}{\lambda F} H(x_F, y_F) \exp\left(i \frac{t}{2} \frac{y_F}{\lambda F}\right) \right]$$

$$\left[ \frac{1}{\lambda F} G^*(x_F, y_F) \exp\left(i \frac{t}{2} \frac{y_F}{\lambda F}\right) + \frac{1}{\lambda F} H^*(x_F, y_F) \exp\left(-i \frac{t}{2} \frac{y_F}{\lambda F}\right) \right]$$

$$d(x_F, y_F) = \frac{1}{\lambda^2 F^2} \left[ |G(x_F, y_F)|^2 + |H(x_F, y_F)|^2 \right]$$

$$+ G(x_F, y_F) H^*(x_F, y_F) \exp\left(-i t \frac{y_F}{\lambda F}\right)$$

$u, = G$

$$+ H(x_F, y_F) G^*(x_F, y_F) \exp\left(i t \frac{y_F}{\lambda F}\right)$$

The amplitude transmittance of the exposed film is proportional to the intensity  $d(x_F, y_F)$  since  $\nu = 2$

$$t_p = k_p d \frac{r_0}{t} = k_p d$$

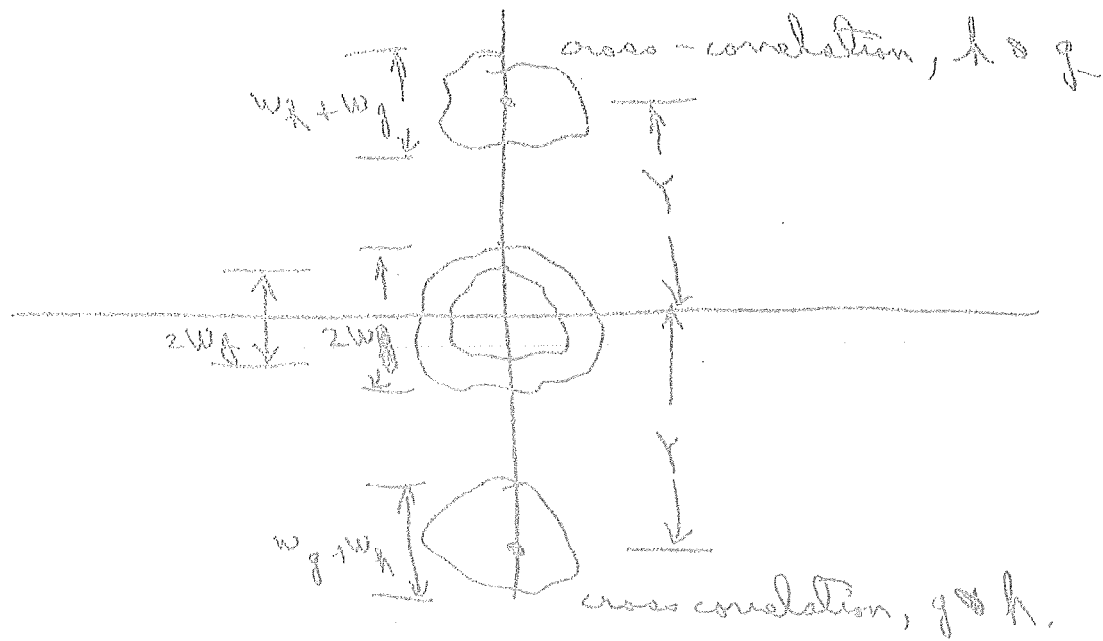


Then the input to the system during the second phase is  $t(x, y) = h_p d(x, y)$  as given previously. Then the amplitude in the focal plane is:

$$\begin{aligned}
 u(x_f, y_f) &= \frac{h_p}{(\lambda f)^2} \left[ \mathcal{F}\{|G|^2\} + \mathcal{F}\left\{G H^* \exp\left(-i\gamma \frac{y}{\lambda f}\right)\right\} \right. \\
 &\quad \left. + \mathcal{F}\left\{H G^* \exp\left(i\gamma \frac{y}{\lambda f}\right)\right\} + \mathcal{F}\{|H|^2\} \right] \\
 &= \frac{h_p}{(\lambda f)^2} \left[ g(x, y) * g^*(-x, -y) + g(x, y) * h^*(-x, -y) * \delta(x, y - \gamma) \right. \\
 &\quad \left. + h(x, y) * g^*(-x, -y) * \delta(x, y + \gamma) + h(x, y) * h^*(-x, -y) \right]
 \end{aligned}$$

Let  $w_h$  and  $w_g$  be the max widths of  $h$  and  $g$ , respectively. Then

- $\frac{h_p}{(\lambda f)^2} g(x, y) * g^*(-x, -y) \rightarrow 2w_g$  width
- $\frac{h_p}{(\lambda f)^2} g(x, y) * h^*(-x, -y) * \delta(x, y - \gamma) \rightarrow w_g + w_h$
- $\frac{h_p}{(\lambda f)^2} g^*(-x, -y) * h(x, y) * \delta(x, y + \gamma) \rightarrow w_g + w_h$
- $h(x, y) * h^*(-x, -y) \frac{h_p}{(\lambda f)^2} \rightarrow 2w_h$



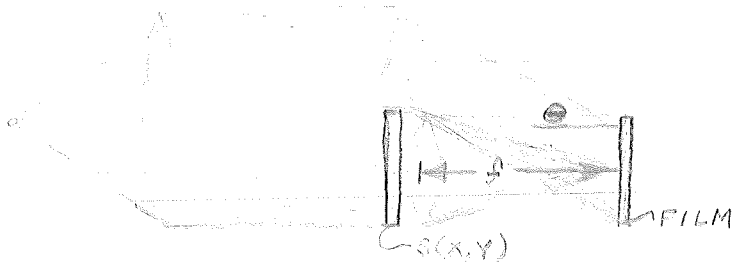
Assuming  $w_h > w_g$   
 complete separation of the cross correlation  
 components will be realized if:

$$Y > w_h + \frac{w_h + w_g}{2}$$

or  $Y > \frac{3w_h}{2} + \frac{w_g}{2}$  when  $w_h > w_g$

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7-13)



FOR AN OBJECT PLACED AGAINST THE LENS:

(P2 95, EQ 5-15)

$$\begin{aligned}
 U_S(x_f, Y_f) &= \frac{A}{j\lambda f} \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} \int_{-\infty}^{\infty} S(x, Y) \exp\left\{-\frac{j2\pi}{\lambda f}(x_f x + Y_f Y)\right\} dx dY \\
 &= \frac{A}{j\lambda f} \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} \mathcal{F}\left[S(x, Y)\right]_{\substack{f_x = x_f/\lambda f \\ f_y = Y_f/\lambda f}} \\
 &= \frac{A}{j\lambda f} \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right)
 \end{aligned}$$

THE PRISM CONTRIBUTES THE FIELD DISTRIBUTION:

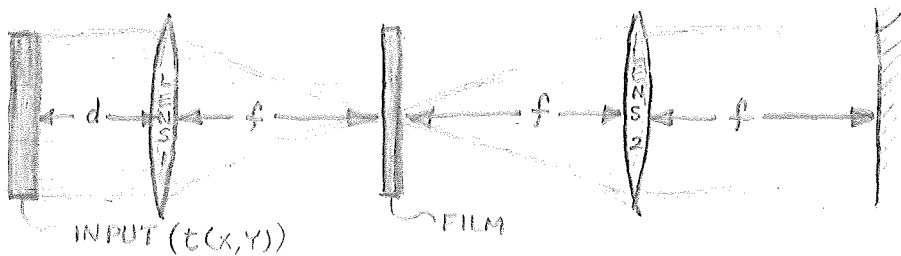
$$U_r(x_f, Y_f) = r_0 \exp(-j2\pi\alpha Y_f) \quad \alpha = \frac{\sin\theta}{\lambda}$$

THE TOTAL FIELD DISTRIBUTION AT THE FILM IS

$$\begin{aligned}
 U_f(x_f, Y_f) &= U_S(x_f, Y_f) + U_r(x_f, Y_f) \\
 &= \frac{A}{j\lambda f} \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) + r_0 \exp(-j2\pi\alpha Y_f)
 \end{aligned}$$

ASSUMING A  $\delta$  OF 2, THE FILM WILL RECORD:

$$\begin{aligned}
 I(x_f, Y_f) &= k_p |U_f(x_f, Y_f)|^2 \\
 &= k_p (U_f(x_f, Y_f)) (U_f^*(x_f, Y_f)) \\
 &= k_p \left[ \frac{A}{j\lambda f} \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) + r_0 \exp(-j2\pi\alpha Y_f) \right] \\
 &\quad \left[ \frac{A}{j\lambda f} \exp\left\{-\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} S^*\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) + r_0 \exp(j2\pi\alpha Y_f) \right] \\
 &= k_p \left[ \left(\frac{A}{\lambda f}\right)^2 \left|S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right)\right|^2 + r_0^2 + \frac{A r_0}{j\lambda f} S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) \exp(j2\pi\alpha Y_f) \right. \\
 &\quad \left. \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} - \frac{A r_0}{j\lambda f} S^*\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) \exp(-j2\pi\alpha Y_f) \right. \\
 &\quad \left. \exp\left\{-\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} \right] \\
 &= k_p \left[ \left(\frac{A}{\lambda f}\right)^2 \left|S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right)\right|^2 + r_0^2 \right. \\
 &\quad \left. + \frac{A r_0}{j\lambda f} S\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) \exp(j2\pi\alpha Y_f) \exp\left\{\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} \right. \\
 &\quad \left. - S^*\left(\frac{x_f}{\lambda f}, \frac{Y_f}{\lambda f}\right) \exp(-j2\pi\alpha Y_f) \exp\left\{-\frac{jk}{2f}(x_f^2 + Y_f^2)\right\} \right]
 \end{aligned}$$



THE INPUT IS TRANSFORMED TO  $U_1(x_f, y_f)$ , WHERE (P. 81, L. 5 W)

$$U_1(x_f, y_f) = \frac{A}{j\lambda f} \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right] \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x, y) \exp\left[-\frac{j2\pi}{\lambda f}(x_0 x_f + y_0 y_f)\right] dx dy$$

$$= \frac{A}{j\lambda f} \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right] T\left(\frac{x_c}{\lambda f}, \frac{y_c}{\lambda f}\right) \quad \left(\begin{array}{l} \text{PUPIL ASSUMED} \\ \text{BIG ENOUGH} \end{array}\right)$$

MULTIPLYING BY THE PREVIOUSLY COMPUTED FILTER:

$$U(x_f, y_f) = U_1(x_f, y_f) I(x_f, y_f)$$

$$= \frac{A k_p}{j\lambda f} \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right] T\left(\frac{x_c}{\lambda f}, \frac{y_c}{\lambda f}\right)$$

$$\left[ \left(\frac{A}{\lambda f}\right)^2 |S\left(\frac{x_c}{\lambda f}, \frac{y_c}{\lambda f}\right)|^2 + \Gamma_0^2 + \frac{\Lambda \Gamma_0}{j\lambda f} \left\{ S\left(\frac{x_c}{\lambda f}, \frac{y_c}{\lambda f}\right) \exp(j2\pi\alpha y_f) \right. \right.$$

$$\left. \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\right] - S^*\left(\frac{x_c}{\lambda f}, \frac{y_c}{\lambda f}\right) \exp(-j2\pi\alpha y_f) \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\right] \right\}$$

$$= \left(\frac{A}{\lambda f}\right)^3 \frac{k_p}{j\lambda f} T |S|^2 \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right]$$

$$+ \frac{\Gamma_0^2 \Lambda k_p}{j\lambda f} T \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right]$$

$$- \left(\frac{A}{\lambda f}\right)^2 k_p \Gamma_0 S T \exp(j2\pi\alpha y_f) \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\left(2 - \frac{d}{f}\right)\right]$$

$$+ \left(\frac{A}{\lambda f}\right)^2 k_p \Gamma_0 S^* T \exp(-j2\pi\alpha y_f) \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\left(-\frac{d}{f}\right)\right]$$

LENS 2 TAKES THE TRANSFORM  $U(x_f, y_f)$

$$U_i(x, y) = \frac{1}{j\lambda f} \mathcal{F}_1 \left\{ U(x_f, y_f) \right\}_{\substack{f_x = x/\lambda f \\ f_y = y/\lambda f}}$$

$$= \left(\frac{A}{\lambda f}\right)^3 \frac{k_p}{j\lambda f} \mathcal{F}_1 \left\{ T S S^* \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right] \right\}$$

$$+ \frac{\Gamma_0^2 \Lambda k_p}{j\lambda f} \mathcal{F}_1 \left\{ T \exp\left[\frac{jk}{2f}\left(1 - \frac{d}{f}\right)(x_f^2 + y_f^2)\right] \right\}$$

$$+ \left(\frac{A}{\lambda f}\right)^2 k_p \Gamma_0 \mathcal{F}_1 \left\{ S^* T \exp(j2\pi\alpha y_f) \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\frac{d}{f}\right] \right\}$$

$$- \left(\frac{A}{\lambda f}\right)^2 k_p \Gamma_0 \mathcal{F}_1 \left\{ S T \exp(-j2\pi\alpha y_f) \exp\left[\frac{jk}{2f}(x_f^2 + y_f^2)\left(2 - \frac{d}{f}\right)\right] \right\}$$

$$(f_x = x/\lambda f, f_y = y/\lambda f)$$

THE FIRST AND SECOND TERM WILL BE CENTERED ABOUT THE ORIGIN. THE FOURTH AND THIRD TERMS WILL BE SHIFTED ON THE Y AXIS DUE TO THE PHASE TERMS  $\exp(\pm j2\pi\alpha y_f)$

⇒ SHIFT OF  $\pm \alpha \lambda f$  (FROM SHIFT THEM, AND SIMILARITY THEM. WITH  $f_y = y/\lambda f$ ). IT WILL BE ASSUMED THAT  $\alpha \lambda f$  IS LARGE ENOUGH TO SEPARATE THE THIRD AND FOURTH TERMS FROM THE FIRST AND SECOND.

THE TERMS CONTAINING  $ST$  AND  $S^*T$  ARE OF INTEREST, IN THAT THEY MAY BE MANIPULATED TO YIELD CONVOLUTION AND CORRELATION OF  $t$  AND  $s$  IN THE OUTPUT PLANE BY MEANS OF CHANGING  $d$  TO ELIMINATE THE QUADRATIC

PHASE TERM. CONSIDER THE FOLLOWING  $U(x, y)$  COMPONENT:

$$\frac{A^2 K_p \Gamma_0}{\lambda^2 f^2} \int \int \left\{ S^* \left( \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right) T \left( \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right) \exp(-j 2\pi \alpha y_f) \exp \left( \frac{j k}{2f} (x_f^2 + y_f^2) \frac{d}{f} \right) \right\}$$

LETTING  $d=0$  YIELDS:

$$\begin{aligned} & \frac{A^2 K_p \Gamma_0}{\lambda^2 f^2} \int \int \left\{ S^* \left\{ \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right\} T \left( \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right) \exp(-j 2\pi \alpha y_f) \right\} \\ &= A^2 K_p \Gamma_0 \left[ S^* (-\lambda f f_x, -\lambda f f_y - \lambda f \alpha) * t(+\lambda f f_x, +\lambda f f_y - \lambda f \alpha) \right] \\ &= A^2 K_p \Gamma_0 \left[ S^* (-x, -(y + \lambda f \alpha)) * t(+x, (y - \lambda f \alpha)) \right] \\ &= A^2 K_p \Gamma_0 \left[ S(x, y - \lambda f \alpha) * t(x, y - \lambda f \alpha) \right] \end{aligned}$$

THUS, WITH  $t(x_0, y_0)$  PLACED AGAINST LENS 1 YIELDS THE CORRELATION OF  $t$  AND  $s$  IN THE OUTPUT PLANE SHIFTED  $\lambda f \alpha$  IN THE POSITIVE  $y$  DIRECTION (i.e. FILM'S IMPULSE RESPONSE =  $S^*(-x, (y + \lambda f \alpha))$ ), CHARACTERISTIC OF MATCHED FILTER FILTER (FILTER BLOCK?)

CONSIDER THE FOURTH  $U(x, y)$  COMPONENT:

$$-\frac{A^2}{\lambda^2 f^2} K_p \Gamma_0 \int \int \left\{ ST \exp(j 2\pi \alpha y_f) \exp \left[ \frac{j k}{2f} (x_f^2 + y_f^2) \left( 2 - \frac{d}{f} \right) \right] \right\}$$

LETTING  $d=2f$  YIELDS

$$\begin{aligned} & -\frac{A^2}{\lambda^2 f^2} K_p \Gamma_0 \int \int \left\{ S \left( \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right) T \left( \frac{x_f}{\lambda f}, \frac{y_f}{\lambda f} \right) \exp(j 2\pi \alpha y_f) \right\} \\ &= -A^2 K_p \Gamma_0 S(x, y - \alpha f \lambda) * t(x, y - \alpha f \lambda) \end{aligned}$$

THUS, WITH  $t(x_0, y_0)$  PLACED  $2f$  IN FRONT OF LENS 1 YIELDS THE CONVOLUTION OF  $t$  AND  $s$ . I.E.P.C.O., THE FILM ACTS AS A FILTER WITH IMPULSE RESPONSE =  $S(x, y - \alpha f \lambda)$



Prob. 7-13 solution:

(a) The reference wave at the recording plane can be given by:  $U_r(x, y) = r_0 \exp(-i 2\pi \alpha y)$  where  $\alpha = \frac{\sin \theta}{\lambda}$  and  $\theta$  is the angle of the reference beam.

The field contributed by  $S(x, y)$  in the recording plane is given by:

$$U_s(x, y) = \frac{e^{i \frac{k}{2F}(x^2 + y^2)}}{\lambda F} \cdot \mathcal{F}\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right)$$

where  $\mathcal{F}$  is the Fourier transform of  $S(x, y)$   
 the recorded intensity is then:

$$I = |U_s + U_r|^2 = \left[ r_0 e^{-i k \sin \theta y} + \frac{e^{i \frac{k}{2F}(x^2 + y^2)}}{\lambda F} \cdot \mathcal{F}\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right) \right] \left[ r_0 e^{i k \sin \theta y} + \frac{e^{-i \frac{k}{2F}(x^2 + y^2)}}{\lambda F} \cdot \mathcal{F}^*\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right) \right]$$

$$I = r_0^2 + \frac{1}{(\lambda F)^2} |\mathcal{F}|^2 + \frac{r_0}{\lambda F} e^{-i k (y \sin \theta + \frac{x^2}{2F} + \frac{y^2}{2F})} \cdot \mathcal{F}^*$$

$$+ \frac{r_0}{\lambda F} e^{i k (y \sin \theta + \frac{x^2}{2F} + \frac{y^2}{2F})} \cdot \mathcal{F}$$

$= T(x, y)$ , the transmittance of the recorded intensity

(b) Assume the input to the second system is  $g(x_0, y_0)$ . Then the field incident on the filter function  $T(x, y)$  is:

$$U_g(x, y) = \frac{1}{\lambda F} \exp\left[ i \frac{k}{2F} \left(1 - \frac{d}{F}\right) (x^2 + y^2) \right] \mathcal{G}\left(\frac{x}{\lambda F}, \frac{y}{\lambda F}\right)$$

where  $\mathcal{G}$  is the Fourier transform of  $g$ .

The filter output is  $U_g \cdot I = U_g \cdot T$

$$= \left( r_0^2 + \frac{1}{(\lambda f)^2} |S|^2 \right) \frac{1}{\lambda f} \exp \left\{ i \frac{\lambda}{2f} \left( 1 - \frac{d}{f} \right) (x^2 + y^2) \right\} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right)$$

$$+ \frac{r_0}{(\lambda f)^2} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) S^* \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) \exp \left[ i k \left( -\sin \theta (y) - \frac{x^2}{2f} - \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) \right) \right]$$

$$+ \frac{r_0}{(\lambda f)^2} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) S \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) \exp \left[ i k \left( y \sin \theta + \frac{x^2}{2f} + \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) \right) \right]$$

The terms in the first line will give on-axis "garbage" terms in the output.

The second line will give the cross-correlation of  $g$  &  $s$  displaced off-axis proportional to  $\sin \theta$  if:

$$-\frac{x^2}{2f} - \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) = 0$$

This will hold if  $d = 0$

The third line will give the convolution of  $g$  &  $s$  displaced on the other side of the lens axis if:

$$\frac{x^2}{2f} + \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) = 0$$

This will hold if  $d = 2f$

Thus, to get convolution:  $d = 2f$   
and to get cross-correlation:  $d = 0$



The filter output is  $U_g \cdot I = U_g \cdot F$

$$= \left( r_0^2 + \frac{1}{(\lambda f)^2} |S|^2 \right) \frac{1}{\lambda f} \exp \left\{ i \frac{d}{2f} \left( 1 - \frac{d}{f} \right) (x^2 + y^2) \right\} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right)$$

$$+ \frac{r_0}{(\lambda f)^2} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) S^* \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) \exp \left[ i k \left( -\sin \theta (y) - \frac{x^2}{2f} - \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) \right) \right]$$

$$+ \frac{r_0}{(\lambda f)^2} G \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) S \left( \frac{x}{\lambda f}, \frac{y}{\lambda f} \right) \exp \left[ i k \left( y \sin \theta + \frac{x^2}{2f} + \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) \right) \right]$$

The terms in the first line will give on-axis "garbage" terms in the output.

The second line will give the cross-correlation of  $g$  &  $S$  displaced off-axis proportional to  $\sin \theta$  if:

$$-\frac{x^2}{2f} - \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) = 0$$

This will hold if  $d = 0$

The third line will give the convolution of  $g$  &  $S$  displaced on the other side of the lens axis if:

$$\frac{x^2}{2f} + \frac{y^2}{2f} + \left( 1 - \frac{d}{f} \right) \left( \frac{x^2}{2f} + \frac{y^2}{2f} \right) = 0$$

This will hold if  $d = 2f$

Thus, to get convolution:  $d = 2f$   
and to get cross-correlation:  $d = 0$

8-1) CONSIDER THE FOLLOWING HOLOGRAM OF A POINT SOURCE LOCATED @  $(x_0, y_0)$  ON THE OBJECT PLANE, PLACED A DISTANCE  $z_0$  FROM THE FILM. ASSUME PLANAR REFERENCE AND RECONSTRUCTION WAVES, AND THAT THE DEVELOPED PHOTOGRAPHIC PLATE YIELDS A TRANSPARENCY WITH AMPLITUDE TRANSMITTANCE PROPORTIONAL TO EXPOSURE. THE IMPORTANT INFORMATION COMPONENTS OF THE RECONSTRUCTED WAVEFRONT (ANALOGOUS TO  $E_0$  (8-24) AND PREVIOUSLY DERIVED IN CLASS) ARE:

$$\begin{aligned} \tilde{U}_3(x, y) &= B' |A|^2 a = B' |A|^2 \tilde{a}_0 \exp \left[ j k \left\{ z_0^2 + (x-x_0)^2 + (y-y_0)^2 \right\}^{1/2} \right] \\ \tilde{U}_4(x, y) &= B' |A|^2 a^* = B' |A|^2 \tilde{a}_0^* \exp \left[ -j k \left\{ z_0^2 + (x-x_0)^2 + (y-y_0)^2 \right\}^{1/2} \right] \end{aligned}$$

WHERE  $U_3$  WAS RECONSTRUCTED WITH A WAVE EQUAL TO THE INITIAL REFERENCE WAVE, AND  $U_4$  ITS CONJUGATE.  $U_3$  REPRESENTS A WEIGHTED VIRTUAL IMAGE OF THE ORIGINAL POINT SOURCE AT A DISTANCE  $-z_0$  FROM THE HOLOGRAM, AND  $U_4$  A REAL IMAGE OF THE PT. SOURCE AT DISTANCE  $z_0$ . (CONVERGING AND DIVERGING SPHERICAL WAVES)



THUS, THE RECONSTRUCTION OF ANY POINT SOURCE WILL LIE A DISTANCE  $z_0$  FROM THE HOLOGRAM, THE LOCUS OF WHICH FORMS A PLANE PARALLEL WITH THE HOLOGRAM. ANY TRANSPARENCY MAY BE THOUGHT OF AS THE SUPERPOSITION OF POINT SOURCES:

$$t(x_0, y_0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} t(\xi, \eta) \delta(x_0 - \xi, y_0 - \eta) d\xi d\eta$$

ERGO, WHEN A HOLOGRAM OF A PLANAR OBJECT IS RECORDED IN A PLANE PARALLEL WITH THE OBJECT, THE RESULTING IMAGES FORM IN PLANES PARALLEL WITH THE HOLOGRAM,

$$8-2) a) z_i = \left( \frac{1}{z_p} \pm \frac{\lambda_2}{\lambda_1 z_r} \mp \frac{\lambda_2}{\lambda_1 z_o} \right)^{-1}$$

$$= \left( \frac{1}{\infty} \pm \frac{\lambda_2}{\lambda_1} \frac{1}{\infty} \mp \frac{\lambda_2}{\lambda_1 z_o} \right)^{-1}$$

$$= \frac{\mp \lambda_1 z_o}{\lambda_2} = \frac{10.4880}{6.328} \text{ cm}$$

$$= \mp 7.7 \text{ cm} \quad (-7.7 \text{ cm FOR VIRTUAL, } 7.7 \text{ cm FOR REAL})$$

$$b) z_i = \left( \frac{1}{z_p} \pm \frac{\lambda_2}{\lambda_1 z_r} \mp \frac{\lambda_2}{\lambda_1 z_o} \right)^{-1}$$

$$\left( \pm \frac{\lambda_2}{2\lambda_1 z_o} \mp \frac{\lambda_2}{\lambda_1 z_o} \right)^{-1}$$

FOR THE VIRTUAL IMAGE

$$z_i = \left( \frac{-\lambda_2}{2\lambda_1 z_o} \right)^{-1} = 15.4 \text{ cm} \quad (\text{TWICE AS FOR PART a})$$

FOR THE REAL IMAGE

$$z_i = \left( \frac{\lambda_2}{2\lambda_1 z_o} \right)^{-1} = 15.4 \text{ cm} \quad (\text{TWICE AS PART a})$$

$$\text{NOW } M = \left| 1 - \frac{z_o}{z_r} \mp \frac{\lambda_1 z_o}{\lambda_2 z_p} \right|^{-1}$$

$$= \left| 1 - \left( \frac{1}{2} \right) \mp 0 \right|^{-1}$$

= 2 FOR BOTH THE VIRTUAL & REAL IMAGE

(THAT'S IT?)

$$8-3) M = \left| 1 - \frac{z_o}{z_r} \mp \frac{\lambda_1 z_o}{\lambda_2 z_p} \right|^{-1}$$

FOR  $\lambda_1 = \lambda_2$

$$M = \left| 1 - \frac{z_o}{z_r} \mp \frac{z_o}{z_p} \right|^{-1}$$

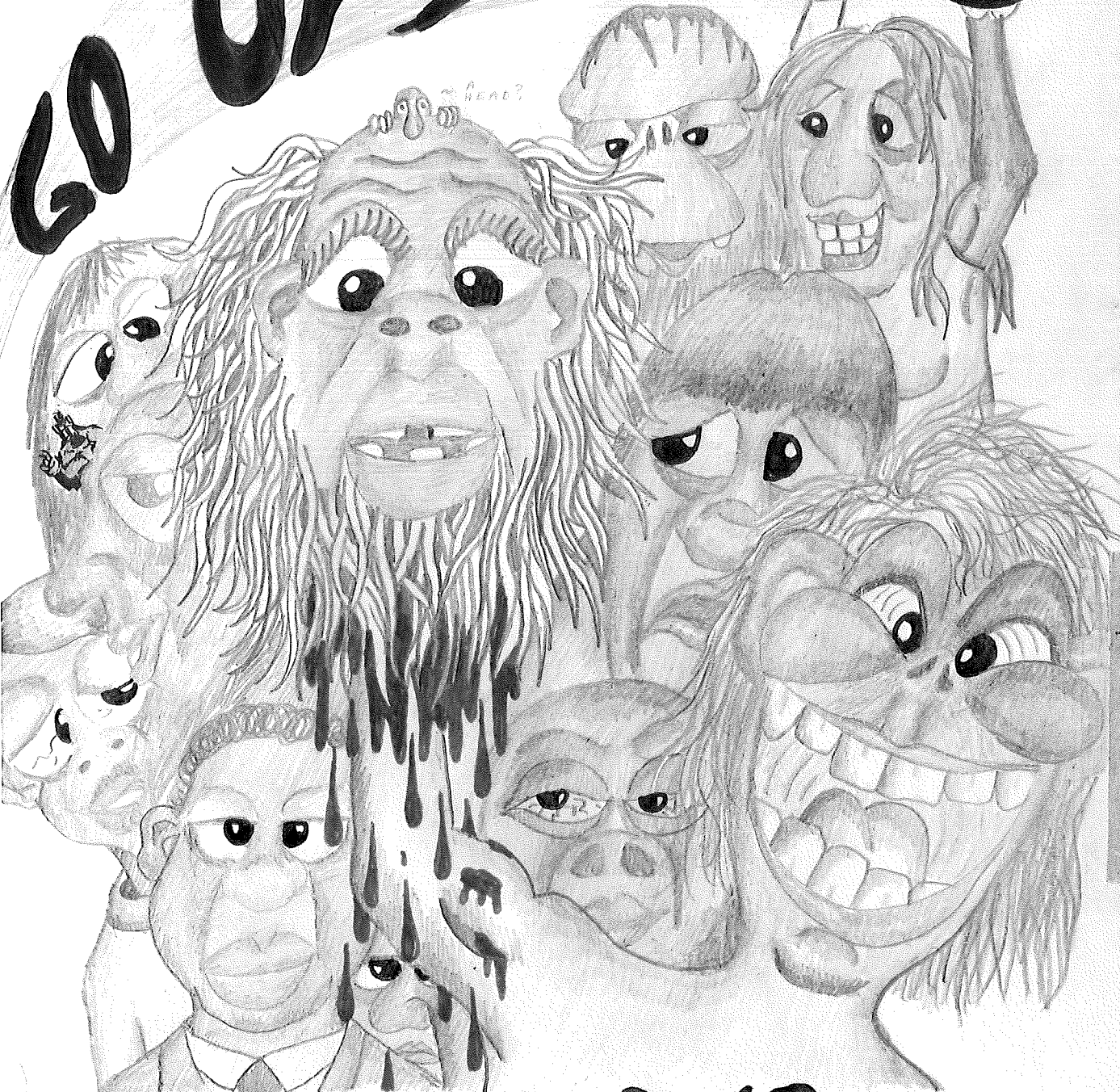
CASE 1: VIRTUAL IMAGE;  $z_p = z_r$

$$M_v = \left| 1 - \frac{z_o}{z_r} + \frac{z_o}{z_p} \right|^{-1} = 1$$

CASE 2: REAL IMAGE;  $z_p = -z_r$

$$M_R = \left| 1 - \frac{z_o}{z_r} - \left( \frac{z_o}{-z_p} \right) \right|^{-1} = 1$$

GO OPTICS



AND GET AHEAD

PHYS. OPTICS  
ENG. APPL. EE 5360

9-2-75 (T)

EE 5360 - INTRODUCTION TO FOURIER OPTICS AND HOLOGRAPHY

JOHN WALKUP Rm 260B 742-1278 791-0671 (HOME)

TEXT: INTRO. TO FOURIER OPTICS, J.W. GOODMAN, MCGRAW HILL'S  
BIBLIOGRAPHY (OPTICS)

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  2. PAPAULIS - SYSTEMS AND TRANSFORMS WITH APPLICATIONS IN OPTICS, MCGRAW HILL, 1968
  3. M. BORN AND E. WOLF, PRINCIPLES OF OPTICS, 4TH EDITION, PERGAMON, 1970
  4. COLLIER, BURCKHARDT, & LIN, OPTICAL HOLOGRAPHY, ACADEMIC PRESS, 1971.
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  8. M. LEHMAN, HOLOGRAPHY - TECHNIQUE & PRACTICE, FOCAL PRESS, 1970.
- (FOURIER TRANSFORM)
1. R. BRACEWELL, THE FOURIER TRANSFORM AND ITS APPLICATIONS, MCGRAW-HILL, 1965.
  2. PAPAULIS, THE FOURIER INTEGRAL AND ITS APPLICATIONS

GRADING: HOMEWORK 20%, MIDTERM 20%

FINAL 40%, ORAL PRESENTATION 20%

JOURNALS:

OPTICAL SOCIETY OF AMERICA

- J. OPT. SOC. OF AMERICA

- APPLIED OPTICS

OPTICAL ENGINEERING

PHOTOGRAPHIC SCIENCE & ENG.

NOTES:

REVIEW OF 1-D FOURIER TRANSFORM

$\tilde{g}(x) \Leftrightarrow \tilde{G}(f) = \text{FOURIER TRANSFORM PAIR}$

$$\begin{cases} \tilde{G}(f) = \int_{-\infty}^{\infty} \tilde{g}(x) e^{-j2\pi fx} dx \triangleq \mathcal{F}[\tilde{g}(x)] \\ \tilde{g}(x) = \int_{-\infty}^{\infty} \tilde{G}(f) e^{j2\pi fx} dx \triangleq \mathcal{F}^{-1}[\tilde{G}(f)] \end{cases}$$

IF  $x = t = \text{TIME}$ , THEN  $\tilde{g}(t)$  IS A TEMPORAL FUNCTION, THEN  $f$  IS TEMPORAL FREQUENCY (CYCLES/SEC = HZ)

THEOREMS IN 1-D

① LINEARITY:  $\mathcal{F}(\cdot)$  IS A LINEAR OPERATOR.

$$\mathcal{F}[\alpha \tilde{g}(x) + \beta \tilde{h}(x)] = \alpha \mathcal{F}[\tilde{g}(x)] + \beta \mathcal{F}[\tilde{h}(x)]$$

$\mathcal{F}^{-1}(\cdot)$  IS ALSO LINEAR.

② SIMILARITY OR SCALING THEM.

$$\text{IF } \tilde{G}(f) \Leftrightarrow \tilde{g}(x)$$

$$\text{THEN } \mathcal{F}\left\{\tilde{g}(\alpha x)\right\} \Leftrightarrow \frac{1}{|\alpha|} \tilde{G}\left(\frac{f}{\alpha}\right)$$

$\alpha = \text{SCALAR CONSTANT}$

(3) SHIFT THEOREM

$$\mathcal{F}[\tilde{g}(x-a)] = \tilde{G}(f) e^{-j2\pi fa}$$

(4) PARSEVAL'S THEM:

$$\int_{-\infty}^{\infty} |\tilde{g}(x)|^2 dx = \int_{-\infty}^{\infty} |\tilde{G}(f)|^2 df$$

ie, THE FOURIER TRANSFORM IS AN ENERGY PRESERVING TRANSFORM.

(5) CONVOLUTION THEOREM

IF  $\mathcal{F}[\tilde{g}(x)] = \tilde{G}(f)$  AND  $\mathcal{F}[h(x)] = \tilde{H}(f)$ ,  
 THEN  $\mathcal{F}[\tilde{g}(x) * h(x)] = \mathcal{F}\left[\int_{-\infty}^{\infty} \tilde{g}(\xi) h(x-\xi) d\xi\right]$   
 $= \tilde{G}(f) \tilde{H}(f)$

OR  $\tilde{g}(x) * h(x) = \mathcal{F}^{-1}[\tilde{G}(f) \tilde{H}(f)]$   
 $\tilde{H}(f) \Rightarrow$  TRANSFER FUNCTION

(6) AUTOCORRELATION THEM.

IF  $\mathcal{F}[\tilde{g}(x)] = \tilde{G}(f)$   
 THEN  $\mathcal{F}[\tilde{g} * \tilde{g}] = \mathcal{F}\left[\int_{-\infty}^{\infty} \tilde{g}(\xi) \tilde{g}^*(\xi-x) d\xi\right]$   
 $= |\tilde{G}(f)|^2$

SIMILARLY:  $\mathcal{F}\{|\tilde{g}(x)|^2\} = \mathcal{F}\left[\int_{-\infty}^{\infty} \tilde{G}(\xi) \tilde{G}^*(\xi-f) d\xi\right]$   
 $= \tilde{G} * \tilde{G}$

(7) FOURIER INTEGRAL THEOREM:

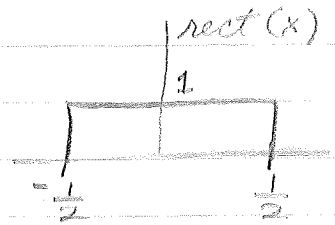
AT EACH POINT OF CONTINUITY OF  $\tilde{g}(x)$ ,  
 THEN  $\mathcal{F}^{-1} \mathcal{F}[\tilde{g}(x)] = \tilde{g}(x)$ , WHILE  
 AT EACH PT WHEN  $\tilde{g}(x)$  IS  
 DISCONTINUOUS,

$$\mathcal{F}^{-1}[\mathcal{F}\{\tilde{g}(x)\}] = \frac{1}{2} [\tilde{g}(x+) + \tilde{g}(x-)]$$

## ELEMENTARY FUNCTIONS

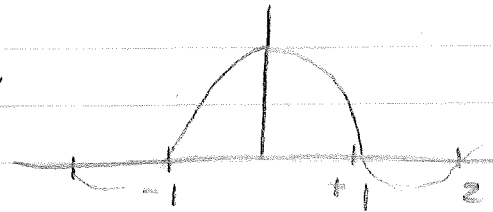
### (a) THE RECTANGLE FUNCTION

$$\text{rect}(x) \triangleq \begin{cases} 1 & ; |x| < \frac{1}{2} \\ \frac{1}{2} & ; |x| = \frac{1}{2} \\ 0 & ; |x| > \frac{1}{2} \end{cases}$$



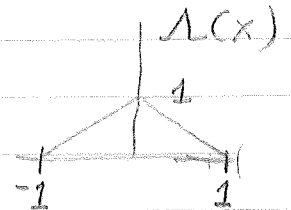
### (b) THE SINC FUNCTION

$$\text{sinc}(x) \triangleq \frac{\sin \pi x}{\pi x}$$



### (c) THE TRIANGLE FUNCTION

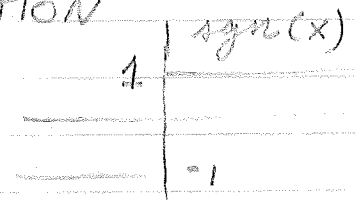
$$\Lambda(x) \triangleq \begin{cases} 1 - |x| & ; |x| \leq 1 \\ 0 & ; |x| > 1 \end{cases}$$



$$\Lambda(x) = \text{rect}(x) * \text{rect}(x)$$

### (d) THE SGN ("SIGUN") FUNCTION

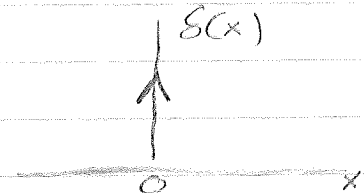
$$\text{sgn}(x) \triangleq \begin{cases} 1 & ; x > 0 \\ 0 & ; x = 0 \\ -1 & ; x < 0 \end{cases}$$



### (e) THE DIRAC DELTA, $\delta(x)$

$$\delta(x) = \begin{cases} \infty & ; x = 0 \\ 0 & ; x \neq 0 \end{cases}$$

$$\int_{-e}^e \delta(x) dx = 1 \quad \forall e > 0$$



PROPERTIES:

① SIFTING PROPERTY  $\int_{-\infty}^{\infty} \tilde{v}(x) \delta(x-a) dx = \tilde{v}(a)$

IF  $\tilde{v}(x)$  IS CONTINUOUS @  $x=a$

②  $\delta(ax) = \frac{1}{|a|} \delta(x)$

③  $\delta(x) = \delta(-x)$

④  $\tilde{v}(x) \delta(x) = \tilde{v}(0) \delta(x)$  IF  $\tilde{v}(x)$  IS CONTINUOUS AT  $x=0$



(f). THE "COMB" (SAMPLING TRAIN) FUNCTION

$$\text{comb}(x) \triangleq \sum_{n=-\infty}^{\infty} \delta(x-n)$$


9-4-75 (THURS)

USEFUL 1-D FOURIER TRANSFORM PAIRS

- ①  $\tilde{g}(x) \Leftrightarrow G(f)$
- ②  $e^{-\pi x^2} \Leftrightarrow e^{-\pi f^2}$
- ③  $\text{rect}(x) \Leftrightarrow \text{sinc}(f)$
- ④  $\Lambda(x) \Leftrightarrow \text{sinc}^2(f)$
- ⑤  $\text{sinc}(x) \Leftrightarrow \text{rect}(f)$
- ⑥  $\text{sinc}^2(x) \Leftrightarrow \Lambda(f)$
- ⑦  $\delta(x) \Leftrightarrow 1$
- ⑧  $1 \Leftrightarrow \delta(f)$
- ⑨  $e^{-j\pi x} \Leftrightarrow \delta(f - \frac{1}{2})$
- ⑩  $\text{sgn}(x) \Leftrightarrow \frac{1}{j\pi f}$
- ⑪  $\frac{1}{j\pi f} \Leftrightarrow \text{sgn}(f)$
- ⑫  $\text{comb}(x) \Leftrightarrow \text{comb}(f)$
- ⑬  $\cos \pi x \Leftrightarrow \frac{1}{2} \delta(f - \frac{1}{2}) + \frac{1}{2} \delta(f + \frac{1}{2})$
- ⑭  $\sin \pi x \Leftrightarrow \frac{1}{j2} \delta(f - \frac{1}{2}) - \frac{1}{j2} \delta(f + \frac{1}{2})$
- ⑮  $e^{-|x|} \Leftrightarrow \frac{2}{1 + (2\pi f)^2}$

## TWO DIMENSIONAL TRANSFORM

$$\tilde{g}(x, y) \Leftrightarrow \tilde{G}(f_x, f_y)$$

$$\tilde{G}(f_x, f_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \tilde{g}(x, y) e^{-j2\pi[f_x x + f_y y]} dx dy$$

$$= \mathcal{F}[\tilde{g}(x, y)]$$

$$\tilde{g}(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \tilde{G}(f_x, f_y) e^{j2\pi[f_x x + f_y y]} df_x df_y$$

$$= \mathcal{F}^{-1}[\tilde{G}(f_x, f_y)]$$

TEMPORAL FREQUENCY:  $\delta(t) \Leftrightarrow G(f) \Rightarrow [f] = \frac{\text{CYCLE}}{\text{SEC}}$

SPATIAL FREQUENCY:  $f = \frac{\text{LINES}}{\text{MM}}$

## TWO DIMENSION FOURIER THEOREMS

### ① LINEARITY THEOREM

$$\mathcal{F}[\alpha f(x, y) + \beta h(x, y)] = \alpha \mathcal{F}[f(x, y)] + \beta \mathcal{F}[h(x, y)]$$

### ② SIMILARITY (OR SCALING) THEOREM

$$\mathcal{F}[g(ax, by)] = \frac{1}{|a \cdot b|} \tilde{G}\left(\frac{f_x}{a}, \frac{f_y}{b}\right)$$

### ③ SPATIAL SHIFT THEOREM: IF $g(x, y) \Leftrightarrow G(f_x, f_y)$

$$\text{THEN } \mathcal{F}[g(x-a, y-b)] = G(f_x, f_y) e^{-j2\pi(f_x a + f_y b)}$$

### ④ PARCEVAL'S THEOREM: $g(x, y) \Leftrightarrow G(f_x, f_y)$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |g(x, y)|^2 dx dy = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |G(f_x, f_y)|^2 df_x df_y$$

### ⑤ CONVOLUTION THEOREM: $H(f_x, f_y) \Leftrightarrow h(x, y)$

$$\mathcal{F}[g(x, y) * h(x, y)] = G(f_x, f_y) H(f_x, f_y)$$

$$g(x, y) * h(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta) h(x-\xi, y-\eta) d\xi d\eta$$

### ⑥ AUTOCORRELATION THEOREM

$$\mathcal{F}[g \star g] = |G(f_x, f_y)|^2$$

$$g \star g = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta) g^*(\xi-x, \eta-y) d\xi d\eta$$

$$\text{BY SYMMETRY: } \mathcal{F}\{|g(x, y)|^2\} = G(f_x, f_y) \star G(f_x, f_y)$$

### ⑦ FOURIER INTEGRAL THEOREM: AT EACH POINT

OF CONTINUITY OF  $\tilde{g}(x, y)$ ,  $\mathcal{F}^{-1}\mathcal{F}[\tilde{g}(x, y)] = \tilde{g}(x, y)$

WHILE AT EACH POINT WHERE  $\tilde{g}$  IS DISCONT.

$\mathcal{F}^{-1}\mathcal{F}[\tilde{g}]$  YIELDS THE SPATIAL ARGUMENT

IN  $\tilde{g}$  ABOUT THE POINT.

## TWO-DIMENSIONAL SEPERABLE FUNCTIONS

$$G(f_x, f_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi(f_x x + f_y y)} dx dy$$

IF  $g(x, y) = g_x(x)g_y(y)$ , THEN  $g$  IS SEPERABLE IN CARTESIAN COORDINATES, THEN

$$\begin{aligned} G(f_x, f_y) &= \int_{-\infty}^{\infty} g_x(x) e^{-j2\pi f_x x} dx \int_{-\infty}^{\infty} g_y(y) e^{-j2\pi f_y y} dy \\ &= G_x(f_x) G_y(f_y) \end{aligned}$$

SO THE 2-D FOURIER TRANSFORMS

IS NOW THE PRODUCT OF TWO 1-D TRANSFORMS.

CONSIDER POLAR CO-ORDINATES  $r = \sqrt{x^2 + y^2}$ ;  $\theta = \tan^{-1}\left(\frac{y}{x}\right)$

$g(r, \theta)$  IS SEPERABLE IN POLAR COOR, IF

$$g(r, \theta) = g_r(r) g_\theta(\theta)$$

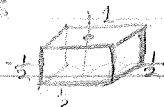
IF  $g$  HAS CIRCULAR SYMMETRY, THEN

$$g(r, \theta) = g_r(r) \quad \text{ie } g_\theta(\theta) = 1$$

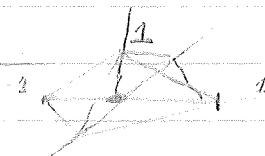
AND TAKING THE TWO-D FOURIER TRANSFORM IS EQUIVALENT TO A SINGLE INTEGRAL.

## SOME USEFUL ELEMENTARY FUNCTIONS

(a)  $\text{rect}(x) \text{rect}(y) = \text{rect}(x, y)$



(b)  $\Lambda(x) \Lambda(y) = \Lambda(x, y)$



9-9-75 (TUES)

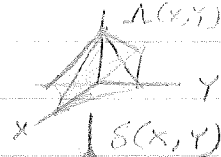
H.W. GOODMAN, CH. 2. (3A, 3C, 4, 5, 6, 7, 8)

## USEFUL 2-D ELEMENTARY FUNCTIONS

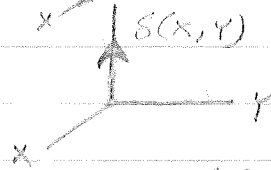
$$\textcircled{1} \text{rect}(x) \text{rect}(y) = \text{rect}(x, y)$$



$$\textcircled{2} \Lambda(x) \Lambda(y) = \Lambda(x, y)$$



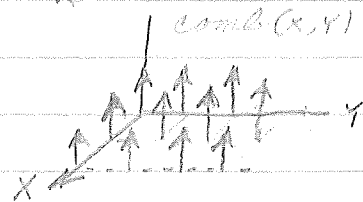
$$\textcircled{3} \delta(x) \delta(y) = \delta(x, y)$$



$$\textcircled{4} \text{comb}(Lx) \text{comb}(Ly)$$

$$= \text{comb}(x, y)$$

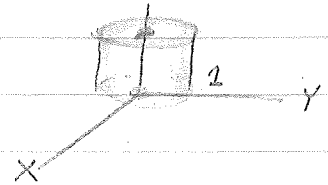
$$= \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \delta(x-n, y-m)$$



$$= \text{comb}\left(\frac{x}{L_x}\right) \text{comb}\left(\frac{y}{L_y}\right) \text{rect}\left(\frac{x}{L_x}, \frac{y}{L_y}\right)$$

## \textcircled{5} THE CIRCLE FUNCTION

$$\text{circ}(\sqrt{x^2 + y^2}) = \begin{cases} 1, & \sqrt{x^2 + y^2} \leq 1 \\ 0, & \text{OTHERWISE} \end{cases}$$



## \textcircled{6} DIRAC DELTA PROPERTIES

$$a. \delta(x, y) = \begin{cases} \infty, & x=y=0 \\ 0, & \text{OTHERWISE} \end{cases}$$

$$b. \int_{-E}^E \int_{-E}^E \delta(x, y) dx dy = 1 \quad \forall E > 0$$

$$c. \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta) \delta(x-\xi, y-\eta) d\xi d\eta = g(x, y)$$

$$d. \delta(ax, by) = \frac{1}{|ab|} \delta(x, y)$$

$$e. \delta(x, y) = \lim_{N \rightarrow \infty} N^2 \text{rect}(Nx, Ny)$$

$$= \lim_{N \rightarrow \infty} N^2 e^{-N^2 \pi (x^2 + y^2)}$$

USEFUL TWO DIMENSIONAL FOURIER TRANSFORM PAIRS

$$g(x, y) \Leftrightarrow G(f_x, f_y)$$

- ①  $e^{-\pi(x^2 + y^2)} \Leftrightarrow e^{-\pi(f_x^2 + f_y^2)}$
- ②  $\text{rect}(x, y) \Leftrightarrow \text{sinc}(f_x, f_y)$
- ③  $\Lambda(x)\Lambda(y) \Leftrightarrow \text{sinc}^2(f_x, f_y)$
- ④  $\delta(x, y) \Leftrightarrow 1$
- ⑤  $e^{j\pi(x+y)} \Leftrightarrow \delta(f_x - \frac{1}{2}, f_y - \frac{1}{2})$
- ⑥  $1 \Leftrightarrow \delta(f_x, f_y)$
- ⑦  $\text{sgn}(x, y) \Leftrightarrow (j\pi f_x)(j\pi f_y)$
- ⑧  $\text{comb}(x, y) \Leftrightarrow \text{comb}(f_x, f_y)$

CIRCULARLY SYMMETRIC FUNCTIONS

$g(x, y) = g_x(x)g_y(y) \in$  SEPERABLE IN CARTESIAN  
 $g(r, \theta) = g_r(r)g_\theta(\theta) \in$  SEPERABLE IN POLAR COOR.  
 $= g_r(r) \in$  CIRCULARLY SYMMETRIC

$$G(f_x, f_y) = \int \int g(x, y) e^{-j2\pi(f_x x + f_y y)} dx dy$$

NOW  $r = \sqrt{x^2 + y^2}$  OR  $x = r \cos \theta$   
 $\theta = \tan^{-1} \theta$   $y = r \sin \theta$

IN  $f_x, f_y$  PLANE, LET

$$\rho = \sqrt{f_x^2 + f_y^2} \quad \text{OR} \quad f_x = \rho \cos \phi$$

$$\phi = \tan^{-1}(f_y/f_x) \quad f_y = \rho \sin \phi$$

LET  $\tilde{G}(\rho, \phi) \triangleq \mathcal{F}_1[g]$

MAKING SUBSTITUTIONS GIVES

$$G(\rho, \phi) = \int_0^{2\pi} d\theta \int_0^\infty dr r \tilde{g}_R(r) e^{j2\pi r \rho [\cos \theta \cos \phi + \sin \theta \sin \phi]}$$

$$= \int_0^\infty dr r \tilde{g}_R(r) \int_0^{2\pi} d\theta e^{-j2\pi r \rho \cos(\theta - \phi)}$$

USE IDENTITY:  $J_0(a) = \frac{1}{2\pi} \int_0^{2\pi} e^{-ja \cos(\theta - \phi)} d\theta$

$\Rightarrow J_0 =$  ZERO ORDER BESSEL FUNCTION OF FIRST KIND

THUS  $G(\rho, \phi) = G(\rho) = 2\pi \int_0^\infty r \tilde{g}_R(r) J_0(2\pi r \rho) dr$   
 $=$  THE FOURIER-BESSEL TRANSFORM

OR HANKEL TRANSFORM OF  $\tilde{g}_R(x)$

$$\Rightarrow G(\rho) = \mathcal{B}[\tilde{g}_R(r)]$$

$$\tilde{g}_R(r) = \mathcal{B}^{-1}[G(\rho)] = 2\pi \int_0^\infty \rho G(\rho) J_0(2\pi r \rho) d\rho$$

## FOURIER-BESSEL TRANSFORM THEOREMS

$$\mathcal{B}[g_R(\alpha r)] = \frac{1}{\alpha^2} G_0\left(\frac{\rho}{\alpha}\right)$$

EXAMPLE: EVALUATE  $\mathcal{B}[\text{circ}(r/a_0)]$

$$\mathcal{B}[\text{circ}(r)] = 2\pi \int_0^1 r J_0(2\pi r \rho) dr$$

CHANGE OF VARIABLES:  $r' = 2\pi r \rho$

$\Rightarrow dr' = 2\pi \rho dr$ . USE IDENTITY THAT

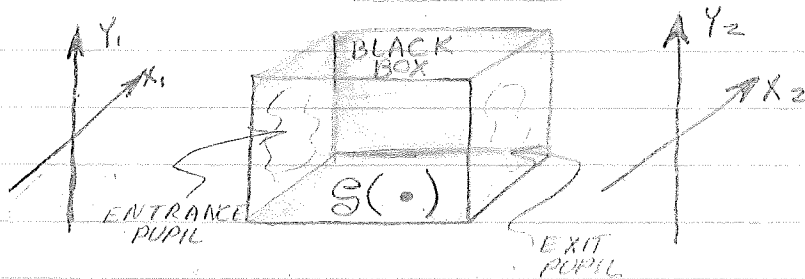
$$\int_0^x \xi J_0(\xi) d\xi = J_1(x)$$

$J_1$  = BESSEL FUNCTION OF 1ST KIND ORDER 1

$$\begin{aligned} \text{GIVES } \mathcal{B}[\text{circ}(r)] &= \frac{1}{2\pi \rho^2} \int_0^{2\pi \rho} r' J_0(r') dr' \\ &= \frac{1}{\rho} J_1(2\pi \rho) \quad (\text{"SOMBRARO" FUNCTION}) \end{aligned}$$

$$\therefore \mathcal{B}[\text{circ}(r/a_0)] = \frac{a_0}{\rho} J_1(2\pi \rho a_0)$$

## LINEAR SYSTEM'S THEORY



$$g_2(x_2, y_2) = \mathcal{S}[g_1(x_1, y_1)]$$

$$g_1(x_1, y_1) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) \delta(x_1 - \xi, y_1 - \eta) d\xi d\eta$$

$$\text{LET } h_2(x_2, y_2; x_1, y_1) = \mathcal{S}[\delta(x_1 - x_2, y_1 - y_2)]$$

= IMPULSE RESPONSE = POINT-SPREAD FUNCTION

$$h_2(x_2, y_2; \xi, \eta) = \mathcal{S}[\delta(x_1 - \xi, y_1 - \eta)]$$

$$\mathcal{S}[g_1(x_1, y_1)] = \mathcal{S}\left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) \delta(x_1 - \xi, y_1 - \eta) d\xi d\eta\right]$$

$$= g_2(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) \mathcal{S}[\delta(x_1 - \xi, y_1 - \eta)] d\xi d\eta$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) h_2(x_2, y_2; \xi, \eta) d\xi d\eta$$

= TWO DIMENSIONAL SUPERPOSITION INTEGRAL

$$\text{IF } h_2(x_2, y_2; \xi, \eta) = h_2(x_2 - \xi, y_2 - \eta)$$

THEN WE GOT DA CONVOLUTION INTEGRAL:

$$g_2(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) h_2(x_2 - \xi, y_2 - \eta) d\xi d\eta$$

AND THE SYSTEM IS SPACE-INVARIANT

OR ISOPLANATIC PATCHES.

$$g_2(x_2, y_2) = g_1(x_2, y_2) * h_2(x_2, y_2)$$

$$H(f_x, f_y) = \mathcal{F}_2 [h(x_2, y_2)] \Rightarrow \text{TRANSFER FUNCTION}$$

10-11-75 (THURS.)

REVIEW: INVARIANT LINEAR SYSTEMS

$$\tilde{g}_2(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(x_1, y_1) h(x_2 - x_1, y_2 - y_1) dx_1 dy_1$$

SUPERPOSITION FOR LINEAR SYSTEM

FOR  $h(x_2, y_2; \xi, \eta) = h(x_2 - \xi, y_2 - \eta)$  THE SYSTEM IS INVARIANT AND THE SUPERPOSITION INTEGRAL BECOMES CONVOLUTION

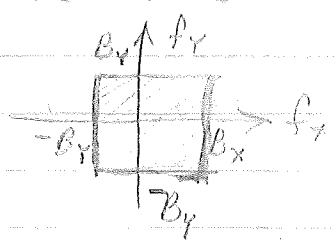
$$g_2(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_1(\xi, \eta) h(x_2 - \xi, y_2 - \eta) d\xi d\eta$$

RECALL THAT FOR CIRCUITS:  $h(t) = 0$  FOR  $t < 0$ , i.e. CAUSALITY. THERE IS NO CAUSALITY PROBLEMS IN OPTICS.

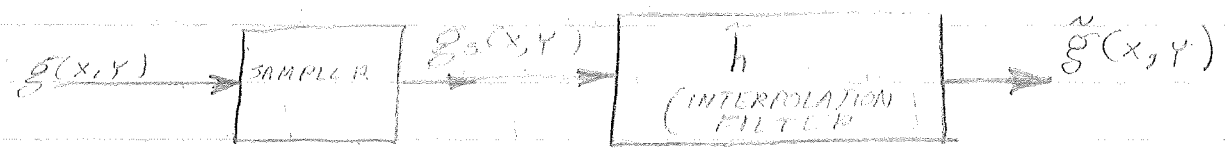
### SAMPLING THEOREM IN TWO DIMENSIONS

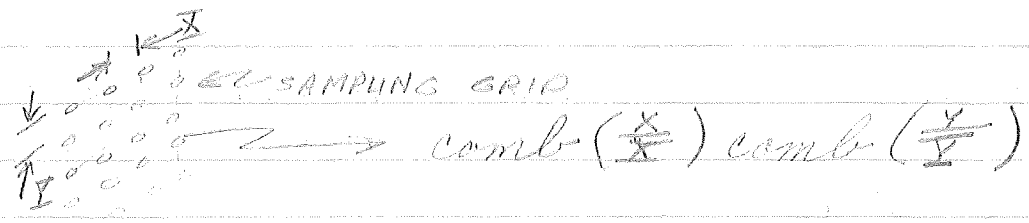
(WHITTAKER-SHANNON SAMPLING THEOREM)

WE NEED A BAND-LIMITED FUNCTION



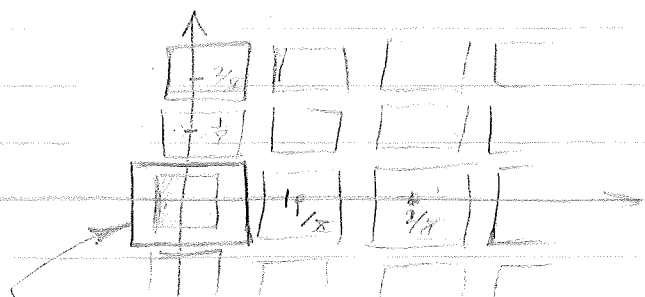
$$G(f_x, f_y) = 0 \text{ FOR } |f_x| > B_x, |f_y| > B_y$$





SO SAMPLED FUNCTION IS

$$\begin{aligned}
 g_s(x, y) &= g(x, y) \text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{y}{Y}\right) \\
 \mathcal{F}[g_s(x, y)] &= G_s(f_x, f_y) \\
 &= \mathcal{F}[g(x, y)] * (\text{comb}\left(\frac{f_x}{X}\right) \text{comb}\left(\frac{f_y}{Y}\right)) \\
 &= G(f_x, f_y) * XY \text{comb}(X f_x) \text{comb}(Y f_y) \\
 &= G(f_x, f_y) \sum_n \sum_m \delta\left(f_x - \frac{n}{X}, f_y - \frac{m}{Y}\right) \\
 &= \sum_n \sum_m G\left(f_x - \frac{n}{X}, f_y - \frac{m}{Y}\right)
 \end{aligned}$$



LOW PASS FILTER  $H(f_x, f_y) = \text{rect}\left[\frac{f_x}{2B_x}, \frac{f_y}{2B_y}\right]$

TO AVOID OVERLAPPING (ALIASING:)

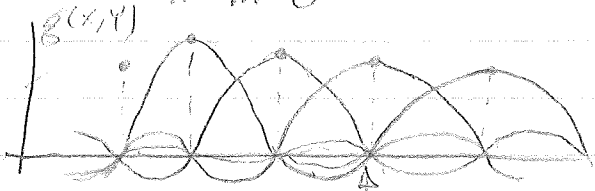
$$\left. \begin{aligned}
 \frac{1}{X} &\geq 2B_x \\
 \frac{1}{Y} &\geq 2B_y
 \end{aligned} \right\} \text{NYQUIST SAMPLING RATES}$$

$$\begin{aligned}
 H(f_x, f_y) &= \text{rect}\left[\frac{f_x}{2B_x}, \frac{f_y}{2B_y}\right] \\
 \Rightarrow h(x, y) &= \mathcal{F}^{-1}[H(f_x, f_y)] \\
 &= 4B_x B_y \text{sinc}(2B_x x, 2B_y y)
 \end{aligned}$$

THEN:  $g(x, y) = g_s(x, y) * h(x, y)$   
 $= h(x, y) * [g(x, y) \text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{y}{Y}\right)]$

LET  $X = \frac{1}{2B_x}$  AND  $Y = \frac{1}{2B_y}$

$$\Rightarrow g(x, y) = \sum_n \sum_m g\left(\frac{n}{2B_x}, \frac{m}{2B_y}\right) \text{sinc}\left[2B_x\left(x - \frac{n}{2B_x}\right), 2B_y\left(y - \frac{m}{2B_y}\right)\right]$$



NOTE  
ZERO CROSSOVER



AN ADDITIONAL HOMEWORK PROBLEM (DUE 9:16:75) THE  
 WE HAVE DEFINED THE POINT-SPREAD  
 FUNCTION,  $h(x, y)$ , OF AN OPTICAL  
 SYSTEM AS A RESPONSE TO  $\delta(x, y)$ .  
 WE MAY ALSO DEFINE THE LINE-SPREAD  
 FUNCTION,  $\tilde{h}(x)$  AS THE RESPONSE  
 TO A LINE SOURCE.

a. SHOW THAT  $\tilde{h}(x) = \int_{-\infty}^{\infty} h(x, y) dy$

b. FIND THE LINE-SPREAD FUNCTIONS,  
 $\tilde{h}(x)$  FOR SYSTEMS WITH IMPULSE  
 RESPONSES:

$$h(x, y) = \text{rect}(x) \text{rect}(y)$$

$$h(x, y) = \text{circ}(r) \text{ WHERE } r = \sqrt{x^2 + y^2}$$

c. SHOW THAT THE POINT-SPREAD  
 FUNCTION OF A SYSTEM CAN BE  
 DETERMINED IF THE LINE-SPREAD  
 FUNCTIONS ARE KNOWN FOR LINE  
 SOURCES WITH ALL POSSIBLE  
 ORIENTATIONS THROUGH THE ORIGIN  
 OF THE  $(x, y)$  PLANE  
HINT: (USE FREQUENCY DOMAIN REASONING)

9-16-75 (TUES)

H.W. # 2: GOODMAN CH 2 (9, 11), CH 3 (1, 2, 3, 4)

SCALAR DIFFRACTION THEORY

$$U(p, t) = U(p) \cos [2\pi \nu t + \phi(p)]$$

$$\nu \sim 10^{15} \text{ Hz}$$

$$\tilde{U}(p, t) = \underbrace{U(p)}_{\text{SPATIAL VARIATION}} e^{-j\phi(p)} e^{-j2\pi \nu t}$$

SPATIAL VARIATION      TEMPORAL VARIATION

TWO BASIC ASSUMPTIONS

- (1) SPACIAL VARIATIONS IN THE DIFFRACTING STRUCTURE (E.G. APERTURE) ARE COARSE COMPARED WITH  $\lambda$ ,

$$\lambda = \frac{c}{\nu}$$

- (2) THE DIFFRACTED FIELD MUST NOT BE OBSERVED TOO CLOSE TO THE DIFFRACTING STRUCTURE.

HELMHOLTZ EQUATION

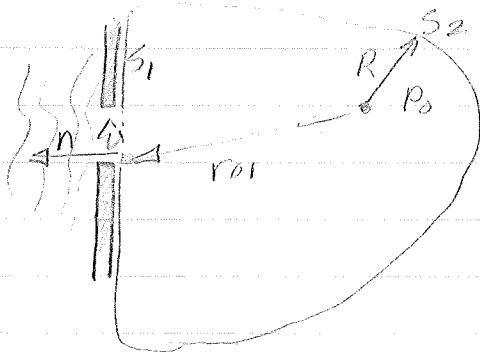
$$(\nabla^2 + k^2) \tilde{U} = 0 \Rightarrow \text{TIME INDEPENDENT EQUATION IN FREE SPACE}$$

GREEN'S THEOREM

$$\begin{aligned} \iiint_V [G \nabla^2 U - U \nabla^2 G] dV \\ = \iint_S (G \frac{dU}{dn} - U \frac{dG}{dn}) dS \end{aligned}$$

G IS A GREEN'S FUNCTION

U IS COMPLEX LIGHT FIELD.



$$U(P_0) = \frac{1}{4\pi} \iint_S \left\{ \frac{\partial U}{\partial n} \left[ \frac{e^{jk r_{01}}}{r_{01}} \right] - U \frac{\partial}{\partial n} \left[ \frac{e^{jk r_{01}}}{r_{01}} \right] \right\} dS$$

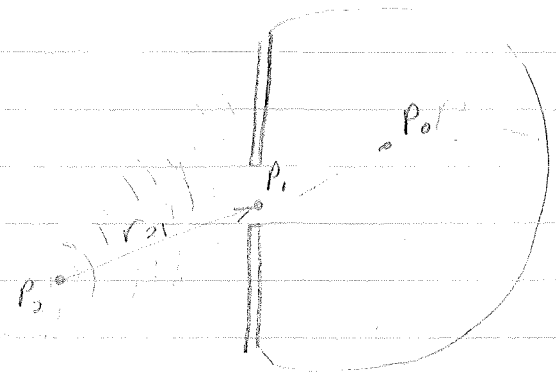
KIRCHHOFF BOUNDARY CONDITIONS

① ACCROSS  $\Sigma$ ,  $U$  AND  $\frac{\partial U}{\partial n}$  ARE SAME A.S IF NO SCREEN PRESENT.

② ACCROSS  $S_1$ ,  $U$  AND  $\frac{\partial U}{\partial n} = 0$

THEN

$$U(P_0) = \frac{1}{4\pi} \int_{\Sigma} \left[ \frac{\partial U}{\partial n} G - U \frac{\partial G}{\partial n} \right] ds$$



WILL GET @  $P_1$ ,  $U(P_1) = \frac{A e^{ikr_{01}}}{r_{21}}$

ASSUME, THE SOMMERFELD RADIATION COND.

$$\lim_{R \rightarrow \infty} R \left( \frac{\partial U}{\partial n} - j k U \right) = 0$$

GIVES FORMULA:

$$U(P_0) = \frac{A}{j\lambda} \int_{\Sigma} \frac{e^{jk(r_{21} + r_{01})}}{r_{21} r_{01}} \left[ \frac{\cos(\vec{n}, \vec{r}_{01}) - \cos(\vec{n}, \vec{r}_{21})}{2} \right] ds$$

THIS IS FRESNEL KIRCHHOFF DIFFRACTION FORMULA.

$$U(P_0) = \int_{\Sigma} U'(P_1) \frac{e^{jk r_{01}}}{r_{01}} ds$$

$\left. \begin{array}{l} \text{OBLIQUITY} \\ \text{FACTOR} \end{array} \right\}$

$$\Rightarrow U'(P_1) = \frac{1}{j\lambda} \frac{A e^{jk r_{21}}}{r_{21}} \left[ \frac{\cos(\dots) - \cos(\dots)}{2} \right] ds$$

SIMILAR EXPRESSION FOR RAYLEIGH-SOMMERFIELD

$$U(P_0) = \frac{A}{j\lambda} \int \int \frac{e^{jk(r_0, r_{01})}}{r_0 r_{01}} \cos(\bar{n}, \bar{r}_{01})$$

BOTH HAVE THE FORM:

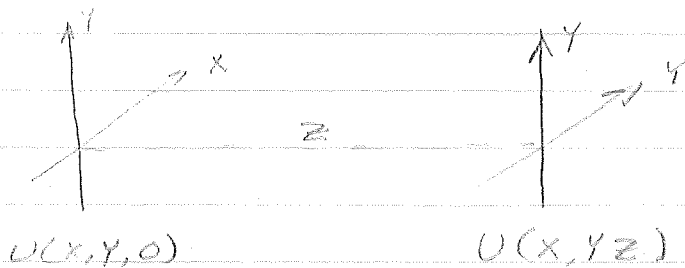
$$\hat{U}(P_0) = \int \int_{\Sigma} U(P_1) h(P_0, P_1) ds$$

$$h(P_0, P_1) = \frac{1}{j\lambda} \frac{e^{jk r_{01}}}{r_{01}} \cos(\bar{n}, \bar{r}_{01})$$

$$U(P_1) = A e^{jk r_{01}} / r_{01}$$

THIS IS THE HUYGEN'S PRINCIPLE.

9-18-75 (THURS.)



$$U(x, y, z) = \int \int_{-\infty}^{\infty} A_0(f_x, f_y) e^{-j2\pi(f_x x + f_y y)} df_x df_y$$

WHERE  $A_0(f_x, f_y) = \mathcal{F}\{U(x, y, 0)\}$

$$A_0(f_x, f_y) = \int \int_{-\infty}^{\infty} U(x, y, 0) e^{-j2\pi(f_x x + f_y y)} dx dy$$

FOR A PLANE WAVE PROPAGATING WITH DIRECTION COSINES  $\alpha, \beta, \gamma \Rightarrow \alpha^2 + \beta^2 + \gamma^2 = 1$

THEN

$$B(x, y, z) = e^{j \frac{2\pi}{\lambda} (\alpha x + \beta y + \gamma z)}$$

$$\text{AT } z=0, B(x, y, 0) = e^{j \frac{2\pi}{\lambda} (\alpha x + \beta y)}$$

COMPARE WITH  $U(x, y, 0)$

$$\Rightarrow f_x = \frac{\alpha}{\lambda}, f_y = \frac{\beta}{\lambda}$$

THEN  $A\left(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}\right) = \text{ANGULAR SPECTRUM OF } U(x, y, 0)$

WHAT ABOUT  $A\left(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}, z\right)$ ?

TO CALCULATE  $U(x, y, z)$  WE GOTTA SOLVE HELMHOLTZ'S EQ:  $\nabla^2 U + k^2 U = 0$

SOLUTION (FOR  $A(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}, z)$ ) IS

$$A(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}, z) = A_0(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) e^{i \frac{2\pi}{\lambda} \sqrt{1 - \alpha^2 - \beta^2} z} \Rightarrow \gamma^2 = 1 - \alpha^2 - \beta^2 = \beta^2$$

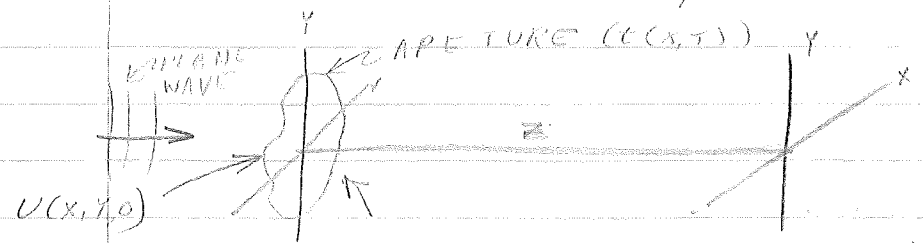
$$\frac{A(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}, z)}{A_0(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda})} = e^{i \frac{2\pi}{\lambda} \sqrt{1 - \alpha^2 - \beta^2} z} \leftarrow \text{TRANSFER FUNCTION}$$

FOR  $\alpha^2 + \beta^2 < 1$ ,  $e^{i \frac{2\pi}{\lambda} \sqrt{1 - \alpha^2 - \beta^2} z}$  IS A PROP. WAVE

FOR  $\alpha^2 + \beta^2 > 1$ , THE WAVE IS ATTENUATED EXPONENTIALLY (EVANESCENT WAVES)

$$\alpha^2 + \beta^2 < 1 \Rightarrow f_x^2 + f_y^2 < \frac{1}{\lambda^2} \Rightarrow \sqrt{f_x^2 + f_y^2} < \frac{1}{\lambda}$$

$$\alpha^2 + \beta^2 > 1 \Rightarrow \sqrt{f_x^2 + f_y^2} > \frac{1}{\lambda}$$

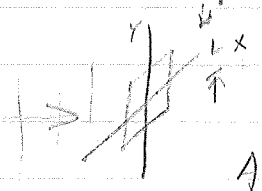


$$U_z(x, y, 0) = U_1(x, y, 0) \hat{E}(x, y)$$

$$A(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) = \delta(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda})$$

$$A_z(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) = \delta(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) * T(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) = T(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda})$$

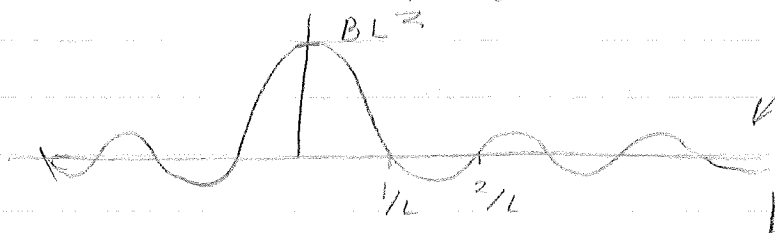
EXAMPLE:



LET  $U_1(x, y, 0) = B \text{rect}(\frac{x}{L}, \frac{y}{L})$

$$A_0(f_x, f_y) = BL^2 \text{sinc} Lf_x \text{sinc} Lf_y$$

$$\Rightarrow A_0(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}) = BL^2 \text{sinc} \frac{L\alpha}{\lambda} \text{sinc} \frac{L\beta}{\lambda}$$



WHERE DOES  $f_x = \frac{1}{\lambda}$

NO PROPAGATING WAVES

$$f_x = \frac{1}{\lambda}$$

EVANESCENT CUT-OFF:

$f_x^2 + f_y^2 > \frac{1}{\lambda^2}$  OR  $\alpha^2 + \beta^2 = 1$   
 FOR  $L \gg \lambda$ , MOST SPATIAL FREQUENCIES  
 GET THROUGH.

FOR  $L \sim \lambda$ , CUT-OFF AROUND  $\frac{1}{L}$ .

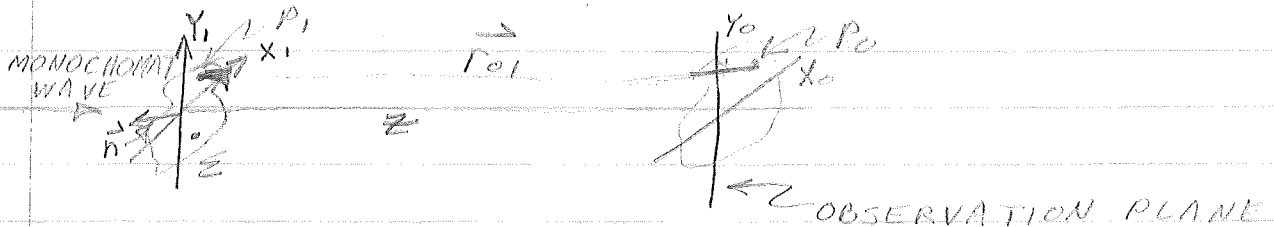
SPECTRAL COMPONENTS AT SPATIAL  
 FREQUENCIES  $> \frac{1}{L}$  WON'T PROPAGATE.  
 IF  $\frac{1}{L} \ll \frac{1}{\lambda}$ , THEN THE APERTURE  
 WILL PASS A WIDE RANGE OF  
 SPATIAL FREQS. HOWEVER,  
 IF  $\frac{1}{L} > \frac{1}{\lambda}$  (i.e.  $L < \lambda$ ), THEN  
 $f_x^2 + f_y^2 > \frac{1}{\lambda^2} \Rightarrow$  NO PROPAGATION  
 AT THOSE FREQUENCIES.

9-23-75 (TUES)

CH. 4 (1,4,5,6) + 1 SPECIAL PROBLEM TO BE  
 HANDED OUT THURS. DUE TUES 9-30

NOTES:

CH-4: FRESNEL AND FRAUNHOFER APPROXIMATION



HUYGEN'S-FRESNEL PRINCIPLE:

$$U_0(x_0, y_0) = \iint_{\Sigma} U(x_1, y_1) \tilde{h}(x_0, y_0; x_1, y_1) dx_1 dy_1$$

WHERE, FROM RAYLEIGH-SUMMERS FIELD

$$\tilde{h}(x_0, y_0; x_1, y_1) = \frac{1}{j\lambda} \frac{e^{jkr_{01}}}{r_{01}} \cos(\vec{n}, \vec{r}_{01})$$

ASSUMPTIONS:

1.  $\cos(\hat{n}, \hat{r}_{01}) \approx 1 \leftarrow$  OBLIQUITY FACTOR (GOOD WITHIN  $\sim 5\%$ )  $\leftarrow$

THIS IS A PARAXIAL TYPE OF APPROXIMATION

2.  $r_{01}$  IN DENOMINATOR  $\approx z$

3.  $r_{01}$  IN EXPONENT

$$r_{01} = [z^2 + (x_0 - x_1)^2 + (y_0 - y_1)^2]^{1/2}$$

MAKE A BINOMIAL EXPANSION:

$$\text{NOW: } \sqrt{1+b} \approx 1 + \frac{1}{2}b - \frac{1}{8}b^2 + \dots \approx 1 + \frac{1}{2}b \text{ FOR } b \ll 1$$

$$\text{SINCE } r_{01} = z \sqrt{1 + \frac{(x-x_0)^2 + (y-y_0)^2}{z^2}}$$

$$\approx z \left[ 1 + \frac{1}{2} \frac{(x-x_0)^2}{z^2} + \frac{1}{2} \frac{(y-y_0)^2}{z^2} \right]$$

THIS IS THE FRESNEL APPROXIMATION

GIVING THE FRESNEL TRANSFORM:

$$U(x_0, y_0) = \frac{1}{j\lambda z} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j\frac{2\pi}{\lambda} z \left[ 1 + \frac{(x-x_0)^2}{2z^2} + \frac{(y-y_0)^2}{2z^2} \right]} U(x_1, y_1) dx_1 dy_1$$

NOTE THAT IN THE FRESNEL APPROXIMATION, SPHERICAL WAVES ARE APPROXIMATED BY PARABOLIC WAVEFRONTS.

$$\text{NOW } U_0(x_0, y_0) = \frac{e^{jkz}}{j\lambda z} e^{j\frac{k}{2z}(x_0^2 + y_0^2)} \times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) e^{j\frac{k}{2z}(x_1^2 + y_1^2)} e^{-j\frac{2\pi}{\lambda z}(x_0 x_1 + y_0 y_1)} dx_1 dy_1$$

$$\text{IF } f_x \stackrel{\Delta}{=} \frac{x_0}{\lambda z}, \quad f_y \left( \frac{y_0}{\lambda z} \right)$$

$$\text{THEN } U_0 \left( \frac{x_0}{\lambda z}, \frac{y_0}{\lambda z} \right) = U_0(f_x, f_y)$$

$$= \frac{e^{jkz}}{j\lambda z} e^{j\frac{k}{2z}(x_0^2 + y_0^2)} \iint U(x_1, y_1) e^{j\frac{k}{2z}(x_1^2 + y_1^2)}$$

FOR FRESNEL APPROXIMATION TO BE VALID,  $z^3 \gg \frac{\pi}{4\pi} [(x_0 - x_1)^2 + (y_0 - y_1)^2]_{\text{MAX}}$

FOR FRAUNHOFER APPROXIMATION  
 TO BE VALID,  $z \gg \frac{k}{2} (x_1^2 + y_1^2)_{\max}$   
 THESE ARE TRUE AS FAR AS THE  
 INTEGRAL'S KERNEL IS CONCERNED.  
 HOWEVER, EVALUATION OF THE INTEGRAL  
 DOES NOT DICTATE SUCH SEVERE  
 CONSTRAINT, SINCE THE PHASE TERMS  
 OSCILLATE SO MUCH IN BOTH TRUE  
 AND APPROXIMATED VERSIONS. CONCEPT CALLED;

### METHOD OF STATIONARY PHASE

PROBLEM: FIND AN ASYMPTOTIC EXPRESSION FOR

$$I = \int_{-\infty}^{\infty} g(x, y) e^{jk\mu(x, y)} dx dy$$

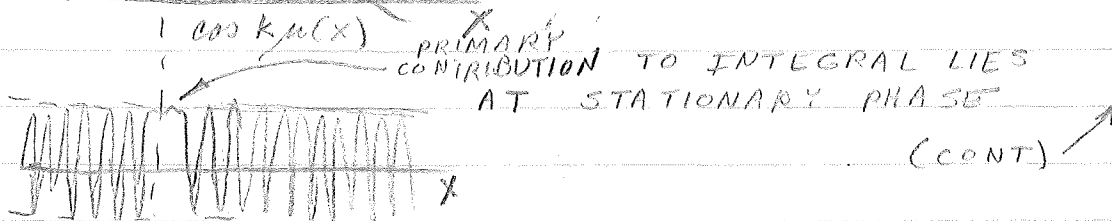
FOR  $k \gg 1$  (i.e. VERY LARGE  $k$ )

PHYSICAL ARGUMENTS CONSIDER THE 1-D  
 INTEGRAL

$$\int g(x) e^{jk\mu(x)} dx = \int g(x) \cos k\mu(x) dx - j \int g(x) \sin k\mu(x) dx$$

FOR LARGE  $k$ , THE INTEGRAND OSCILLATES  
 RAPIDLY EXCEPT AT SO-CALLED

"STATIONARY POINTS" WHERE  $\frac{d\mu}{dx} = 0$



(SEE APPENDIX IN BORN & WOLF ON  
 STATIONARY PHASE)



FOR TWO DIMENSIONS

LET  $x_0, y_0$  BE THE ONLY STATIONARY POINT OF  $\mu(x, y)$ . THAT IS  $\frac{\partial \mu}{\partial x} \Big|_{x_0, y_0} = \frac{\partial \mu}{\partial y} \Big|_{x_0, y_0} = 0$ . FURTHER ASSUMPTIONS SATISFIED IS

THE CASE OF INTEREST:

$$\alpha_0 \triangleq \frac{\partial^2 \mu}{\partial x^2} \Big|_{x_0, y_0} \neq 0 \quad \beta_0 \triangleq \frac{\partial^2 \mu}{\partial y^2} \Big|_{x_0, y_0} \neq 0$$

FURTHERMORE,  $\alpha_0 \beta_0 - \delta_0^2 \neq 0$  WHERE

$$\delta_0 \triangleq \frac{\partial^2 \mu}{\partial x \partial y} \Big|_{x_0, y_0}$$

EXPAND  $\mu(x, y)$  ABOUT THE STATIONARY PT, IN A TWO-DIMENSIONAL TAYLOR SERIES

$$\mu(x, y) = \mu(x_0, y_0) + \frac{1}{2} \alpha_0 (x - x_0)^2 + \frac{1}{2} \beta_0 (y - y_0)^2 + \delta (x - x_0)(y - y_0) + \dots$$

CONCENTRATE ON THE LOWEST ORDER TERMS DUE TO THE FACT THAT THE MAIN CONTRIBUTIONS TO  $I$  WILL COME FROM  $x \approx x_0$  AND  $y \approx y_0$ . BASED ON THE ABOVE, WE REPLACE  $g(x, y)$  IN  $I$  BY  $g(x_0, y_0)$ . GIVES:

$$I \approx g(x_0, y_0) e^{jk\mu(x_0, y_0)} \times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j \frac{k}{2} (\alpha_0 \xi^2 + \beta_0 \eta^2 + 2\delta_0 \xi \eta)} d\xi d\eta$$

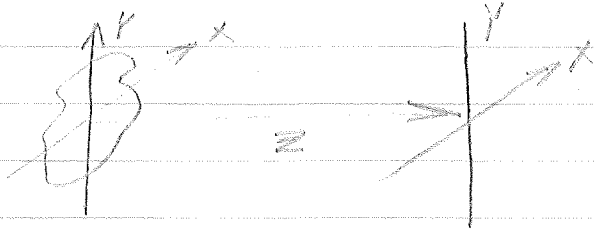
WHERE WE'VE LET  $\xi = x - x_0, \eta = y - y_0$ . FROM INTEGRAL TABLES:

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j \frac{k}{2} (\alpha_0 \xi^2 + \beta_0 \eta^2 + 2\delta_0 \xi \eta)} d\xi d\eta = \frac{2\pi j^\sigma}{\sqrt{|\alpha_0 \beta_0 - \delta_0^2|}} \cdot \frac{1}{k}$$

$$\text{WHERE } \sigma = \begin{cases} 1, & \alpha_0 \beta_0 > \delta_0^2; \alpha_0 > 0 \\ -1, & \alpha_0 \beta_0 > \delta_0^2, \alpha_0 < 0 \\ -j, & \alpha_0 \beta_0 < \delta_0^2 \end{cases}$$

THUS  $\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{jk\mu(x, y)} dx dy \approx \frac{2\pi j^\sigma}{\sqrt{|\alpha_0 \beta_0 - \delta_0^2|}} g(x_0, y_0) \frac{e^{jk\mu(x_0, y_0)}}{k}$

9-25-75 (THURS.)

APPLICATION: FRESNEL DIFFRACTION DEEP  
IN NEAR FIELD

FRESNEL APPROXIMATION (TRANSFORM)

$$\tilde{U}(x_0, y_0) = \frac{e^{jkz}}{j\lambda z} \iint_{-\infty}^{\infty} t(x, y) e^{j\frac{\pi}{\lambda z} [(x-x_0)^2 + (y-y_0)^2]} dx dy$$

$$\text{LET } k = \frac{\pi}{\lambda z}, \quad U(x, y) = (x-x_0)^2 + (y-y_0)^2$$

$$\frac{\partial U}{\partial x} = 2(x-x_0) \quad ; \quad \frac{\partial U}{\partial y} = 2(y-y_0)$$

$$\Rightarrow \frac{\partial U}{\partial x} \Big|_{x=x_0} = 0 \quad ; \quad \frac{\partial U}{\partial y} \Big|_{y=y_0} = 0$$

 $\Rightarrow (x_0, y_0)$  ARE THE STATIONARY POINTSEVALUATE @  $(x, y) = (x_0, y_0)$ :

$$a_0 = \frac{\partial^2 U}{\partial x^2} \Big|_{x_0, y_0} = 2$$

$$b_0 = \frac{\partial^2 U}{\partial y^2} \Big|_{x_0, y_0} = 2$$

$$c_0 = \frac{\partial^2 U}{\partial x \partial y} = 0$$

NOW, SINCE  $a_0 b_0 = 4 > c_0^2 = 0 \Rightarrow \sigma = 1$  $\therefore$  USING THE TABULATED INTEGRAL:

$$U(x_0, y_0) = \frac{e^{jkz}}{j\lambda z} t(x_0, y_0) \frac{2\pi j}{\sqrt{4}} e^{jkc_0} \frac{1}{\pi/\lambda z}$$

RECALL:

$$I = \iint g(x, y) e^{jk\mu(x, y)} dx dy$$

$$\text{LET } \xi = x - x_0, \quad \eta = y - y_0$$

USING TAYLOR SERIES EXPANSIONS:

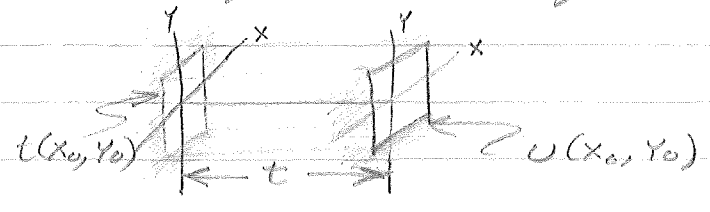
$$I \approx g(x_0, y_0) e^{jk\mu(x_0, y_0)}$$

$$\times \iint e^{j\frac{k}{z} (a_0 \xi^2 + b_0 \eta^2 + 2c_0 \xi \eta)} d\xi d\eta$$

$$\therefore e^{j0} \text{ CAME FROM } e^{j\frac{\pi}{\lambda z} [(x-x_0)^2 + (y-y_0)^2]} \Big|_{x_0, y_0} = e^{j0}$$

ANYWAY, WE WIND UP WITH

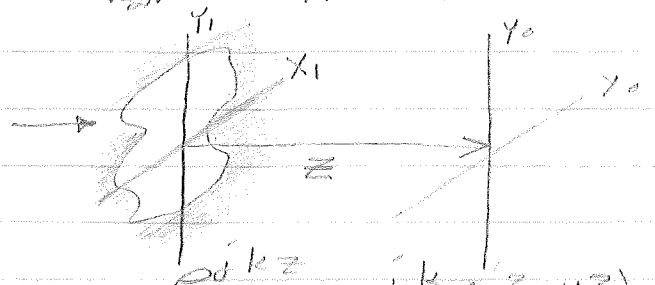
$$U(x_0, y_0) = t(x_0, y_0) e^{jkz} \leftarrow \text{PROJECTION OF APERTURE BASED ON GEOMETRIC OPTICS}$$



RECALL FRAUNHOFER APPROXIMATION:

$$U(x_0, y_0) = \frac{e^{jkz}}{j\lambda z} e^{j\frac{k}{2z}(x_0^2 + y_0^2)}$$

$$\times \iint_{-\infty}^{\infty} U(x_1, y_1) e^{-j\frac{2\pi}{\lambda z}(x_1 x_0 + y_1 y_0)} dx_1 dy_1$$



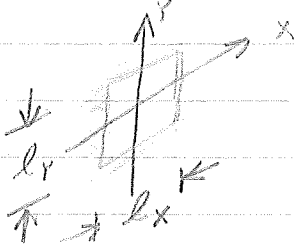
$$U(x_0, y_0) = \frac{e^{jkz}}{j\lambda z} e^{j\frac{k}{2z}(x_0^2 + y_0^2)} \mathcal{F}_1[U(x_1, y_1)] \Big|_{\substack{f_x = x_0/\lambda z \\ f_y = y_0/\lambda z}}$$

IF SCREEN PLACED IN  $x_0, y_0$  PLANE, WE "SEE"

INTENSITY:  $I(x_0, y_0) \triangleq |U(x_0, y_0)|^2$

$$= \frac{1}{\lambda^2 z^2} \left| \mathcal{F}_1 \{ U(x_0, y_0) \} \right|^2 \Big|_{\substack{f_x = \frac{x_0}{\lambda z} \\ f_y = \frac{y_0}{\lambda z}}}$$

TO CONSIDER: SQUARE APERTURE



$$U_{\text{TRANS}} = U_{\text{INCIDENCE}} \cdot t(x, y)$$

NOW  $t(x, y) = \text{rect}\left(\frac{x}{l_x}, \frac{y}{l_y}\right)$

LET  $U_{\text{INCIDENCE}} = 1$

$$\Rightarrow U_{\text{TRANS}} = t(x, y)$$

THEN IN FRAUNHOFER REGION:

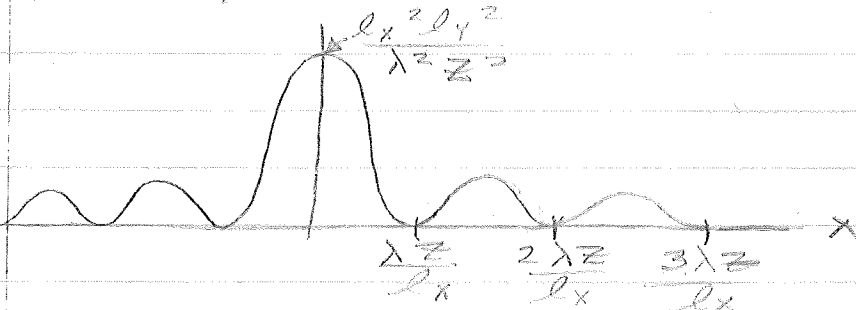
$$|U(x, y)|^2 = \frac{1}{\lambda^2 z^2} \left| \int \int t(x, y) e^{i k_x x + i k_y y} dx dy \right|^2$$

$f_x = x/\lambda z$   
 $f_y = y/\lambda z$

$$\mathcal{F}[\text{rect}\left(\frac{x}{l_x}, \frac{y}{l_y}\right)] = l_x l_y \text{sinc}(l f_x, l f_y)$$

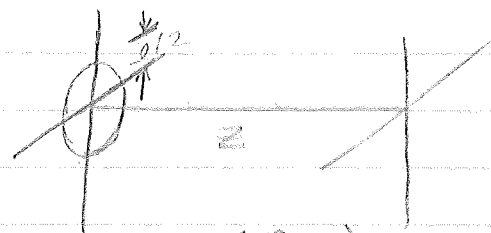
$$I(x, y) = |U(x, y)|^2 = \frac{l_x^2 l_y^2}{\lambda^2 z^2} \text{sinc}^2\left(\frac{l_x x}{\lambda z}\right) \text{sinc}^2\left(\frac{l_y y}{\lambda z}\right)$$

IN THE  $y=0$  PLANE



HALF WIDTH OF THE MAIN LOBE IS  $\frac{\lambda z}{l_x}$

II. CONSIDER CIRCULAR APERTURE



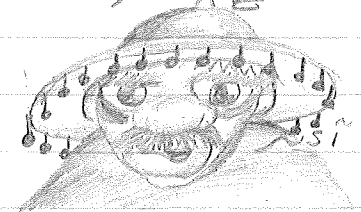
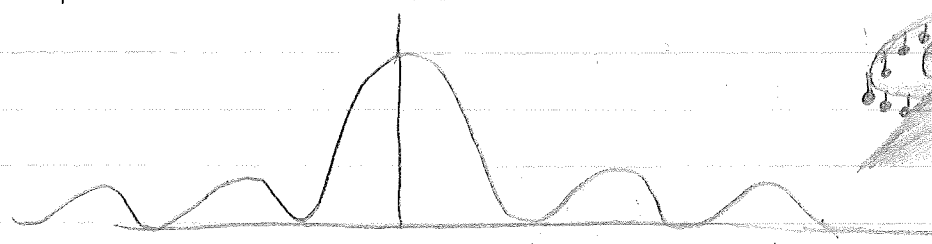
$$t(r, z) = \text{circ}\left(\frac{r}{\frac{r_0}{2}}\right)$$

$$I(r_0) = \frac{1}{\lambda^2 z^2} \left| B \left\{ \text{circ}\left(\frac{r}{\frac{r_0}{2}}\right) \right\} \right|^2 \quad \rho = \frac{r_0}{\lambda z}$$

$$B[\text{circ}\left(\frac{r}{\frac{r_0}{2}}\right)] = \left(\frac{\rho}{2}\right) \frac{J_1(\pi \rho)}{\rho/2}$$

$$\Rightarrow I(r_0) = \frac{1}{\lambda^2 z^2} \left(\frac{\rho}{2}\right)^4 \left| \frac{J_1(\pi \rho)}{\rho/2} \right|^2$$

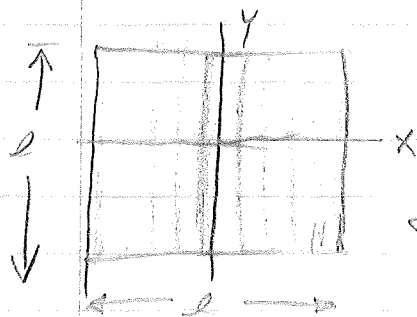
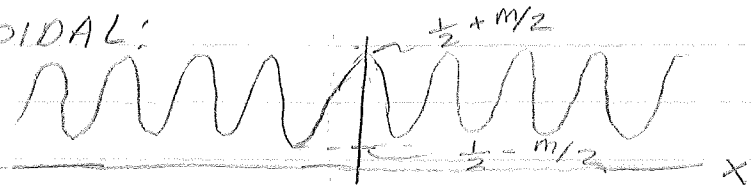
= SQUARE OF SOMBRARO FUNCTION



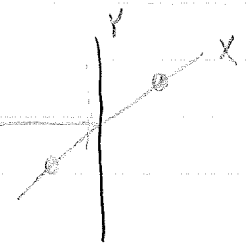
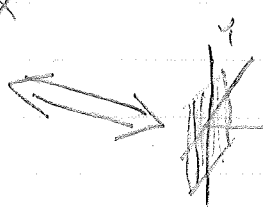
→ AIRY DISC ←

III. CONSIDER GRATINGS

SINUSOIDAL:



$$t(x, y) = \left[ \frac{1}{2} + \frac{m}{2} \cos(2\pi \frac{l}{\lambda} x) \right] \text{rect}\left(\frac{x}{l}, \frac{y}{l}\right)$$



(CONT →)

$$I(x, y) = \frac{1}{\lambda^2 z^2} \left| \mathcal{F}\{t(x, y)\} \right|^2 \quad \left| f_x = x/\lambda z, f_y = y/\lambda z \right.$$

$$t(x, y) = \left[ \frac{1}{2} + \frac{m}{2} \cos(2\pi f_0 x) \right] \text{rect} \left[ \frac{x}{e}, \frac{y}{e} \right]$$

$$\mathcal{F}\{t(x, y)\} = \mathcal{F} \left[ \frac{1}{2} + \frac{m}{2} \cos(2\pi f_0 x) \right] * \mathcal{F} \left[ \text{rect} \left( \frac{x}{e}, \frac{y}{e} \right) \right]$$

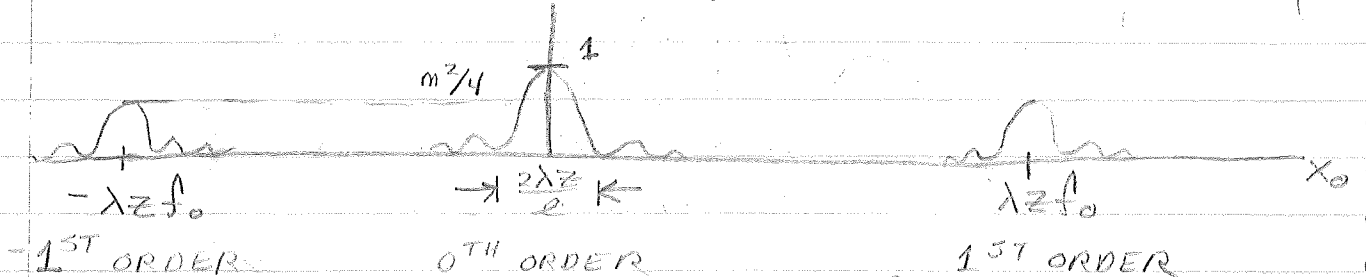
$$\begin{cases} \mathcal{F} \left[ \text{rect} \left( \frac{x}{e}, \frac{y}{e} \right) \right] = e^2 \text{sinc}(e f_x, e f_y) \\ \mathcal{F} \left[ \frac{1}{2} \right] = \frac{1}{2} \delta(f_x, f_y) \\ \mathcal{F} \left[ \frac{m}{2} \cos(2\pi f_0 x) \right] = \frac{m}{4} \delta(f_x + f_0, f_y) + \frac{m}{4} \delta(f_x - f_0, f_y) \end{cases}$$

$$\mathcal{F}\{t(x, y)\} = \left[ \frac{1}{2} \delta(f_x, f_y) + \frac{m}{4} \delta(f_x + f_0, f_y) + \frac{m}{4} \delta(f_x - f_0, f_y) \right] * e^2 \text{sinc}(e f_x, e f_y)$$

$$= \frac{e^2}{2} \text{sinc}(e f_x, e f_y) + \frac{m e^2}{4} \text{sinc}[e(f_x + f_0), e f_y] + \frac{m e^2}{4} \text{sinc}[e(f_x - f_0), e f_y]$$

NEGLECTING CROSS-TERMS:

$$I(x_0, y_0) = \frac{e^2}{2\lambda^2 z^2} \text{sinc}^2 \left( \frac{e y_0}{\lambda z} \right) \left[ \text{sinc}^2 \frac{e x_0}{\lambda z} + \frac{m^2}{4} \text{sinc}^2 \left[ \frac{e}{\lambda z} (x_0 + \lambda z f_0) \right] + \frac{m^2}{4} \text{sinc}^2 \left[ \frac{e}{\lambda z} (x_0 - \lambda z f_0) \right] \right]$$



$\Rightarrow$  FOR GOOD RESOLUTION,  $f_0 \gg \frac{1}{e}$   
 i.e.  $e \gg \frac{1}{f_0} \Rightarrow$  LOTS OF PERIODS OF GRATING IN  $e$ .

10-2-75 (THURS)

GRATING 3

1. THIN AMPLITUDE GRATING

$d \ll 1/f_0$  ;  $d = \text{THICKNESS}$

$f_0 = \text{GRATING FREQ}$

$$t(x, y) = \left[ \frac{1}{2} + \frac{m}{2} \cos 2\pi f_0 x \right] \text{rect} \left[ \frac{x}{l}, \frac{y}{l} \right]$$

$$\mathcal{F}[t(x, y)] = \left[ \frac{1}{2} \delta(f_x, f_y) + \frac{m}{4} \delta(f_x - f_0, f_y) + \frac{m}{4} \delta(f_x + f_0, f_y) \right]$$

$$* l^2 \text{sinc} [l f_x, l f_y]$$

$$= \frac{l^2}{2} \text{sinc} [l f_x, l f_y]$$

$$+ \frac{l^2 m}{4} \text{sinc} [l f_x - f_0, l f_y]$$

$$+ \frac{l^2 m}{4} \text{sinc} [l f_x + f_0, l f_y]$$

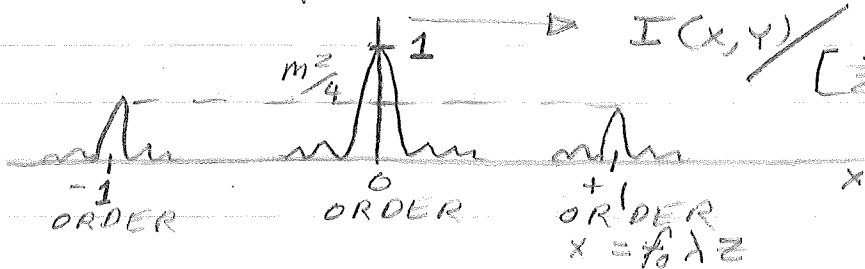
$$U(x_0, y_0) = \frac{1}{j \lambda z} e^{i k z} e^{i \frac{k}{2z} (x_0^2 + y_0^2)}$$

$$\times \left\{ \frac{l^2}{2} \text{sinc} \left( \frac{l y_0}{\lambda z} \right) \left[ \text{sinc} \frac{l x_0}{\lambda z} + \frac{m}{2} \text{sinc} \left[ \frac{l}{\lambda z} (x_0 + f_0 \lambda z) \right] + \frac{m}{2} \text{sinc} \left[ \frac{l}{\lambda z} (x_0 - f_0 \lambda z) \right] \right] \right\}$$

$$I(x_0, y_0) = |U(x_0, y_0)|^2 \quad \text{FOR } f_0 \gg z/l$$

$$\approx \left[ \frac{l^2}{2 \lambda z} \right]^2 \text{sinc}^2 \left( \frac{l y_0}{\lambda z} \right)$$

$$\times \left[ \text{sinc}^2 \frac{l x_0}{\lambda z} + \frac{m^2}{4} \text{sinc}^2 \left[ \frac{l}{\lambda z} (x_0 + f_0 \lambda z) \right] + \frac{m^2}{4} \text{sinc}^2 \left[ \frac{l}{\lambda z} (x_0 - f_0 \lambda z) \right] \right]$$



$\eta \triangleq \text{DIFFRACTION EFFICIENCY}$

$= m^2/16 \Rightarrow \eta_{\text{MAX}} = 1/16 = 6.25\%$

FOR INFINITE GRATING ( $l = \infty$ )

$$t(x, y) = \frac{1}{2} + \frac{m}{4} e^{j 2\pi f_0 x} + \frac{m}{4} e^{-j 2\pi f_0 x}$$

## 2. THIN PHASE GRATING (Pg 69 IN GOODMAN)

$$t(x, y) = \left[ e^{j \frac{m}{\lambda} \sin(2\pi f_0 x)} \right] \text{rect} \left[ \frac{x}{l}, \frac{y}{l} \right]$$

$$= \left[ \sum_{q=-\infty}^{\infty} J_q \left( \frac{m}{\lambda} \right) e^{j 2\pi q f_0 x} \right] \text{rect} \left[ \frac{x}{l}, \frac{y}{l} \right]$$

$$F[t(x, y)] = \sum_{q=-\infty}^{\infty} J_q \left( \frac{m}{\lambda} \right) \delta(f_x - q f_0, f_y)$$

$$* l^2 \text{sinc}(l f_x, l f_y)$$

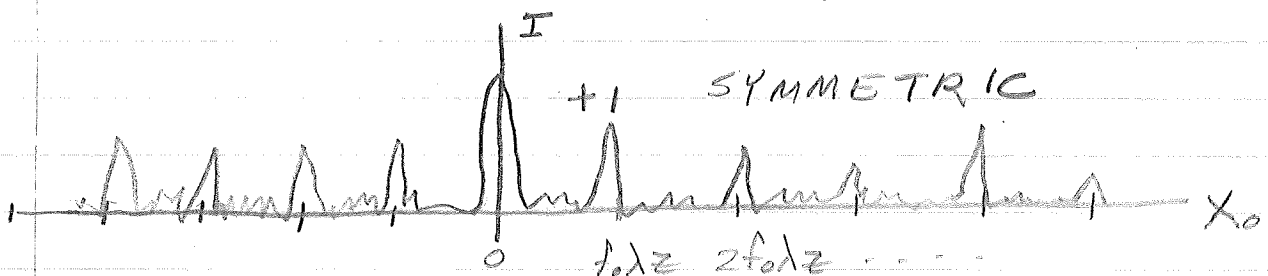
$$= l^2 \sum_{q=-\infty}^{\infty} J_q \left( \frac{m}{\lambda} \right) \text{sinc} [l \{f_x - q f_0\}, l f_y]$$

$$U(x_0, y_0) = \frac{l^2}{\lambda z} e^{j k z} e^{j \frac{k}{2z} (x^2 + y^2)}$$

$$\sum_{q=-\infty}^{\infty} J_q \left( \frac{m}{\lambda} \right) \text{sinc} \left[ l \left( \frac{x_0}{\lambda z} - q f_0 \right), l \frac{y_0}{\lambda z} \right]$$

$$I(x_0, y_0) = |U(x, y)|^2$$

$$= \left( \frac{l^2}{\lambda z} \right)^2 \sum_{q=-\infty}^{\infty} J_q^2 \left( \frac{m}{\lambda} \right) \text{sinc}^2 \left[ \frac{l}{\lambda z} (x_0 - q f_0 \lambda z) \right] \\ \times \text{sinc}^2 \left[ \frac{l y_0}{\lambda z} \right]$$



FOR LARGE APERTURE

$$\eta = J_1^2 \left( \frac{m}{\lambda} \right) = \text{DIFFRACTION EFFIC.}$$

$$\eta_{\text{MAX}} \approx J_1^2(1.842) = (0.5819)^2 = 33.9\%$$

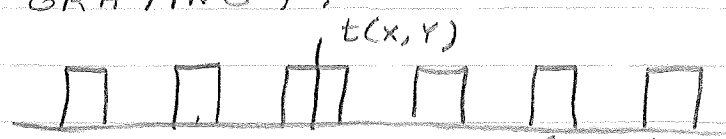
FOR  $m = 3.684$ 

$$t(x, y) = \sum J_q \left( \frac{m}{\lambda} \right) e^{j 2\pi q f_0 x} \Rightarrow J_1^2 \left( \frac{m}{\lambda} \right)$$

THICK GRATING MAY HAVE LARGER  $\eta$



NOTE: SQUARE WAVE GRATING (AMPLITUDE GRATING):



$t \sim \text{rect}(x) * \text{comb}(x)$   
 $\tilde{t}[t] = \text{sinc}(f_x) \text{comb}(f_x)$



IT TURNS OUT  $n = 10.190$

10-6-75 (TUES)

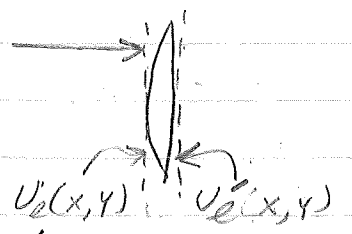
H.W #4 - DUE TUES 10/14

CH. 5 (2, 4, 5, 8, 9, 10)

QUIZ - TUES 10/21

NOTES: (CHAPT. 5)

"THIN" LENS  $\Rightarrow$  RAY EMERGES AT SAME COORDINATE IT ENTER

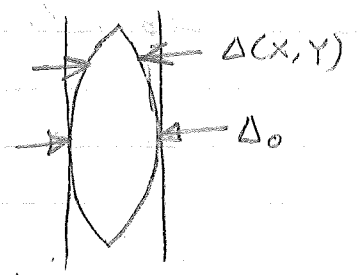


(NO TRANSLATION)  
 (ALSO, NO ATTENUATION)

$U_0'(x, y) = t(x, y) U_0(x, y)$

NON ATTENUATING  $\Rightarrow t(x, y) = \text{PURE PHASE} = e^{j\phi(x, y)}$

$\phi(x, y) = \left(\frac{2\pi}{\lambda} n\right) \Delta(x, y) + \frac{2\pi}{\lambda} [\Delta_0 - \Delta(x, y)]$   
DUE TO AIR



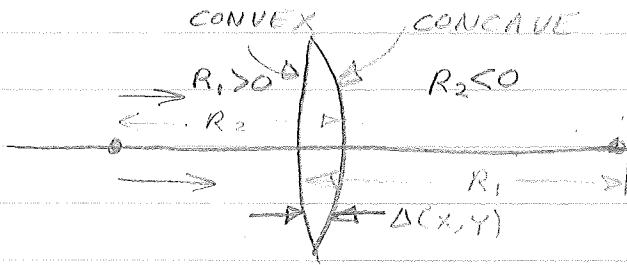
$n = \text{REFRACTIVE INDEX}$   
 $\approx 1.5 \text{ FOR OPTICAL GLASS}$

$\phi(x, y) = k\Delta_0 + k(n-1)\Delta(x, y)$

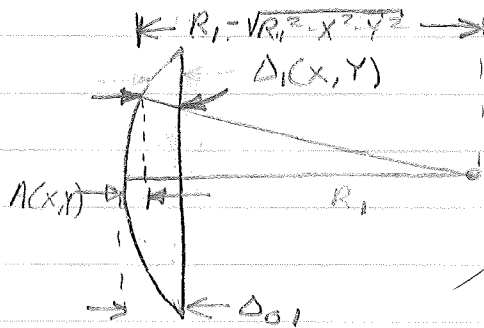
$k\Delta_0 = \text{PHASE DELAY IN FREE SPACE IN ABSENCE OF LENS}$

$k(n-1)\Delta(x, y) = \text{EXCESS DELAY}$

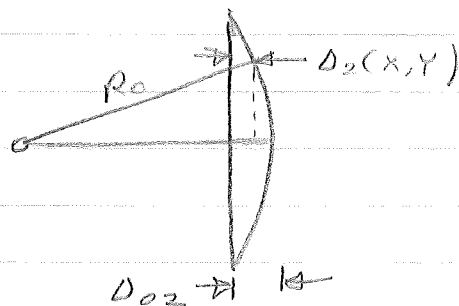
## SIGN CONVENTION



AS RAYS TRAVEL FROM LEFT TO RIGHT, EACH CONVEX SPHERICAL SURFACE ENCOUNTERED IS TAKEN TO HAVE A POSITIVE RADIUS OF CURVATURE, AND EACH CONCAVE SPHERICAL SURFACE ENCOUNTERED IS TAKEN TO HAVE A NEGATIVE RADIUS OF CURVATURE.



$$\begin{aligned}\Delta_1(x, y) &= \Delta_{01} - \Delta(x, y) \\ &= \Delta_{01} - [R_1 - \sqrt{R_1^2 - x^2 - y^2}] \\ &= \Delta_{01} - R_1 \left[ 1 - \sqrt{1 - \frac{x^2 + y^2}{R_1^2}} \right]\end{aligned}$$



$$\begin{aligned}\Delta_2(x, y) &= \Delta_{02} + R_2 - \sqrt{R_2^2 - x^2 - y^2} \\ &= \Delta_{02} + R_2 \left[ 1 - \sqrt{1 - \frac{x^2 + y^2}{R_2^2}} \right]\end{aligned}$$

PARAXIAL APPROXIMATION IS NOW APPLIED

$$\sqrt{1 - \frac{x^2 + y^2}{R_1^2}} \approx 1 - \frac{x^2 + y^2}{2R_1^2} \quad ; \quad x^2 + y^2 \ll R_1^2$$

$$\sqrt{1 - \frac{x^2 + y^2}{R_2^2}} \approx 1 - \frac{x^2 + y^2}{2R_2^2} \quad ; \quad x^2 + y^2 \ll R_2^2$$

$$\Delta(x, y) = \Delta_1(x, y) + \Delta_2(x, y)$$

$$= \Delta_0 - \left( \frac{x^2 + y^2}{2} \right) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$$

RECALL

$$t_e(x, y) = e^{jk\Delta_0} e^{jk(n-1)\Delta(x, y)}$$

$$= e^{jk\Delta_0} e^{jk(n-1) \left[ \Delta_0 - \left( \frac{x^2 + y^2}{2} \right) \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \right]}$$

$$= e^{jk n \Delta_0} e^{-jk(n-1) \left( \frac{x^2 + y^2}{2} \right) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)}$$

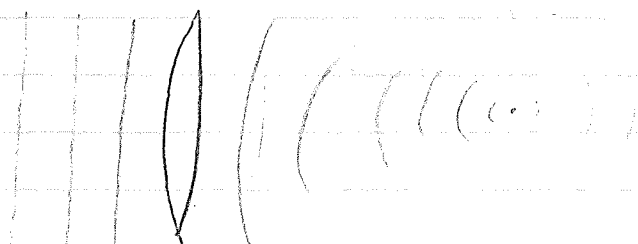
FROM CLASSICAL OPTICS, THE LENS' FOCAL LENGTH,  $f$ , IS DEFINED AS

$$\frac{1}{f} = (n-1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right]$$

THUS

$$t_e(x, y) = e^{jk n \Delta_0} e^{-j \frac{k}{2f} (x^2 + y^2)}$$

MAY DROP  $e^{jk n \Delta_0}$ . WE DON'T CONCERN OURSELVES WITH THIS POSITION INVARIANT PHASE DELAY.

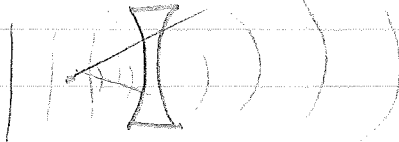


$\hat{E}(x, y) = e^{-j \frac{k}{2f} (x^2 + y^2)}$  WILL MAP AN INCIDENT PLANE WAVE TO A FOCUS POINT IN REAR FOCAL PLANE (CONVERGING SPHERICAL WAVE)


"POSITIVE" LENSES  $\Rightarrow f > 0$

"NEGATIVE" LENSES  $\Rightarrow f < 0$

POSITIVE: 

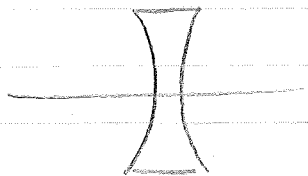
NEGATIVE: 

DOUBLE CONVEX LENS:

  $\Rightarrow \frac{1}{f} = (n-1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$

$R_1 > 0, R_2 < 0, n > 1 \Rightarrow f > 0$

DOUBLE CONCAVE LENS:



$R_1 < 0, R_2 > 0, n > 1$

$\Rightarrow f < 0$

PLANO-CONVEX



$R_1 > 0, R_2 = \infty \Rightarrow f > 0$

POSITIVE MENISCUS  $\Rightarrow$



$R_1 > 0, R_2 > 0$

$R_2 > R_1 \Rightarrow f > 0$

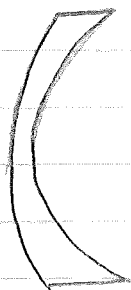
PLANO CONCAVE



$R_1 < 0, R_2 = \infty$

$\Rightarrow f < 0$

# NEGATIVE MENISCUS



$$R_1 > 0, R_2 > 0$$

$$R_1 > R_2$$

$$f < 0$$

RECALL FOR A DIVERGING SPHERICAL WAVE;

$$\frac{e^{jk r_{01}}}{r_{01}} \quad (\text{Pg. 38})$$

$$r_{01} \approx z \left( 1 + \frac{x^2 + y^2}{2z^2} \right)$$

$$\Rightarrow \frac{e^{jk r_{01}}}{r_{01}} = \frac{e^{jkz} e^{-j \frac{\pi}{\lambda} (x^2 + y^2)}}{z}$$

$$\Rightarrow \frac{e^{jk r_{01}}}{r_{01}} \approx e^{-j \frac{\pi}{\lambda} (x^2 + y^2)}$$

10-9-74 (THURS.)

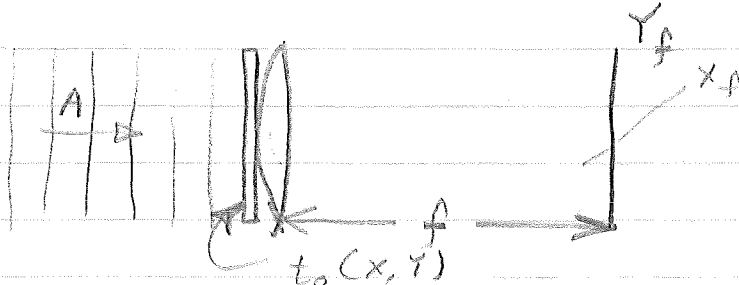
HOMEWORKS #1 DUE NEXT THURS (10-16)

## AMPLITUDE TRANSMITTANCE OF THIN LENS



$$t_0(x, y) = e^{-j \frac{k}{2f} (x^2 + y^2)} = e^{j \frac{k}{2f} (x^2 + y^2)}$$

TRANSPARENCY IN FRONT OF LENS



TO THE RIGHT OF THE LENS WE GOT

$$U_n(x, y) = A t_0(x, y) e^{-j \frac{k}{2f} (x^2 + y^2)} p(x, y)$$

 $\exists p(x, y) =$  THE PUPIL FUNCTION

$$= \begin{cases} 1 & ; \text{ WITHIN THE APERTURE} \\ 0 & ; \text{ OUTSIDE THE APERTURE} \end{cases}$$

ASSUME  $f$  IS LARGE ENOUGH TO USE FRESNEL DIFFRACTION.

FOR FRESNEL DIFFRACTION

(WITH  $z = f$ )

$$\tilde{U}(x_f, y_f) = \frac{e^{jkf}}{j\lambda f} e^{j \frac{k}{2f} (x_f^2 + y_f^2)}$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_n(x, y) e^{j \frac{k}{2f} (x^2 + y^2)} e^{-j \frac{2\pi}{\lambda f} (x_f x + y_f y)} dx dy$$

$$A t_0(x, y) e^{-j \frac{k}{2f} (x^2 + y^2)} p(x, y)$$

OR

$$U(x_f, y_f) = \frac{A e^{i k f}}{j \lambda f} e^{i \frac{k}{2f} (x_f^2 + y_f^2)}$$

$$\times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} t_0(x, y) P(x, y) e^{-j \frac{2\pi}{\lambda f} (x_f x + y_f y)} dx dy$$

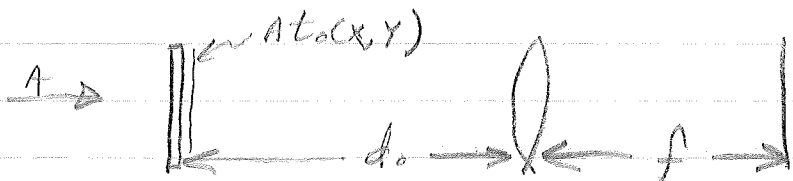
$$= \frac{A e^{i k f}}{j \lambda f} e^{i \frac{k}{2f} (x_f^2 + y_f^2)} \mathcal{F}_1 [t_0(x, y) P(x, y)] \Big|_{\substack{f_x = x_f / \lambda f \\ f_y = y_f / \lambda f}}$$

$$I(x_f, y_f) = |U(x_f, y_f)|^2$$

$$= \left( \frac{A^2}{\lambda^2 f^2} \right) \left| \mathcal{F}_1 [t_0(x, y) P(x, y)] \right|_{\substack{f_x = x_f / \lambda f \\ f_y = y_f / \lambda f}}^2 \quad \leftarrow \text{POWER SPECTRUM}$$

IF LENS COMPLETELY COVERS  
TRANSPARENCY, REPLACE  $P(x, y) = 1$

CONSIDER NOW



Eq. 4-11, Pg 60: TRANSFER FUNCTION FOR FRESNEL DIFF.

$$\tilde{H}(f_x, f_y) = e^{i k z} e^{-j \pi \lambda z (f_x^2 + f_y^2)}$$

$$\mathcal{F}_1 [A t(x_0, y_0)] = F_0(f_x, f_y)$$

LIGHT BEFORE THE LENS:  $e^{-j 2\pi \lambda d (f_x^2 + f_y^2)}$

$$F_2(f_x, f_y) = F_0(f_x, f_y) e^{-j 2\pi \lambda d (f_x^2 + f_y^2)}$$

$$U_f(x_f, y_f) = \frac{A e^{i k f}}{j \lambda f} e^{i \frac{k}{2f} (x_f^2 + y_f^2)} F_2(f_x, f_y)$$

$$= \frac{A e^{i k f}}{j \lambda f} e^{i \frac{k}{2f} (1 - \frac{d_0}{f}) (x_f^2 + y_f^2)} \mathcal{F}_1 [t_0(x, y)] \Big|_{\substack{f_x = x_f / \lambda f \\ f_y = y_f / \lambda f}}$$

THUS, FOR  $d = f$ , WE GOTTA  
EXACT FOURIER TRANSFORM  
ON O BACK FOCAL PLANE

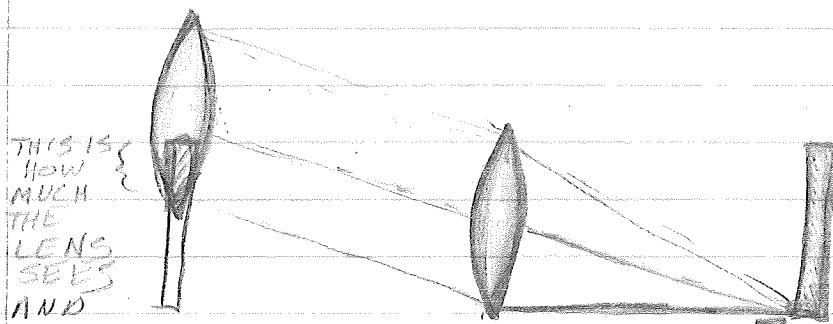
$$\tilde{U}_f(x_f, y_f) = \frac{A}{j \lambda f} \mathcal{F}_1 [t_0(x, y)] \Big|_{\substack{f_x = x_f / \lambda f \\ f_y = y_f / \lambda f}}$$

FOR VERY LARGE  $d$

$$U_f(x_f, y_f) = \frac{A}{j\lambda f} e^{j\frac{k}{2f}(1-\frac{d_0}{f})(x_f^2 + y_f^2)}$$

$$\times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} t_0(x_0, y_0) P(x_0 + \frac{d_0}{f} x_f, y_0 + \frac{d_0}{f} y_f) \\ \times e^{-j\frac{2\pi}{\lambda f}(x_0 x_f + y_0 y_f)} dx_0 dy_0$$

VIGNETTING: LOOSE HIGH FREQUENCIES



WHAT IS CONTRIBUTED TO

TO GET AROUND IT

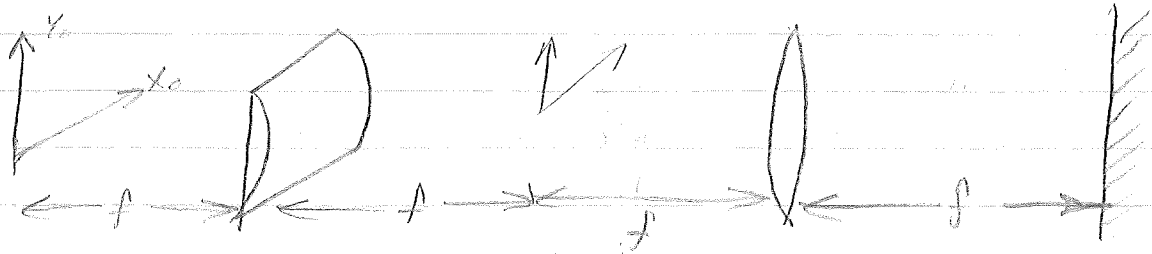
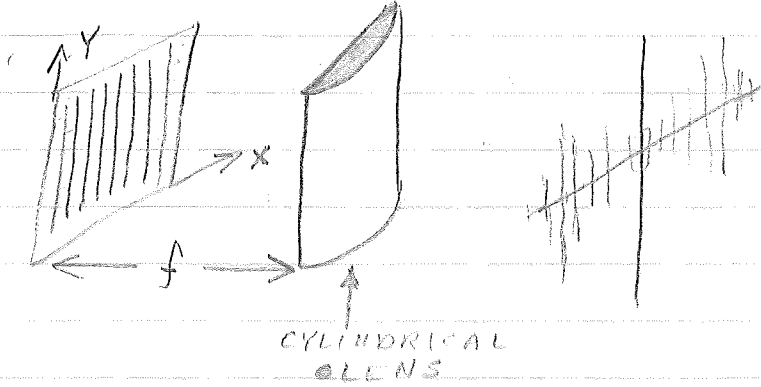
1. USE A BIGGER LENS
2. SMALLER OBJECT TRANSPARANCY
3. LENS WITH A SMALLER FOCAL LENGTH
4. USE SMALLER  $\lambda$
5. BRING TRANSPARANCY AGAINST THE LENS, OR AT LEAST CLOSER



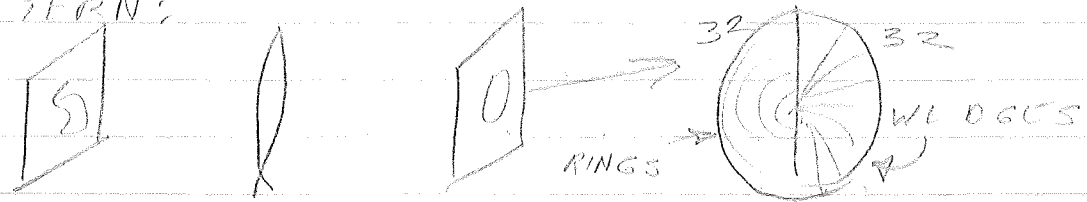
10-14-75 (TUES)

QUIZ TUES THRU CHAPT. 5

1-D FOURIER TRANSFORM W/ CYLINDRICAL LENS



PATTERN:



GET 64 PATTERN VECTOR

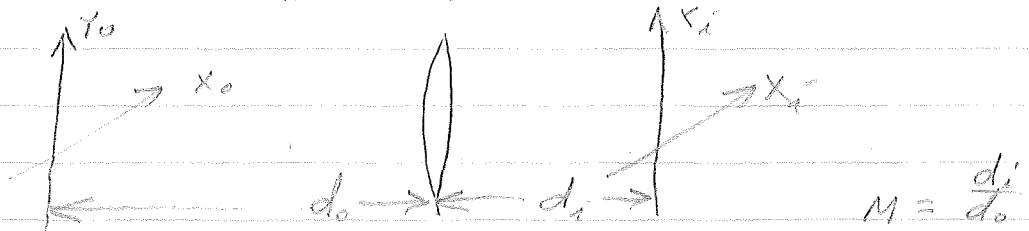
# IMAGE FORMATION IN MONOCHROMATIC LIGHT

FROM HUYGENS-FRESNEL:

$$\tilde{U}_i(x_i, y_i) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_0(x_0, y_0) \tilde{h}(x_i, y_i; x_0, y_0) dx_0 dy_0$$

FOR IDEAL CASE, WE WANT

$$\tilde{h} \approx \delta(x_i \pm Mx_0, y_i \pm My_0)$$



GOODMAN COMES UP WITH:

$$\begin{aligned} \tilde{h}(x_i, y_i; x_0, y_0) &= \frac{1}{\lambda^2 d_0 d_i} e^{j\frac{k}{2d_i}(x_i^2 + y_i^2)} e^{j\frac{k}{2d_0}(x_0^2 + y_0^2)} \\ &\times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) e^{j\frac{k}{2}\left(\frac{1}{d_0} + \frac{1}{d_i} - \frac{1}{f}\right)(x^2 + y^2)} \\ &\times e^{-j\left[\left(\frac{x_0}{d_0} + \frac{x_i}{d_i}\right)x + \left(\frac{y_0}{d_0} + \frac{y_i}{d_i}\right)y\right]} dx dy \end{aligned}$$

FIRST TERM <sup>IN INTEGR.</sup> VANISHES WHEN

$$\frac{1}{d_0} + \frac{1}{d_i} = \frac{1}{f} \quad ; \quad \text{LENS LAW}$$

LET  $e^{j\frac{k}{2d_0}(x_0^2 + y_0^2)} = e^{j\frac{k}{2d_0} \left(\frac{x_i^2 + y_i^2}{M^2}\right)}$

CANCEL ALL COMPLEX COMPLEXITIES GIVES

$$\tilde{h}(x_i, y_i; x_0, y_0) = \frac{1}{\lambda^2 d_0 d_i} \iint P(x, y) e^{-j\frac{2\pi}{\lambda d_i} [(x_i + Mx_0)x + (y_i + My_0)y]} dx dy$$

= SHIFTED FRAUNHOFER DIFFRACTION, PATTERN OF THE PUPIL FUNCTION.

LET  $\lambda \rightarrow 0$ . WE GET GEOMETRICAL OPTICS RESULTS:

$$\begin{aligned} h(x_i, y_i; x_0, y_0) &= \frac{1}{M} \delta\left(\frac{x_i}{M} + x_0, \frac{y_i}{M} + y_0\right) \\ \Rightarrow U_i(x_i, y_i) &= \frac{1}{M} U_0\left(-\frac{x_i}{M}, -\frac{y_i}{M}\right) \end{aligned}$$

MAKING VARIABLE SUBSTITUTION

$$\begin{aligned} \tilde{x}_0 &\triangleq -Mx_0 \quad ; \quad \tilde{y}_0 \triangleq -My_0 \\ \Rightarrow h(x_i, y_i; x_0, y_0) &= M \iint P(\lambda d \tilde{x}, \lambda d \tilde{y}) \\ &\times e^{-j2\pi[(x_i - \tilde{x}_0)\tilde{x} + (y_i - \tilde{y}_0)\tilde{y}]} d\tilde{x} d\tilde{y} \end{aligned}$$

$$\begin{aligned}
 \hat{h} &= \frac{1}{M} \tilde{h} \\
 U_i(x_i, y_i) &= \iint_{\Omega} \hat{h}(x_i - x_0, y_i - y_0) \left( \frac{1}{M} U_0\left(\frac{x_0}{M}, \frac{y_0}{M}\right) \right) dx_0 dy_0 \\
 &= \text{DIFFRACTION LIMITED IMAGE} \\
 &= h(x_i, y_i) * U_g(x_i, y_i) \\
 U_g(x_i, y_i) &= \frac{1}{M} U_0\left(-\frac{x_i}{M}, \frac{y_i}{M}\right)
 \end{aligned}$$

10-16-75 (THURS.)

TOPICS CHOSEN FOR PRESENTATIONS

BROCK - THE IPPS IMAGING SYSTEM AT KITTS PEAK OBSERV.

NACOL - ACOUSTICAL IMAGING FOR BIOMEDICAL APPLI

CHIN - INTEGRATED OPTICS

TUNG - WALSH FUNCTIONS AND THEIR APPL.

GROSS - BIOMEDICAL PATTERN RECOGNITION

JOSEPH - APPLIC. OF ODP TO ANALYSIS OF ERTS DATA

QUATRA - ACOUSTICAL HOLOGRAPHY

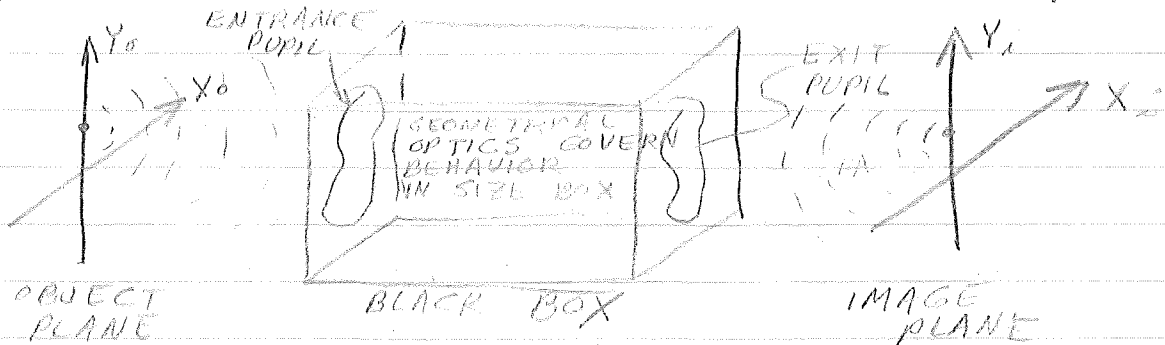
GRADING: SPOT GRATING

HOME WORK #5 DUE TUES, NOV. 4

CHAPT 6: 1, 2, 3, 5, 7, 8, 9

CHAPT. 6 FREQ. ANALYSIS OF OPTICAL IMAGING SYSTEMS  
 SPATIALLY INCOHERENT ILLUM }  
 SPATIALLY COHERENT ILLUM } TWO EXTREMES  
 PARTIALLY COHERENT ILLUM ← IN BETWEEN  
 ↳ (MERAN & PARRENT - THEORY OF PARTIAL  
 COHERENCE, PRENTICE HALL, 1964)

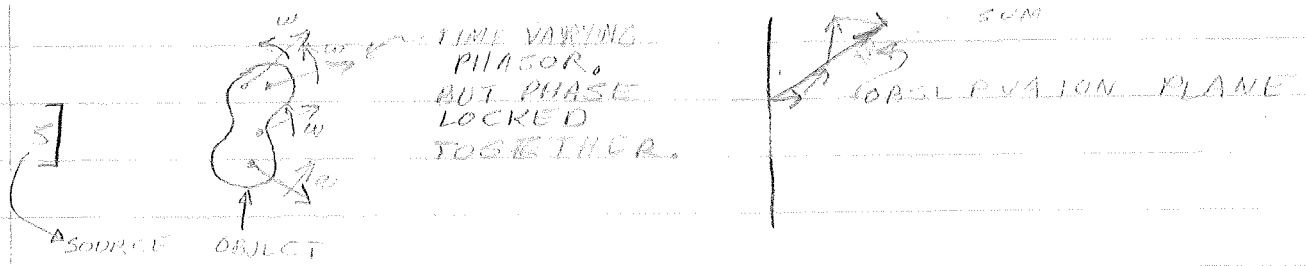
ENGINEER'S VIEW OF IMAGING SYSTEM



DIFFRACTION LIMITED: AN IMAGING SYSTEM IS SAID TO BE DIFFRACTION LIMITED IF A DIVERGING SPHERICAL WAVE, FROM ANY POINT SOURCE OBJECT, IS CONVERTED BY THE SYSTEM INTO A NEW WAVE, AGAIN PERFECTLY SPHERICAL, THAT CONVERGES TOWARD AN IDEAL POINT IN THE IMAGE PLANE. (WHEN YOU GO TO THAT IMAGE POINT TO WHERE THE WAVEFRONTS APPEAR TO CONVERGE, ONE SEES A DIFFRACTION PATTERN ASSOCIATED WITH THE EXIT (OR EQUIVALENTLY ENTRANCE) PUPIL)

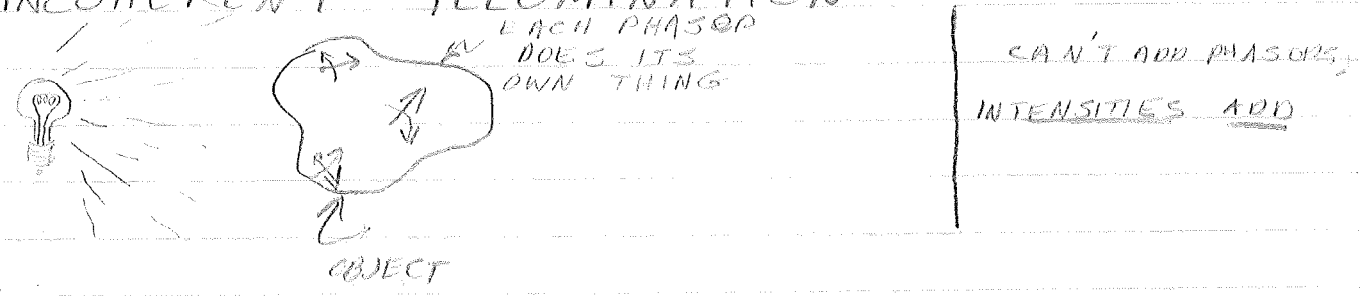
ALL DIFFRACTION ASSOCIATED WITH PUPILS  
ENTRANCE PUPIL - DEVELOPED BY ABBE  
EXIT PUPIL - " " LORD RAYLEIGH

### COHERENT ILLUMINATION



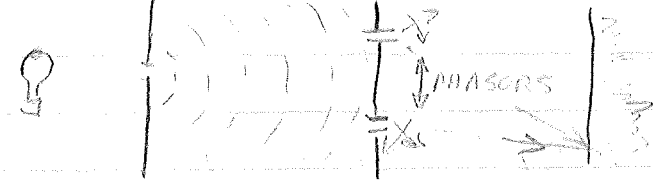
PHASORS ROTATE ALL AT  $w$   $\therefore$  PHASE LOCKED.  
 $\Rightarrow$  RELATIVE PHASES DON'T CHANGE WITH TIME.

### INCOHERENT ILLUMINATION

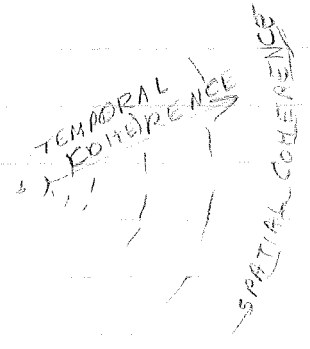


### TEMPORAL COHERENCE

THOMAS YOUNG'S EXPERIMENT



SPATIAL COHERENCE  $\rightarrow$  GET FRINGES  
NUMBER OF FRINGES FROM  
TEMPORAL COHERENCE.



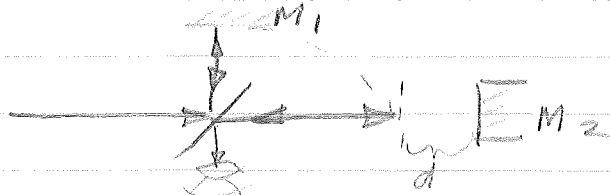
LIGHT IS QUASI-MONOCROMATIC  
IF  $\Delta\nu/\nu \ll 1$  (NARROWBAND SOURCE)

COHERENCE TIME  $\approx \frac{1}{\Delta\nu}$

FOR INTERFERENCE,  $\Delta\nu \gg \frac{d}{c}$

$\left\{ \begin{array}{l} d = \text{MAXIMUM PATH LENGTH DIFFERENCE} \\ c = \text{SPEED OF LIGHT} \end{array} \right.$

THIS IS THE PRINCIPLE OF THE  
MICHELSON INTERFEROMETER



MAY USE TO MEASURE COHERENCE  
LENGTH =  $c/\Delta\nu = c \times \text{COHERENCE TIME}$

10-28-75 (TUES)

SPATIALLY INCOHERENT - LINEAR IN INTENSITY

SPATIALLY COHERENT - LINEAR IN FIELD AMPLITUDE

FOR COHERENT

$$U_i = U_o * h$$

$$\tilde{h} = \mathcal{F}\{P(\lambda d; X, \lambda d; Y)\}$$

$$I = |U_i|^2 = |U_o * h|^2$$

FOR INCOHERENT

$$\langle U_o(x_0, y_0, t) U_o^*(x_0, y_0, t) \rangle = \infty \text{ TIME AVERAGE}$$

$$= K I_o(x_0, y_0) \delta(x - x_0, y_0 - y_0)$$

$$I_i = I_o * |h|^2$$

COHERENT SYSTEM (IMAGING)

$$U_i(x, y) = U_o(x, y) * \tilde{h}(x, y)$$

$$h(\text{NORMALIZED}) = \tilde{h} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\lambda x d_i, \lambda y d_i) e^{-j2\pi(\lambda \tilde{x} x + \lambda \tilde{y} y)} \times d\tilde{x} d\tilde{y}$$

$$G_i = \mathcal{F}[U_i]$$

$$G_i[U_i] = \mathcal{F}[U_o] \mathcal{F}[h]$$

$$G_o = \mathcal{F}[U_o]$$

$$H = \mathcal{F}[h] = \text{COHERENT TRANSFER FUNCTION}$$

$$= \mathcal{F}[\mathcal{F}^{-1}[P(\lambda x d_i, \lambda y d_i)]]$$

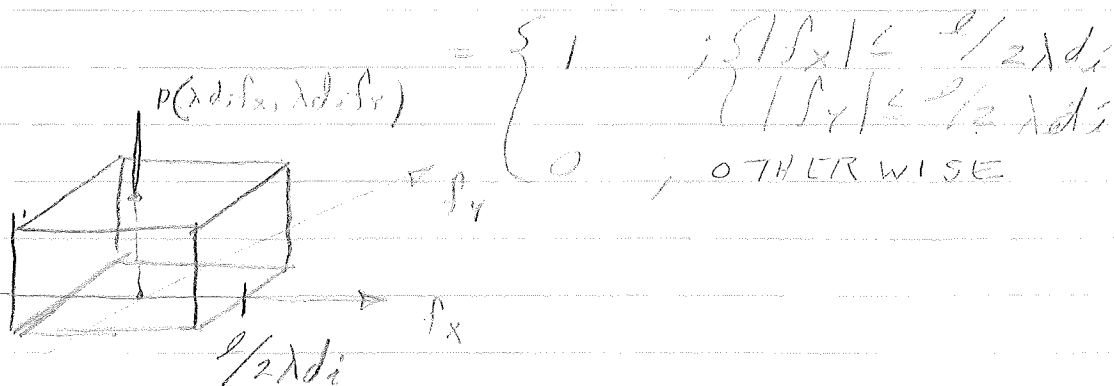
$$= P(-\lambda f_x d_i, -\lambda f_y d_i)$$

USUALLY DROP COORDINATE INVERSION

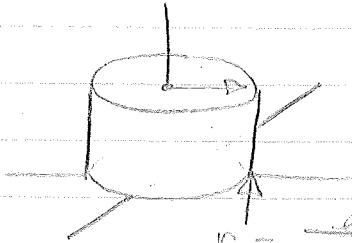
$$\tilde{H}(f_x, f_y) = P(\lambda d_i f_x, \lambda d_i f_y) \leftarrow \text{CTF}$$

EX: L x L SQUARE PUPIL:

$$P(\lambda d_i f_x, \lambda d_i f_y) = \begin{cases} 1 & ; \left\{ \begin{array}{l} |\lambda d_i f_x| \leq l/2 \\ |\lambda d_i f_y| \leq l/2 \end{array} \right. \\ 0 & ; \text{OTHERWISE} \end{cases}$$



## EX: CIRCULAR PUPIL



$$f_c = \frac{1}{\lambda d_i} = \text{COHERENT CUT-OFF FREQUENCY}$$

IN SUMMARY:

$$\text{SQUARE: } H(f_x, f_y) = \text{rect} \left( \frac{\lambda d_i f_x}{2}, \frac{\lambda d_i f_y}{2} \right)$$

$$\text{CIRCLE: } H(f_x, f_y) = \text{circ} \left( \frac{\sqrt{f_x^2 + f_y^2}}{2/\lambda d_i} \right)$$

INCOHERENT IMAGING

$$I_i(x, y) = I_o(x, y) * |h|^2$$

$$\mathcal{H} = \frac{\int |h|^2}{E} = \frac{\iint |h|^2 e^{-j2\pi(f_x x_i + f_y y_i)} dx_i dy_i}{\iint |h(x, y)|^2 dx_i dy_i}$$

NOTE:

$$\mathcal{H}(0, 0) = 1$$

$$\mathcal{H} = \text{OTF} = \text{OPTICAL TRANSFER FUNCTION}$$

$$D_i(f_x, f_y) = \frac{\mathcal{F}\{I_i\}}{\mathcal{F}\{I_o\}} \Big|_{f_x=0=f_y}$$

$$D_o(f_x, f_y) = \frac{\mathcal{F}\{I_o\}}{\mathcal{F}\{I_o\}} \Big|_{f_x=0=f_y}$$

$$\text{THUS: } D_i = D_o \mathcal{H}$$

| $\mathcal{H}$ | = MODULATION TRANSFER FUNCTION

$$\mathcal{H} = \frac{\int |h|^2}{\int |h|^2} \Big|_{f_x=f_y=0} = \frac{\mathcal{F}[h] * \mathcal{F}[h]}{[\mathcal{F}[h] * \mathcal{F}[h]]} \Big|_{f_x=f_y=0} \quad (\text{MTF})$$



$$\mathcal{F}[h] = H \Rightarrow \text{CTF} \\ = P(\lambda d_i, f_x, \lambda d_i, f_x)$$

$$\mathcal{H} = \frac{H \star H}{(H \star H)|_{f_x=f_y=0}}$$

PROPERTIES OF  $\mathcal{H}$ :

- ①  $\mathcal{H}(0,0) = 1$
- ②  $\mathcal{H}(-f_x, -f_y) = \mathcal{H}^*(f_x, f_y) \in \text{HERMETIAN}$
- ③  $|\mathcal{H}(f_x, f_y)| \leq |\mathcal{H}(0,0)|$

FOR A SQUARE PUPIL:

$$\mathcal{H}(f_x, f_y) = \Lambda\left(\frac{f_x}{2f_0}\right) \Lambda\left(\frac{f_y}{2f_0}\right)$$

NOTE: TWICE THE CUT-OFF.

10-30-75 (THURS)

$$\mathcal{H}(f_x) = \frac{\int_{-\infty}^{\infty} P\left(\xi - \frac{\lambda d_i f_x}{z}\right) P\left(\xi + \frac{\lambda d_i f_x}{z}\right) d\xi}{\int_{-\infty}^{\infty} P(\xi) d\xi}$$

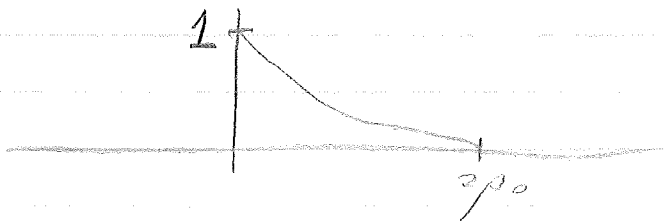
= OVERLAP AREA / TOTAL AREA

FOR SQUARE PUPIL:

$$\mathcal{H}(f_x, f_y) = \Lambda\left(\frac{f_x}{2f_0}, \frac{f_y}{2f_0}\right)$$

FOR CIRCULAR PUPIL

$$\mathcal{H}(\rho) = \begin{cases} \frac{2}{\pi} \left[ \cos^{-1}\left(\frac{\rho}{2\rho_0}\right) - \frac{\rho}{2\rho_0} \sqrt{1 - \left(\frac{\rho}{2\rho_0}\right)^2} \right] & \rho \leq 2\rho_0 \\ 0 & \rho > 2\rho_0 \end{cases}$$



$\rho_0 = f_0$   
NOTE: SAME CUTOFF



CONSIDER

$$I_i = I_o * |h|^2$$

$$\mathcal{F}[I_i] = \mathcal{F}[I_o] / \mathcal{F}[h^2]$$

$\frac{1}{\mathcal{F}[|h|^2]}$  = INVERSE FILTER

COMPARISON OF COHERENT AND INCOH. SYSTEMS  
(LOOK AT INTENSITY OF BOTH)

COHERENT:  $U_i = U_o * h \Rightarrow I_i = |U_i|^2 = |U_o * h|^2$

$$\Rightarrow \mathcal{F}[I_i] = \mathcal{F}[|U_o * h|^2]$$

$$= \mathcal{F}[U_o * h] * \mathcal{F}[U_o * h]$$

LET  $\mathcal{F}\{U_o\} = G$   
 $\mathcal{F}\{h\} = H \in \text{CTF}$

$$\Rightarrow \mathcal{F}[I_i] = (GH) * (GH)$$

INCOHERENT:  $I_i = I_o * |h|^2$

$$\Rightarrow \mathcal{F}[I_i] = \mathcal{F}[I_o] \mathcal{F}[|h|^2]$$

$$\mathcal{F}[I_o] = \mathcal{F}[|U_o|^2] = G_o * G_o$$

$$\Rightarrow \mathcal{F}[I_i] = (G_o * G_o) (H * H)$$

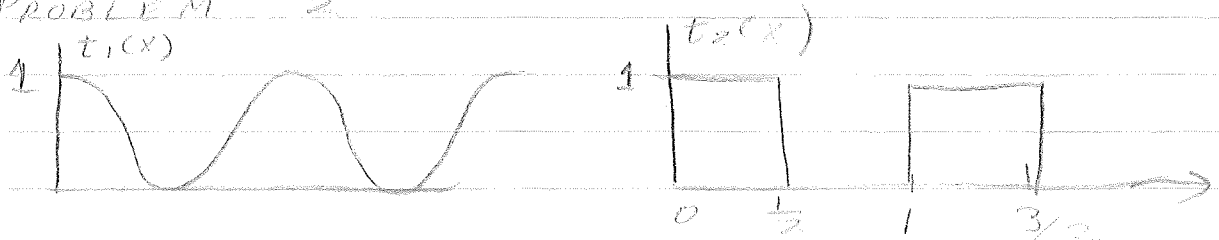
INCOH:  $\mathcal{F}[I] = (G_o * G_o) (H * H)$   
 COH:  $\mathcal{F}[I] = GH * GH$

9-4-75 (MON)

HOMEWORK (CHAPT. 7: 1, 2, 5, 9, 11, 13) DUE TUES NOV 18

QUIZ:

PROBLEM 2



$$t_1(x) = \frac{1}{2} (1 + \cos 2\pi x)$$

- (a) % ABSORBED  
 (b) % IN 0 ORDER  
 (c) % IN A SINGLE 1ST ORDER COMPON.

$$a. \% \text{ ABSORBED} = 100 \left( 1 - \int_0^1 |t(x)|^2 dx \right)$$

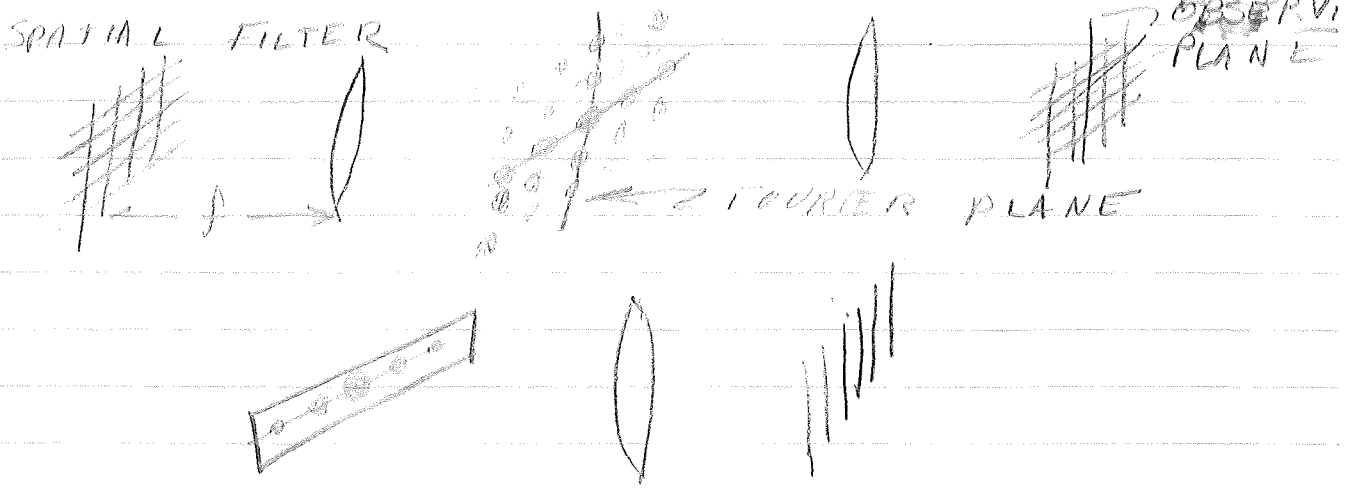
$$b. \text{ FOR } t_1(x), \% = 100 \left( 1 - \frac{3}{4} \right)$$

$$c. \text{ FOR } t_2(x), \% = 100 \left( 1 - \frac{1}{2} \right)$$

b. 25% FOR EACH GRATING

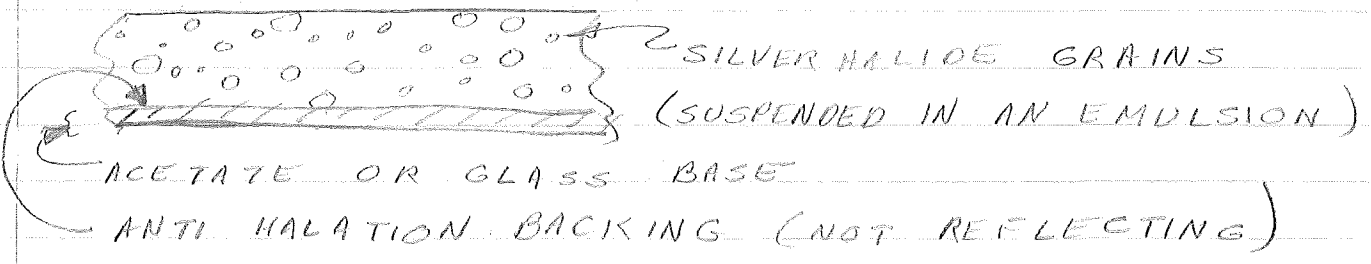
c. 6.25% FOR AM

10.1% FOR RECT



10-6-75 (THURS)

PHOTOGRAPHIC FILM



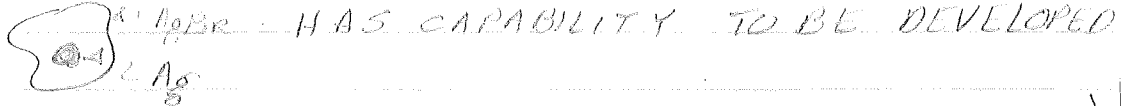
STEPS:

1. EXPOSURE  $E(x,y) = \int I(x,y) T$

$T$  = EXPOSURE TIME

$I(x,y)$  = INCIDENT INTENSITY

2. LATENT IMAGE (SOME GRAINS ARE NOW DEVELOPABLE)



(EACH GRAIN HAS "THRESHOLD", SAY 4 PHOTONS.)

3. DEVELOPER - ALL DEVELOPABLE GRAINS ARE REDUCED TO  $\text{Ag}$  GRAINS

4. FIX - CONVERTS UNDEVELOPED GRAINS INTO WATER SOLUBLE SALT

5. WASH

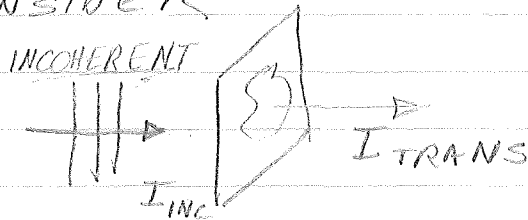
6. DRY



OTHER FACTORS ("ART")

EXPOSURE, DEVELOPER, DEVELOPMENT TIME, TEMP.

CONSIDER



$\gamma(x, y) \triangleq$  INTENSITY TRANSMITTANCE

$$= \text{LOCAL AVERAGE OF } \left\{ \frac{I(\text{TRANSMITTED @ } (x, y))}{I(\text{INCIDENT @ } (x, y))} \right\}$$

AVERAGE OVER AREA  $\gg$  GRAIN AREA BUT  $\ll$  SCALE OF SPATIAL VARIATIONS OF INFO ON THE FILM.

PHOTOGRAPHIC DENSITY

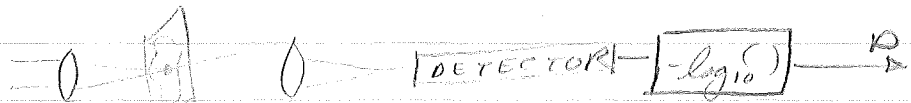
$$D(x, y) = \log_{10} \frac{1}{\gamma(x, y)}$$

$$= -\log_{10} \gamma(x, y)$$

$$\gamma = 10^{-D}$$

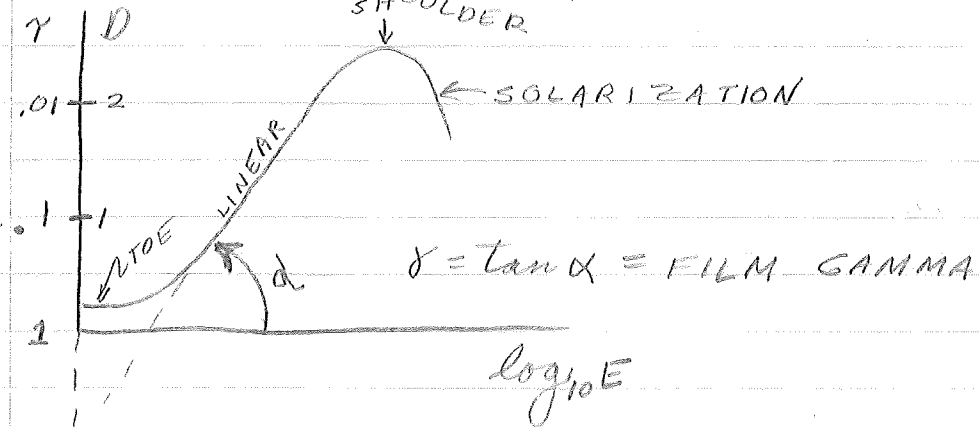
IN PRACTICE  $0 < D < 5$

SCANNING:



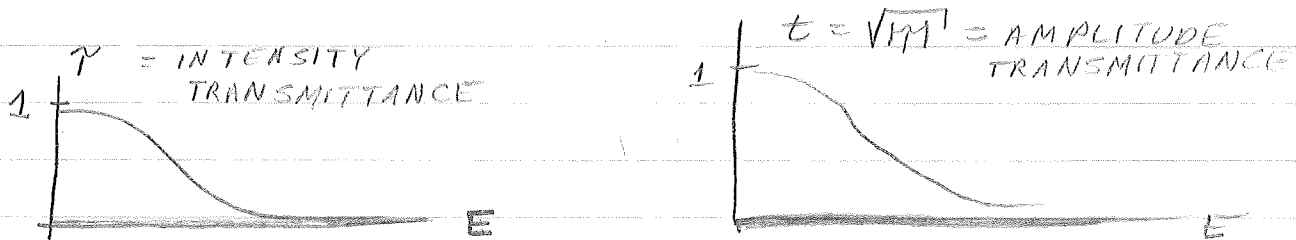
SCANNING MICRODENSITOMETERS

H & D CURVE (HURTER-DRIFFIELD)



"TOE - GROSS FOG" FROM THERMAL EXPOSURE

H & D CURVE CHANGES WITH DEVELOPMENT.



- { FINE GRAINS ⇒ LOW SENSITIVITY ⇒ LONG EXPOSURE (LoSPD)
- { LARGE GRAINS ⇒ HIGH SENSITIVITY ⇒ SHORT EXPOSURE (HiSPD)

USING FILM IN AN INCOHERENT:

$$D = \gamma_n \log_{10} E - D_0 \leftarrow \text{FROM H-D CURVE}$$

$$E = \frac{D}{T}$$

RECALL THAT  $D = \log_{10} \frac{1}{T_n} = -\log_{10} T_n$

$$\Rightarrow \log_{10} T_n = -\gamma_n \log_{10} (DT) + D_0$$

$$T_n = 10^{-\gamma_n \log_{10} (DT) + D_0}$$

$$= 10^{D_0} (DT)^{-\gamma_n}$$

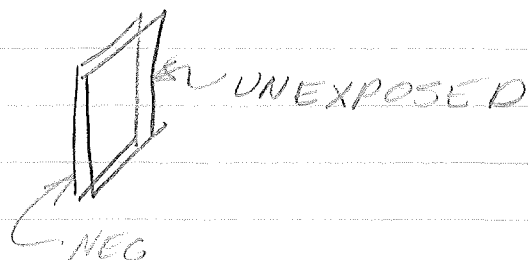
$$K_n = 10^{D_0} T^{-\gamma_n}$$

$$\Rightarrow T_n = K_n D^{-\gamma_n} \leftarrow \text{NON-LINEAR (A BUMMER)}$$

TO GET  $\gamma$  LINEARLY RELATED TO  $\mathcal{D}$   
TWO STEP PROCESS

① MAKE A NEGATIVE

② EXPOSE NEW PIECE OF FILM  
THROUGH THE NEGATIVE  $\frac{1}{T}$   
THUS CREATE A POSITIVE



$$\begin{aligned} \gamma_p &= K_{n2} (I_0 \gamma_{n1})^{-\delta_{n2}} \\ &= K_{n2} (I_0^{-\delta_{n2}} K_{n1}^{-\delta_{n2}} \mathcal{D}^{\delta_{n1} \delta_{n2}}) \end{aligned}$$

MAY NOW MAKE  $\delta_p = 1 \Rightarrow \gamma_p \sim \mathcal{D}$



J.F. Walkup

September 11, 1975  
~~September 16, 1974~~

EE 5360 (Fourier Optics and Holography)

Details on Project: Research/Oral Presentation on a Topic of Your Choice (Optics)

Listed below are some sample projects, but others may be chosen also. Feel free to consult with me on your choice of topic. If your MS or Ph.D. thesis work involves Fourier Optics or Holography, you may want to choose a topic relating to your thesis work. The key dates are:

Deadline for choice of topic: ~~Monday, September 30~~  
Tues., Oct. 19

Oral Presentations: ~~Week of November 18~~  
Dec. 1

Potential topics: (Basic concepts and state of the art in some area):

1. Holographic interferometry and its applications
2. Color holography and its applications
3. Synthetic aperture imaging
4. Digital holography
5. Holographic memories for computers (read only, read-write)
6. New materials for holography
7. Restoration of turbulence-degraded images.
8. Acoustical imaging for biomedical applications
9. Integrated optics.
10. Optical communications: special problems and potentials
11. Modulatable lasers and their applications in optical communications
12. Walsh functions and their applications in optics (Walsh transforms, etc.)
13. Picture coding for bandwidth compression: the problem and some recent developments.
14. Pattern recognition applications with X-rays: applications in medicine ("black lung disease", "brown lung disease" (byssanosis)).
15. Applications of optical data processing to the analysis of ERTS data (Earth resources technology satellites)
16. Opportunities for EE's in the optics industry.
17. Space-variant image restoration techniques

Notes on the oral presentations: The talks should be about 30 minutes long plus 5 minutes for questions. They should be illustrated with whatever visual aids appear most appropriate (overhead projector, slides, opaque projector, etc.). Treat this as a presentation to a group familiar with the general field of Fourier optics and holography, but generally unfamiliar with the details of your topic. Make the talk somewhat tutorial-i.e.-don't snow us with lots of equations but use equations if the material calls for it. Don't talk in a monotone. We may have the rest of your fellow students rate you on your talk. More details on talk formats will be given later.

## Proof of Goodman's Identity:

$$J_0(a) = \frac{1}{2\pi} \int_0^{2\pi} e^{-ja \cos(\theta - \phi)} d\theta$$

Use Schäfli's integral representation of Bessel function (Mathews & Walker, ch 7) the contour is once around origin in positive sense. This is a result of the generating function.

Schäfli's integral:

$$J_n(a) = \frac{1}{2\pi i} \oint \frac{e^{\frac{a}{2}(t - \frac{1}{t})}}{t^{n+1}} dt$$

Let:  $t = -ie^{i(\theta - \phi)}$       $dt = e^{i(\theta - \phi)} d\theta$

$$J_n(a) = \frac{1}{2\pi i} \int_0^{2\pi} \frac{e^{\frac{a}{2}[-ie^{i(\theta - \phi)} - i e^{-i(\theta - \phi)}]} e^{i(\theta - \phi)}}{(t)^{n+1} e^{i(\theta - \phi)(n+1)}} d\theta$$

$$J_n(a) = \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{-ai \cos(\theta - \phi) - ni(\theta - \phi)}}{(-1)^{n+1} (i)^{n+2}} d\theta$$

In particular:

$$J_0(a) = \frac{1}{2\pi} \int_0^{2\pi} e^{-ia \cos(\theta - \phi)} d\theta$$

Solutions

2-3a)

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

$$\begin{aligned} \nabla^2 \tilde{g}(x, y) &= \nabla^2 \iint_{-\infty}^{\infty} \tilde{G}(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y \\ &= \iint_{-\infty}^{\infty} \tilde{G}(f_x, f_y) \nabla^2 \left[ e^{j2\pi(f_x x + f_y y)} \right] df_x df_y \\ &= \iint_{-\infty}^{\infty} \left[ (j2\pi f_x)^2 + (j2\pi f_y)^2 \right] \tilde{G}(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y \end{aligned}$$

$$\therefore \nabla^2 \tilde{g}(x, y) = \mathcal{F}^{-1} \left\{ -4\pi^2 (f_x^2 + f_y^2) \tilde{G}(f_x, f_y) \right\}$$

$$\Rightarrow \mathcal{F} \left\{ \nabla^2 \tilde{g}(x, y) \right\} = -4\pi^2 (f_x^2 + f_y^2) \tilde{G}(f_x, f_y)$$

$$\mathcal{F} \left\{ \nabla^2 \tilde{g}(x, y) \right\} = -4\pi^2 (f_x^2 + f_y^2) \mathcal{F} \left\{ \tilde{g}(x, y) \right\}$$

2-4

$$(a) \quad \tilde{g}_R(r) = \delta(r-r_0)$$

$$\mathcal{B}\{\delta(r-r_0)\} = 2\pi \int_0^{\infty} r \delta(r-r_0) J_0(2\pi r \rho) dr$$

$$\boxed{\mathcal{B}\{\delta(r-r_0)\} = 2\pi r_0 J_0(2\pi r_0 \rho)}$$

By sifting  
property of  
the delta function

$$(b) \quad \tilde{g}_R(r) = \begin{cases} 1, & a \leq r \leq 1 \\ 0, & \text{elsewhere} \end{cases}$$

$$\text{or } \tilde{g}_R(r) = \text{circ}(r) - \text{circ}\left(\frac{r}{a}\right)$$

$$\mathcal{B}\{\tilde{g}_R(r)\} = \frac{J_1(2\pi \rho)}{\rho} - \frac{a^2 J_1(2\pi a \rho)}{a\rho}$$

(using relation on p. 13 of text)

$$\boxed{\mathcal{B}\{\tilde{g}_R(r)\} = \frac{J_1(2\pi \rho) - a J_1(2\pi a \rho)}{\rho}}$$

$$(c) \quad 2\pi \int_0^{\infty} r \tilde{g}_R(ar) J_0(2\pi r \rho) dr = 2\pi \int_0^{\infty} \frac{r'}{a} \tilde{g}_R(r') J_0\left(\frac{2\pi r' \rho}{a}\right) \frac{dr'}{a}$$

where  $r' = ar$      $r = r'/a$

$$\text{Thus } \boxed{\mathcal{B}\{\tilde{g}_R(ar)\} = \frac{1}{a^2} \tilde{G}\left(\frac{\rho}{a}\right)}$$

(d)  $e^{-\pi r^2} = e^{-\pi(x^2+y^2)}$  The Fourier-Bessel Transform is just a special case of the 2-D

$$\text{so } \mathcal{B}\{e^{-\pi r^2}\} = \mathcal{F}\{e^{-\pi(x^2+y^2)}\} = e^{-\pi\left(\frac{\rho^2}{2} + \frac{\rho^2}{2}\right)}$$

$$\boxed{\mathcal{B}\{e^{-\pi r^2}\} = e^{-\pi \rho^2}}$$

for circularly symmetric  
functions

2-5

$$\tilde{p}(x, y) = \tilde{g}(x, y) * \left[ \text{comb}\left(\frac{x}{X}\right) \text{comb}\left(\frac{y}{Y}\right) \right]$$

(a) Fourier transform using the convolution and similarity theorems (pp 9-10)

$$\tilde{P}(f_x, f_y) = \tilde{G}(f_x, f_y) \cdot \mathcal{X} \mathcal{Y} \text{comb}(X f_x) \text{comb}(Y f_y)$$

using the result of Prob 2-4 (f)

$$\mathcal{X} \mathcal{Y} \text{comb}(X f_x) \text{comb}(Y f_y) = \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \delta\left(\frac{n}{X}, \frac{m}{Y}\right) \delta(f_x - \frac{n}{X}, f_y - \frac{m}{Y})$$

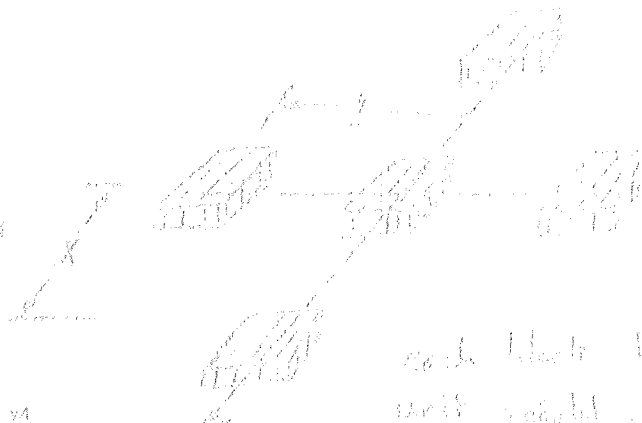
⇒

$$\tilde{P}(f_x, f_y) = \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \tilde{G}\left(\frac{n}{X}, \frac{m}{Y}\right) \delta\left(f_x - \frac{n}{X}, f_y - \frac{m}{Y}\right)$$

(b)  $\tilde{g}(x, y) = \text{rect}\left(x \frac{X}{2}\right) \text{rect}\left(y \frac{Y}{2}\right)$

$\tilde{p}(x, y)$  is a replicated version of  $\tilde{g}(x, y)$  - i.e. we take  $\tilde{g}(x, y)$  and center it at  $(\frac{2n}{X}, \frac{2m}{Y})$  for  $n, m = 0, \pm 1, \pm 2, \dots$ . Thus the blocks are all over the  $xy$  plane.

$$\tilde{G}(f_x, f_y) = \frac{X}{2} \frac{Y}{2} \text{sinc}\left(\frac{X}{2} f_x\right) \text{sinc}\left(\frac{Y}{2} f_y\right)$$



i)  $\tilde{G}\left(\frac{n}{X}, \frac{m}{Y}\right) = \frac{XY}{4} \text{sinc}\left(\frac{n}{2}\right) \text{sinc}\left(\frac{m}{2}\right)$

each block has unit weight, i.e.  $\tilde{G}\left(\frac{n}{X}, \frac{m}{Y}\right) = \frac{XY}{4}$  for  $n, m = 0$  and 0 elsewhere.

$$\tilde{P}(f_x, f_y) = \frac{XY}{4} \sum_{n=-\infty}^{\infty} \sum_{m=-\infty}^{\infty} \text{sinc}\left(\frac{n}{2}\right) \text{sinc}\left(\frac{m}{2}\right) \delta\left(f_x - \frac{n}{X}, f_y - \frac{m}{Y}\right)$$

2-6

(a) First, recall the F.T. relationships: eqs (2-1) & (2-2) from Lec

$$\tilde{G}(f_x, f_y) = \mathcal{F}\{g\} = \iint_{-\infty}^{\infty} g(x, y) e^{-j2\pi(f_x x + f_y y)} dx dy \quad (2-1)$$

$$g(x, y) = \mathcal{F}^{-1}\{\tilde{G}\} = \iint_{-\infty}^{\infty} \tilde{G}(f_x, f_y) e^{j2\pi(f_x x + f_y y)} df_x df_y \quad (2-2)$$

We are given the relationships for  $\mathcal{F}_A\{g\}$  and  $\mathcal{F}_B\{g\}$  and we want an expression for  $\mathcal{F}_B\{\mathcal{F}_A\{g\}\}$ .

write

$$\mathcal{F}_B\{\mathcal{F}_A\{g\}\} = \frac{1}{b} \iint_{-\infty}^{\infty} \left[ \frac{1}{a} \iint_{-\infty}^{\infty} g(x, y) e^{-j2\pi\left(\frac{f_x}{a}x + \left(\frac{f_y}{a}\right)y\right)} dx dy \right] e^{j2\pi\left[\left(\frac{f_x}{b}\right)x + \left(\frac{f_y}{b}\right)y\right]} df_x df_y$$

Now, using (2-1) above, we reduce the innermost integral in the preceding equation and we get:

$$\begin{aligned} \mathcal{F}_B\{\mathcal{F}_A\{g\}\} &= \frac{1}{b} \iint_{-\infty}^{\infty} \frac{1}{a} \tilde{G}\left(\frac{f_x}{a}, \frac{f_y}{a}\right) e^{j2\pi\left[\left(\frac{f_x}{b}\right)x + \left(\frac{f_y}{b}\right)y\right]} dx dy \\ &= \frac{a}{ab} \iint_{-\infty}^{\infty} \tilde{G}\left(\frac{f_x}{a}, \frac{f_y}{a}\right) e^{j2\pi\left[\left(\frac{f_x}{b}\right)\frac{a}{a}x + \left(\frac{f_y}{b}\right)\frac{a}{a}y\right]} dx dy \end{aligned}$$

Now, we use (2-2) and get

$$= \frac{a}{b} \tilde{g}\left(-\frac{af_x}{b}, -\frac{af_y}{b}\right)$$

Thus

$$\boxed{\mathcal{F}_B\{\mathcal{F}_A\{g(x, y)\}\} = \frac{a}{b} \tilde{g}\left(-\frac{a}{b}x, -\frac{a}{b}y\right)}$$

(b) for  $a < b$ , the transformation attenuates the optical signal, expands and reverses its space coordinates (magnification).

for  $a > b$ , the transformation amplifies the optical signal, shrinks and reverses the space coordinates (demagnification).

2-7

(a)  $\tilde{g}(r, \theta) = \tilde{g}_a(r) e^{jm\theta}$

$$\begin{aligned} \tilde{G}(\rho, \phi) &= \int_0^{2\pi} d\theta e^{jm\theta} \int_0^{\infty} dr r \tilde{g}_a(r) e^{-j\pi r \rho \cos(\theta - \phi)} \\ &= \int_0^{\infty} dr r \tilde{g}_a(r) \int_0^{2\pi} d\theta e^{jm\theta} e^{-j\pi r \rho \sin(\theta - \phi + \frac{\pi}{2})} \end{aligned}$$

Now using  $\exp(-ja \sin x) = \sum_{k=-\infty}^{\infty} J_k(a) e^{-jkx}$

to write

$$\begin{aligned} \tilde{G}(\rho, \phi) &= \int_0^{\infty} dr r \tilde{g}_a(r) \int_0^{2\pi} \sum_{k=-\infty}^{\infty} J_k(\pi r \rho) e^{-jk(\theta - \phi + \frac{\pi}{2})} e^{jm\theta} d\theta \\ &= \int_0^{\infty} dr r \tilde{g}_a(r) \sum_{k=-\infty}^{\infty} J_k(\pi r \rho) e^{jk\phi} e^{-j\frac{k\pi}{2}} \int_0^{2\pi} e^{j(k-m)\theta} d\theta \end{aligned}$$

However  $\int_0^{2\pi} e^{-j(k-m)\theta} d\theta = \begin{cases} 2\pi, & k=m \\ 0, & \text{otherwise} \end{cases}$

i.  $\tilde{G}(\rho, \phi) = e^{jm\phi} e^{-j\frac{m\pi}{2}} 2\pi \int_0^{\infty} r \tilde{g}_a(r) J_m(\pi r \rho) dr$

Now  $e^{-j\frac{m\pi}{2}} = (-j)^m$  for integer values of  $m$ .

ii. 
$$\begin{aligned} \tilde{G}(\rho, \phi) &= (-j)^m e^{jm\phi} \left\{ 2\pi \int_0^{\infty} r \tilde{g}_a(r) J_m(\pi r \rho) dr \right\} \\ &= (-j)^m e^{jm\phi} \mathcal{H}_m \{ \tilde{g}_a(r) \} \end{aligned}$$

where  $\mathcal{H}_m \{ \cdot \}$  is an  $m^{\text{th}}$  order Hankel Transform.



(1) 2-7 cont'd

$$(b) \quad \tilde{g}(r, \theta) = \tilde{g}_R(r) \tilde{g}_\theta(\theta)$$

Now  $\tilde{g}_\theta(\theta)$  is periodic with period  $2\pi$

$$\therefore \tilde{g}_\theta(\theta) = \sum_{k=-\infty}^{\infty} c_k e^{jk\theta} \quad \text{where} \quad c_k = \frac{1}{2\pi} \int_0^{2\pi} \tilde{g}_\theta(\theta) e^{-jk\theta} d\theta$$

(i.e. expandable in a complex Fourier series.)

$$\text{Thus} \quad \tilde{g}_R(r) \tilde{g}_\theta(\theta) = \sum_{k=-\infty}^{\infty} c_k \tilde{g}_R(r) e^{jk\theta}$$

$$\Rightarrow \mathcal{F}\{\tilde{g}_R(r) \tilde{g}_\theta(\theta)\} = \sum_{k=-\infty}^{\infty} \mathcal{F}\{c_k \tilde{g}_R(r) e^{jk\theta}\}$$

using the results of part (a) of 2-7 we obtain

$$\tilde{G}_\theta(\rho, \phi) = \sum_{k=-\infty}^{\infty} (-j)^k c_k e^{jk\phi} \mathcal{H}_k\{\tilde{g}_R(r)\}$$

where  $\mathcal{H}_k\{\cdot\}$  is a  $k^{\text{th}}$  order Hankel Transform.

( ) 2-3

If the system is invariant, then

$$e^{j2\pi(f_x x + f_y y)} \rightarrow |H(f_x, f_y)| e^{j\phi(f_x, f_y)} e^{j2\pi(f_x x + f_y y)}$$

$$\text{where } e^{j\phi(f_x, f_y)} = \angle H(f_x, f_y)$$

We can write

$$\cos 2\pi(f_x x + f_y y) = \frac{1}{2} [e^{j2\pi(f_x x + f_y y)} + e^{-j2\pi(f_x x + f_y y)}]$$

Thus, if  $H(-f_x, -f_y) = H^*(f_x, f_y)$  we get

$$\cos 2\pi(f_x x + f_y y) \rightarrow |H(f_x, f_y)| \cos[2\pi(f_x x + f_y y) + \phi(f_x, f_y)]$$

( ) So the sufficient conditions are:

① Linear and invariant

②  $H(-f_x, -f_y) = H^*(f_x, f_y)$

or  $\tilde{H}(x, y) \stackrel{\text{Real}}{=}$

Problem 11 - Sommerfeld Radiation Condition

$$\tilde{U}(r) = \frac{e^{jkr}}{r} \quad (\text{see Fig. 3.4 for diag. of surface})$$

Consider the situation on the large sphere  $S_{03}$  as radius  $R$  grows large.

$$\begin{aligned} \frac{\partial \tilde{U}}{\partial n} &= \cos(\theta, r) \left\{ jk - \frac{1}{R} \right\} \frac{e^{jkr}}{R} \\ &\approx jk \frac{e^{jkr}}{R} - \frac{e^{jkr}}{R^2} \end{aligned}$$

Thus

$$\frac{\partial \tilde{U}}{\partial n} - jk \tilde{U} = jk \frac{e^{jkr}}{R} - \frac{e^{jkr}}{R^2} - jk \frac{e^{jkr}}{R}$$

(1<sup>st</sup> & last terms cancel)

$$\Rightarrow \frac{\partial \tilde{U}}{\partial n} - jk \tilde{U} = - \frac{e^{jkr}}{R^2}$$

Now  $|e^{jkr}| \leq 1$  for all  $R$

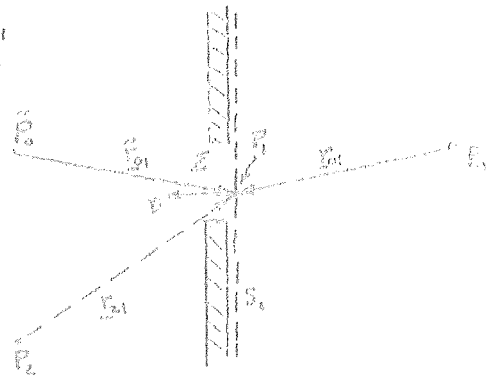
$$\Rightarrow \lim_{R \rightarrow \infty} R \left( \frac{\partial \tilde{U}}{\partial n} - jk \tilde{U} \right) = \lim_{R \rightarrow \infty} \frac{e^{jkr}}{R} = 0$$

Thus

Sommerfeld Radiation condition is satisfied.

3-3

$$\tilde{G}_+(P_1) = \frac{e^{jk\tilde{r}_{01}}}{r_{01}} + \frac{e^{jk\tilde{r}'_{01}}}{r_{01}}$$



a)

$$\frac{\partial \tilde{G}_+}{\partial n} = \cos(\theta, \underline{r}_{01}) \frac{e^{jk\tilde{r}_{01}}}{r_{01}} \left( jk - \frac{1}{r_{01}} \right) + \cos(\theta, \underline{r}'_{01}) \frac{e^{jk\tilde{r}'_{01}}}{r_{01}} \left( jk - \frac{1}{r'_{01}} \right)$$

on  $S_1$ ,  $r_{01} = \tilde{r}_{01}$ ,  $\cos(\theta, \underline{r}_{01}) = -\cos(\theta, \underline{r}'_{01})$

$\therefore$  Substituting yields  $\frac{\partial \tilde{G}_+}{\partial n} \Big|_{S_1} = 0$

b. From Eq (3-15)

$$\tilde{U}(P_0) = \frac{1}{4\pi} \iint_{S_1} \left( \frac{\partial \tilde{U}}{\partial n} \tilde{G}_+ - \tilde{U} \frac{\partial \tilde{G}_+}{\partial n} \right) ds$$

$$\tilde{U}(P_0) = \frac{1}{4\pi} \iint_{S_1} \left[ 2 \frac{e^{jk\tilde{r}_{01}}}{r_{01}} \right] \frac{\partial \tilde{U}}{\partial n} ds$$

Assume

- ① That on  $S_1$ ,  $\frac{\partial \tilde{U}}{\partial n} = 0$  in the shadow
- ② That on  $S_1$ ,  $\frac{\partial \tilde{U}}{\partial n}$  has the same value across  $\Sigma$  as it would in the screen's absence.

Then

$$\tilde{U}(P_0) = \frac{1}{2\pi} \iint_{\Sigma} \frac{\partial \tilde{U}(\sigma)}{\partial n} \frac{e^{jk\tilde{r}_{01}}}{r_{01}} ds$$

( ) 3-3 contd

$$c. \quad \tilde{U}(P_1) = \frac{e^{jk r_{21}}}{r_{21}}$$

$$\frac{\partial \tilde{U}}{\partial n} = \cos(\theta, \underline{r}_{21}) \left\{ jk - \frac{1}{r_{21}} \right\} \frac{e^{jk r_{21}}}{r_{21}}$$

Since  $r_{21} \gg \lambda$ 

$$\frac{\partial \tilde{U}}{\partial n} = \cos(\theta, \underline{r}_{21}) jk \frac{e^{jk r_{21}}}{r_{21}}$$

Therefore

$$\tilde{U}(P_0) = -\frac{1}{j\lambda} \iint_Z \frac{e^{jk(r_{01} + r_{21})}}{r_{01} r_{21}} \cos(\theta, \underline{r}_{21}) ds$$

( )

( )

3-4

a. Circular Aperture

$$\tilde{E}(r) = \text{circ}\left(\frac{r}{d/2}\right)$$

$$\mathcal{F}\{\tilde{E}(r)\} = \tilde{T}(\rho) = \frac{\left(\frac{d}{2}\right)^2 J_1\left(2\pi \frac{d}{2} \rho\right)}{\frac{d}{2} \rho}$$

$$\tilde{T}\left(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}\right) = \frac{\left(\frac{d}{2}\right)^2 J_1\left(2\pi \cdot \frac{d}{2} \frac{\sqrt{\alpha^2 + \beta^2}}{\lambda}\right)}{\frac{d}{2} \frac{\sqrt{\alpha^2 + \beta^2}}{\lambda}}$$

b.

$$\tilde{E}(r) = 1 - \text{circ}\left(\frac{r}{d/2}\right)$$

$$\tilde{T}(\rho) = \delta(\rho) - \frac{\left(\frac{d}{2}\right)^2 J_1\left(2\pi \cdot \frac{d}{2} \rho\right)}{\frac{d}{2} \rho}$$

$$\tilde{T}\left(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}\right) = \delta\left(\frac{\alpha}{\lambda}, \frac{\beta}{\lambda}\right) - \frac{\left(\frac{d}{2}\right)^2 J_1\left(2\pi \cdot \frac{d}{2} \frac{\sqrt{\alpha^2 + \beta^2}}{\lambda}\right)}{\frac{d}{2} \frac{\sqrt{\alpha^2 + \beta^2}}{\lambda}}$$

3-5

WE HAVE

$$\tilde{U}_-(P, t) = \int_{-\infty}^{\infty} \tilde{U}(P, \nu) e^{j2\pi\nu t} d\nu$$

$$\text{where } \tilde{U}(P, \nu) = \mathcal{F}\{\tilde{u}(P, t)\}$$

making a change of variable

$$\text{Let } \nu' = -\nu$$

$$\begin{aligned} \tilde{U}_-(P, t) &= - \int_{\infty}^0 \tilde{U}(P, -\nu') e^{-j2\pi\nu' t} d\nu' \\ &= \int_0^{\infty} \tilde{U}(P, -\nu') e^{-j2\pi\nu' t} d\nu' \quad (D) \end{aligned}$$

This is a linear combination of an infinite number of monochromatic sources (waves), each with freq.  $\nu'$  and complex amplitude  $\tilde{U}(P, -\nu')$ . Substituting eq (3-32) in text in (D) above yields

$$\tilde{U}_-(P_0, t) = \int_0^{\infty} \frac{1}{j\lambda} \left[ \iint_{\Sigma} \tilde{U}(P, -\nu') \frac{e^{j2\pi\nu' r_{01}}}{r_{01}} \cos(\theta, \underline{r}_{01}) ds \right] e^{-j2\pi\nu' t}$$

interchanging the order of integration yields:

$$\tilde{U}_-(P_0, t) = \iint_{\Sigma} \frac{\cos(\theta, \underline{r}_{01})}{j\epsilon r_{01}} \left[ \int_0^{\infty} \tilde{U}(P, -\nu') \nu' e^{j\frac{2\pi\nu' r_{01}}{c}} e^{-j2\pi\nu' t} d\nu' \right] ds$$

Now replace  $\nu$  for  $-\nu'$ 

$$= \iint_{\Sigma} \frac{\cos(\theta, \underline{r}_{01})}{j\epsilon r_{01}} \left[ \int_{-\infty}^0 \tilde{U}(P, \nu) (-\nu) e^{-j\frac{2\pi\nu r_{01}}{c}} e^{j2\pi\nu t} d\nu \right] ds$$

The quantity in [ ] above is a form of the inverse F.T. of  $\tilde{u}$  for negative  $\nu$ . Since  $\tilde{U}(P, \nu)$  is a non-monochromatic wave which is nonzero only in a small region  $\Delta\nu$  around  $\bar{\nu}$  ( $\Delta\nu \ll \bar{\nu}$ ), we can say that  $\nu$  is essentially constant over the nonzero frequency spectrum around  $\bar{\nu}$ .

3-5 could

Also if we let  $\nu = -\bar{\nu}$  we can approximate  $e^{-j \frac{2\pi \nu r_{01}}{c}} \approx e^{j \frac{2\pi \bar{\nu} r_{01}}{c}}$  for  $\frac{r_{01} \Delta \nu}{c} \ll 1$ . The percentage of error of this approx. is:

$$\frac{e^{j 2\pi (\bar{\nu} + \Delta \nu) \frac{r_{01}}{c}} - e^{j 2\pi \bar{\nu} \frac{r_{01}}{c}}}{e^{j 2\pi \bar{\nu} \frac{r_{01}}{c}}} \approx e^{j \frac{2\pi \Delta \nu r_{01}}{c}} - 1 \approx 0$$

So we have.

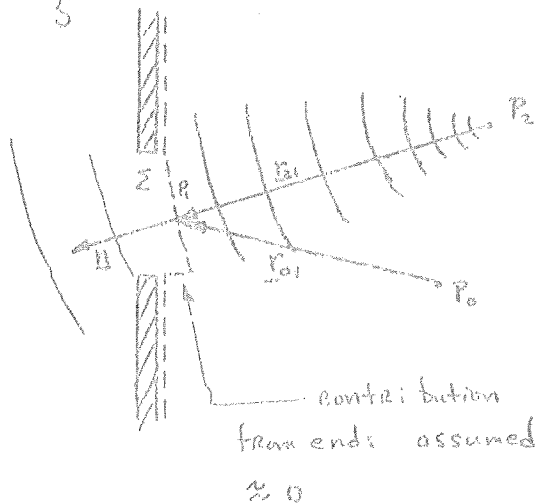
$$\tilde{U}_-(P_0, t) = \iint_{\Sigma} \frac{\cos(\theta_1, \underline{r}_{01})}{j c r_{01}} (\bar{\nu}) e^{j \frac{2\pi \bar{\nu} r_{01}}{c}} \left[ \int_{-\infty}^{\infty} \tilde{U}(P_1, \nu) e^{j 2\pi \nu t} d\nu \right] ds$$

$\tilde{U}_-(P_1, t)$

and remembering that  $\frac{\bar{\nu}}{c} = \frac{1}{\lambda}$   $\bar{k} = \frac{2\pi \bar{\nu}}{c}$

$$\tilde{U}_-(P_0, t) = \frac{1}{j \lambda} \iint_{\Sigma} \tilde{U}_-(P_1, t) \frac{e^{j \bar{k} r_{01}}}{r_{01}} \cos(\theta_1, \underline{r}_{01}) ds$$

Problem # 5



Eq. 3-16

$$\tilde{U}(P_0) = \frac{1}{4\pi} \iint_{\Sigma} \left( \frac{\partial \tilde{U}}{\partial n} \tilde{G} - \tilde{U} \frac{\partial \tilde{G}}{\partial n} \right) ds$$



( ) P.S contd

on  $\Sigma$

$$\vec{G}(P_1) = \frac{e^{jk r_{01}}}{r_{01}}$$

$$\frac{\partial \vec{G}}{\partial n} = \cos(\underline{n}, \underline{r}_{01}) \left[ jk - \frac{1}{r_{01}} \right] \frac{e^{jk r_{01}}}{r_{01}}$$

$$\frac{\partial \vec{G}}{\partial n} \approx jk \frac{e^{jk r_{01}}}{r_{01}} \cos(\underline{n}, \underline{r}_{01}) \quad r_{01} \gg \lambda$$

Also

$$\vec{U}(P_1) = A \frac{e^{-jk r_{21}}}{r_{21}}$$

where the minus is required to distinguish a converging spherical wave from a diverging spherical wave.

$$\frac{\partial \vec{U}}{\partial n} = \cos(\underline{n}, \underline{r}_{21}) \left[ -jk - \frac{1}{r_{21}} \right] A \frac{e^{-jk r_{21}}}{r_{21}}$$

but  $\cos(\underline{n}, \underline{r}_{21}) = 1$

assuming  $r_{21} \gg \lambda$

$$\frac{\partial \vec{U}}{\partial n} = -jk A \frac{e^{-jk r_{21}}}{r_{21}} = -jk \vec{U}(P_1)$$

Thus

$$\vec{U}(P_0) = \frac{1}{4\pi} \iint_{\Sigma} \left[ -jk \vec{U}(P_1) \frac{e^{jk r_{01}}}{r_{01}} - jk \vec{U}(P_1) \frac{e^{jk r_{01}}}{r_{01}} \cos(\underline{n}, \underline{r}_{01}) \right] ds$$

$$\vec{U}(P_0) = \frac{1}{j\lambda} \iint_{\Sigma} \vec{U}(P_1) \frac{e^{jk r_{01}}}{r_{01}} \left[ \frac{1 + \cos(\underline{n}, \underline{r}_{01})}{2} \right] ds$$

## Solutions

4-1

$$a. \quad \tilde{E}(x_1, y_1) = \text{rect}\left(\frac{x_1}{X}\right) \text{rect}\left(\frac{y_1}{Y}\right) * \left[ \delta(x_1, y_1 - \frac{\Delta}{2}) + \delta(x_1, y_1 + \frac{\Delta}{2}) \right]$$

$$\therefore \mathcal{F}\{\tilde{E}(x_1, y_1)\} = 2XY \text{sinc} X f_x \text{sinc} Y f_y \left[ \frac{e^{-j2\pi f_y \frac{\Delta}{2}} + e^{j2\pi f_y \frac{\Delta}{2}}}{2} \right]$$

$$\mathcal{F}\{\tilde{E}(x_1, y_1)\} = 2XY \text{sinc} X f_x \text{sinc} Y f_y \cos \pi f_y \Delta$$

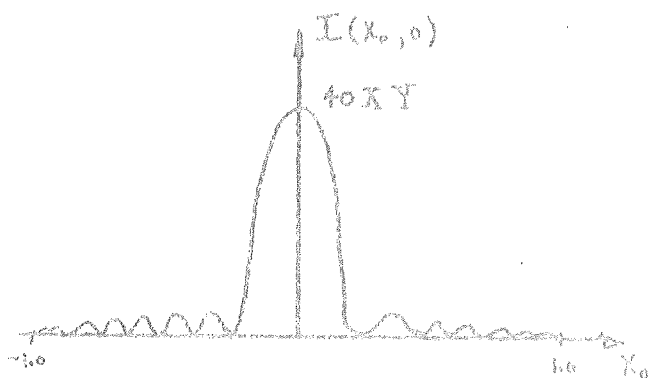
Recall the Intensity distribution is given by

$$I(x_0, y_0) = \frac{1}{\lambda^2 z^2} \left| \mathcal{F}\{\tilde{E}(x_1, y_1)\} \right|^2 \Big|_{f_x = \frac{x_0}{\lambda z} \quad f_y = \frac{y_0}{\lambda z}}$$

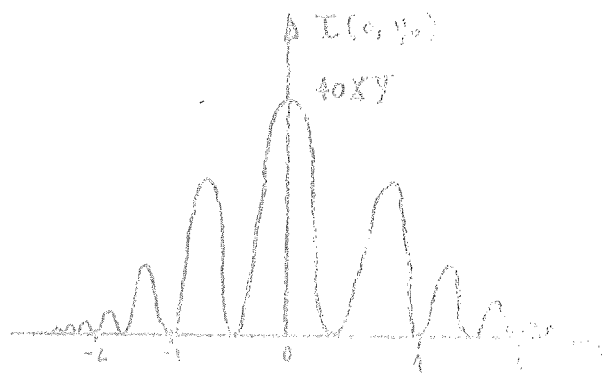
$$I(x_0, y_0) = \frac{4X^2 Y^2}{\lambda^2 z^2} \cos^2\left(\frac{\pi y_0 \Delta}{\lambda z}\right) \text{sinc}^2 \frac{X x_0}{\lambda z} \text{sinc}^2 \frac{Y y_0}{\lambda z}$$

$$b. \quad \frac{X}{\lambda z} = 10 \quad \frac{Y}{\lambda z} = 1 \quad \frac{\Delta}{\lambda z} = \frac{8}{z}$$

$$\Rightarrow I(x_0, y_0) = 40XY \cos^2\left(\frac{8\pi}{z} y_0\right) \text{sinc}^2 10x_0 \text{sinc}^2 y_0$$



Nulls at Multiples  
of 0.1



4-4

$$a. \quad \tilde{f}(x, y) = e^{ic\sqrt{x^2+y^2}}$$

$$I(x_0=0, y_0=0) = I(0,0) = \frac{1}{\lambda^2 z^2} \left| \iint e^{ic\sqrt{x^2+y^2}} e^{j\frac{k}{2z}(x^2+y^2)} dx dy \right|^2$$

$$I(0,0) = \frac{1}{\lambda^2 z^2} \left| \int_0^{2\pi} d\theta \int_0^1 r_1 e^{j\frac{k}{2z}r_1^2} dr_1 \right|^2$$

$$= \frac{4\pi^2}{\lambda^2 z^2} \left| \int_0^1 r_1 e^{j\frac{k}{2z}r_1^2} dr_1 \right|^2$$

Now make a change of variable

$$\text{let } u = r_1^2 \quad du = 2r_1 dr_1$$

$$I(0,0) = \frac{4\pi^2}{\lambda^2 z^2} \left| \frac{1}{2} \int_0^1 e^{j\frac{k}{2z}u} du \right|^2$$

$$= \frac{\pi^2}{\lambda^2 z^2} \left[ \frac{1}{j\frac{k}{2z}} e^{j\frac{k}{2z}u} \Big|_0^1 \right]^2$$

$$= \left| e^{j\frac{k}{2z}} - 1 \right|^2 = 2 - 2 \cos \frac{k}{2z}$$

$$\boxed{I(0,0) = 2 \left( 1 - \cos \frac{k}{2z} \right) = 4 \sin^2 \left( \frac{\pi}{2\lambda z} \right)}$$

$$b. \quad \tilde{f}(x, y) = \begin{cases} 1 & a \leq \sqrt{x^2+y^2} \leq 1 \\ 0 & \text{otherwise} \end{cases}$$

The only effect here is to change the limits of integration

$$I(0,0) = \frac{4\pi^2}{\lambda^2 z^2} \left| \int_a^1 r_1 e^{j\frac{k}{2z}r_1^2} dr_1 \right|^2$$

$$= \frac{4\pi^2}{\lambda^2 z^2} \left| \frac{1}{2} \int_{a^2}^1 e^{j\frac{k}{2z}u} du \right|^2$$

$$= \left| e^{j\frac{k}{2z}} - e^{j\frac{k}{2z}a^2} \right|^2$$

$$\therefore \boxed{I(0,0) = 2 \left[ 1 - \cos \left\{ \frac{k}{2z} (1-a^2) \right\} \right]} = 4 \sin^2 \left\{ \frac{k(1-a^2)}{2z} \right\}$$

4-5

From eq (4-24), two separate spectral lines separated by  $\Delta\lambda$  in wavelength produce grating orders separated by  $(g f_0 \Delta\lambda z)$ . The width of a single order is  $\frac{\lambda z}{l}$  from peak to first null. Thus the min<sup>2</sup> resolvable wavelength difference  $\Delta\lambda$  satisfies

$$g f_0 \Delta\lambda z = \frac{\lambda z}{l}$$

$$\text{OR } \frac{\lambda}{\Delta\lambda} = g f_0 l$$

But  $f_0 l = \#$  of full periods on the grating

$$\text{Thus } \boxed{\frac{\lambda}{\Delta\lambda} = g M = g f_0 l}$$

While it is true that  $J_q^2(\frac{m}{z})$  falls off at very high  $q$ , the fundamental limitation preventing use of arbitrarily large  $q$  is the fact that the waves are evanescent and are rapidly attenuated.

4-6

$$a. \quad \tilde{t}(x, y) = \frac{1}{2} (1 + m \cos 2\pi f_0 x)$$

$$\mathcal{F}\{\tilde{t}(x, y)\} = \frac{1}{2} \delta(f_x, f_y) + \frac{m}{4} \delta(f_x - f_0, f_y) + \frac{m}{4} \delta(f_x + f_0, f_y)$$

The transfer function [eq (4-11)] for Fresnel diffraction

$$\text{is: } \tilde{H}(f_x, f_y) = e^{jkz} e^{-j\pi\lambda z (f_x^2 + f_y^2)}$$

Thus the spectrum of the Fresnel diffraction pattern associated with  $t$  is:

$$\begin{aligned} \mathcal{F}\{\tilde{V}(x_0, y_0)\} &= \mathcal{F}\{\tilde{E}(x, y)\} H(f_x, f_y) \\ &= e^{jkz} \left[ \frac{1}{2} \delta(f_x, f_y) + \frac{m}{4} e^{-j\pi\lambda z f_0^2} \delta(f_x - f_0, f_y) \right. \\ &\quad \left. + \frac{m}{4} e^{-j\pi\lambda z f_0^2} \delta(f_x + f_0, f_y) \right] \end{aligned}$$

$$* \quad \tilde{U}(x_0, y_0) = e^{jkz} \left[ \frac{1}{2} + \frac{m}{4} e^{-j\pi\lambda z f_0^2} e^{-j\pi f_0 x} + \frac{m}{4} e^{-j\pi\lambda z f_0^2} e^{j\pi f_0 x} \right]$$

$$\begin{aligned} I(x_0, y_0) = |\tilde{U}(x_0, y_0)|^2 &= \left[ \frac{1}{4} + \frac{m}{4} e^{j\pi\lambda z f_0^2} \cos \pi f_0 x + \frac{m}{4} e^{-j\pi\lambda z f_0^2} \cos \pi f_0 x \right. \\ &\quad \left. + \frac{m^2}{4} \cos^2 \pi f_0 x \right] \end{aligned}$$

$$I(x_0, y_0) = \frac{1}{4} \left( 1 + \frac{m^2}{2} \right) + \frac{m}{2} \cos \pi f_0 x \cos \pi \lambda z f_0^2 + \frac{m^2}{8} \cos 2\pi f_0 x$$

Ex. (i) Going back to \* above for  $\tilde{U}(x_0, y_0)$ , we see that when  $\pi \lambda z f_0^2 = N\pi$  or  $z = \frac{N}{\lambda f_0^2}$   $N$  integer, then

$$\tilde{U}(x_0, y_0) = e^{jkz} \left[ 1 \pm \frac{m}{2} \cos 2\pi f_0 x \right] \text{ and we have}$$

pure amplitude modulation

(ii) when  $\pi \lambda z f_0^2 = (N - \frac{1}{2})\pi$  or  $z = \frac{N - \frac{1}{2}}{\lambda f_0^2}$   $N \geq 0$   $N$  integer.

$$\text{then } \tilde{U}(x_0, y_0) = e^{jkz} \left[ 1 \pm j \frac{m}{2} \cos 2\pi f_0 x \right]$$

However  $m \ll 1$ , so

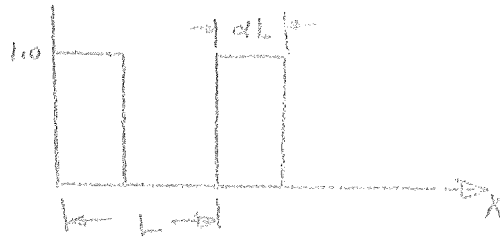
$$I(x_0, y_0) \approx 1 + \frac{m^2}{4} \cos^2 2\pi f_0 x \pm j \frac{m}{2} \cos 2\pi f_0 x \mp j \frac{m}{2} \cos 2\pi f_0 x$$

$$\approx 1 + \frac{m^2}{4} \cos^2 2\pi f_0 x \approx 1 \text{ since } m \ll 1$$

and so  $I = \text{constant}$ , thus  $\tilde{U}$  is approximately

phase modulated.

## Problem 6



$$\tilde{E}(x, y) = \text{Rect} \frac{x}{\alpha L} * \sum_{n=-\infty}^{\infty} \delta(x - nL, y)$$

$$= \text{Rect} \frac{x}{\alpha L} * \left[ \frac{1}{L} \text{comb} \left( \frac{x}{L} \right) \delta(y) \right]$$

$$\mathcal{F}\{\tilde{E}(x, y)\} = \left[ \alpha L \text{sinc} \alpha L f_x + \delta(f_y) \right] \text{comb} \frac{x}{L}$$

$$\mathcal{F}\{\tilde{E}(x, y)\} = \alpha \frac{\sin \pi \alpha L f_x}{\pi \alpha L f_x} \sum_{n=-\infty}^{\infty} \delta\left(f_x - \frac{n}{L}, f_y\right)$$

Now the  $N=+1$  coefficient is:

$$C_{+1} = \alpha \frac{\sin \pi \alpha L \left(\frac{1}{L}\right)}{\pi \alpha L \left(\frac{1}{L}\right)} = \frac{\sin \pi \alpha}{\pi}$$

This maximizes when  $\alpha = \frac{1}{2}$ , yields an  $N=+1$  coefficient  $\frac{2}{\pi}$

$$\text{max diffraction efficiency} = (C_{+1})^2 = \frac{1}{\pi^2} = \boxed{10.1\%}$$

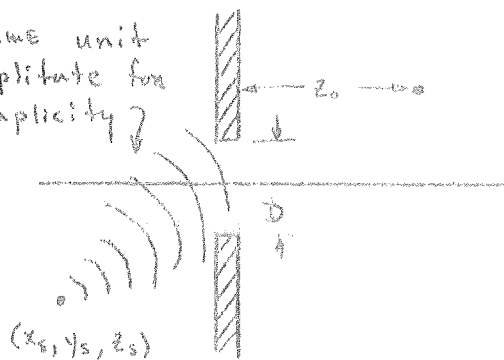
The grating is more efficient since there is less absorption.

The problem is not well defined. One possible interpretation and solution is given below. (The Fraunhofer diffraction relation gives  $\tilde{U}$  in the  $(x_0, y_0)$  plane as a function of  $\tilde{U}$  in the  $(x_1, y_1)$  plane, provided that the condition  $z_0 \gg \frac{k}{z} (x_1^2 + y_1^2)_{max}$  is satisfied.

No assumptions are made here as to how  $\tilde{U}(x_0, y_0)$  arises, the source behind  $(x_1, y_1)$  could be anywhere and the amplitude at  $(x_1, y_1)$  could be modified to produce some  $\tilde{U}(x_0, y_0)$ . The condition above must be satisfied, however.)

A possible sol'n

Assume unit Amplitude for simplicity



$$\tilde{U}(x_0, y_0) = \frac{e^{jkz_0}}{j\lambda z_0} e^{j\frac{\pi}{\lambda z_0}(x_0^2 + y_0^2)} \iint_{-\infty}^{\infty} \tilde{U}(x, y) e^{jkx_0 \frac{x}{z_0}} e^{j\frac{k}{2z_0}(x^2 + y^2)} e^{-j\frac{\pi}{\lambda z_0}(x_0 x + y_0 y)} dx dy$$

illumination waves

Now if

$$\frac{k}{z} \left( \frac{1}{z_s} + \frac{1}{z_0} \right) (x^2 + y^2)_{max} < \frac{\pi}{8}$$

$$\text{OR } \left( \frac{1}{z_s} + \frac{1}{z_0} \right) \frac{z^2}{4} < \frac{\lambda}{8}$$

$$\boxed{\frac{z_s z_0}{z_s + z_0} \geq 2 \frac{D^2}{\lambda}}$$

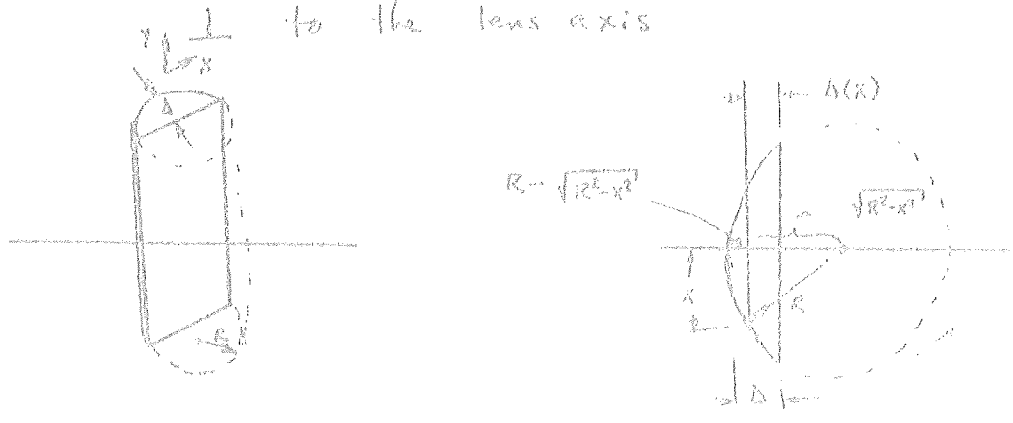
The Integral becomes

$$\tilde{U}(x_0, y_0) = \frac{e^{jk(z_s + z_0)}}{j\lambda z_0} e^{j\frac{k}{2z_0}(x_0^2 + y_0^2)} \iint_{-\infty}^{\infty} \tilde{U}(x, y) e^{-j\frac{\pi}{\lambda z_0}(x_0 x + y_0 y)} dx dy$$

Solutions

5-2

a. Assume the plane part of the lens is  $\perp$  to the lens axis



$\Delta(x, y) = \Delta(x)$  a function of  $x$  only

$$\Delta(x) = \Delta - (R - \sqrt{R^2 - x^2})$$

so  $\Delta(x) = \Delta - R \left( 1 - \sqrt{1 - \left(\frac{x}{R}\right)^2} \right) \approx \Delta - R \left( 1 - 1 + \frac{1}{2} \left(\frac{x}{R}\right)^2 \right)$

$$\Delta(x) = \Delta - \frac{x^2}{2R}$$

i.  $\tilde{E}_x(x, y) = e^{jk\Delta} e^{jk(n-1)\Delta(x, y)}$  eq (5-1)

$$\tilde{E}_x(x, y) = e^{jk\Delta} e^{jk(n-1)\Delta} e^{-jk(n-1)\frac{x^2}{2R}}$$

$$\tilde{E}_x(x, y) = e^{jknd} e^{-jk(n-1)\frac{x^2}{2R}}$$

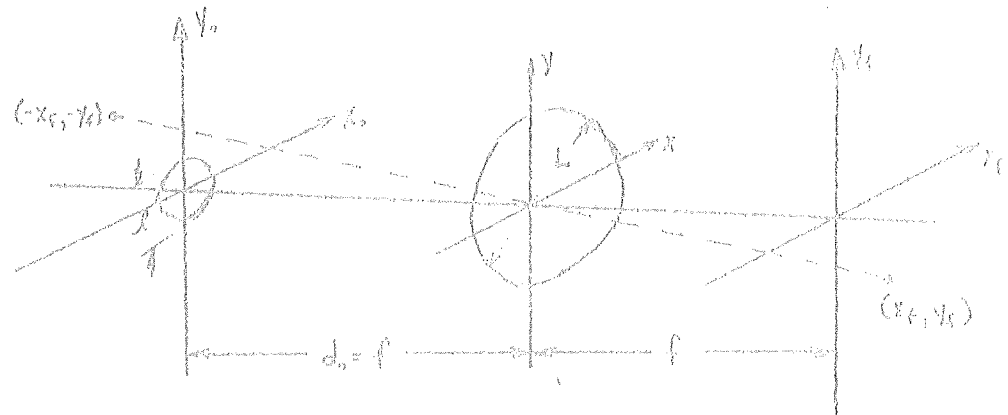
Now define  $\frac{1}{f} = (n-1)\frac{1}{R}$

$$\tilde{E}_x(x, y) = e^{jknd} e^{-j\frac{1}{2f}x^2}$$

b. Lens focuses in the  $x$  direction while no focusing occurs in the  $y$  direction. The plane wave converges into a focal line at  $x=0, z=f$ .



5-4



- a. What is the largest value of  $x_f$  such that the lens projected back to the object plane includes all of the object. This occurs when

$$x_f - \frac{L}{2} \leq -\frac{l}{2} \quad x_f \leq \frac{L-l}{2}$$

$$f_x = \frac{x_f}{\lambda f}$$

$$f_x \leq \frac{L-l}{2\lambda f}$$

b.

$$f_x = \frac{(4-2) \cdot 10^{-2}}{2 \cdot 6 \times 10^{-7} \cdot 1.5} = \frac{1}{3} \cdot 10^5 \frac{\text{cycles}}{\text{m}} = 33.3 \frac{\text{cycles}}{\text{mm}}$$

$$f_x = 33.3 \frac{\text{cycles}}{\text{mm}}$$

$$L = 4 \times 10^{-2} \text{ m} \quad f = 50 \times 10^{-2} \text{ m}$$

$$l = 2 \times 10^{-2} \text{ m} \quad \lambda = 6 \times 10^{-7} \text{ m}$$

- c. The spectrum vanishes when the lens projection misses the object completely.

$$x_f \geq \frac{L+l}{2\lambda f}$$

$$f_x \geq \frac{L+l}{2\lambda f} = 100 \frac{\text{cycles}}{\text{mm}}$$

5-5

$$\tilde{E}(r) = \frac{1}{2} (1 + \cos \alpha r^2) \text{circ}\left(\frac{r}{2}\right)$$

$$\tilde{E}(r) = \left( \frac{1}{2} + \frac{1}{4} e^{j\alpha r^2} + \frac{1}{4} e^{-j\alpha r^2} \right) \text{circ}\left(\frac{r}{2}\right)$$

$$\tilde{E}(r) = \tilde{E}_1(r) + \tilde{E}_2(r) + \tilde{E}_3(r)$$

$$\text{where } \begin{cases} \tilde{E}_1(r) = \frac{1}{2} \text{circ}\left(\frac{r}{2}\right) \\ \tilde{E}_2(r) = \frac{1}{4} e^{j\alpha r^2} \text{circ}\left(\frac{r}{2}\right) \\ \tilde{E}_3(r) = \frac{1}{4} e^{-j\alpha r^2} \text{circ}\left(\frac{r}{2}\right) \end{cases}$$

a. From Eq. (8-10) we see that  $\tilde{E}_2(r)$  may be viewed as the transmittance function of a diverging (negative) lens with pupil function  $\text{circ}\left(\frac{r}{2}\right)$ ; while  $\tilde{E}_3(r)$  corresponds to a converging (positive) lens, also having pupil function  $\text{circ}\left(\frac{r}{2}\right)$ . The term  $\tilde{E}_1(r)$  gives rise to a plane wave (undistorted).

b. The "diverging lens" has focal length  $f_2 = -1/\alpha$ . The "converging lens" has focal length  $f_3 = 1/\alpha$ ; hence the focal length is  $\frac{f_2}{2\alpha} = \frac{1}{2\alpha} = \frac{1}{2} \left( \frac{1}{\alpha} \right)$ .

c. The fact that the focal lengths are wave-length dependent would hamper use of the screen for imaging polychromat. objects. The coefficients in  $\tilde{E}_2(r)$  and  $\tilde{E}_3(r)$  also indicate an inefficiency of the screen for imaging.

5-8

$$I(x_f, y_f) = \frac{1}{\lambda^2 d^2} \left| \iint_{-\infty}^{\infty} \tilde{t}_0(x_0, y_0) P(x_0, y_0) e^{-j \frac{2\pi}{\lambda} (x_0 x_f + y_0 y_f)} dx_0 dy_0 \right|^2 \quad \text{eq. (1)}$$

on the  $x_f$  axis  $y_f = 0$

The projected <sup>diameter</sup> ~~radius~~ of the pupil function  $(\frac{\lambda d}{f})$  completely includes the object ( $d_{obj} = \sqrt{2}$ ), so we can neglect the finite pupil size.

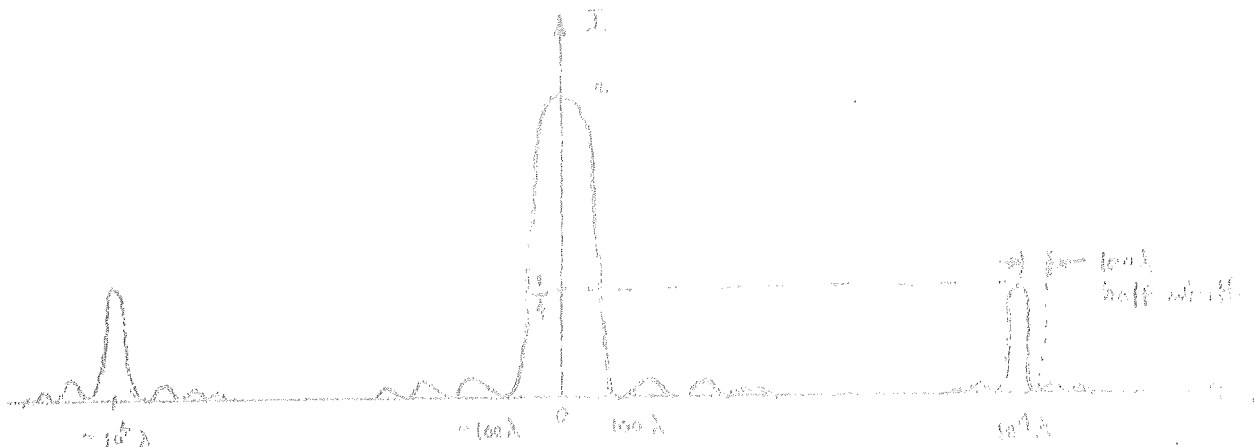
$$I(x_f, 0) = \frac{1}{\lambda^2 d^2} \left| \iint_{-\infty}^{\infty} (1 + \cos 2\pi f_0 x_0) \text{rect}\left(\frac{x_0}{L}\right) \text{rect}\left(\frac{y_0}{L}\right) e^{-j \frac{2\pi}{\lambda} x_0 x_f} dx_0 dy_0 \right|^2$$

The result is the same as eq. (4-23) with  $z = d$ ,  $m = 1$ ,  $y_0 = 0$ . Neglecting multiplicative constants.

$$I(x_f, 0) \propto \text{sinc}^2\left(\frac{x_f}{\lambda d}\right) + \frac{1}{4} \text{sinc}^2\left[\frac{1}{\lambda d} (x_f + f_0 \lambda d)\right] + \frac{1}{4} \text{sinc}^2\left[\frac{1}{\lambda d} (x_f - f_0 \lambda d)\right]$$

$$d = 100 \text{ cm} \quad f_0 = 100 \frac{\text{cycles}}{\text{cm}} \quad L = 1 \text{ cm}$$

$$I(x_f, 0) \propto \text{sinc}^2\left(\frac{x_f}{100\lambda}\right) + \frac{1}{4} \text{sinc}^2\left[\frac{1}{100\lambda} (x_f + 10^4 \lambda)\right] + \frac{1}{4} \text{sinc}^2\left[\frac{1}{100\lambda} (x_f - 10^4 \lambda)\right]$$



( )

7-9

let  $(x_0, y_0)$  be the coordinates across plane  $b$  in front of the focal plane. Incident on the lens is:

$$\tilde{U}_i(x, y) = \text{rect} \frac{x}{a} \text{rect} \frac{y}{b}$$

so  $\tilde{U}_e(x, y) = e^{i \frac{\pi}{\lambda} (x^2 + y^2)} \text{rect} \frac{x}{a} \text{rect} \frac{y}{b}$   
 (transmitted by the lens)

incident on plane  $b$  we have:

$$\tilde{U}(x_0, y_0) = e^{i \frac{\pi}{\lambda(f-b)} (x_0^2 + y_0^2)} \iint_{-\infty}^{\infty} \text{rect} \frac{x}{a} \text{rect} \frac{y}{b} e^{-i \frac{\pi}{\lambda} (x^2 + y^2)} dx dy$$

( )

we want the quadratic phase factor to vanish. this using one possible assumption:

$$- \frac{\pi}{\lambda f} + \frac{\pi}{\lambda(f-b)} (x^2 + y^2)_{max} < \frac{\pi}{8}$$

$$\frac{1}{\lambda} \left( \frac{1}{f-b} - \frac{1}{f} \right) \left( \frac{a}{2} \right)^2 < \frac{1}{8}$$

$$\frac{a^2}{\lambda} \left( \frac{b}{f(f-b)} \right) < \frac{1}{8}$$

so  $\frac{a}{\lambda(f-b)} < \frac{1}{8f}$  or  $\boxed{b < \frac{\lambda}{8} \left( \frac{a}{f} \right)^2}$

or more accurately

$$b < \frac{\lambda}{8f} (f^2 - bf)$$

$$b + \frac{\lambda}{8f} < \frac{\lambda f}{8}$$

$$\boxed{b < \frac{f^2}{8} \left( 1 - \frac{\lambda}{8f} \right)}$$

$$\frac{b}{f} < \frac{1}{8} \left( 1 - \frac{\lambda}{8f} \right)$$

( )

5-10

$$\tilde{E}(r) = \frac{1}{2} \left\{ 1 + \operatorname{sqr}(\cos \alpha r^2) \right\} \operatorname{circ}\left(\frac{r}{2}\right)$$

$\operatorname{circ}\left(\frac{r}{2}\right) \sim$  pupil function, and may be neglected as far as finding focal lengths ( $\lambda \gg r$ )

Let  $y \triangleq r^2$  and using hint realizing  $X = \frac{2\pi}{\alpha}$

$$\tilde{E}(y) = \frac{1}{2} \left[ \sum_{n=-\infty}^{\infty} \operatorname{sinc}\left(\frac{n}{2}\right) e^{jny} \right] \operatorname{circ}\left(\frac{\sqrt{y}}{2}\right)$$

$$\Rightarrow \tilde{E}(r) = \frac{1}{2} \left[ \sum_{n=-\infty}^{\infty} \operatorname{sinc}\left(\frac{n}{2}\right) e^{jn\alpha r^2} \right] \operatorname{circ}\left(\frac{r}{2}\right)$$

Each term represents a lens with different focal length. If  $f_n$  represents the focal length of the  $n^{\text{th}}$  lens, then

$$e^{-j\frac{\pi}{\lambda f_n}} = e^{jn\alpha r^2} \Rightarrow \frac{\pi}{\lambda f_n} = -n\alpha$$

$\therefore$   $f_n = \frac{-\pi}{\lambda n \alpha}$  relative energy brought to focus at  $n^{\text{th}}$  focal plane.

is  $\frac{1}{4} \operatorname{sinc}^2\left(\frac{n}{2}\right)$

$\Rightarrow$  Zero order: Energy =  $\frac{1}{4}$ , focal length =  $\infty$

$\pm 2k^{\text{th}}$  order: Energy = 0, focal length =  $\pm \frac{\pi}{2\lambda k \alpha}$

$\pm (2k+1)^{\text{th}}$  order: Energy =  $\frac{\operatorname{sinc}^2\left(\frac{k+1/2}{2}\right)}{4}$ , focal length =  $\pm \frac{\pi}{\lambda (2k+1) \alpha}$

EE 5360 (JFLW)

Name: \_\_\_\_\_

Fall 1975

Quiz (10/21/75)

Open Book ; 4 Problems

Work all problems on these sheets. Show your work and cite reference if appropriate. Budget your time! Point values are shown.

Prob. #1 (20 pts.): True-False Questions.

Write "True" or "False" after each statement.

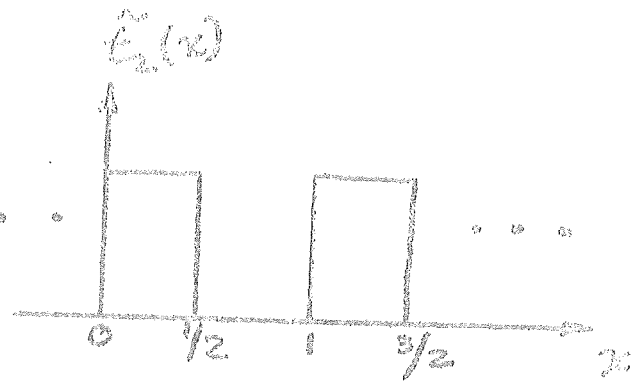
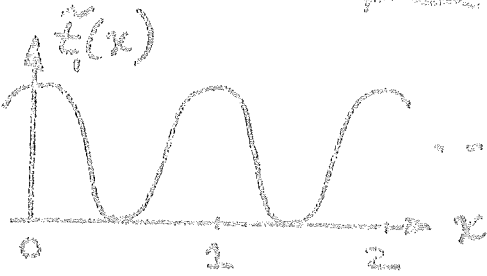
(Scoring: +2 pts. if correct, 0 pts if left blank, -2 pts if incorrect).

- ① The Fourier-Bessel transform is the 2-D F.T. of a circularly symmetric object. \_\_\_\_\_
- ② One way to combat vignetting is to move the input transparency farther away from the Fourier transforming lens. \_\_\_\_\_
- ③ The input plane of a space-invariant optical system must be broken up into many isoplanatic patches. \_\_\_\_\_

## Prob. #1 cont'd

- ④ In describing the transmittance function of a thin lens, we assumed that the lens imparts a spatially-varying phase delay, but imparts no attenuation to the incoming optical field →
- ⑤ The angular spectrum of a diffracted light field is the product of the angular spectrum of the incident field with the angular spectrum transfer function for the diffracting aperture →
- ⑥ A sinusoidal phase grating has a higher diffraction efficiency than a sinusoidal amplitude grating. →
- ⑦ In the paraxial approx., one assumes that spherical wavefronts may be approximated by parabolic wavefronts →
- ⑧ Fresnel diffraction may be viewed as a special case of Fraunhofer diffraction →
- ⑨ The  $\text{comb}(x)$  and  $e^{-\alpha x^2}$  functions both have the property that each has a F.T. having the same form as the function. →
- ⑩ In order to increase the size of the Fourier spectrum (actually the power spectrum when viewed) obtained with a thin lens, we decrease  $\lambda$  and/or  $f$ . →

Prob # 2 (30 pts):



Two amplitude gratings are shown above. (one sinusoidal, one square-wave). Compare these gratings quantitatively with respect to

(a) The percentage of incident light intensity absorbed by the grating

(b) The percentage of incident light intensity appearing in the zero-order component.

(c) The percentage of incident light intensity appearing in a single first-order component.

Hint:  $\text{comb}(x) * \text{rect}(2x)$

$$= \sum_{n=-\infty}^{\infty} \left[ \frac{\sin(n\pi/2)}{\pi n} \right] e^{j2\pi n x}$$

---



( )

( )

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Prob. # 3 (30 pts)

(a) (15 pts). Find the diameter of the central bright disk in the focal plane of a telescope lens having a diameter of 1 meter, as it looks at a distant star. Assume  $f = 15$  meters,  $\lambda = 5500 \text{ \AA}$  ( $1 \text{ \AA} = 10^{-10}$  meters)

(b) (15 pts). A sinusoidal amplitude grating has a 2000 cycles/mm ruling. How wide must the grating be to be able to resolve the modes of a  $6328 \text{ \AA}$  He-Ne laser, assuming the modes are separated by 500 MHz? (Recall that  $c = 3 \times 10^8$  m/sec.). (assume cycles/mm = lines/mm)

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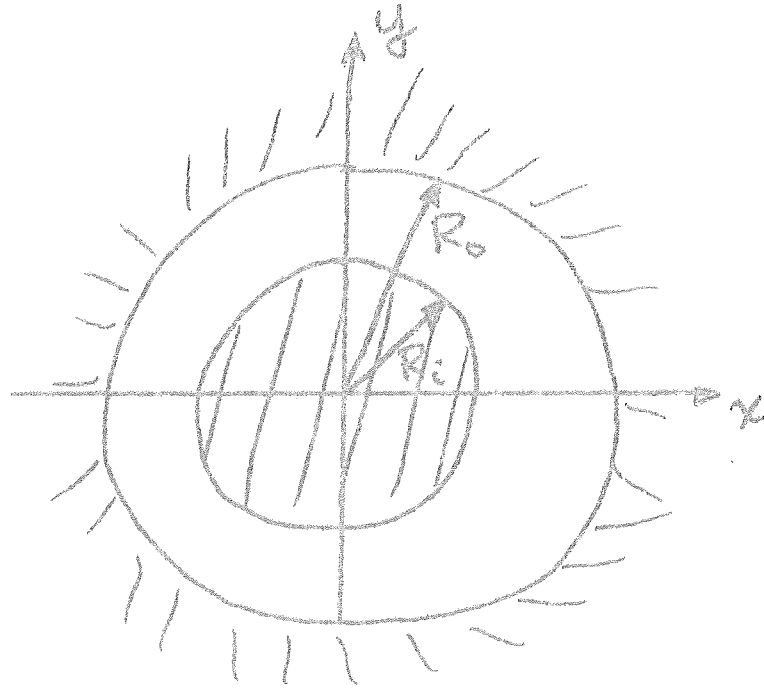




Prob. # 4 (20 pts): Evaluate the Fraunhofer diffraction pattern of the aperture shown below. Sketch the cross section of the pattern.

$$R_i = 2 \text{ mm}$$

$$R_o = 3 \text{ mm}$$









Fall 1975

FINAL EXAM (12/17/75)

Open Book

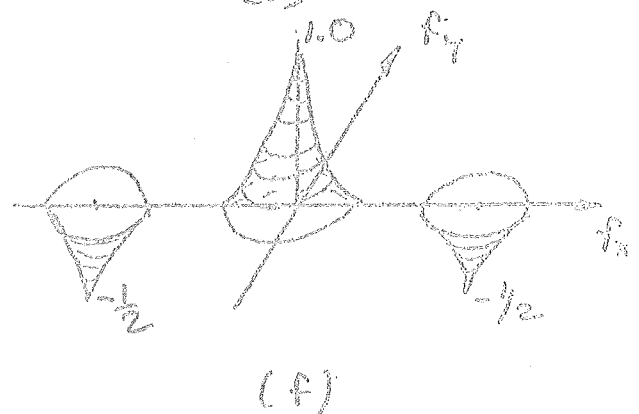
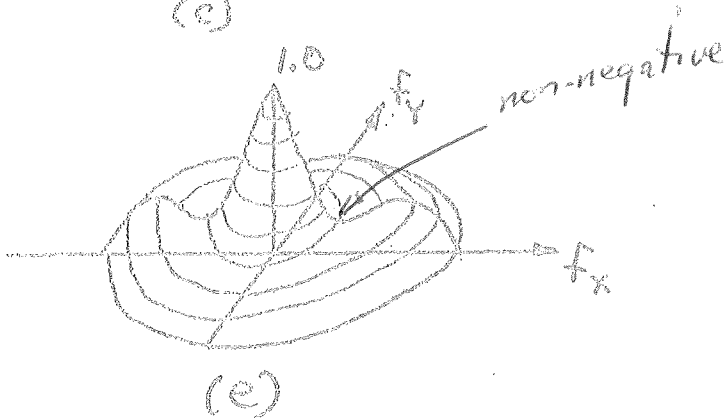
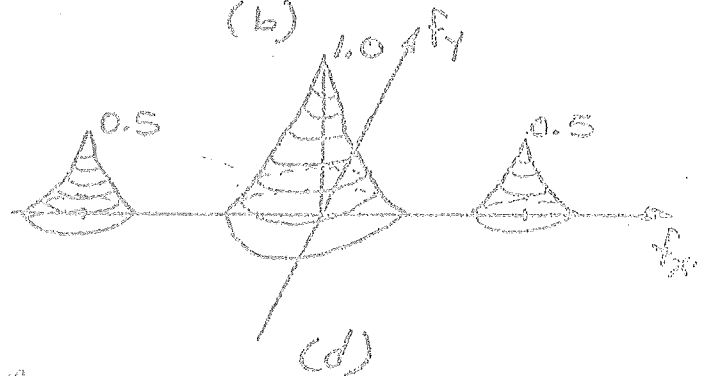
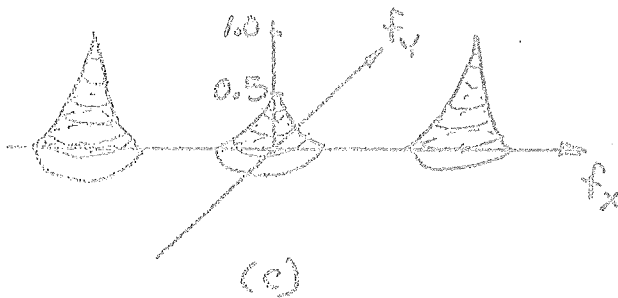
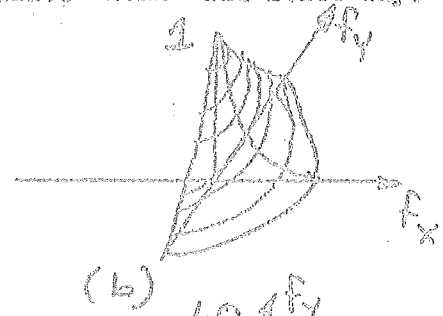
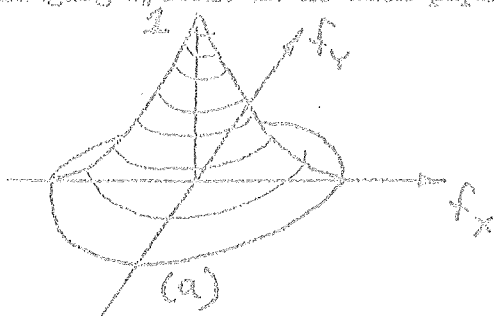
200 pts. total

Do all problems. Start each problem on a new sheet of paper. Show your work.

The point values are shown, so budget your time!

1	
2	
3	
4	
5	

Problem 1: (40 pts.) Shown below are several 2-dimensional potential ODF's. In each case sketch a black and white (i.e. opaque or clear) pupil function that could generate an ODF of the specified shape in an aberration-free imaging system. If no such pupil function exists, write "NONE" and state why.



Problem 21 (40 pts.) A distribution-free optical grating has a diameter of 2 cm, and focal length of cm. is available. It is to be used in a coherent imaging system ( $\lambda = 5000 \text{ \AA}$ ) to determine the spatial frequency of a certain sinusoidal amplitude grating with amplitude transmittance

$$T_1(x, y) = \frac{1}{2} [1 + \cos(2\pi f_0 x)]$$

The grating frequency is known to be about 2000 cycles/cm, but is not known precisely.

- (20) (a) What is the coherent cutoff frequency of the imaging system assuming that the grating (i.e., the object) is placed 10 cm. in front of the lens. Sketch (and label the sketch) the distribution of intensity that you would expect to see in the image plane in this case.

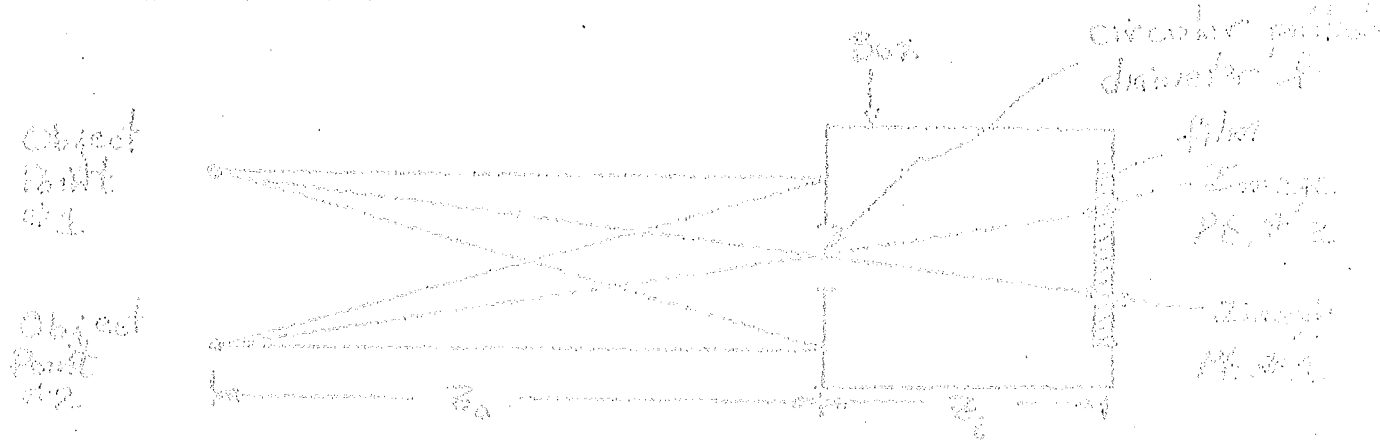
- (20) (b) Assume that a second grating of known frequency (2000 cycles/cm) is also available; its amplitude transmittance may be taken to be

$$T_2(x, y) = \frac{1}{2} [1 + \cos(4000\pi x)]$$

known.

where  $x$  is in cm. Explain how the <sup>grating</sup> and the available imaging system can be used to measure  $f_0$ . Specify any limitations on  $f_0$  that seem appropriate.

Problem 11 (40 pts.) A "pinhole camera" is illustrated in the figure below. The simple object plane contains illuminated holes the camera looks at.



You may make the following assumptions:

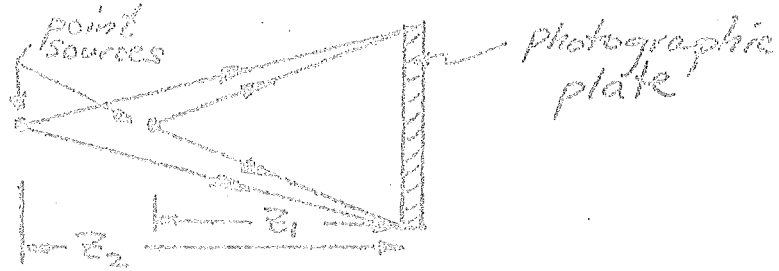
- (1) The pinhole is circular, and has diameter  $L$ .
- (2) The object distance  $s_o$  is infinite.
- (3) The object is incoherent and quasi-monochromatic, with mean wavelength  $\lambda$ .
- (4) Only small angles are involved.

(15) (a) Using a basic definition of the OTF as the normalized transfer function of the incoherent imaging system, find the OTF of this imaging system under the assumption that the pinhole diameter  $L$  is so small as to place the image plane in the region of Fraunhofer diffraction. (Hint: first find the point spread function).

(15) (b) Find the OTF of the system when the pinhole diameter is so large as to place the image plane deep within the region of Fresnel diffraction, where paraxial system yields accurate answers.

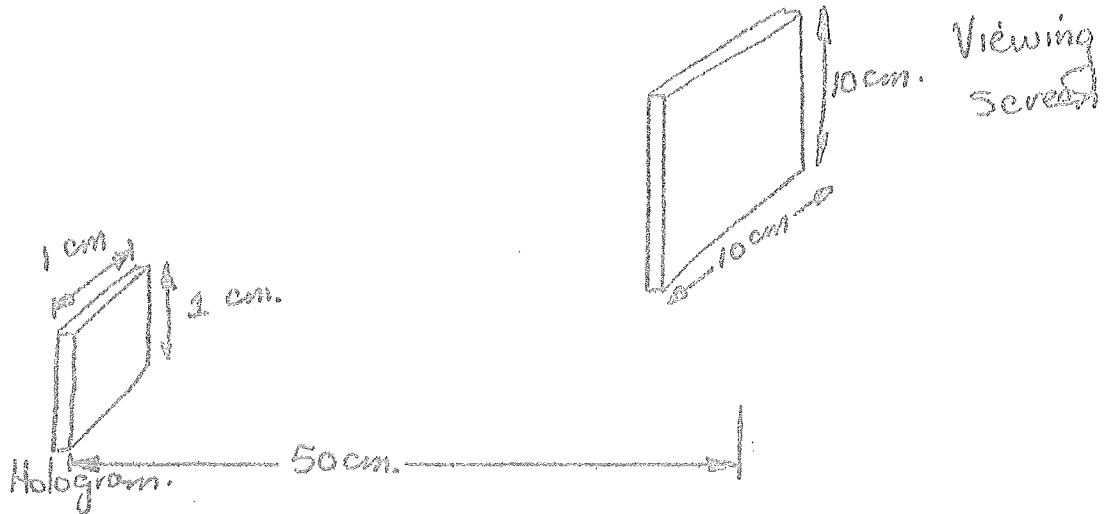
(10) (a) Defining the cutoff frequency of the OTF as the frequency where the response has the first zero, predict on the basis of the results of (a) and (b) the pinhole size which yields the highest cutoff frequency.

Problem 1.40 (1.1) A so-called "Fresnel zone plate" is formed by recording the pattern of interference between two divergent waves, as shown below:



The film is developed in such a way as to yield an amplitude transmittance proportional to exposure. What is the focal length of the resulting transparency? (i.e. the resulting Fresnel lens).

Problem 4: (40 pts.) In a certain holographic display device, it is desired to cast an image of a transparency on a viewing screen. The object is a square transparency 1 cm. by 1 cm. in size. The image on the screen is to be 10 cm. by 10 cm. in size, and the screen is to be 50 cm. from the hologram (see figure below).

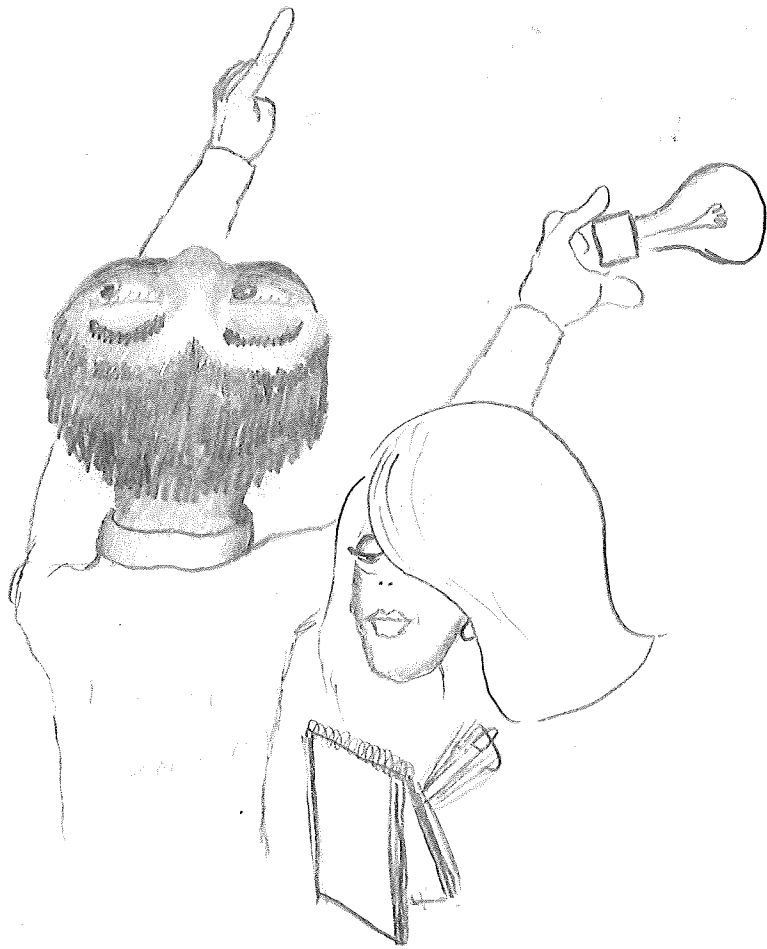


The same wavelength is used for both recording and reconstruction.

(15) (a) How far from the hologram should the object transparency be placed during the recording process?

(25) (b) Describe the reference and reconstruction illuminations necessary to achieve the desired image. If more than one soln. exists, state the various alternatives.





1-19-76 (MON)

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## NEED FOR STATISTICS IN OPTICS

- ① OPTICAL WAVES ARE, IN GENERAL, RANDOM PROCESSES  $\Rightarrow$  STATISTICAL PROPERTIES ARE IMPORTANT IN IMAGING, & OTHER OPTICAL MEASUREMENTS.
- ② THE OBJECT SCENES OF INTEREST ARE STATISTICAL IN NATURE, IF THEY WEREN'T, WE COULD SPECIFY THEM A PRIORI & WOULD HAVE NO NEED TO FORM IMAGES.
- ③ AN IMAGING SYSTEM MAY HAVE RANDOM PROPS (TURBULANCE, RANDOM GRINDING, POLISHING ERRORS, ETC.)
- ④ THE DETECTION PROCESS INTRODUCES RANDOM FLUCTUATIONS WHICH OBSCURE THE DETAILS OF INTEREST (FILM GRAIN NOISE, SHOT NOISE, PHOTON STATISTICS).
- ⑤ CHARACTERIZING THE OBSERVABLE INTERPOLATION OF THE SCENE (DETECTION AND ESTIMATION THEORY).

## REVIEW OF STATISTICAL CONCEPTS

### RANDOM VARIABLES

THINK OF A RANDOM EXPERIMENT WITH POSSIBLE NUMERICAL OUTCOMES  $X_1, X_2, \dots$ . LET  $n_k$  BE THE NUMBER OF TIMES  $X_k$  OCCURS IN  $N$  TRIALS.

$$\begin{aligned} \lim_{N \rightarrow \infty} \frac{n_k}{N} &= \text{RELATIVE FREQUENCY OF OCCURANCE OF } X_k \\ &= P(X_k) \\ &= P[X_k \text{ OCCURS IN ANY ONE TRIAL (ASSUMING INDEPENDENT TRIALS)}] \end{aligned}$$

$X_1, X_2, \dots$  ARE POSSIBLE VALUES

(EX) ROLL OF A DIE

$$\{X_k\} = \{1, 2, 3, 4, 5, 6\}$$

LET  $X$  = RANDOM VARIABLE WHICH CAN TAKE ON POSSIBLE VALUES.  $\{X_k\}$

$$P_X(1) = \frac{1}{6}, P_X(2) = \frac{1}{6}, \dots$$

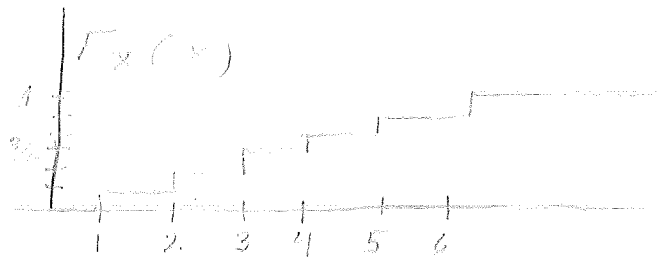
1-21-76

$$P(\bar{X}_k) = \lim_{N \rightarrow \infty} \frac{n_k}{N}$$

DISTRIBUTION FUNCTION

$$F_{\bar{X}}(x) \triangleq P(\bar{X} \leq x)$$

EX. FAIR DIE

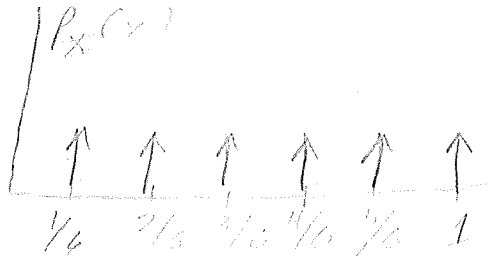


PROBABILITY DENSITY FUNCS.

$$p_{\bar{X}}(x) = \frac{d}{dx} F_{\bar{X}}(x)$$

EX FOR FAIR DIE

$$p_{\bar{X}}(x) = \sum_{n=1}^6 \frac{1}{6} \delta(x-n)$$



$$\int_{-\infty}^{\infty} p_{\bar{X}}(x) dx = 1$$

$$F_{\bar{X}}(x) = \int_{-\infty}^x p_{\bar{X}}(x) dx$$

$$p_{\bar{X}}(x) = P[x - dx < \bar{X} \leq x]$$

$$P[a \leq x \leq b] = F_{\bar{X}}(b) - F_{\bar{X}}(a) = \int_a^b p_{\bar{X}}(x) dx$$

# JOINTLY DISTRIBUTED RANDOM VARIABLES

$$F_{XY}(x, y) = P[X \leq x, Y \leq y]$$

EX TWO COIN FLIPS

<u>X</u>	<u>Y</u>	<u>Prob</u>
0	0	1/4
0	1	1/4
1	0	1/4
1	1	1/4

$$F_{XY}(0, 1) = 1/2$$

JOINT DENSITY FUNCTION

$$p_{XY}(x, y) = \frac{d^2}{dx dy} F_{XY}(x, y)$$

## CONDITIONAL STATISTICS

$$\begin{aligned}
 F_{XY}(x, y) &= F_Y(y) F_{X|Y}(x|y) \\
 &= F_X(x) F_{Y|X}(y|x) \\
 &= P[X \leq x] P[Y \leq y | X \leq x]
 \end{aligned}$$

$$p_{XY}(x, y) = \frac{d^2}{dx dy} F_{XY}(x, y)$$

$$= p_{X|Y}(x|y) p_Y(y)$$

$$= p_X(x) p_{Y|X}(y|x)$$

$p_Y(y)$  = MARGINAL DENSITY FOR Y

$p_X(x)$  = MARGINAL DENSITY FOR X

## STATISTICAL INDEPENDENCE

WE SAY THAT  $X$  AND  $Y$  ARE STATISTICALLY INDEP. RANDOM VARIABLES IFF  $P_{X|Y}(x|y) = p_X(x)$  AND  $P_{Y|X}(y|x) = p_Y(y)$   
 $\Rightarrow P_{XY}(x, y) = P_X(x)P_Y(y)$

## AVERAGES (MOMENTS)

$$\bar{X} = \text{MEAN VALUE OF } X = E[X] \\ = \int_{-\infty}^{\infty} x p_X(x) dx \quad \text{FIRST MOMENT}$$

SAY  $Y = f(x)$ , THEN

$$\bar{Y} = \int_{-\infty}^{\infty} Y p_X(x) dx = \int_{-\infty}^{\infty} f(x) p_X(x) dx \\ = E[f(x)]$$

VARIANCE:  $\sigma_X^2 = E[(X - \bar{X})^2]$   
 $= \overline{X^2} - \bar{X}^2$

= SECOND CENTRAL MOMENT

$\sigma_X$  = STANDARD DEVIATION

$n^{\text{TH}}$  CENTRAL MOMENT:

$$E[(X - \bar{X})^n] = \int_{-\infty}^{\infty} (x - \bar{X})^n p_X(x) dx$$

CORRELATION COEFFICIENT FOR  $X$  &  $Y$

$$\rho = \frac{\overline{XY} - \bar{X}\bar{Y}}{\sigma_X \sigma_Y}$$

IF  $X$  &  $Y$  ARE INDEPENDENT,  $\rho = 0$   
 CONVERSE NOT NECESSARILY TRUE  
 EXCEPT FOR GAUSSIAN RANDOM  
 VARIABLES.

1-22-75 (FRI)

CHARACTERISTIC FUNCTION:

$$M_X(jv) \triangleq E[e^{jvX}]$$

$$= \int_{-\infty}^{\infty} e^{jvx} p_X(x) dx$$

$$= \mathcal{F}^{-1}[p_X(x)]$$

$$\frac{d^n M_X(jv)}{d v^n} = (j)^n \int_{-\infty}^{\infty} x^n e^{jvx} p_X(x) dx$$

$$\Rightarrow (-j)^n \left. \frac{d^n M_X(jv)}{d v^n} \right|_{v=0} = E[X^n]$$

AND  $M_X(jv) = 1 + \sum_{k=1}^{\infty} \frac{X^k}{k!} (jv)^k$

FOR JOINT DISTRIBUTIONS:

$$M_{XY}(jv_1, jv_2) = E[e^{jv_1 X + jv_2 Y}]$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j(v_1 x + v_2 y)} p_{XY}(x, y) dx dy$$

$$M_{XY}(jv_1, 0) = M_X(jv_1)$$

$$M_{XY}(0, jv_2) = M_Y(jv_2)$$

FOR STATISTICALLY INDEPENDENT  $X \neq Y$

$$M_{XY}(jv_1, jv_2) = M_X(jv_1) M_Y(jv_2)$$

$$\frac{X^n Y^k}{X^n Y^k} = (j)^{n+k} \frac{\int \int x^n y^k p_{XY}(x, y) dx dy}{\int x^n p_X(x) dx \int y^k p_Y(y) dy}$$

$v_1 = v_2$   
= 0

EXAMPLES:

1. GAUSSIAN R.V.:

$$M_x(jv) = \exp\left(jv\bar{x} - \frac{v^2\sigma_x^2}{2}\right)$$

2. NEG. EXPONENTIAL (ONE SIDED)

$$M_x(jv) = \frac{1}{1 - j\bar{x}v}$$

SUM OF TWO RANDOM VARIABLES:

IF  $Z = X + Y$

$$M_z(jv) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{jv(x+y)} p_{xy}(x,y) dx dy$$

FOR STATISTICAL INDEPENDENT  $X \neq Y$ :

$$M_z(jv) = M_x(jv) M_y(jv)$$

$\Rightarrow p_z(z) = p_x(x) * p_y(y) \leftarrow$  CONVOLUTION

$$= \int_{-\infty}^{\infty} p_x(\xi) p_y(z-\xi) d\xi$$

CENTRAL LIMIT THEOREM

(SEE GNEZDINOV & KOLMOGOROV)

IF  $Z = X_1 + X_2 + \dots + X_N$  AND THE

$X_i, i = 1, 2, \dots, N$  ARE STATISTICAL

INDEPENDENT, THEN, UNDER

RATHER GENERAL CONDITIONS,

ONE CAN SHOW THAT

$$\lim_{N \rightarrow \infty} p_z(z) = \frac{1}{\sqrt{2\pi}\sigma_z} e^{-\frac{(z-\bar{z})^2}{2\sigma_z^2}}$$

$$\bar{z} = \bar{x}_1 + \bar{x}_2 + \dots + \bar{x}_N$$

$$\sigma_z^2 = \sigma_1^2 + \sigma_2^2 + \dots + \sigma_N^2$$

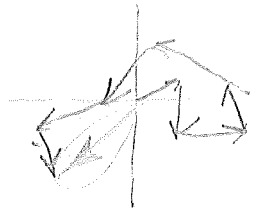
BASIC REQUIREMENTS: NONE OF THE RANDOM VARIABLES DOMINATE THE STATISTICS OF  $Z_n$  (ie, ALL THE  $\sigma_{x_i}$  ARE OF SAME MAGNITUDE.)

ASIDE:

RANDOM PHASOR SUMS  $Z_i = R_i e^{j\theta_i}$

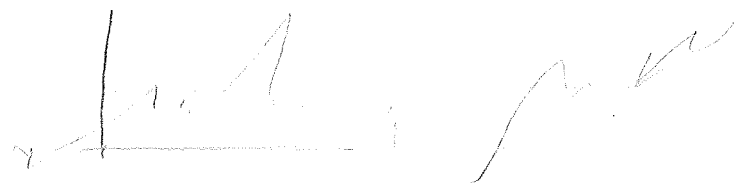
$$\sum_{i=1}^N Z_i = \sum_{i=1}^N R_i e^{j\theta_i} = Z$$

CLASSICAL DRAVNIAR'S RANDOM WALK PROBLEM:

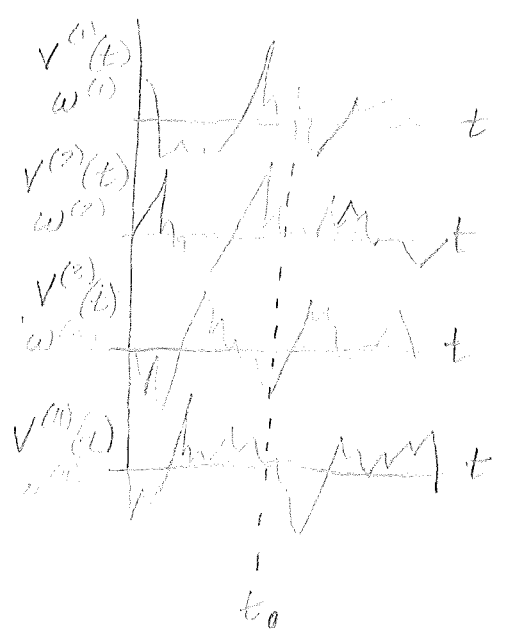


$R \sim$  RADIUS  
 $\theta \sim$  UNIFORM

RANDOM PROCESS:



SAMPLE FUNCTION FROM AN ENSEMBLE OF POSSIBLE FCNS.



$\{x(t)\}$  = RANDOM PROCESS ENSEMBLE  
 $x(t_0)$  IS A NUM. OF RANDOM VARIABLES.  
TO COMPLETELY SPECIFY, NEED JOINT PROBABILITIES



## AUTOCORRELATION

$$R_x(t_1, t_2) = E[X(t_1), X(t_2)] \\ = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} x(t_1) x(t_2) P_{X_1, X_2}(x_1, x_2; t_1, t_2) dx_1 dx_2$$

IF PROCESS IS WIDE SENSE STATIONARY

$$R_x(t_1, t_2) = P_x(t_2 - t_1) = P_x(\tau)$$

## ERGODICITY:

ALL TIME AVERAGES ARE IDENTICAL TO THE ANALOGOUS ENSEMBLE AVERAGE.

$$E[X(t)] = \overline{X(t)} = \int_{-\infty}^{\infty} x(t) p_x(x; t) dx$$

TIME AVERAGE:

$$\langle X(t) \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} X(t') dt'$$

SIMILARLY

$$\langle X^n(t) \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} X^n(t') dt'$$

FOR ERGODIC SYSTEMS:

$$\overline{X^n(t)} = \langle X^n(t) \rangle$$

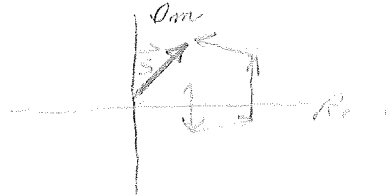
CAN'T BE NON-STATIONARY AND NOT ERGODIC.

1-26-75 (MON)

### RANDOM PHASOR SUMS

$$\tilde{S} = \sum_{k=1}^N A_k e^{j\phi_k} = R e^{j\theta} = S_1 + j S_2$$

(DUNKARD'S WALK)



$\{A_k\}$   $\{\phi_k\}$  ARE RANDOM VARIABLES

$N$  IS LARGE

ASSUME

(1) INDEPENDENT PHASORS

(2) ALL CONTRIBUTIONS HAVE COMPARABLE  
MEAN SQUARE VALUES

$$S_1 = \text{Re } \tilde{S} = \sum_{k=1}^N A_k \cos \phi_k$$
$$S_2 = \text{Im } \tilde{S} = \sum_{k=1}^N A_k \sin \phi_k$$

BY CENTRAL LIMIT THEOREM,  $S_1$  &  $S_2$   
CAN BE APPROXIMATED AS CRV  
(GAUSSIAN RANDOM VARIABLES).  $S_1$  AND  
 $S_2$  ARE CHARACTERIZED BY  $\overline{S_1}$ ,  $\overline{S_2}$ ,

$$\overline{S_1^2}, \overline{S_2^2}, \overline{S_1 S_2}$$
$$\text{COV}[S_1, S_2] \triangleq E[(S_1 - \overline{S_1})(S_2 - \overline{S_2})]$$

ASSUME  $\forall k, A_k, \phi_k$  ARE STAT. INDEPEN.  
THE  $\phi_k$ 'S ARE UNIFORMLY DISTRIBUTED,  
ON  $[0, 2\pi]$ .

$$\overline{S_1} = \overline{\sum_{k=1}^N A_k \cos \phi_k} = \overline{\sum_{k=1}^N A_k \cos \phi_k}$$

BY STATISTICAL INDEPENDENCE:

$$\overline{S_1} = \sum_{k=1}^N \overline{A_k} \overline{\cos \phi_k}$$

BUT  $\overline{\cos \phi_k} = 0 \Rightarrow \overline{S_1} = 0$

SIMILARLY,

$$\overline{S_2} = \overline{\sum_{k=1}^N A_k \sin \phi_k} = 0$$

AND

$$\overline{S_1^2} = \overline{\sum_{k=1}^N \sum_{l=1}^N A_k A_l \cos \phi_k \cos \phi_l}$$

$$\cos \phi_k \cos \phi_l = \begin{cases} \cos \phi_k \cos \phi_l = 0 & \forall k \neq l \\ \cos^2 \phi_k & ; k = l \end{cases}$$

$$\cos^2 \phi_k \int_0^{2\pi} \cos^2 \phi_k P_{\phi_k}(\phi_k) d\phi_k$$

$$= \frac{1}{2\pi} \int_0^{2\pi} \cos^2 \phi_k d\phi_k = \frac{1}{2}$$

$$\therefore \cos \phi_k \cos \phi_l = \begin{cases} 0 & ; k \neq l \\ \frac{1}{2} & ; k = l \end{cases}$$

GIVES

$$\overline{S_1^2} = \sum_{k=1}^N \frac{1}{2} A_k^2 \quad ; \quad \overline{S_2^2} = \sum_{k=1}^N \frac{1}{2} A_k^2$$

$$= \sigma_{S_1}^2$$

$$= \sigma_{S_2}^2$$

$$\overline{S_1 S_2} = \overline{\sum_{k=1}^N \sum_{l=1}^N A_k A_l \cos \phi_k \sin \phi_l}$$

$$\cos \phi_k \sin \phi_l = \begin{cases} 0 & ; l \neq k \\ \frac{1}{2} \sin 2\phi_k & ; k = l \end{cases}$$

$$= 0$$

THUS

$\overline{S_1 S_2} = 0 \Rightarrow S_1 \neq S_2$  ARE  
UNCORRELATED GRV'S  
 $\therefore$  STAT. INDEPENDENT

$$P_{s_1, s_2}(s_1, s_2) = \frac{1}{2\pi\sigma^2} e^{-\frac{(s_1^2 + s_2^2)}{2\sigma^2}}$$

WHERE  $\sigma^2 = \frac{N}{K=1} \frac{A_k^2}{2}$



$$r = |R| = \sqrt{s_1^2 + s_2^2}$$

$$\theta = \arctan(s_2/s_1)$$

$$s_1 = r \cos \theta$$

$$s_2 = r \sin \theta$$

MUST DO THE TRANSFORMATION OF RANDOM VARIABLES FROM  $s_1, s_2$  TO  $R, \theta$ .

$|J|$  = JACOBIAN OF TRANSFORMATION

$$= \begin{vmatrix} \frac{\partial s_1}{\partial r} & \frac{\partial s_2}{\partial r} \\ \frac{\partial s_1}{\partial \theta} & \frac{\partial s_2}{\partial \theta} \end{vmatrix}$$

$$= \begin{vmatrix} \cos \theta & \sin \theta \\ -r \sin \theta & r \cos \theta \end{vmatrix}$$

$$= r(\cos^2 \theta + \sin^2 \theta) = r$$

$$\Rightarrow |J| = r$$

$$P_R(r, \theta) = P_{s_1, s_2}(r \cos \theta, r \sin \theta) |J|$$

$$= P_{s_1, s_2}(r \cos \theta, r \sin \theta) |J|$$

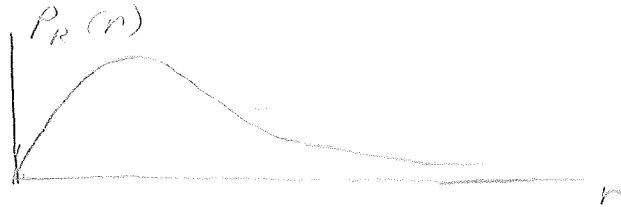
$$= \begin{cases} \frac{r}{2\pi\sigma^2} e^{-r^2/2\sigma^2} & ; 0 \leq r < \infty, 0 \leq \theta < 2\pi \\ 0 & ; \text{OTHERWISE} \end{cases}$$

WHAT ARE THE MARGINAL DENSITIES?

$$P_R(r) = \int_0^{2\pi} P_{R,\theta}(r,\theta) d\theta$$

$$= \begin{cases} \frac{r}{\sigma^2} e^{-r^2/2\sigma^2} & ; 0 \leq r < \infty \\ 0 & ; r < 0 \end{cases}$$

= RAYLEIGH DISTRIBUTION

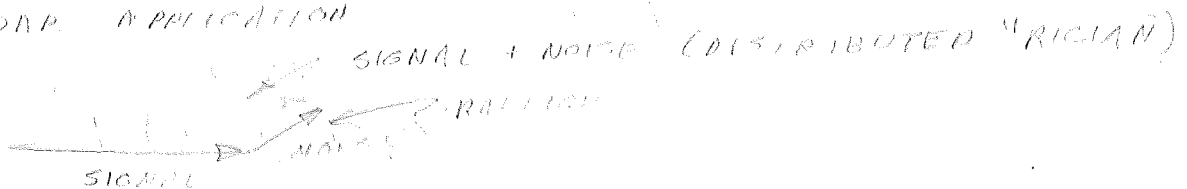


$$P_\theta(\theta) = \int_0^\infty \frac{r}{2\pi\sigma^2} e^{-r^2/2\sigma^2} dr$$

$$= \begin{cases} \frac{1}{2\pi} & ; 0 \leq \theta \leq 2\pi \\ 0 & ; \text{OTHERWISE} \end{cases}$$

NOTE THAT:  $P_{R,\theta}(r,\theta) = P_R(r) \cdot P_\theta(\theta)$   
 $\Rightarrow r$  AND  $\theta$  ARE STATISTICALLY  
 INDEPENDENT.

RAYLEIGH APPLICATION



1-28-76 (WED) (HANDOUT)

$$\begin{aligned}
 u(t) &= A \cos[2\pi\nu_0 t + \phi] \\
 &= \text{Re} [A e^{j(2\pi\nu_0 t + \phi)}] \\
 &= A e^{j\phi} e^{j2\pi\nu_0 t} \quad \text{MONOCHROMATIC} \\
 \underline{u}(t) &= A_0 e^{j\phi} e^{j2\pi\nu_0 t}
 \end{aligned}$$

ANALYTIC SIGNAL → SUPPRESS NEGATIVE

FREQ., DOUBLE POS FREQ

$$\underline{u}(t) \triangleq 2 \int_0^{\infty} \underline{u}(\nu) e^{j2\pi\nu t} d\nu$$

1-30-76 (FRI)

STRUCTURE FUNCTION

$$D_V(t_1, t_2) = [U(t_1) - U(t_2)]^2 \quad \text{WHERE } U(t)$$

IS A REAL VALUED RANDOM PROCESS

2-2-76

2-6-76

$$\begin{aligned}
 D_\theta(t_2, t_1) &= [\theta(t_2) - \theta(t_1)]^2 \\
 &= [2\pi \int_{-\infty}^{t_2} v_R(\xi) d\xi - 2\pi \int_{-\infty}^{t_1} v_R(\xi) d\xi]^2 \\
 &= 4\pi^2 \left[ \int_{t_1}^{t_2} v_R(\xi) d\xi \right]^2 \\
 &= 4\pi^2 \left[ \int_{-\infty}^{\infty} \text{rect} \left( \frac{\xi - (t_1+t_2)/2}{t_2-t_1} \right) v_R(\xi) d\xi \right]^2 \\
 &= 4\pi^2 \iint \text{rect} \left[ \frac{\xi - (t_1+t_2)/2}{t_2-t_1} \right] \text{rect} \left[ \frac{\eta - (t_1+t_2)/2}{t_1-t_2} \right] \\
 &\quad v_R(\xi) v_R(\eta) d\xi d\eta \\
 &= 4\pi^2 \iint \text{rect} \left[ \frac{\xi - (t_1+t_2)/2}{\tau = t_2 - t_1} \right] \text{rect} \left[ \frac{\eta - (t_1+t_2)/2}{\tau = t_1 - t_2} \right] \\
 &\quad v_R(\xi - \eta) d\xi d\eta
 \end{aligned}$$

2-7-76 (MON)

3-10-76

2 3 BASIC PAPERS

8/10 PG. PAPER

20 MIN ILLUS. TALK

INTERFEROMETRIC STAR TRACKING

AJIT. QUATRA

SPECKLE INTERFEROMETRY

$$\langle |I(\alpha)|^2 \rangle = |O(\alpha)|^2 \langle |I(\alpha)|^2 \rangle$$

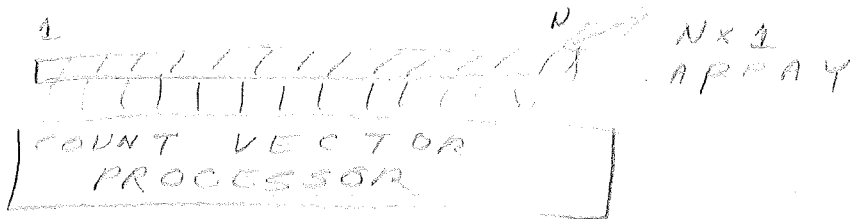
TURBULANCE IN THE ATMOSPHERE

TALKS

75 TO 90



5/13/76



$\underline{a} \leftarrow N \times 1$  VECTOR

$$\underline{a} = \begin{pmatrix} a_1 \\ \vdots \\ a_N \end{pmatrix}$$

$\bar{a}$  = MEAN OF  $\underline{a}$

$$\underline{a} = \underline{D} \underline{m} + \underline{b} \quad \text{BACKGROUND LEVEL (KNOWN)}$$

$\underline{D}$   $N \times M$  DEGRADATION MATRIX ( $N \times m$ )

(ANALOGOUS TO  $\hat{n} = o * h + n$ )

$\underline{m}$  = IDEAL IMAGE

ADDITIVE NOISE:  $\underline{a} = \underline{D} \underline{m} + \underline{\epsilon}$

$$\hat{\underline{m}} = R(\underline{a} - \underline{b})$$

$R$  = RESTORATION MATRIX  $\Rightarrow R = M \times N$

LOOK (a) LINEAR UNBIASED ESTIMATE  $\Rightarrow$

$$\text{UNBIASED} \Rightarrow \underline{\hat{m}} = \underline{m}$$

NASC FOR UNBIASED IS  $R \underline{D} = \underline{I}_{MM}$

POISSON MODEL

$n \sim \text{POISSON}$

$$\bar{n} = Dm + b$$

~~ADDITIVE~~ (SIGNAL-DEPENDENT) MODEL

$$\underline{n} = Dm + \underline{\epsilon}$$

$$E[(n - \bar{n})(n - \bar{n})' | m] = B = \text{CONDITIONAL COVARIANCE}$$

$$= \begin{bmatrix} \bar{n}_1 & & & 0 \\ & \bar{n}_2 & & \\ & & \ddots & \\ 0 & & & \bar{n}_N \end{bmatrix}$$

ADDITIVE (SIGNAL-INDEPENDENT) MODEL

$$B = \sigma^2 I_N \quad \Rightarrow \quad \underline{\epsilon} = b$$

$$\underline{n} = Dm + \underline{\epsilon}$$

IN POISSON MODEL, ASSUME  $m$  HAS MEAN  $m_0$   
MEAN SQUARE ERRORS

$$E\|\hat{m} - m\|^2 = b \text{Tr}(RR^T) + \text{Tr}(RB_{00}R^T)$$

$$B_{00} \triangleq B \quad \exists \quad m = m_0, \quad b = 0$$

(EXCESS NOISE!)

ADDITIVE MODEL

$$E\|\hat{m} - m\|^2 = \sigma^2 \text{Tr}[RR^T]$$

① LEAST SQUARES (LS) ESTIMATOR  
(D, b) KNOWN  
 $R_{LS} = (D^T D)^{-1} D^T$

② MINIMUM VARIANCE UNBIASED EST.  
 $\underline{D} = \underline{b}$  ,  $\underline{\mu} = \underline{\mu}_0$

$$R_{MUV} = (D^T B_0^{-1} D)^{-1} D^T B_0^{-1}$$

$B_0 =$  DIAGONAL w/  $\mu = D \mu_0 + b$   
ON DA DIAGONAL

③ MODIFIED MUV

KNOW D, b ;  $B_0 = \frac{1}{M} \sum_{i=1}^M (\mu_0)_i$   
DIS IS, IN EFFECT, AN MUV  
WIT FAULTY INFO ABOUT  $\underline{\mu} = \underline{\mu}$

$$R_{MMUV} =$$



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# I. STATISTICAL COMMUNICATIONS REVIEW

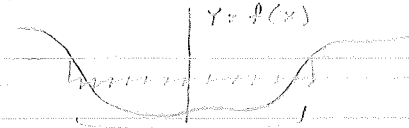
## ● SOME DISTRIBUTIONS

GAUSSIAN:  $p_X(x) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$

RAYLEIGH:  $\sqrt{G_1^2 + G_2^2} \Rightarrow p_X(x) = \frac{x}{b} e^{-x^2/2b}$

$\chi_n^2$ :  $\sum G_i^2 \Rightarrow p_X(x) = \frac{1}{2^{n/2} \Gamma(n/2)} x^{n/2-1} e^{-x/2}$

## ● TRANSFORMATION OF RANDOM VARIABLES

$Y = f(X)$    $\Rightarrow F_Y(Y) = P[Y \leq Y]$   
 $= P[X \in A_Y]$

$\Rightarrow p_Y(y) = \frac{d}{dy} P[X \in A_Y]$   
 $= P\left[\sqrt{\frac{y}{a}} \leq X \leq \sqrt{\frac{y}{a}}\right]$

- EX  $f(x) = ax^2$    $F_Y(Y) = P[X \in A_Y]$

$= \int_{-\infty}^{\sqrt{y/a}} p_X(x) dx - \int_{-\infty}^{-\sqrt{y/a}} p_X(x) dx$

$\frac{d}{dy} F_Y(Y) = p_Y(y) = \frac{1}{2\sqrt{ay}} [p_X(\sqrt{y/a}) + p_X(-\sqrt{y/a})]$

- EX  $f(x)$  MONOTONIC:  $Y = f(X)$ ;  $X = f^{-1}(Y)$

$\Rightarrow p_Y(y) = p_X[f^{-1}(y)] \left| \frac{dx}{dy} \right|$ ;  $\left| \frac{dx}{dy} \right| \equiv$  JACOBIAN

- EX  $Y = \cos X$ ;  $p_X(x) = \frac{1}{\pi} \text{rect}\left[\frac{x-\pi/2}{\pi}\right]$

THIS TRANSFORMATION IS MONOTONIC FOR  $0 < X < \pi$

$\Rightarrow p_Y(y) = \frac{1}{\pi} \left| \frac{dx}{dy} \right| = \frac{1}{\pi \sqrt{1-y^2}} \text{rect}\left[\frac{y}{2}\right]$

## ● CONDITIONAL PROBABILITIES

$P(x_i, y_j) = P(x_i) P(y_j/x_i) = P(y_j) P(x_i/y_j)$

## ● JOINT DISTRIBUTIONS

$F_{X,Y}(x,y) = P[X \leq x \text{ AND } Y \leq y]$

$p_{X,Y}(x,y) = \frac{\partial^2}{\partial x \partial y} F_{X,Y}(x,y)$

$= p_X(x) P_{Y/X}(y/x) = p_Y(y) P_{X/Y}(x/y)$

## ● STATISTICAL INDEPENDENT

IF  $P_{Y/X}(y/x) = p_Y(y)$  OR  $P_{X/Y}(x/y) = p_X(x)$

$p_{X,Y}(x,y) = p_X(x) p_Y(y)$

## • MULTIVARIATE PROBABILITY TRANSFORMATIONS

GIVEN  $p_{XY}(x, y)$  FIND  $p_{UV}(u, v)$  GIVEN

$$U = f(x, y) \quad \text{AND} \quad V = g(x, y)$$

$$A_{UV} \triangleq \{ \text{ALL } (x, y) \in U \subseteq U \text{ AND } V \subseteq V \}$$

$$p_{UV}(u, v) = \int_{\delta U \delta V} P[(x, y) \in A_{UV}]$$

FOR MONOTONIC  $f(x, y)$

$$\left. \begin{array}{l} U = f(x, y) \\ V = g(x, y) \end{array} \right\} \begin{cases} x = F(u, v) \\ y = G(u, v) \end{cases}$$

$$p_{UV}(u, v) = p_{XY}(x = F(u, v), y = G(u, v)) |J|$$

$$|J| = \text{JACOBIAN DETERMINANT} = \begin{vmatrix} \frac{\partial F}{\partial u} & \frac{\partial F}{\partial v} \\ \frac{\partial G}{\partial u} & \frac{\partial G}{\partial v} \end{vmatrix}$$

NOTE:  $dx dy = |J| du dv$  ;  $|J| = 1 \Rightarrow$  "AREA CONSERVING" XFORM

## • STATISTICAL AVERAGES

$$- E[f(x)] = \overline{f(x)} = \int_{-\infty}^{\infty} f(x) p_X(x) dx$$

$$\text{MEAN} = \bar{x} = E(x)$$

$$\text{VARIANCE: } \overline{x - \bar{x}}^2 = \overline{x^2} - \bar{x}^2 = \sigma^2$$

$$\text{STANDARD DEVIATION: } \sigma = \sqrt{\sigma^2}$$

$$- \text{THEOREM: IF } Y = f(x), \text{ THEN } \bar{y} = \int_{-\infty}^{\infty} y p_X(y) dy = \int_{-\infty}^{\infty} f(x) p_X(x) dx$$

- CHARACTERISTIC FUNCTION

$$\begin{aligned} M_X(j\omega) &= \int_{-\infty}^{\infty} e^{j\omega x} p_X(x) dx = E[e^{j\omega x}] \\ &= \sum_{n=0}^{\infty} \frac{(j\omega)^n}{n!} \overline{x^n} \\ \overline{x^n} &= (j)^{-n} \frac{d^n}{d\omega^n} M_X(j\omega) \Big|_{\omega=0} \end{aligned}$$

- EXAMPLES OF CHARACTERISTIC FUNCTIONS

$$\bullet \text{ BINOMIAL: } p_X(x) = \binom{n}{x} p^x (1-p)^{n-x} \quad x=0, 1, \dots, n$$

$$M_X(j\omega) = (pe^{j\omega} + q)^n$$

$$\bullet \text{ POISSON: } p_X(x) = \frac{\lambda^x}{x!} e^{-\lambda} \quad ; \quad M_X(j\omega) = e^{\lambda(e^{j\omega} - 1)}$$

$$\bullet \text{ UNIFORM: } p_X(x) = \frac{1}{b-a} \quad ; \quad a < x < b \quad ; \quad M_X(j\omega) = \frac{e^{j\omega b} - e^{j\omega a}}{j\omega(b-a)}$$

$$\bullet \text{ GAUSSIAN: } p_X(x) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{(x-m)^2}{2\sigma^2}} \quad ; \quad M_X(j\omega) = e^{j\omega m - \frac{1}{2}\omega^2\sigma^2}$$

● CHEBYSHEV'S INEQUALITY

$\forall p_X(x)$  WITH FINITE MEAN  $m$  & VARIANCE  $\sigma^2$

$$P[|x-m| \geq \alpha \sigma] \leq 1/\alpha^2 \quad \forall \alpha > 0$$

● CORRELATION COEFFICIENT (BETWEEN  $X$  &  $Y$ )

$$\rho = \frac{\overline{XY} - \bar{X}\bar{Y}}{\sigma_X \sigma_Y}$$

$\rho = 1 \Rightarrow$  PERFECTLY CORRELATED,  $\rho = 0 \Rightarrow$  UNCORRELATED

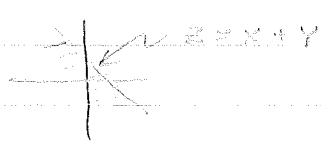
TWO STATISTICALLY IND. R.V. ARE UNCORRELATED

(CONVERSE NOT NECESSARILY TRUE EXCEPT FOR GAUSSIAN)

● COVARIANCE:  $\text{COV}(X, Y) = \overline{XY} - \bar{X}\bar{Y} = \rho \sigma_X \sigma_Y$

● DISTRIBUTIONS OF COMBINED STATISTICS

- GIVEN  $P_{XY}(x, y)$  AND  $Z = X + Y$ , WHAT IS  $P_Z(z)$ ?



$$F_Z(z) = P[Z \leq z]$$

$$= \int_{-\infty}^{\infty} dy \int_{-\infty}^{z-y} p_{XY}(x, y) dx$$

$$= \int_{-\infty}^{\infty} dy \int_{-\infty}^z dz p_{XY}(z-y, y)$$

$$p_Z(z) = \frac{d}{dz} F_Z(z) = \int_{-\infty}^{\infty} p_{XY}(z-y, y) dy$$

MAY ALSO APPLY MULTIVARIATE PROBABILITY TRANSFORMS

- CENTRAL LIMIT THEOREM

$\{X_n\}$  ARE R.V.'S WITH MEANS  $\{\mu_n\}$  AND VARIANCES  $\{\sigma_n^2\}$

THEN IF  $\exists$  AN  $M, M > 0, \sigma_i^2 > m > 0$  AND  $(X_i - \mu_i)^3 < M$

$\forall i$ , THEN IF  $Z = \frac{1}{(\sum \sigma_i^2)^{1/2}} \sum_{i=1}^n (X_i - \mu_i) = \frac{1}{(\sum \sigma_i^2)^{1/2}} (\sum X_i - \sum \mu_i)$

AS  $n \rightarrow \infty$ ,  $Z \sim \frac{1}{\sqrt{2\pi}} e^{-z^2/2}$

- ADDITIONAL COMBINATIONS

$$p_{X-Y}(z) = \int_{-\infty}^{\infty} dx p_{XY}(z+x, x) = \int_{-\infty}^{\infty} dx p_{XY}(x, x-z)$$

$$p_{XY}(z) = \int_{-\infty}^{\infty} \frac{dx}{|x|} p_{XY}\left(\frac{z}{x}, x\right) = \int_{-\infty}^{\infty} dx |x| p_{XY}\left(x, \frac{z}{x}\right)$$

$$p_{XY}(z) = \int_{-\infty}^{\infty} dx |x| p_{XY}(zx, z)$$



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## ● RANDOM PROCESSES

- STATIONARITY (STRICTLY)

ORDER 1  $\Rightarrow p_x[x(t_1)] = p_x[x(t_1+h)] \quad \forall h, t_1$

ORDER 2  $\Rightarrow p_x[x(t_1), x(t_1+\tau)] = p_x[x(t_1+h), x(t_1+h+\tau)]$

WIDE SENSE STATIONARY:

1.  $E(x)$  INDEP. OF  $t$     2.  $E[x(t_1)x(t_2)]$  DEPENDS ON  $t_1 - t_2$

ALL ORDER 2'S ARE WSS. (CONVERSE NOT NEC. TRUE)

- ERGODICITY:

SAMPLE AVERAGE:  $X^n(t) \triangleq \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} X_T^n(t') dt'$

ITS NECESSARY THAT  $\overline{X^n(t)} = \overline{X^n(t)}$

ALL ERGODIC PROCESSES ARE STATIONARY

- AUTO-CORRELATION & CROSS-CORRELATION

$R_x(t_1, t_2) = \overline{x(t_1)x^*(t_2)} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} x_1 x_2^* p_x(x_1, x_2; t_1, t_2) dx_1 dx_2$

FOR WIDE-SENSE STATIONARY:  $R_x(t_1, t_2) = R_x(t_1 - t_2) = R_x(\tau)$

FOR ERGODICITY:  $R_x(\tau) = \overline{R_x(\tau)}$

$R_x(\tau) = R_x(-\tau)$ ;  $R_x(0) = \sigma^2 \geq |R_x(\tau)|$

$R_{xy}(t_1, t_2) \triangleq \overline{x^*(t_1)y(t_2)}$

FOR JOINTLY WSS  $R_{xy}(t_1, t_2) = R_{xy}(\tau)$

$R_{xy}(-\tau) = R_{yx}^*(\tau)$

FOR JOINT ERGODICITY:  $R_{xy}(\tau) = \overline{R_{xy}(\tau)}$

• IF  $z = x + y$

$R_z(\tau) = R_x(\tau) + R_y(\tau) + R_{xy}(\tau) + R_{yx}(\tau)$

•  $\text{COV}[x(t)] = R_x(\tau) - \overline{x(t)^2}$

• PASSING THROUGH TIME-INVARIANT NETWORK



$R_y(\tau) = C(\tau) * R_x(\tau)$

$C(\tau) = h(t) * h(t)$

$= \int_{-\infty}^{\infty} h(t) h(t+\tau) dt$

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- POWER SPECTRAL DENSITY

$$\bullet \phi_x(f) = \mathcal{F}\{R_x(\tau)\} = \int_{-\infty}^{\infty} R_x(\tau) e^{-j2\pi f\tau} d\tau$$

$$R_x(\tau) = \mathcal{F}^{-1}\{\phi_x(f)\}$$

• PASSING THROUGH A TIME-INVARIANT NETWORK

$$\phi_y(f) = |H(f)|^2 \phi_x(f)$$

• PROPERTIES

- FOR ERGODICITY:

$$\int_{-\infty}^{\infty} \phi_x(f) df = R_x(0) = \overline{x^2} = P_{AVE} = \sigma_x^2$$

- PASSING THROUGH BANDPASS FILTER

$$\rightarrow \left[ \begin{array}{c} \text{BP} \\ \text{filter} \end{array} \right] \rightarrow \left[ \begin{array}{c} \text{BP} \\ \text{filter} \end{array} \right] \quad \overline{y^2} = \int_{-B}^B \phi_x(f) df + \int_{-B}^B \phi_x(f) df$$

$$\bullet \phi(f) = \lim_{T \rightarrow \infty} \frac{1}{2T} E[|X_T(f)|^2]$$

$$\bullet \phi(f) = N_0/2 \Rightarrow \text{WHITE NOISE}$$

• SUMMARY

$$- \int_{-\infty}^{\infty} \phi(f) df = R_x(0) = \sigma_x^2$$

-  $\phi(f)$  IS NON-NEGATIVE REAL & EVEN

## II. NOTATION & FOURIER XFORM DEFINITIONS

### • PHASORS & ANALYTIC SIGNALS

$$\rightarrow U(t) = A \cos(2\pi\nu_0 t + \phi) = \frac{A}{2} e^{j\phi} e^{j2\pi\nu_0 t} + \frac{A}{2} e^{-j\phi} e^{-j2\pi\nu_0 t}$$

$$\underline{U}(t) = A e^{j\phi} e^{j2\pi\nu_0 t} = A e^{j(2\pi\nu_0 t + \phi)}$$

$$A = A e^{j\phi} ; \mathcal{R}[\underline{U}(t)] = U(t)$$

$$U(\nu) = \mathcal{F}[U(t)] = \frac{A}{2} e^{j\phi} \delta(\nu - \nu_0) + \frac{A}{2} e^{-j\phi} \delta(\nu + \nu_0)$$

$$\underline{U}(\nu) = \mathcal{F}[\underline{U}(t)] = A e^{j\phi} \delta(\nu - \nu_0)$$

GOING FROM  $U(t)$  TO  $\underline{U}(t)$ , WE DOUBLE POSITIVE FREQUENCIES & SUPPRESS NEGATIVE FREQUENCIES

+ GENERALIZING: THE ANALYTIC SIGNAL

$$\underline{U}(t) = 2 \int_0^{\infty} U(\nu) e^{j2\pi\nu t} d\nu = \text{ANALYTIC SIGNAL}$$

$$(1) \mathcal{R}[\underline{U}(t)] = U(t)$$

$$\text{PROOF: } \mathcal{R}[\underline{U}(t)] = \frac{1}{2} [\underline{U}(t) + \underline{U}^*(t)]$$

$$= \int_0^{\infty} U(\nu) e^{j2\pi\nu t} d\nu + \int_0^{\infty} U^*(\nu) e^{-j2\pi\nu t} d\nu$$

$$\text{WHERE: } U(\nu) = \mathcal{F}[U(t)]$$

$$U(t) \text{ IS REAL} \Rightarrow U(\nu) = U^*(-\nu)$$

$$\Rightarrow \mathcal{R}[\underline{U}(t)] = \int_0^{\infty} U(\nu) e^{j2\pi\nu t} d\nu + \int_0^{\infty} U(-\nu) e^{-j2\pi\nu t} d\nu$$

$$= \int_{-\infty}^{\infty} U(\nu) e^{j2\pi\nu t} d\nu = U(t)$$

$$(2) \mathcal{I}_m[\underline{U}(t)] = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{U(t')}{t-t'} dt' \quad (\text{HILBERT XFORM})$$

$$\text{PROOF: } \mathcal{I}_m[\underline{U}(t)] = \frac{1}{j} \frac{1}{2} [\underline{U}(t) - \underline{U}^*(t)]$$

$$= \frac{1}{j} \int_0^{\infty} U(\nu) e^{j2\pi\nu t} d\nu - \frac{1}{j} \int_0^{\infty} U^*(\nu) e^{-j2\pi\nu t} d\nu$$

$$= -j \int_0^{\infty} U(\nu) e^{j2\pi\nu t} d\nu + \int_{-\infty}^0 (t+j) U(\nu) e^{j2\pi\nu t} d\nu$$

$$\Rightarrow \mathcal{F}[\mathcal{I}_m \underline{U}(t)] = -j \text{sgn } \nu \cdot \mathcal{F}[\underline{U}(t)]$$

$$\mathcal{I}_m \underline{U}(t) = \mathcal{F}^{-1}[-j \text{sgn } \nu] * U(t)$$

$$= \frac{1}{\pi t} * U(t)$$

$$= \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{U(t')}{t-t'} dt'$$

$$\text{SIMILARLY, } U(t) = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\mathcal{I}_m[\underline{U}(t')]}{t-t'} dt'$$

$$\Rightarrow U(t) \text{ AND } \mathcal{I}_m[\underline{U}(t)] \text{ ARE HILBERT XFORM PAIRS}$$



- ENSEMBLE AVERAGES FOR REAL SIGNALS

$$\overline{\Gamma_{rr}}(t_2, t_1) = \overline{U(t_2)U(t_1)}$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_2 U_1 p(U_2, U_1) dU_2 dU_1$$

$$\overline{\Gamma_{rr}}(t_2, t_1) = \overline{\Gamma_{rr}}(\tau) \Leftarrow \text{WIDE SENSE STATIONARY}$$

$$\overline{\Gamma_{rr}}(\tau) = \overline{\Gamma_{rr}}(\tau) \Leftarrow \text{ERGODIC}$$

- AUTOCORRELATION OF ANALYTIC SIGNALS

$$U(t) = U(t) + j \mathcal{H}\{U(t)\} = U(t) + j U^i(t)$$

$$\underline{\Gamma}(\tau) \triangleq \langle U(t+\tau) U^*(t) \rangle$$

$$1) \underline{\Gamma}(0) = \langle |U(t)|^2 \rangle = \langle |U(t)|^2 \rangle + \langle |U_i(t)|^2 \rangle$$

$$2) \underline{\Gamma}(\tau) = \underline{\Gamma}^*(-\tau) \Rightarrow \text{HERMITIAN}$$

$$3) |\underline{\Gamma}(\tau)| \leq |\underline{\Gamma}(0)|$$

$$\underline{\Gamma}(\tau) = \underbrace{[\Gamma_{rr}(\tau) + \Gamma_{ii}(\tau)]}_{\text{AUTO CORRELATIONS}} + j \underbrace{[\Gamma_{ir}(\tau) - \Gamma_{ri}(\tau)]}_{\text{CROSS-CORRELATIONS}}$$

$$\left\{ \begin{array}{l} \mathcal{F}\{\Gamma_{rr}(\tau)\} = \mathcal{F}\{\Gamma_{ii}(\tau)\} = G(v) \\ \mathcal{F}\{\Gamma_{ri}(\tau)\} = -\mathcal{F}\{\Gamma_{ir}(\tau)\} = j \operatorname{sgn} v G(v) \end{array} \right.$$

$$\left\{ \begin{array}{l} \mathcal{F}\{\Gamma_{ri}(\tau)\} = -\mathcal{F}\{\Gamma_{ir}(\tau)\} = j \operatorname{sgn} v G(v) \end{array} \right.$$

THUS, IN SUMMARY

$$1) \Gamma_{rr}(\tau) = \Gamma_{ii}(\tau)$$

$$2) \Gamma_{ri}(\tau) = -\Gamma_{ir}(\tau)$$

$$3) \underline{\Gamma}(\tau) = 2\Gamma_{rr}(\tau) + j 2\Gamma_{ir}(\tau) = \Gamma^R(\tau) + j \Gamma^i(\tau)$$

$$4) \mathcal{F}\{\underline{\Gamma}(\tau)\} = 4 G(v) \mu(v)$$

$$5) \underline{\Gamma}(\tau) \text{ IS ANALYTIC}$$

$$\Rightarrow \Gamma^i(\tau) = \mathcal{H}\{\Gamma^R(\tau)\}$$

- CROSS-CORRELATION FUNCTIONS OF ANALYTIC SIGNALS

$$\underline{\Gamma}_{12}(\gamma) = \langle \underline{u}_1(t+\gamma) \underline{u}_2^*(t) \rangle$$

$$1) \underline{\Gamma}_{12}(0) = \langle \underline{u}_1(t) \underline{u}_2(t) \rangle$$

$$2) \underline{\Gamma}_{12}(\gamma) = \underline{\Gamma}_{21}^*(-\gamma)$$

$$3) |\underline{\Gamma}_{12}(\gamma)| \leq |\underline{\Gamma}_{11}(0) \underline{\Gamma}_{22}(0)|^{1/2}$$

$$\underline{\Gamma}_{11}(\gamma) = \langle \underline{u}_1(t+\gamma) \underline{u}_1^*(t) \rangle$$

$$\underline{\Gamma}_{22}(\gamma) = \langle \underline{u}_2(t+\gamma) \underline{u}_2^*(t) \rangle$$

FOR NARROW-BAND SIGNALS:

$$\underline{u}_1(t) = \underline{A}_1(t) e^{j2\pi\nu_0 t} ; \underline{u}_2(t) = \underline{A}_2(t) e^{j2\pi\nu_0 t}$$

$$\underline{\Gamma}_{12}(\gamma) = \langle \underline{A}_1(t+\gamma) \underline{A}_2^*(t) \rangle e^{j2\pi\nu_0 \gamma}$$

- MUTUAL OR CROSS SPECTRAL DENSITY

$$G_{12}(\nu) = \mathcal{F} \left\{ \langle \underline{u}_1(t+\gamma) \underline{u}_2(t) \rangle \right\}$$

$$\underline{\Gamma}_{12}(\gamma) = 4 \int_0^{\infty} G_{12}(\nu) e^{j2\pi\nu\gamma} d\nu$$

Problem Set #3

EE 349

JWE

Due Tuesday

April 15

#1. Show that the characteristic function of the intensity of polarized thermal light is given by

$$M_I(\omega) = \frac{1}{1 - j\omega I}$$

#2. Show that the standard deviation  $\sigma_I$  of the intensity of polarized thermal light is

$$\sigma_I = \sqrt{\frac{1 + P^2}{2}} I$$

#3. Right-hand circularly polarized light is described by orthogonal polarization components

$$u_x(t) = A(t) e^{j\omega t}$$

$$u_y(t) = A(t) e^{-j(\omega t + \frac{\pi}{2})}$$

where  $A(t)$  is a slowly varying complex envelope. Show that such light is not unpolarized by our definition of unpolarized light.

pt. Consider a single mode laser emitting light described by the analytic signal

$$u(t) = \exp\{-j[\omega_0 t - \theta(t)]\}$$

(a) Show that the autocorrelation function of  $u(t)$  is given by

$$\underline{R}_u(t_2, t_1) = e^{-j\omega_0 \Delta t} \underline{M}_{\Delta\theta}(\omega)$$

where  $\underline{M}_{\Delta\theta}(\omega)$  is the characteristic function of the phase difference  $\Delta\theta = \theta(t_2) - \theta(t_1)$ .

(b) Show that for a gaussian  $\theta(t)$  arising from a stationary instantaneous phase frequency noise.



$$\underline{U}_0(\tau) = e^{-j\omega_0 \tau} e^{-\frac{1}{2} D_0(\tau)}$$

where  $D_0(\tau)$  is the structure function of the phase process  $\theta(t)$ .

- \*15. ~~Fragnation~~ ~~Fragnation~~ Beginning with the equation at the bottom of page 7, show that if  $\omega \ll \omega_0$  and  $v \ll c/\omega_0$  for all  $P_i$ , then

$$\underline{U}(P_0, t) \approx \iint_S \frac{e^{j2\pi \frac{z}{\lambda}}}{\sqrt{r}} \underline{U}(P_i, t) dS$$

can be used to describe the propagation of  $\underline{U}(P, t)$ .

- \*16. Consider the wave emitted by a laser oscillating in  $N$  equal strength, independent modes.

- (a) Show that the total intensity  $I_N$  can be expressed in terms of the intensity  $I_1$  of one mode and the visibility  $V_N$  according

from the remaining  $N-2$  nodes  
by

$$I_N = I_{N-1} + I_1 + 2\sqrt{I_{N-1}I_1} \cos \psi$$

where  $\psi$  is uniformly distributed  
on  $(-\pi, \pi)$ , and independent of  $I_{N-1}$ .

(b) Show that

$$\overline{I_{N-1}} = \frac{N-1}{N} \overline{I_N}$$

(c) Prove that the standard deviation  
 $\sigma_N$  of  $I_N$  satisfies

$$\frac{\sigma_N}{\overline{I}} = \left[ \left(\frac{N-1}{N}\right)^2 \left(\frac{\sigma_{N-1}}{\overline{I}}\right)^2 + \left(\frac{N-1}{N}\right)^2 + \frac{1}{N^2} + \frac{4(N-1)}{N^2} - 1 \right]^{1/2}$$

where  $\overline{I} = \overline{I_N}$ .

**PROBLEM 1**

1 - Let the random process  $U(t)$  be defined by

$$U(t) = A \cos(\omega t + \phi)$$

where  $\omega$  is a known constant,  $\phi$  is uniformly distributed on  $(-\pi, \pi)$ , and the probability density function of  $A$  is given by

$$P_A(a) = \frac{1}{2} \delta(a-1) + \frac{1}{2} \delta(a-2).$$

(a) Calculate  $\langle u^2(t) \rangle$  for a sample function with amplitude 1 and a sample function with amplitude 2.

(b) Calculate  $\overline{u^2}$ .

(c) Show that

$$\overline{u^2} = \overline{u^2}_1 + \overline{u^2}_2$$

where  $\langle u^2 \rangle_1$  and  $\langle u^2 \rangle_2$  represent the results of part (a) for amplitudes of 1 and 2, respectively.

2 - Consider the random process  $V(t) = x$ , where  $x$  is a random variable uniformly distributed on  $(-1, 1)$ .

(a) Sketch some sample functions of this process.

(b) Find the time-averaged autocorrelation function of  $V(t)$ .

or (1) - Assuming  $U(t)$  is wide-sense stationary, with mean  $\bar{U}$  and variance  $\sigma^2$ , which of the following functions represent possible structure functions for  $U(t)$  ?

(a)  $D_U(\tau) = 2\sigma^2 [1 - e^{-\alpha|\tau|}]$

(b)  $D_U(\tau) = 2\sigma^2 [1 - \alpha|\tau| \cos \omega\tau]$

(c)  $D_U(\tau) = 2\sigma^2 [1 - \sin \omega\tau]$

(d)  $D_U(\tau) = 2\sigma^2 [1 - \cos \omega\tau]$

(e)  $D_U(\tau) = 2\sigma^2 [1 - \cos \omega\tau]$

of the Hilbert transform

2 - Prove that the Hilbert transform of  $u(t)$  is  $-u(t)$ , up to a possible additive constant.

or (1) - Parseval's theorem, in generalized form, states that for any two Fourier transformable functions  $f(t)$  and  $g(t)$  with transforms  $F(\omega)$  and  $G(\omega)$ ,

$$\int_{-\infty}^{\infty} f(t)g^*(t) dt = \int_{-\infty}^{\infty} F(\omega)G^*(\omega) d\omega$$

Show that, if  $g(t)$  and  $f(t)$  are analytic signals,

$$\int_{-\infty}^{\infty} g(t)f(t) dt = 0$$

- a) Find the probability density function of the random variable  $Z$  defined by

$$Z = \Phi_1 + i\Phi_2$$

- b) If  $Z$  represents a phase angle that can only be measured modulo  $2\pi$ , show that, despite the result of a),  $Z$  is uniformly distributed on  $(-\pi, \pi)$ .

of (10) Consider the random phasor sum of section 8-1 with the single change that the phases  $\phi_k$  are uniformly distributed on  $(-\frac{\pi}{2}, \frac{\pi}{2})$ . Find the following quantities:  $\bar{r}$ ,  $\bar{i}$ ,  $\sigma_r^2$ ,  $\sigma_i^2$  and  $\rho_{ri}$ . Make a rough plot of the contours of constant probability in the complex plane.

of (11) Let the random variables  $U_1$  and  $U_2$  be jointly gaussian, with zero means, equal variances and correlation coefficient  $\rho \neq 0$ . Consider if new random variables  $V_1$  and  $V_2$  defined by a rotational transformation about the origin of the  $(U_1, U_2)$  plane,

$$\begin{bmatrix} V_1 \\ V_2 \end{bmatrix} = \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} U_1 \\ U_2 \end{bmatrix}$$

where  $\phi$  is the rotation angle. Show that, if  $\phi$  is chosen to be  $45^\circ$ ,  $V_1$  and  $V_2$  are independent random variables. What are the means and variances of  $V_1$  and  $V_2$  in this case?

- (12) Consider  $n$  independent random variables  $W_1, W_2, \dots, W_n$ , each of which obeys a Cauchy density function,

$$f_W(x) = \frac{1}{\pi} \frac{1}{1 + \left(\frac{x}{a}\right)^2}$$

- a) Show that this density function violates one of the conditions (5-15) associated with the validity of the central limit theorem.
- b) Show that the random variable

$$Y = \frac{1}{n} \sum_{i=1}^n W_i$$

obeys a Cauchy distribution for all  $n$ .

or (13) A certain computer contains a random number generator which generates numbers with uniform relative frequencies (or probability density) on the interval  $(0, 1)$ . Suppose, however, that it is decided to simulate trials of a random variable  $Z$  with density function  $p_Z(z)$  that is not uniform.

- a) If the values generated by the computer are represented by  $u$ , with

$$p_U(u) = \begin{cases} 1 & 0 < u < 1 \\ 0 & \text{otherwise,} \end{cases}$$

show that, by means of a monotonic transformation  $z = g(u)$ , it is possible to obtain the desired  $p_Z(z)$ , and that, if  $u = g(z)$  represents the inverse of  $g(\cdot)$ , then  $g$  should be chosen to satisfy

$$g(z) = \frac{1}{c} \int_0^z p_Z(z) dz$$

where  $\int$  is an indefinite integral and the  $c$  or  $-c$  sign

(1) ① For polarized thermal light

$$P_I(I) = \begin{cases} \frac{1}{I} e^{-I/\bar{I}}, & I \geq 0 \\ 0, & I < 0 \end{cases}$$

$$\begin{aligned} \therefore M_I(\omega) &\triangleq E[e^{j\omega I}] = \int_0^{\infty} e^{j\omega I} \left[ \frac{1}{I} e^{-I/\bar{I}} \right] dI \\ &= \frac{1}{\bar{I}} \left\{ \frac{\exp\left[ I \left( j\omega - \frac{1}{\bar{I}} \right) \right]}{j\omega - \frac{1}{\bar{I}}} \right\} \Bigg|_0^{\infty} \end{aligned}$$

$$\Rightarrow M_I(\omega) = \frac{1}{1 - j\omega\bar{I}}$$

② For partially polarized light (see notes)

$$\begin{aligned} M_I(\omega) &= \left[ \frac{1}{1 - j\frac{\omega}{2}(1+\rho)\bar{I}} \right] \left[ \frac{1}{1 - j\frac{\omega}{2}(1-\rho)\bar{I}} \right] \\ &= \frac{(1+\rho)/2\rho}{1 - j\frac{\omega}{2}(1+\rho)\bar{I}} - \frac{(1-\rho)/2\rho}{1 - j\frac{\omega}{2}(1-\rho)\bar{I}} \end{aligned}$$

( ) Now we evaluate the moments of interest as follows:  $\longrightarrow$

$$\frac{\partial M_I(\omega)}{\partial \omega} = \frac{1+\rho}{2\rho} \frac{\frac{1}{2}(1+\rho)I}{\left[1 - j\frac{\omega}{2}(1+\rho)I\right]^2}$$

$$- \frac{1-\rho}{2\rho} \frac{\frac{1}{2}(1-\rho)I}{\left[1 - j\frac{\omega}{2}(1-\rho)I\right]^2}$$

$$\therefore \left. \frac{\partial M_I(\omega)}{\partial \omega} \right|_{\omega=0} \triangleq E \left[ j I e^{j\omega I} \right] \Big|_{\omega=0}$$

$$= j E[I] = j \bar{I}$$

Now take a second partial derivative to get the result

$$\frac{\partial^2 M_I(\omega)}{\partial \omega^2} = \frac{1+\rho}{2\rho} \frac{(-\frac{1}{2})(1+\rho)^2 I^2}{\left[1 - j\frac{\omega}{2}(1+\rho)I\right]^3}$$

$$- \frac{1-\rho}{2\rho} \frac{(-\frac{1}{2})(1-\rho)^2 I^2}{\left[1 - j\frac{\omega}{2}(1-\rho)I\right]^3}$$

$$\Rightarrow \bar{I}^2 \triangleq \left. -\frac{\partial^2 M_I(\omega)}{\partial \omega^2} \right|_{\omega=0} = \frac{1}{4\rho} \left[ (1+\rho)^3 - (1-\rho)^3 \right] \bar{I}^2$$

$$= \frac{1}{2} (3 + \rho^2) \bar{I}^2$$



$$\sigma_{\underline{I}} = \frac{1}{2} \left[ \frac{1}{2} (1 + \rho^2) \right] \underline{I}$$

$$= \frac{1}{2} (1 + \rho^2) \underline{I}^2$$

$$\Rightarrow \sigma_{\underline{I}} = \sqrt{\frac{1 + \rho^2}{2}} \underline{I}$$

$$\textcircled{3} \quad \underline{u}_x(t) = \underline{A}(t) e^{-j2\pi \nu t}$$

$$\underline{u}_y(t) = \underline{A}(t) e^{-j(2\pi \nu t + \frac{\pi}{2})}$$

From the notes, the second requirement for unpolarized light is

$$\langle \underline{u}_x^*(t + \tau) \underline{u}_y(t) \rangle = 0 \quad \text{for all } \tau$$

What if  $\tau = 0$ ? Then we have

$$\langle \underline{u}_x^*(t) \underline{u}_y(t) \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \underline{A}^*(t) \underline{A}(t) e^{-j\frac{\pi}{2}} dt$$

$$= -j \langle |\underline{A}(t)|^2 \rangle \neq 0 \text{ in general}$$

hence, unless  $\underline{A}(t) \equiv 0$  for all  $t$ ,

it is not unpolarized.

$$(a) \Gamma_u(t_2, t_1) = \overline{u(t_2) u^*(t_1)}$$

$$= E \left[ e^{-j 2\pi \nu_0 (t_2 - t_1)} e^{j [\theta(t_2) - \theta(t_1)]} \right]$$

$$= e^{-j 2\pi \nu_0 \tau} E \left[ e^{j \Delta \theta} \right] = e^{-j 2\pi \nu_0 \tau} E \left[ e^{j \omega \Delta \theta} \right]_{\omega=1}$$

$$= e^{-j 2\pi \nu_0 \tau} M_{\Delta \theta}(1)$$

(b) Since  $\Delta \theta = \theta(t_2) - \theta(t_1)$

and  $\theta(t_2), \theta(t_1)$  are Gaussian ~~process~~ random variables, thus a linear combination of them is also Gaussian. Furthermore

$$\overline{\Delta \theta} = \overline{\theta(t_2)} - \overline{\theta(t_1)} = 0$$

$$\sigma_{\Delta \theta}^2 = \overline{(\Delta \theta)^2} - \overline{\Delta \theta}^2 = \overline{\Delta \theta^2} \equiv D_{\theta}(\tau)$$

Now we recall that for a Gaussian process ( $\Delta \theta$  is GRP).

$$M_{\Delta \theta}(\omega) = \exp \left[ j \omega \overline{\Delta \theta} - \frac{\omega^2 \sigma_{\Delta \theta}^2}{2} \right]$$

$$\Rightarrow M_{\Delta \theta}(1) = \exp \left[ -\frac{1}{2} D_{\theta}(\tau) \right]$$

$$\Rightarrow \Gamma_u(\tau) = e^{-j 2\pi \nu_0 \tau} e^{-\frac{1}{2} D_{\theta}(\tau)}$$

② For small angle  $\alpha$ , then  $\kappa(0) \approx 1$   
 (obliquity factor  $\approx 1$ ) and we have.

$$\underline{u}_T(P_0, t) = \iint_{\Sigma} \frac{2}{j\lambda r} \int_0^{\infty} v \underline{u}_T(P_1, v) e^{-j2\pi v(t - \frac{r}{c})} dv ds$$

since  $\Delta v \ll \bar{v}$ ,  $\Rightarrow v \approx \bar{v}$

Now use the following two approximations

$$\textcircled{1} \frac{\bar{v}}{c} = \bar{\lambda}, \Rightarrow \frac{v}{c} \approx \bar{\lambda}$$

$$\textcircled{2} e^{-j2\pi v \frac{r}{c}} \approx e^{-j2\pi \bar{v} \frac{r}{c}}$$

$$\therefore v \cdot \frac{r}{c} \ll \frac{v}{\Delta v} \approx \frac{\bar{v}}{\Delta v} \gg 1$$

we can now write

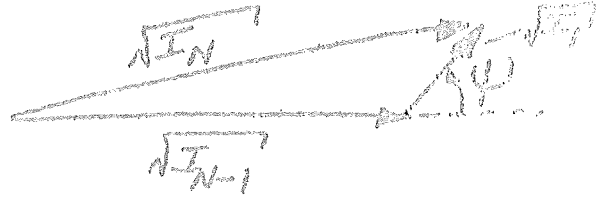
$$\underline{u}_T(P_0, t) \approx \iint_{\Sigma} \frac{e^{j2\pi \bar{v} \frac{r}{c}}}{j\bar{\lambda} r} \left[ \int_0^{\infty} 2 \underline{u}_T(P_1, v) e^{j2\pi v t} dv \right] ds$$

$$= \iint_{\Sigma} \frac{e^{j2\pi \frac{r}{\lambda}}}{j\bar{\lambda} r} \underline{u}_T(P_1, t) ds$$

and letting  $T \rightarrow \infty$  gives the desired result.

$$\textcircled{a} (\sqrt{I_N})^2 = (\sqrt{I_{N-1}})^2 + (\sqrt{I_1})^2$$

$$+ 2\sqrt{I_{N-1} I_1} \cos \psi$$



$$\textcircled{b} \bar{I}_N = \bar{I}_{N-1} + \bar{I}_1 + 2\sqrt{I_{N-1} I_1} \cos \psi$$

$$= \bar{I}_{N-1} + \bar{I}_1$$

$$\text{i.e. } \bar{I}_2 = \bar{I}_1 + \bar{I}_1 = 2\bar{I}_1$$

$$\bar{I}_3 = \bar{I}_2 + \bar{I}_1 = 3\bar{I}_1$$

⋮

$$\bar{I}_{N-1} = (N-1)\bar{I}_1$$

$$\bar{I}_N = N\bar{I}_1$$

$$\Rightarrow \bar{I}_{N-1} = \frac{N-1}{N} \bar{I}_N$$

$\textcircled{c}$  Since  $I_1$  is a constant we have

$$\bar{I}_N^2 = \bar{I}_{N-1}^2 + I_1^2 + 2I_1 \bar{I}_{N-1} + 4I_1 \bar{I}_{N-1} \cos^2 \psi$$

$$+ 4\sqrt{I_{N-1} I_1} \cos \psi + 4I_1 \sqrt{I_{N-1} I_1} \cos \psi$$

$$(1) \quad \overline{\cos^2 \psi} = \frac{1}{2\pi} \int_0^{2\pi} \cos^2 \psi d\psi = \frac{1}{2}, \quad \overline{I_{N-1}} = (N-1)I_1,$$

$$\overline{I_N^2} = \overline{I_{N-1}^2} + 4(N-1)I_1^2 + I_1^2$$

$$\begin{aligned} \sigma_N^2 = \overline{I_N^2} - \overline{I_N}^2 &= \overline{I_{N-1}^2} - (N^2 I_1^2) + 4(N-1)I_1^2 + I_1^2 \\ &= \overline{I_{N-1}^2} - (N-1)^2 I_1^2 + (N-1)^2 I_1^2 + 4(N-1)I_1^2 \\ &\quad + I_1^2 - N^2 I_1^2 \\ &= \sigma_{N-1}^2 + (N-1)^2 I_1^2 + 4(N-1)I_1^2 + I_1^2 - N^2 I_1^2 \end{aligned}$$

$$\begin{aligned} \Rightarrow \frac{\sigma_N^2}{\overline{I_N}^2} &= \left( \frac{N-1}{N} \right)^2 \left( \frac{\sigma_{N-1}}{\overline{I_{N-1}}} \right)^2 \\ &\quad + \frac{(N-1)^2}{N^2} + \frac{4(N-1)}{N^2} + \frac{1}{N^2} - 1 \end{aligned}$$

$$\Rightarrow \frac{\sigma_N}{\overline{I_N}} = \left[ \left( \frac{N-1}{N} \right)^2 \left( \frac{\sigma_{N-1}}{\overline{I_{N-1}}} \right)^2 + \left( \frac{N-1}{N} \right)^2 + \frac{1}{N^2} + \frac{4(N-1)}{N^2} \right]^{1/2}$$

1. ASSUMING CIRCULAR COMPLEX GAUSSIAN RANDOM PROCESS:

$$p_I(I) = \frac{1}{I} e^{-I/\bar{I}} \mu(I)$$

10

THUS

$$\begin{aligned} M_I(\omega) &= E[e^{j\omega I}] \\ &= \frac{1}{\bar{I}} \int_0^{\infty} e^{-I/\bar{I}} e^{j\omega I} dI \\ &= \frac{1}{\bar{I}} \int_0^{\infty} e^{-(\frac{1}{\bar{I}} - j\omega)I} dI \\ &= \frac{1}{\bar{I}} \frac{1}{\frac{1}{\bar{I}} - j\omega} e^{-\left(\frac{1}{\bar{I}} - j\omega\right)I} \Big|_{I=0}^{\infty} \\ &= \frac{1}{1 - j\omega\bar{I}} [0 - 1] \\ &= \frac{1}{1 - j\omega\bar{I}} \checkmark \end{aligned}$$

2. ASSUMING QUESTION MEANS PARTIALLY POLARIZED LIGHT:

$$\left\{ \begin{aligned} M_I(\omega) &= \frac{1+\rho}{2\rho} \left[ 2 - \frac{j(1+\rho)}{2} I \omega \right]^{-1} - \frac{1-\rho}{2\rho} \left[ 1 - \frac{j(1-\rho)}{2} I \omega \right]^{-1} \\ \sigma_I^2 &= \overline{X^2} - \bar{X}^2 \end{aligned} \right.$$

$$\overline{X^n} = (j)^{-n} \left( \frac{d}{d\omega} \right)^n M_X(\omega) \Big|_{\omega=0}$$

$$\begin{aligned} \bar{X} &= (j)^{-1} \frac{d}{d\omega} M_I(\omega) \Big|_{\omega=0} \\ &= \frac{1}{j} \left[ \frac{1+\rho}{2\rho} \frac{j(1+\rho)}{2} I \right] \times \left\{ 1 - \frac{j(1+\rho)}{2} I \omega \right\}^{-2} \\ &= \frac{(1+\rho)}{4\rho} I - \frac{(1-\rho)}{2\rho} \frac{j(1-\rho)}{2} I \left\{ 1 - \frac{j(1-\rho)}{2} I \omega \right\}^{-2} \Big|_{\omega=0} \\ &= \frac{I}{4\rho} \left[ (1+\rho)^2 - (1-\rho)^2 \right] \end{aligned}$$

$$\begin{aligned} \overline{X^2} &= (j)^{-2} \left[ \frac{j(1+\rho)^2}{4\rho} I (-2) \left( -\frac{j(1+\rho)}{2} I \right) \left\{ 1 - \frac{j(1+\rho)}{2} I \omega \right\}^{-3} \right. \\ &\quad \left. - \frac{j(1-\rho)^2}{4\rho} I (-2) \left( -\frac{j(1-\rho)}{2} I \right) \left\{ 1 - \frac{j(1-\rho)}{2} I \omega \right\}^{-3} \right] \Big|_{\omega=0} \\ &= \frac{I^2}{4\rho^2} \left[ (1+\rho)^3 - (1-\rho)^3 \right] \\ &= \frac{I^2}{4\rho^2} \left[ (1+3\rho+3\rho^2+\rho^3) - (1-3\rho+3\rho^2-\rho^3) \right] \\ &= \frac{1}{4} I^2 (6+2\rho^2) = \frac{1}{2} I^2 (3+\rho^2) \end{aligned}$$

$$\begin{aligned} \sigma^2 &= \overline{X^2} - \bar{X}^2 \\ &= \left[ \frac{1}{2} (3+\rho^2) - 1 \right] I^2 \quad 3/2 - 1/2 = 1/2 \\ &= \frac{1}{2} (\rho^2 + 1) I^2 \end{aligned}$$

$$\therefore \sigma = \sqrt{\sigma^2} = \sqrt{\frac{\rho^2 + 1}{2}} I$$

$$3. \underline{U}_x(t) = \underline{A}(t) e^{-i 2\pi \nu t}$$

$$\underline{U}_y(t) = \underline{A}(t) e^{-i(2\pi \nu t + \pi/2)}$$

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CONDITIONS FOR UNPOLARIZED LIGHT:

1) LIGHT PASSED THROUGH POLARIZER IS INDEP. OF ROTATION

2) ANY TWO ORTHOGONAL COMPONENTS MUST HAVE PROPERTY:

$$\langle \underline{U}_x^*(t+\tau) \underline{U}_y(t) \rangle = 0$$

NOW

$$\langle \underline{U}_x^*(t+\tau) \underline{U}_y(t) \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \underline{U}_x^*(t+\tau) \underline{U}_y(t) dt$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \underline{A}^*(t+\tau) \underline{A}(t) e^{i 2\pi \nu (t+\tau)}$$

$$\times e^{-i 2\pi \nu (t + \pi/2)} dt$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \underline{A}^*(t+\tau) \underline{A}(t) e^{i 2\pi \nu (\tau - \pi/2)} dt$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} e^{i 2\pi \nu (\tau - \pi/2)} \int_{-T/2}^{T/2} \underline{A}^*(t+\tau) \underline{A}(t) dt$$

$$= e^{i 2\pi \nu (\tau - \pi/2)} \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} \underline{A}^*(t+\tau) \underline{A}(t) dt$$

$$= \langle \underline{A}^*(t+\tau) \underline{A}(t) \rangle e^{i 2\pi \nu (\tau - \pi/2)}$$

$$= \underline{\Gamma}_A^*(t+\tau, t) e^{i 2\pi \nu (\tau - \pi/2)}$$

WHERE  $\underline{\Gamma}_A(t_1, t_2)$  IS THE AUTOCORRELATION

OF THE COMPLEX RANDOM PROCESS  $\underline{A}(t)$ .

ONLY IF  $\underline{\Gamma}_A(t_1, t_2)$  IS IDENTICALLY

ZERO  $\forall t_1$  AND  $t_2$  WILL THE LIGHT

BE UNPOLARIZED. HOWEVER,

$$\underline{\Gamma}_A(t+\tau, t) \Big|_{\tau=0} = \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} |\underline{A}(t)|^2 dt > 0 \Leftarrow$$

UNLESS  $\underline{A}(t)$  IS IDENTICALLY ZERO

(BEING ENVELOPED). THUS, THE LIGHT

IS NOT UNPOLARIZED. ✓ (CONT.  $\rightarrow$ )



ACTUALLY, THIS ANALYSIS IS INCORRECT,  
 SINCE THERE DO EXIST RANDOM PROCESSES  
 FOR WHICH  $\langle \dot{f}(t) \rangle = 0$  WHERE

$$\dot{f}(t) = |A(t)|^2 \geq 0.$$

FOR EXAMPLE, CONSIDER THE NON-STATIONARY  
 RANDOM PROCESS,  $f(t)$ , WHICH HAS AN  
 UNDERLYING PROBABILITY DENSITY OF  $P_{\pm}(x; t)$ .

$$P_{\pm}(x; t) = \begin{cases} \frac{1}{t} \text{rect} \left[ \frac{x - t/2}{t} \right] & |t| \leq 1 \\ t^2 \text{rect} \left[ \frac{x - \sqrt{2}t}{t} \right] & |t| > 1 \end{cases}$$

NOTE, AS REQUIRED,  $\dot{f}(t) \geq 0 \forall t$ .

SUBJECT TO THE UNDERLYING DENSITIES,  
 ONE MAY PLACE ANY CORRELATION OR  
 BAND-WIDTH CONSTRAINTS ON  $\dot{f}(t)$  THAT  
 HE DESIRES.

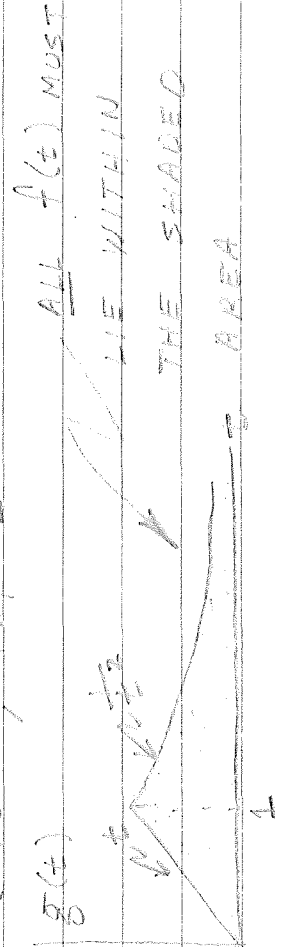
FROM THE STATED DENSITY FUNCTIONS,  
 ONE SEES THAT  $f(t) \leq \delta(t)$

WHERE, FROM THE DENSITIES,  
 WHERE, FROM THE DENSITIES,

Bob

$$g(t) = \begin{cases} t & |t| \leq 1 \\ 1/t^2 & |t| > 1 \end{cases}$$

Very interesting  
 with a situation to  
 such a situation?  
 every nature  
 occurs





$$4. a. u(t) = e^{-j2\pi\nu_0 t} - \theta(t)$$

$$\Gamma_0(t_1, t_2) = \langle U^*(t_1) U(t_2) \rangle$$

$$= \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} e^{j2\pi\nu_0 t} e^{-j2\pi\nu_0 \tau} [\theta(t+\tau) - \theta(t)] dt$$

WHERE

$$T = t_2 - t_1$$

$$\Gamma_0(t_1, t_2) = e^{-j2\pi\nu_0 T} \lim_{T \rightarrow \infty} \frac{1}{T} \int_{-T/2}^{T/2} e^{j2\pi\nu_0 \tau} [\theta(t+\tau) - \theta(t)] dt$$

$$= e^{-j2\pi\nu_0 T} \langle e^{j2\pi\nu_0 T} [\theta(t+\tau) - \theta(t)] \rangle$$

DEFINE  $\theta(t) = 2\pi \int_{-\infty}^t v_p(\xi) d\xi$

$v_p(\xi)$  IS ERGODIC RANDOM PROCESS

(THIS NOT DEPENDS ON CHOICE OF

ORIGIN IS ALSO STATIONARY)

THEN

$$\theta(t+\tau) - \theta(t) = 2\pi \int_t^{t+\tau} v_p(\xi) d\xi$$

BUT INTEGRAL LIMITS DEPEND ONLY

ON INTERVAL  $\tau$  (SINCE  $v_p(t)$  IS

STATIONARY), THUS THE PROCESS

$\Delta\theta = \theta(t+\tau) - \theta(t)$  IS STATIONARY

AND THEN, FURTHER ASSUMING ERGODICITY:

$$\langle e^{j2\pi\nu_0 T} [\theta(t+\tau) - \theta(t)] \rangle = \langle e^{j2\pi\nu_0 \Delta\theta} \rangle = e^{j2\pi\nu_0 \Delta\theta}$$

BUT SINCE  $e^{j2\pi\nu_0 \Delta\theta} = M_{\Delta\theta}(\omega)$

WE HAVE  $e^{j2\pi\nu_0 T} = M_{\Delta\theta}(1)$ ,

AND

$$\Gamma_0(t_1, t_2) = e^{-j2\pi\nu_0 T} M_{\Delta\theta}(1) \checkmark$$

b. FROM PART (A) WE HAVE

$$M_{\Delta\theta}(1) = e^{\int_0^T \Delta\theta} = e^{\int_0^T \int_0^t v(\tau) d\tau} = e^{\int_0^T \int_0^t v(\tau) d\tau}$$

GIVEN THAT  $v(t)$  IS ZERO-MEAN GAUSSIAN AND  $\theta(t)$  IS GAUSSIAN, ONE MAY ARGUE THAT

$$\theta(t+\tau) - \theta(t) = \int_t^{t+\tau} v(t) dt$$

IS ZERO-MEAN GAUSSIAN. THE CHARACTERISTIC FUNCTION OF A ZERO-MEAN GAUSSIAN PROCESS,  $\Delta\theta$ ,

WITH VARIANCE  $\sigma^2$  IS

$$M_{\Delta\theta}(\omega) = e^{-\frac{1}{2}(\sigma\omega)^2}$$

THUS

$$M_{\Delta\theta}(1) = e^{-\frac{1}{2}\sigma^2}$$

FOR THE ZERO-MEAN GAUSSIAN PROCESS

$$\Delta\theta(\tau) = \theta(t+\tau) - \theta(t)$$

THE CORRESPONDING VARIANCE IS

$$\sigma^2 = E\{[\theta(t+\tau) - \theta(t)]^2\}$$

THUS

$$M_{\Delta\theta}(1) = e^{-\frac{1}{2} [E\{\theta(t+\tau) - \theta(t)\}^2]}$$

BUT THE EXPONENT IS THE STRUCTURE FUNCTION OF  $\theta$ ;

$$D_\theta(\tau) = E\{[\theta(t+\tau) - \theta(t)]^2\}$$

THUS

$$M_{\Delta\theta}(1) = e^{-\frac{1}{2} D_\theta(\tau)}$$

AND FINALLY

$$P(\tau) = e^{-\int_0^T \Delta\theta} = e^{-\frac{1}{2} D_\theta(\tau)} \quad \checkmark$$

b. CONSIDER THE EXPRESSION

$$\begin{aligned} M_{\Delta\theta}(1) &= e^{\int \Delta\theta} \\ &= e^{\int_{-\infty}^{\infty} \Delta\theta \cdot \gamma_r(\xi) d\xi} \\ &= e^{\int \Delta\theta(t+\tau) \cdot \theta(t)} \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \left[ \int \{ \theta(t+\tau) - \theta(t) \} \right]^n \end{aligned}$$

IF  $\gamma_r(t)$  IS ZERO MEAN GAUSSIAN, (AND

$\theta(t)$  IS GAUSSIAN) IT FOLLOWS THAT

$\Delta\theta$  IS ZERO MEAN GAUSSIAN.

THUS,  $M_{\Delta\theta}$  IS AN EXPANSION OF THE  $\delta^{(n)}$  OF A ZERO-MEAN GAUSSIAN DISTRIBUTION.

BUT ALL ODD MOMENTS OF A GAUSSIAN

(ZERO MEAN) DISTRIBUTION ARE

ZERO. THAT IS

$$[\theta(t+\tau) - \theta(t)]^{2p+1} = 0$$

THUS WE MAY REWRITE THE

EXPANSION AS

$$\begin{aligned} M_{\Delta\theta}(1) &= \sum_{p=0}^{\infty} \frac{1}{p!} \cdot \frac{1}{p!} \left[ \int \{ \theta(t+\tau) - \theta(t) \} \right]^{2p} \\ &= e^{-\frac{1}{2} \left[ \int \{ \theta(t+\tau) - \theta(t) \} \right]^2} \end{aligned}$$

$$= e^{-\frac{1}{2} D_{\theta}(\tau)}$$

10 IN WRITING THE QUALITY FACTOR,  $Q(\omega)$ , WE START WITH

$$u_T(\rho_0, t) = \int_{-\infty}^{\infty} \frac{z}{j\omega r \bar{v}} \int_0^{\infty} u_T(\rho, v) e^{-j\omega z \pi v (t - \tau/c)} dv ds$$

SINCE  $\Delta v \ll \bar{v}$ , OVER THE INTERVAL

OF INTEGRATION, WE HAVE  $v \approx \bar{v}$ . THUS

$$\underline{u}_T(\rho_0, t) \approx \int_{-\infty}^{\infty} \frac{z}{j\omega r \bar{v}} \int_0^{\infty} u_T(\rho, v) e^{+j\omega z \pi v \tau/c} e^{-j\omega z \pi \bar{v} \tau/c} dv ds$$

ONE MUST BE MORE CAREFUL IN THE

EXPONENT DUE TO THE COMPARATIVELY

LARGE CHANGE IN  $e^{j\omega z \pi v \tau/c}$  WITH SMALL

CHANGES IN  $v$ . DEFINE  $v = \bar{v} + \delta v$ .

CONSIDERING THE INTERVAL OF INTEGRATION,

WE HAVE  $\frac{\Delta v}{2} \leq \delta v \leq \frac{\Delta v}{2}$ , AND OUR

RELATIONSHIP BECOMES

$$u_T(\rho_0, t) \approx \int_{-\infty}^{\infty} \frac{z}{j\omega r \bar{v}} \int_0^{\infty} 2 u_T(\rho, v) e^{j\omega z \pi \delta v \tau/c} e^{-j\omega z \pi \bar{v} \tau/c} dv$$

\*  $e^{+j\omega z \pi \bar{v} \tau/c} ds$

SINCE  $r \ll \frac{c}{\Delta v}$ , WE HAVE  $\frac{r \Delta v}{c} \ll 1$ .

THUS  $\frac{r \delta v}{c} \ll 1$ , AND WE MAY SAFELY

SET  $e^{j\omega z \pi \delta v \tau/c}$  TO UNITY, THIS LEAVES:

$$u_T(\rho_0, t) = \int_{-\infty}^{\infty} \frac{z}{j\omega r \bar{v}} \int_0^{\infty} 2 u_T(\rho, v) e^{-j\omega z \pi \bar{v} \tau/c} dv e^{j\omega z \pi \bar{v} \tau/c} ds$$

THE INTEGRAL OVER  $v$  IS RECOGNIZED AS

AN INVERSE FOURIER TRANSFORM\* WHICH

BECOMES THE ANALYTIC SIGNAL  $\underline{u}_T(\rho, t)$ :

$$\underline{u}_T(\rho_0, t) = \int_{-\infty}^{\infty} \frac{z}{j\omega r \bar{v}} \underline{u}_T(\rho, t) e^{j\omega z \pi \bar{v} \tau/c} dt$$

SETTING  $\lambda = \frac{c}{\omega}$  GIVES THE DESIRED ANSWER

$$\underline{u}_T(\rho_0, t) = \int_{-\infty}^{\infty} \frac{e^{j\omega z \pi r}}{j\omega r} \underline{u}(\rho, t) ds \checkmark$$

ONE MAY ALSO GO THROUGH THE SAME STEPS

EMPLOYING THE OBEDIENCY FACTOR. TO GIVE

$$\underline{u}_F(\rho_0, t) = \int_{-\infty}^{\infty} \frac{z}{j\omega r} e^{j\omega z \pi r} \gamma(\omega) \underline{u}(\rho, t) ds$$

\* NOTE COMPATIBILITY WITH EQUATION ON PG. 5.

GOING UP THE ANALYTIC SIGNAL,  $u_N(t)$ ,  
RESULTING FROM  $N$  INDEPENDENTLY  
OSCILLATING EQUAL-STRENGTH MODES INTO

$$\underline{u}_N(t) = \underline{u}_{N-1}(t) + u_j(t)$$

THEN

$$I_N = I_{N-1} + I_j + 2 \operatorname{Re} \{ u_j^*(t) \underline{u}_{N-1}(t) \}$$

$$\text{WHERE } I_j = |u_j|^2, \quad j = N, N-1, \dots, 1$$

$\underline{u}_j$  MAY BE THOUGHT OF AS A

SUM OF PHASERS WITH AMPLITUDE

$\sqrt{I_j}$  AND DIRECTION  $\theta_j$ . IN THAT

ALL THE MODES ARE EQUAL STRENGTH,

AND CONSIDERING THE CONSTRAINTS

PLACED ON THE ANALYTIC SIGNAL (Pg. 360N);

$$\underline{u}_j = \sqrt{I_j} e^{i\theta_j}$$

WHERE  $\theta_j$  IS UNIFORMLY DISTRIBUTED

AND IS INDEPENDENT OF  $I_j$ . THUS

$$I_N = I_{N-1} + I_j + 2 \operatorname{Re} \{ \sqrt{I_{N-1} I_j} e^{i(\theta_{N-1} - \theta_j)} \}$$
$$= I_{N-1} + I_j + 2 \sqrt{I_{N-1} I_j} \cos \psi$$

WHERE  $\psi \pmod{2\pi}$ , IS UNIFORMLY

DISTRIBUTED ON  $-\pi$  TO  $\pi$  AND IS

INDEPENDENT OF  $I_j$ . THIS RELATIONSHIP

IS SIMPLY A "LAW OF COSINES"

b. SINCE WE HAVE  $N$  EQUAL STRENGTH  
INDEPENDENT MODES

$$\bar{I}_N = N \bar{I}_i$$

WHERE  $\bar{I}_i$  IS THE EXPECTED

VALUE OF INTENSITY OF A

SINGLE MODE. SIMILARLY

$$\bar{I}_{N-1} = (N-1) \bar{I}_i$$

THUS, SOLVING FOR  $\bar{I}_i$ :

$$\bar{I}_{N-1} = \frac{N-1}{N} \bar{I}_N \quad \checkmark$$



C. FROM PART 6a

$$I_N = I_{N-1} + I_1 + 2\sqrt{I_1 I_{N-1}} \cos \gamma$$

$$\Rightarrow \overline{I_N^2} = \sigma_N^2 + \overline{I_N^2}$$

$$= E \left[ \left\{ I_{N-1} + I_1 + 2\sqrt{I_1 I_{N-1}} \cos \gamma \right\}^2 \right]$$

EXPANDING & RECOGNIZING THAT  $\overline{\cos \gamma} = 0$

$$\sigma_N^2 + \overline{I_N^2} = \overline{I_{N-1}^2} + \overline{I_1^2} + 4\overline{I_1 I_{N-1}} \overline{\cos^2 \gamma} + 2\overline{I_1 I_{N-1}} \overline{\cos \gamma} + 2\overline{I_1 I_{N-1}}$$

$$= \sigma_{N-1}^2 + \overline{I_{N-1}^2} + \sigma_1^2 + \overline{I_1^2} +$$

$$+ 2\overline{I_1 I_{N-1}} [1 + 2\overline{\cos^2 \gamma}]$$

BUT  $\sigma_1^2 = 0$

$$\overline{I_1} = \frac{1}{N} \overline{I_N}$$

$$\overline{I_{N-1}} = \left( \frac{N-1}{N} \right) \overline{I_N}$$

$$\overline{\cos^2 \gamma} = \frac{1}{2}$$

$$\Rightarrow \sigma_N^2 + \overline{I_N^2} = \sigma_{N-1}^2 + \left( \frac{N-1}{N} \right)^2 \overline{I_N^2} + \frac{1}{N^2} \overline{I_N^2}$$

$$+ 4 \frac{1}{N} \overline{I_N} \left( \frac{N-1}{N} \right) \overline{I_N}$$

$$\sigma_N^2 = \sigma_{N-1}^2 + \left[ \left( \frac{N-1}{N} \right)^2 + \frac{1}{N^2} + \frac{4(N-1)}{N^2} - 1 \right] \overline{I_N^2}$$

$$\Rightarrow \frac{\sigma_N^2}{\overline{I_N^2}} = \left[ \left( \frac{N-1}{N} \right)^2 + \left( \frac{N-1}{N} \right)^2 + \frac{1}{N^2} + \frac{4(N-1)}{N^2} - 1 \right] \frac{1}{2}$$

SPECIAL REPORT

The following is a summary of the work done during the past year in the study of the structure of the nucleus of the atom. It is based on the work of Rutherford and his co-workers, and on the work of Bohr and his co-workers. The work of Rutherford and his co-workers is based on the study of the alpha rays, and the work of Bohr and his co-workers is based on the study of the hydrogen spectrum.

The work of Rutherford and his co-workers is based on the study of the alpha rays, and the work of Bohr and his co-workers is based on the study of the hydrogen spectrum.

It is shown that the structure of the nucleus of the atom is such that the positive charge is concentrated in a small volume, and the electrons are distributed in a cloud around it. This is in agreement with the results of the experiments of Rutherford and his co-workers, and with the results of the calculations of Bohr and his co-workers.

$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$

$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$

The work of Rutherford and his co-workers is based on the study of the alpha rays, and the work of Bohr and his co-workers is based on the study of the hydrogen spectrum.

$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$

(1)

The diagram illustrates the process of photosynthesis in a leaf, showing the intake of carbon dioxide and the release of oxygen.



(2)

The pores of the leaf are found in the lower surface of the leaf. The pores are surrounded by two guard cells. The guard cells are thicker on the inner side than on the outer side. This difference in thickness allows the guard cells to open and close the pore. The guard cells are connected to the epidermal cells.

- (1) The pores of the leaf are found in the lower surface.
- (2) The pores are surrounded by two guard cells.

(3)

The guard cells are found in the lower surface of the leaf. The guard cells are thicker on the inner side than on the outer side. This difference in thickness allows the guard cells to open and close the pore. The guard cells are connected to the epidermal cells.

(1)

14. Prove that any wave packet in a medium is physically coherent (in the limit-average sense).

15. A wave is called space-coherently pure if its complex degree of coherence fulfills with a product of temporal and spatial components.

(2)

$$C_{12}(t) = \langle I_1(t) I_2(t) \rangle$$

Consider the light generated when the output of a CW laser oscillator (assumed spatially incoherent) falls upon a narrow diffuser (ground glass) and illuminates a screen:



The diffuser  $D$  is moving in the  $y$ -direction with constant velocity  $v$ , and therefore its amplitude transmittance may be represented by  $\underline{t}(x, y - vt)$ . It is known that the statistical autocorrelation function of the random process  $\underline{t}(x, y)$  is Gaussian,

$$\underline{C}_t(x_1, x_2) = \exp\{-a[(x_1)^2 + (x_2)^2]\}.$$

- (a) Are the light transmitted at points  $P_1$  and  $P_2$  cross-spectrally pure?
- (b) Repeat with two diffusers, statistically independent and with autocorrelation functions as above, moving in opposite directions, i.e. having a combined amplitude transmittance



1. a.  $\hat{g}(v) = \frac{1}{N} \sum_{n=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} \delta[v - (\bar{v} - n\Delta v)]$

10  $\delta(\gamma) = \int_0^{\infty} \hat{g}(v) e^{-j2\pi v\gamma} dv$   
 $= \frac{1}{N} \sum_{n=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} \int_0^{\infty} e^{-j2\pi v\gamma} \delta[v - (\bar{v} - n\Delta v)] dv$

SINCE  $\bar{v} + \frac{(N-1)}{2} \Delta v > 0$

$$\delta(\gamma) = \frac{1}{N} \sum_{n=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} e^{-j2\pi(\bar{v} - n\Delta v)\gamma}$$

$$= \frac{1}{N} e^{-j2\pi\bar{v}\gamma} \sum_{n=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} e^{+j2\pi n\Delta v\gamma}$$

A GEOMETRIC SERIES WITH TWIXT?

$r =$  RATIO (TWIXT) ADJACENT TERMS  $= e^{-j2\pi n\gamma}$

$N =$  # OF TERMS (ODD)

$a_0 =$  FIRST TERM  $= e^{-j2\pi[\frac{N-1}{2}]\Delta v\gamma}$

$$\Rightarrow \sum_{n=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} e^{j2\pi n\Delta v\gamma} = a_0 \frac{1-r^N}{1-r}$$

$$= e^{-j2\pi[\frac{N-1}{2}]\Delta v\gamma} \frac{1 - e^{+j2\pi N\Delta v\gamma}}{1 - e^{+j2\pi\Delta v\gamma}}$$

$$= e^{-j2\pi[\frac{N-1}{2}]\Delta v\gamma} \frac{e^{+j\pi N\Delta v\gamma}}{e^{+j\pi\Delta v\gamma}} \left[ \frac{e^{+j\pi N\Delta v\gamma} - e^{-j\pi N\Delta v\gamma}}{e^{-j\pi\Delta v\gamma} - e^{+j\pi\Delta v\gamma}} \right]$$

$$= e^{-j2\pi[\frac{N-1}{2}]\Delta v\gamma} e^{+j\pi(N-1)\Delta v\gamma} \frac{\sin \pi N\Delta v\gamma}{\sin \pi\Delta v\gamma}$$

$$= \frac{\sin \pi N\Delta v\gamma}{\sin \pi\Delta v\gamma}$$

$$\Rightarrow \delta(\gamma) = \frac{1}{N} e^{-j2\pi\bar{v}\gamma} \frac{\sin \pi N\Delta v\gamma}{\sin \pi\Delta v\gamma} \Rightarrow \delta(\gamma) = |\delta(\gamma)| = \frac{\sin \pi N\Delta v\gamma}{N \sin \pi\Delta v\gamma}$$

b. USE HP-25 WITH PROGRAM:

( $\Delta V T$ )

$\pi$

STO 1

3

X

SIN

RCL 1

SIN

3

X

$\div$

ABS

GTO 00

WILL GIVE  $\left| \frac{\sin 3\pi(\Delta V T)}{3 \sin \pi(\Delta V T)} \right| = \delta(\tau; \Delta V) \Big|_{N=3}$

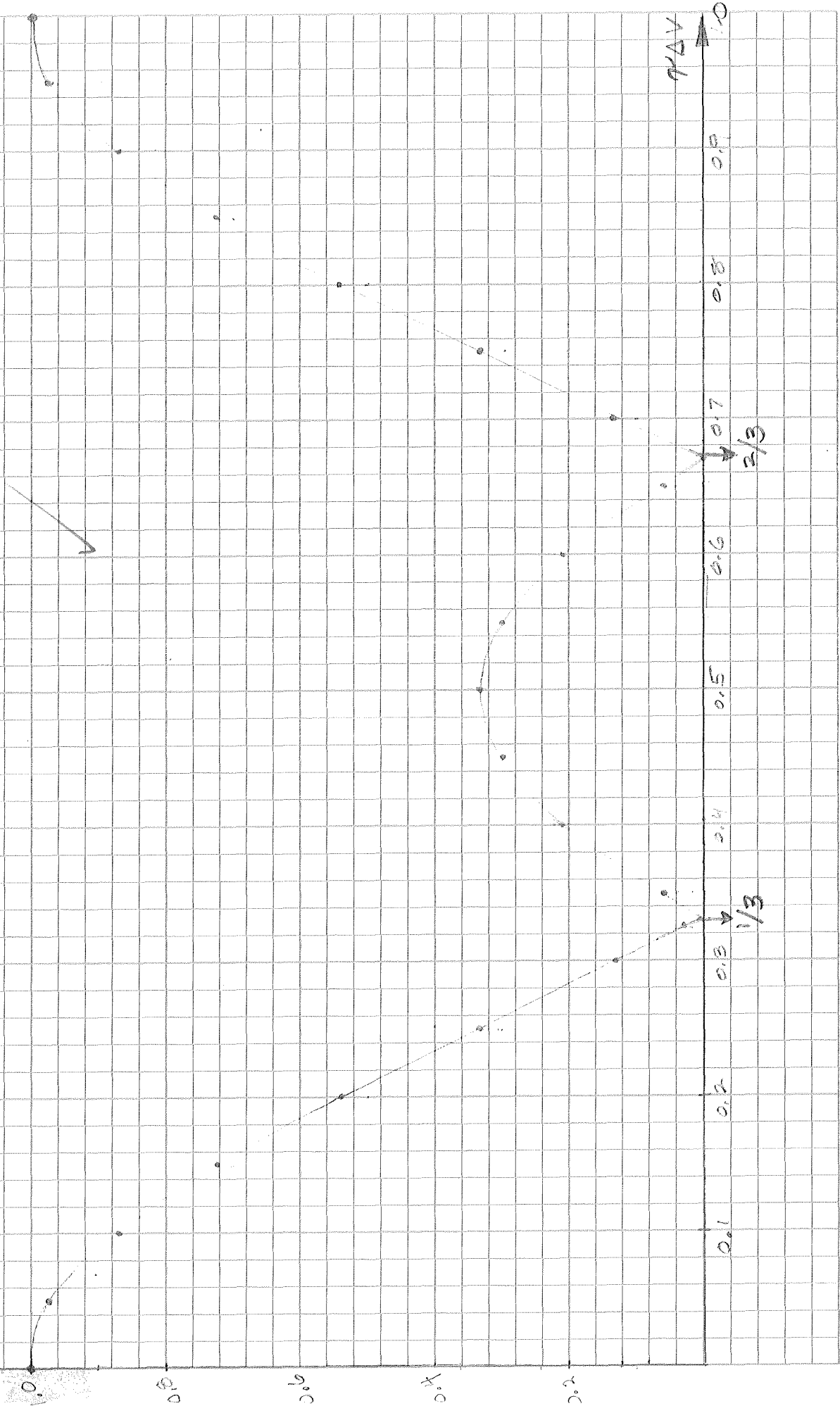
NOTE

$$\delta(\tau; \Delta V) \Big|_{N=3} = 0 \quad \text{FOR } \tau \Delta V = \frac{1}{3}, \frac{2}{3}$$

PERIOD (IN  $\tau \Delta V$ ) OF  $\delta(\tau; \Delta V) \Big|_{N=3} = 1$



13  $\sin^{-1} \frac{1}{3}$   $\sin^{-1} \frac{2}{3}$   $\sin^{-1} \frac{1}{3}$



$$2A. \Delta\nu = 1.5 \times 10^9 \text{ Hz}$$

DOPPLER BROADENED  $\Rightarrow$  GAUSSIAN STATISTIC

10

BY GOODMAN'S DEF:

$$\Rightarrow \gamma_c = \sqrt{\frac{2 \ln 2}{\pi}} \frac{1}{\Delta\nu}$$

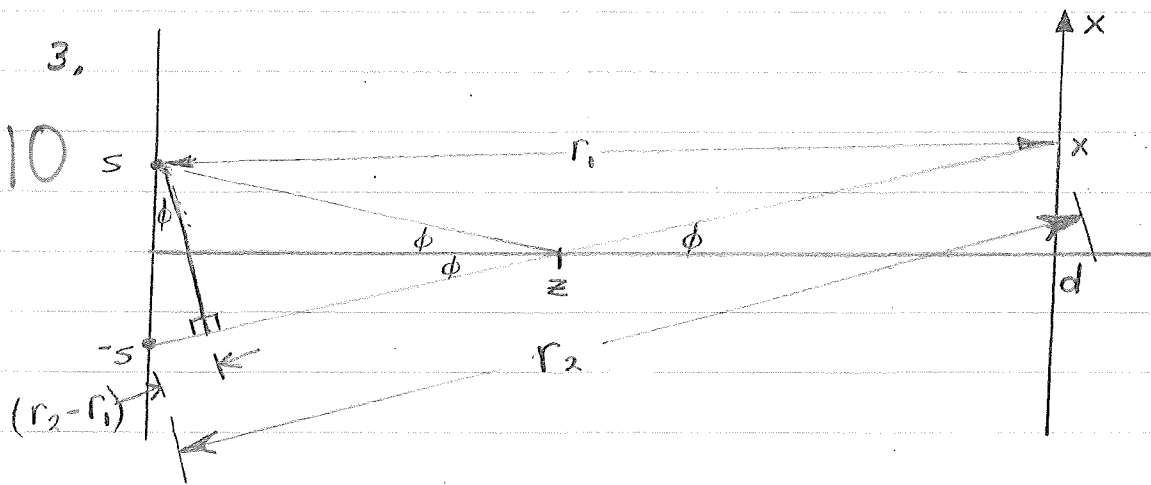
$$= \sqrt{\frac{2 \ln 2}{\pi}} \frac{10^{-9}}{1.5} = 4.43 \times 10^{-10} \text{ SEC} \quad \checkmark$$

$$\begin{aligned} \therefore l_c &= c \gamma_c = (3 \times 10^{10} \frac{\text{cm}}{\text{SEC}}) \gamma_c \\ &= 13.3 \text{ cm} \quad \checkmark \end{aligned}$$

b. SIMILARLY

$$\gamma_c = \sqrt{\frac{2 \ln 2}{\pi}} \frac{10^{-9}}{7.5} = 8.86 \times 10^{-11} \text{ SEC} \quad \checkmark$$

$$l_c = c \gamma_c = 2.66 \text{ cm} \quad \checkmark$$



USING PARAXIAL APPROXIMATION (Pg B7)

$$r_2 - r_1 = \frac{2sX}{d} ; X > 0, s > 0$$

NOW, (ASSUMING NO LOSSES)

$$I(X) = \langle |U_s(t) + U_{-s}(t + \frac{r_2 - r_1}{c})|^2 \rangle$$

BUT  $U_{-s}(t) = -U_s(t)$  (ie, A SIGN CHANGE)

$$\begin{aligned} \Rightarrow I &= \langle |U_s(t) - U_s(t + \frac{r_2 - r_1}{c})|^2 \rangle \\ &= 2I_0 - \langle U_s(t) U_s^*(t + \frac{r_2 - r_1}{c}) \rangle - \langle U_s^*(t) U_s(t + \frac{r_2 - r_1}{c}) \rangle \\ &= 2I_0 - 2 \operatorname{Re} \Gamma(\frac{r_2 - r_1}{c}) \end{aligned}$$

$$\text{WHERE } I_0 = \langle |U_s(t)|^2 \rangle = \langle |U_s(t + \frac{r_2 - r_1}{c})|^2 \rangle$$

$$\Gamma(\tau) = \langle U_s(t) U_s^*(t + \tau) \rangle ; \Gamma(0) = I_0$$

$$\Rightarrow \underline{\delta}(\tau) = \Gamma(\tau) / \Gamma(0) = \frac{\Gamma(\tau)}{I_0} \Rightarrow \Gamma(\tau) = I_0 \underline{\delta}(\tau)$$

$$\text{THEN, FOR } \tau = \frac{r_2 - r_1}{c} \approx \frac{2sX}{dC}$$

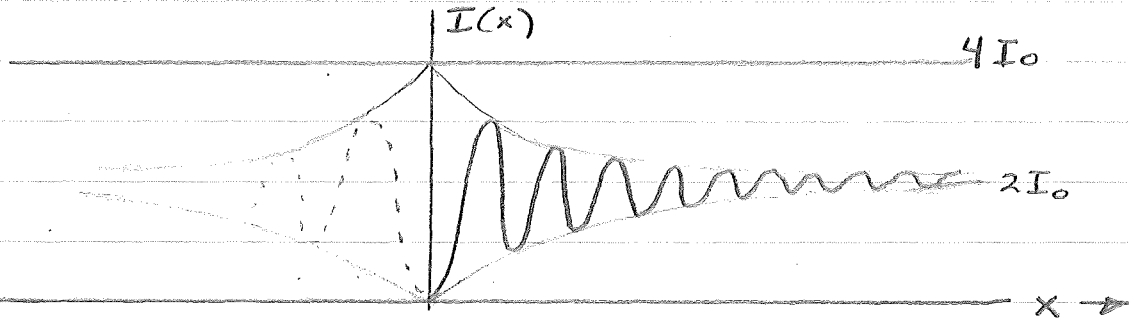
$$I = 2I_0 - 2I_0 \operatorname{Re} \underline{\delta}(\tau) = 2I_0 (1 - \operatorname{Re} \underline{\delta}(\tau))$$

WE ARE GIVEN THAT

$$\underline{\delta}(\tau) = e^{-\pi \Delta v |\tau|} e^{-j 2\pi \bar{\nu} \tau}$$

$$\Rightarrow I = 2I_0 (1 - e^{-\pi \Delta v |\tau|} \cos 2\pi \bar{\nu} \tau)$$

$$= 2I_0 (1 - e^{-\frac{2\pi \Delta v s |x|}{dC}} \cos \frac{4\pi \bar{\nu} s x}{dC})$$



a. SPATIAL FREQUENCY,  $f_0$ , GIVEN FROM COSINE TERM:

$$f_0 = \frac{2\sqrt{S}}{dC} \quad \omega_0 = 2\pi f_0 = \frac{4\pi\sqrt{S}}{dC}$$

b. FRINGE VISIBILITY:

$$V(x) = \text{Re } \underline{y}(x)$$

$$= e^{-\frac{2\pi\sqrt{S}}{dC} |x|}$$

4. FOR BROADBAND LIGHT (SEC. 1.1.2), THE HUYGENS-FRESNEL INTEGRAL MAY BE WRITTEN AS

$$U(P_0, t) = \iint_{\Sigma} \frac{d}{dt} \underline{U}(P, t - \frac{r}{c}) \frac{\chi(\theta)}{2\pi c r} ds$$

USING PINHOLES @  $P_1$  AND  $P_2$  (WITH RESPECTIVE AREAS  $A_1$  AND  $A_2$ ), WE HAVE  $ds \sim A_i$  AND  $\Sigma = P_1 \cup P_2$ :

$$U(Q, t) = \tilde{K}_1 \frac{d}{dt} \underline{U}(P_1, t - \frac{r_1}{c}) + \tilde{K}_2 \frac{d}{dt} \underline{U}(P_2, t - \frac{r_2}{c})$$

WHERE, OBVIOUSLY

$$\tilde{K}_1 \approx \frac{\chi(\theta_1)}{2\pi c r_1} A_1 \quad ; \quad \tilde{K}_2 = \frac{\chi(\theta_2)}{2\pi c r_2} A_2$$

BOTH  $\tilde{K}_1$  AND  $\tilde{K}_2$  ARE REAL.

NOW

$$\begin{aligned} I(Q) &= \langle |U(Q, t)|^2 \rangle \\ &= \langle |\tilde{K}_1 \frac{d}{dt} \underline{U}(P_1, t - \frac{r_1}{c}) + \tilde{K}_2 \frac{d}{dt} \underline{U}(P_2, t - \frac{r_2}{c})|^2 \rangle \\ &= I^{(1)}(Q) + I^{(2)}(Q) + \tilde{K}_1 \tilde{K}_2 \langle \frac{d}{dt} \underline{U}(P_1, t - \frac{r_1}{c}) \frac{d}{dt} \underline{U}^*(P_2, t - \frac{r_2}{c}) \rangle \\ &\quad + \tilde{K}_1 \tilde{K}_2 \langle \frac{d}{dt} \underline{U}^*(P_1, t - \frac{r_1}{c}) \frac{d}{dt} \underline{U}(P_2, t - \frac{r_2}{c}) \rangle \\ &= I^{(1)}(Q) + I^{(2)}(Q) + \tilde{K}_1 \tilde{K}_2 \langle \frac{d}{dt} \underline{U}(P_1, t + \frac{r_2 - r_1}{c}) \frac{d}{dt} \underline{U}^*(P_2, t) \rangle \\ &\quad + \tilde{K}_1 \tilde{K}_2 \langle \frac{d}{dt} \underline{U}^*(P_1, t + \frac{r_1 - r_2}{c}) \frac{d}{dt} \underline{U}(P_2, t) \rangle \end{aligned}$$

(LAST STEP ASSUMES STATIONARITY)

WHERE

$$I^{(1)}(Q) = \tilde{K}_1^2 \langle |\frac{d}{dt} \underline{U}(P_1, t - \frac{r_1}{c})|^2 \rangle$$

AND

$$I^{(2)}(Q) = \tilde{K}_2^2 \langle |\frac{d}{dt} \underline{U}(P_2, t - \frac{r_2}{c})|^2 \rangle$$

( )

NOW

$$I(Q) = I^{(1)} + I^{(2)} + K_1 K_2 \left\langle \frac{d}{dt} U_1(t+\tau) \frac{d}{dt} U_2^*(t) \right\rangle + K_1 K_2 \left\langle \frac{d}{dt} U_1^*(t+\tau) \frac{d}{dt} U_2(t) \right\rangle$$

WHERE  $U_i(\xi) = U(P_i, t)$  AND  $\tau = \frac{r_2 - r_1}{c}$

SINCE  $\frac{d}{dt} F(t \pm \tau) = \pm \frac{\delta}{\delta \tau} F(t \pm \tau)$

$$\begin{aligned} I(Q) &= I^{(1)} + I^{(2)} + K_1 K_2 \left\langle \frac{\delta}{\delta \tau} U_1(t+\tau) \frac{d}{dt} U_2^*(t) \right\rangle \\ &\quad + K_1 K_2 \left\langle \frac{+\delta}{\delta \tau} U_1^*(t+\tau) \frac{d}{dt} U_2(t) \right\rangle \\ &= I^{(1)} + I^{(2)} + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1(t+\tau) \frac{\delta}{\delta t} U_2^*(t) \right\rangle \\ &\quad + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1^*(t+\tau) \frac{d}{dt} U_2(t) \right\rangle \end{aligned}$$

SINCE  $U_i$  ARE JOINTLY STATIONARY;

$$\begin{aligned} I(Q) &= I^{(1)} + I^{(2)} + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1(t) \frac{\delta}{\delta t} U_2^*(t-\tau) \right\rangle \\ &\quad + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1^*(t) \frac{\delta}{\delta t} U_2(t-\tau) \right\rangle \\ &= I^{(1)} + I^{(2)} + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1(t) \left( -\frac{\delta}{\delta \tau} \right) U_2^*(t-\tau) \right\rangle \\ &\quad + K_1 K_2 \frac{\delta}{\delta \tau} \left\langle U_1^*(t) \left( \frac{\delta}{\delta \tau} \right) U_2(t-\tau) \right\rangle \\ &= I^{(1)} + I^{(2)} - K_1 K_2 \frac{\delta^2}{\delta \tau^2} \left\langle U_1(t) U_2^*(t+\tau) \right\rangle \\ &\quad - K_1 K_2 \frac{\delta^2}{\delta \tau^2} \left\langle U_1^*(t) U_2(t+\tau) \right\rangle \end{aligned}$$

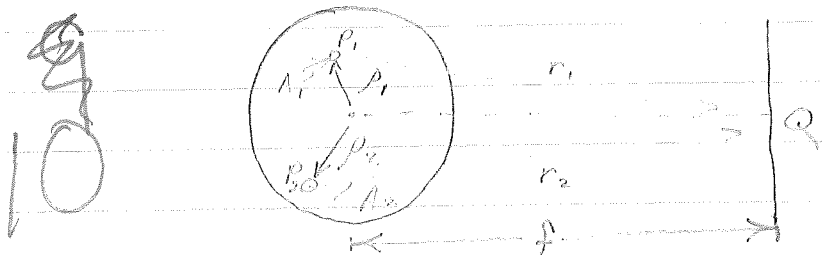
NOW  $\Gamma_{12}(\tau) = \langle U_1(t) U_2^*(t-\tau) \rangle$  (pg. 22)

$$\begin{aligned} \Rightarrow I(Q) &= I^{(1)} + I^{(2)} - K_1 K_2 \frac{\delta^2}{\delta \tau^2} \Gamma_{12}(\tau) - K_1 K_2 \frac{\delta^2}{\delta \tau^2} \Gamma_{12}^*(\tau) \\ &= I^{(1)} + I^{(2)} - K_1 K_2 \operatorname{Re} \left[ \frac{\delta^2}{\delta \tau^2} \Gamma(\tau) \right] \\ &= I^{(1)}(Q) + I^{(2)}(Q) - K_1 K_2 \frac{\delta^2}{\delta \tau^2} \operatorname{Re} \left[ \Gamma(\tau) \right] \Big|_{\tau = \frac{r_2 - r_1}{c}} \end{aligned}$$

(

5. FOR QUASI-MONOCROMATIC LIGHT

$$U(P_0, t) = \int_{\Sigma} \frac{1}{j\lambda r} U(P_1, t - \frac{r}{c}) \chi(\theta) ds$$



CALL THE LIGHT BEHIND THE LENS  $U_B(P_i)$

$$\Rightarrow U(P_i, t) = U_B(P_i, t) e^{-j\frac{\pi}{\lambda f} \rho_i^2}$$

MAKING THE USUAL APPROXIMATION:

$$U(Q, t) = \kappa_1 U_B(P_1, t - \frac{r_1}{c}) e^{-j\frac{\pi}{\lambda f} \rho_1^2} + \kappa_2 U_B(P_2, t - \frac{r_2}{c}) e^{-j\frac{\pi}{\lambda f} \rho_2^2}$$

$$\kappa_1 = \frac{1}{j\lambda r_1} \chi_1(\theta) A_1 \quad ; \quad \kappa_2 = \frac{1}{j\lambda r_2} \chi_2(\theta) A_2$$

LET  $I(Q) = \langle |U(Q, t)|^2 \rangle$

$$= I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ \kappa_1 \kappa_2^* \langle U_B(P_1, t - \frac{r_1}{c}) U_B^*(P_2, t - \frac{r_2}{c}) \rangle e^{-j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

$$+ \kappa_2 \kappa_1^* \langle U_B^*(P_1, t - \frac{r_1}{c}) U_B(P_2, t - \frac{r_2}{c}) \rangle e^{j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

WHERE  $I^{(i)}(Q) = \langle |U_{B_i}(t)|^2 \rangle$

$$I(Q) = I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ \kappa_1 \kappa_2^* \langle U_{B_1}(t + \frac{r_2 - r_1}{c}) U_{B_2}^*(t) \rangle e^{-j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

$$+ \kappa_2 \kappa_1^* \langle U_{B_1}^*(t + \frac{r_2 - r_1}{c}) U_{B_2}(t) \rangle e^{j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

$$\Gamma_{12}(\tau) = \langle U_1(t + \tau) U_2^*(t) \rangle$$

$$\Rightarrow I(Q) = I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ \kappa_1 \kappa_2^* \Gamma(\frac{r_2 - r_1}{c}) e^{-j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

$$+ \kappa_2 \kappa_1^* \Gamma^*(\frac{r_2 - r_1}{c}) e^{j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

$$= I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ 2 \operatorname{Re} \kappa_1 \kappa_2^* \Gamma(\frac{r_2 - r_1}{c}) e^{-j\frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2)}$$

(CONT. →)

Bob - why not approximate  $\frac{r_2 - r_1}{c}$  in terms of  $\Delta \xi, \Delta \eta, \rho_2^2, \rho_1^2$

(see soln.)

FOR QUASI-MONOCHROMATIC LIGHT

$$P_{12}(r) \approx J_{12} e^{-j2\pi\bar{v}r}$$

$$\Rightarrow I(r) = I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ 2|K_1 K_2^*| J_{12} \operatorname{Re} \left[ e^{-j2\pi\bar{v}(r_2 - r_1)} e^{-j\frac{\pi}{\lambda f}(\rho_1^2 - \rho_2^2)} \right]$$

$$J_{12} = |J_{12}| \quad \alpha_{12} = \arg J_{12}$$

UNDER THE PARAXIAL APPROXIMATION, THIS BECOMES (pg 96)

$$I(Q) = I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ 2|K_1 K_2^*| J_{12} \cos \left[ \frac{2\pi}{\lambda f} (\Delta\xi X + \Delta\eta Y) - \alpha_{12} + \frac{\pi}{\lambda f} (\rho_2^2 - \rho_1^2) - \frac{\pi}{\lambda f} (\rho_1^2 - \rho_2^2) \right]$$

$$= I^{(1)}(Q) + I^{(2)}(Q)$$

$$+ 2|K_1 K_2^*| J_{12} \cos \left[ \frac{2\pi}{\lambda f} (\Delta\xi X + \Delta\eta Y) - \alpha_{12} + \frac{2\pi}{\lambda f} (\rho_2^2 - \rho_1^2) \right] \quad \textcircled{1}$$

HMMMM. THE  $\rho_i$ 'S SHOULD HAVE DROPPED OUT,

BUT DIDN'T. IT'S MY CONJECTURE THAT THE

LENS TRANSMITTANCE SHOULD BE (AS ON PG

81 OF GOODMAN FOURIER OPTICS):  $e^{-j\frac{\pi}{\lambda f} \rho^2}$ .

IF THIS IS TRUE, THEN THE CORRESPONDING

ANSWER WOULD BE

$$I(Q) = I^{(1)}(Q) + I^{(2)}(Q) + 2|K_1 K_2^*| \cos \left[ \frac{2\pi}{\lambda f} (\Delta\xi X + \Delta\eta Y) - \alpha_{12} \right]$$

IN WHICH CASE THE INTENSITY PATTERN

WOULD ONLY DEPEND ON THE VECTOR

SEPARATION  $(\Delta\xi, \Delta\eta)$  OF THE TWO

INPUT POINTS. IF THIS CONJECTURE IS

INCORRECT, PLEASE POINT OUT MISTAKE.

WE WOULD HAVE GOTTEN Eq. 1 BY USING

THE GIVEN TRANSMITTANCE & USING

THE  $J_{12}^*$  FOR  $P_{12}$ .

See the soln. for the explanation they do drop out



6. SUPPOSE THAT THE LIGHT INTO THE DETECTOR IS

$\underline{U}(t)$ , THE CORRESPONDING AUTOCORRELATION IS

$$\Gamma(\tau) = \Gamma_{IN}(\tau) = \langle \underline{U}(t+\tau) \underline{U}^*(t) \rangle$$

THE LIGHT FALLING ON THE DETECTOR IS (pg. 56)

$$\underline{U}_D(t) = K_1 \underline{U}(t) + K_2 \underline{U}(t + \frac{2h}{c})$$

THE CORRESPONDING AUTO-CORRELATION OF THE LIGHT FALLING ON THE DETECTOR IS

$$\begin{aligned} \Gamma_D(\tau) &= \langle \underline{U}_D(t) \underline{U}_D^*(t+\tau) \rangle \\ &= \langle (K_1 \underline{U}(t) + K_2 \underline{U}(t + \frac{2h}{c})) (K_1 \underline{U}(t+\tau) + K_2 \underline{U}(t+\tau + \frac{2h}{c})) \rangle \\ &= K_1^2 \langle \underline{U}(t) \underline{U}(t+\tau) \rangle + K_2^2 \langle \underline{U}(t + \frac{2h}{c}) \underline{U}(t+\tau + \frac{2h}{c}) \rangle \\ &\quad + K_1 K_2 \langle \underline{U}(t) \underline{U}(t+\tau + \frac{2h}{c}) \rangle + K_1 K_2 \langle \underline{U}(t + \frac{2h}{c}) \underline{U}(t+\tau) \rangle \\ &= K_1^2 \langle \underline{U}(t+\tau) \underline{U}^*(t) \rangle + K_2^2 \langle \underline{U}(t+\tau) \underline{U}^*(t) \rangle \\ &\quad + K_1 K_2 \langle \underline{U}(t+\tau + \frac{2h}{c}) \underline{U}^*(t) \rangle + K_1 K_2 \langle \underline{U}(t+\tau - \frac{2h}{c}) \underline{U}^*(t) \rangle \\ &= K_1^2 \Gamma(\tau) + K_2^2 \Gamma(\tau) + K_1 K_2 \Gamma(\tau + \frac{2h}{c}) + K_1 K_2 \Gamma(\tau - \frac{2h}{c}) \end{aligned}$$

NOW  $\Gamma(\tau) = \frac{1}{4} \int_0^\infty \mathcal{G}^{(r,r)}(v) e^{-j 2\pi v \tau} dv$

$\Rightarrow \mathcal{G}^{(r,r)}(v) \propto \mathcal{F}[\Gamma(\tau)]$

$$\mathcal{G}_D(v) = (K_1^2 + K_2^2) \mathcal{G}(v) + K_1 K_2 \left[ \mathcal{G}(v) e^{-j 2\pi (\frac{2h}{c}) v} + \mathcal{G}(v) e^{j 2\pi (\frac{2h}{c}) v} \right]$$

WHERE  $\mathcal{G}_0(v) = \frac{1}{4} \mathcal{F}[\Gamma_D(\tau)]$ ;  $\mathcal{G}(v) = \mathcal{F}[\frac{1}{4} \Gamma(\tau)]$

$$\mathcal{G}_D(v) = \left[ (K_1^2 + K_2^2) + 2 K_1 K_2 \cos 2\pi (\frac{2h}{c}) v \right] \mathcal{G}(v)$$

a. FOR SMALL VALUES OF  $\frac{2h}{c}$  (IN COMPARISON WITH THE RECIPROCAL DIMENSION OF THE "SPREAD" OF  $\mathcal{G}(v)$ ) WE HAVE  $\mathcal{G}_D(v)$  PROPORTIONAL TO  $\mathcal{G}(v)$  (AND THUS  $\hat{\mathcal{G}}(v)$  TO  $\hat{\mathcal{G}}(v)$ ). WE ALSO MUST ASSUME THAT  $\hat{\mathcal{G}}(v)$  LIES WITHIN A POSITIVE "PEAK" OF THE COSINE TERM. AS  $h$  INCREASES

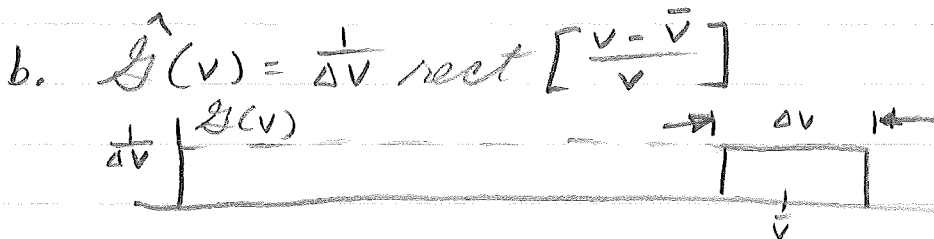
SO DOES THE FREQUENCY OF THE COSINE TERM. THUS, IN THE REGION OF INTEREST,  $G(v)$  MIGHT BE SEVERELY DISTORTED.

ACTUALLY, FOR SMALL  $h$ ,  $G(v)$  MAY LIE IN THE <sup>MINIMUM</sup> REGION OF THE COS TERM.

good!

THE COS TERM WOULD STILL BE ROUGHLY CONSTANT, BUT ATTENUATION WOULD OCCUR. NOTE THAT  $K_1^2 + K_2^2 + 2K_1K_2 \cos 2\pi(\frac{zh}{c})v \geq 0$  (BY SOMEONE'S INEQUALITY). THUS,

THE MODULATING TERM CAN'T GO  $< 0$ . (THIS WOULD DISTORT  $G_0$  BADLY - IF  $G$  EXISTED AT THE REGION OF CROSSOVER.



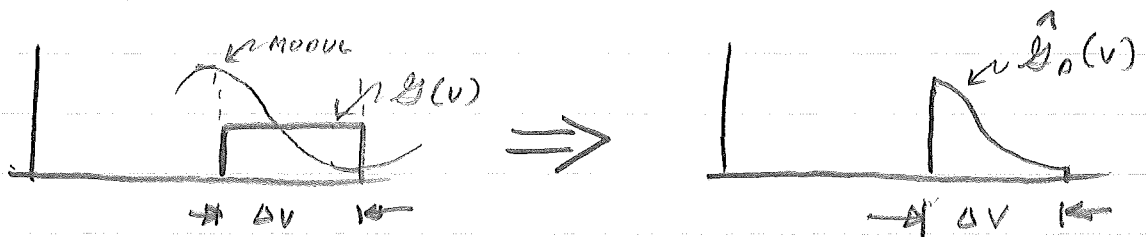
i.  $\gamma = 0 = \frac{zh}{c}$

$\Rightarrow \hat{G}_0(v) = C [(K_1^2 + K_2^2)] \hat{G}(v)$  ← STRICTLY PROPORTIONAL OF  $\hat{G}(v)$   
 (C IS A CONSTANT TO ACCOUNT FOR NORMALIZATION)

ii.  $\gamma = \frac{1}{2\Delta v}$

$\Rightarrow \hat{G}_0(v) = C [(K_1^2 + K_2^2) + 2K_1K_2 \cos \frac{\pi v}{\Delta v}] \hat{G}(v)$

MODULATING TERM HAS PERIOD =  $2\Delta v$ .  $\hat{G}_0(v)$  WILL BE NOTICABLY DISTORTED. EXAMPLE:



( )

iii,  $\tau = \frac{1}{\Delta V}$

$$\Rightarrow \hat{g}(V) = C \left[ (K_1^2 + K_2^2) + 2K_1K_2 \cos \frac{2\pi V}{\Delta V} \right]$$

MODULATING TERM HAS PERIOD OF  $\Delta V$ .

$\Rightarrow \hat{g}_0(V)$  WILL BE EVEN MORE DISTORTED: EXAMPLE:



NOTE: AN EXAMPLE  $h$  MAY BE GOTTEN  
BY ASSUMING A  $\Delta V \sim 10^9$  Hz AS IN

PROBLEM 2.

ii.  $\frac{2h}{c} = \frac{1}{\Delta V}$

$$\Rightarrow h = \frac{c}{2\Delta V} = \frac{3 \times 10^{10}}{2 \times 10^9} = 15 \text{ cm}$$

FOR PART iii,  $h = 30 \text{ cm}$

Mon. 2/23

### Problem Set #3

1. An idealized model of the (nonrelativistic) spin-1/2 ground density of a gas laser emitting in  $N$  equal-intensity modes is

$$S(\omega) = \frac{1}{N} \sum_{m=-\frac{N-1}{2}}^{\frac{N-1}{2}} \delta(\omega - \bar{\omega} + m\Delta\omega)$$

where  $\bar{\omega}$  is the mode spacing (equal to  $2\pi$  cavity length / pulse period),  $\bar{\omega}$  is the frequency of the central mode, and  $N$  has been assumed odd for simplicity.

(a) Show that the corresponding envelope of the complex coherence factor is

$$S(\omega) = \left| \frac{\sin(N\omega\Delta\omega/2)}{N \sin(\omega\Delta\omega/2)} \right|$$

(b) Plot  $S$  vs.  $\omega\Delta\omega$  for  $N=5$  and  $N=10$ .

2. The gas mixture in a HeNe laser (and mirrors removed) emits light at  $6328 \text{ \AA}$  with a doppler broadened spectral width of about  $1.5 \times 10^9 \text{ Hz}$ . Calculate the coherence time  $\tau_c$  and the coherence length  $l_c = c\tau_c$  ( $c =$  velocity of light) for this light. Repeat for the  $4880 \text{ \AA}$  line of the argon ion laser which has a doppler-broadened line width of about  $7.5 \times 10^9 \text{ Hz}$ . (Both line widths may be assumed to be half-power widths.)

3. (Lloyd's mirror) A point source of light is placed at distance  $z$  above a perfectly reflecting mirror. At distance  $d$  away, interference fringes are observed on a screen (see figure next page). The complex degree of coherence of the light is

$$\underline{g}(\tau) = e^{-\tau/\tau_c} e^{-j2\pi\nu\tau}$$



Adopting the assumptions  $\lambda \ll d$  or  $d \ll \lambda$ , and taking account of a sign change of the field upon reflection (polarization assumed parallel with the mirror surface), find:

(a) The spatial frequency of the fringe;

(b) The classical visibility of the fringe as a function of  $\lambda$ , assuming equal strength interfering beams.

4. Consider the Young's interference experiment performed with broadband light.

(cont.)

(a) Show that the field incident on the observing screen can be expressed as

$$u(Q, t) = \tilde{\kappa}_1 \frac{d}{dt} u(P_1, t - \frac{r_1}{c}) + \tilde{\kappa}_2 \frac{d}{dt} u(P_2, t - \frac{r_2}{c})$$

where

$$\tilde{\kappa}_i = \iint_{\substack{\text{pinhole} \\ i\text{th}}} \frac{\chi(\theta_i)}{2\pi c r_i} da_i \approx \frac{\chi(\theta_i) A_i}{2\pi c r_i}, \quad i=1,2$$

$A_i$  being the area of the  $i$ th pinhole.

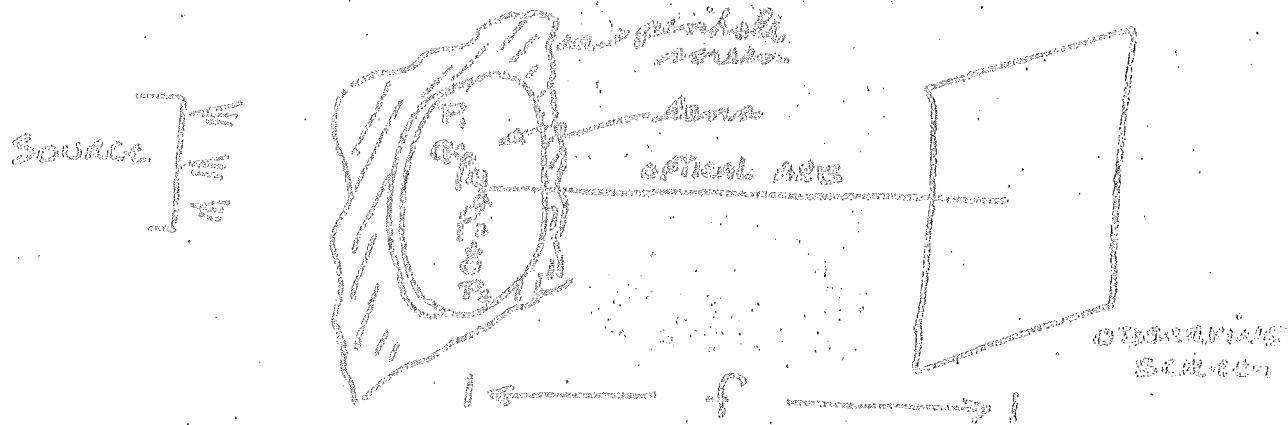
(b) Using the result of part (a), show that the intensity of the light striking the screen can be expressed as

$$I(Q) = I^{(1)}(Q) + I^{(2)}(Q) - 2\tilde{\kappa}_1\tilde{\kappa}_2 \operatorname{Re} \left\{ \frac{\partial}{\partial t^2} \Gamma_{12} \left( \frac{r_1}{c}, \frac{r_2}{c} \right) \right\}$$

where

$$I^{(i)}(Q) = \tilde{\kappa}_i^2 \left\langle \left| \frac{d}{dt} u(P_i, t - \frac{r_i}{c}) \right|^2 \right\rangle$$

5. As shown in the figure below, a positive lens with focal length  $f$  is placed in contact with the pinhole screen in Young's interference experiment.



For quasi-monochromatic or narrowband light, the effect of the lens can be modelled by an amplitude transmittance function

$$t_a(p) = \exp\left[j\frac{\pi}{\lambda f} p^2\right]$$

under paraxial conditions. Show that the spatial phase and period of the fringe pattern depend only on the vector separation of the two pinholes and not on their absolute location with respect to the optical axis.



6. Consider a Michelson interferometer that is used in Fourier spectroscopy.<sup>(7)</sup> To obtain high resolution in the computed spectrum, it is necessary that the interferogram be measured out to large path-length differences where the interferogram has fallen to small values.

(a) Show that when large path-length differences exist, the spectrum of the light falling on the detector is significantly different than the spectrum of light entering the interferometer.

(b) If the spectrum of light entering the interferometer is

$$I(\nu) = \frac{1}{\Delta\nu} \operatorname{rect} \frac{\nu - \bar{\nu}}{\Delta\nu},$$

calculate the spectrum of the light falling on the detector when  $\tau = \frac{1}{2\Delta\nu}$  and  $\frac{1}{\Delta\nu} = 2$  being the time delay between the two interferometer paths.

$$\textcircled{1} \textcircled{a) } \hat{g}(v) = \frac{1}{N} \sum_{-\frac{N-1}{2}}^{\frac{N-1}{2}} \delta(v - \bar{v} + n \Delta v)$$

$\therefore$  from pg. 63 of notes

$$\begin{aligned} \underline{X}(\tau) &= \int_0^{\infty} \hat{g}(v) e^{-j2\pi v \tau} dv \\ &= \frac{1}{N} \sum_{-\frac{N-1}{2}}^{\frac{N-1}{2}} e^{-j2\pi(\bar{v} - n \Delta v) \tau} \end{aligned}$$

where we've used the "sifting" prop. of the Dirac delta fun -

i.e.  $\int_{-\infty}^{\infty} \delta(x-a) f(x) dx = f(a)$  if  $f(x)$  is cont. at  $x=a$

Now  $\underline{X}(\tau) = \frac{e^{-j2\pi \bar{v} \tau}}{N} e^{-j \frac{2\pi(N-1)}{2} \Delta v \tau}$

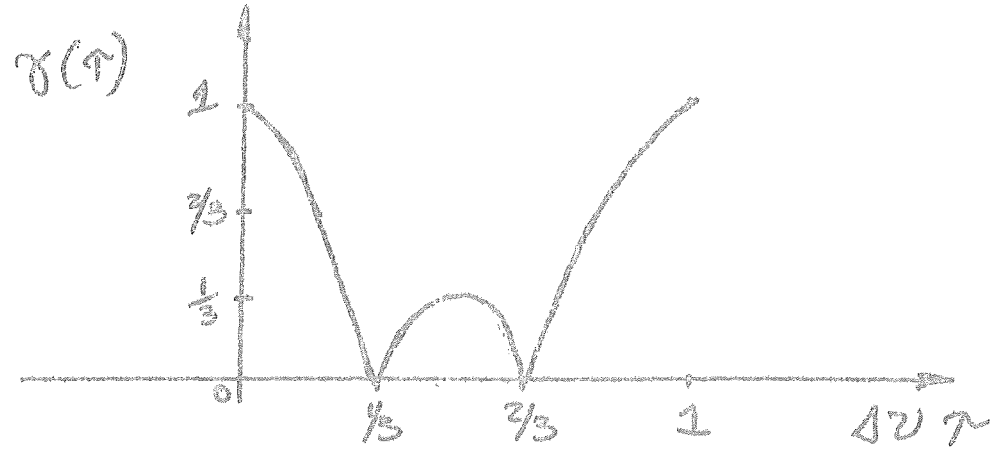
$$\left\{ \frac{1 - e^{j2\pi N \Delta v \tau}}{1 - e^{j2\pi \Delta v \tau}} \right\}$$

$$= \frac{e^{-j2\pi \bar{v} \tau}}{N} e^{-j \frac{2\pi(N-1)}{2} \Delta v \tau} e^{+j \frac{2\pi(N-1)}{2} \Delta v \tau} \left\{ \frac{e^{+j\pi N \Delta v \tau} - e^{-j\pi N \Delta v \tau}}{e^{+j\pi \Delta v \tau} - e^{-j\pi \Delta v \tau}} \right\}$$

$$\underline{\chi}(\tau) = \frac{e^{-j2\pi\nu\tau}}{N} \left\{ \frac{\sin N\pi\Delta\nu\tau}{\sin \pi\Delta\nu\tau} \right\}$$

$$\therefore \chi(\tau) = |\underline{\chi}(\tau)| = \left| \frac{\sin N\pi\Delta\nu\tau}{N\sin \pi\Delta\nu\tau} \right|$$

(b) For  $N=3$  and  $0 \leq \Delta\nu\tau \leq 1$



(2) From pg. 71 of notes.

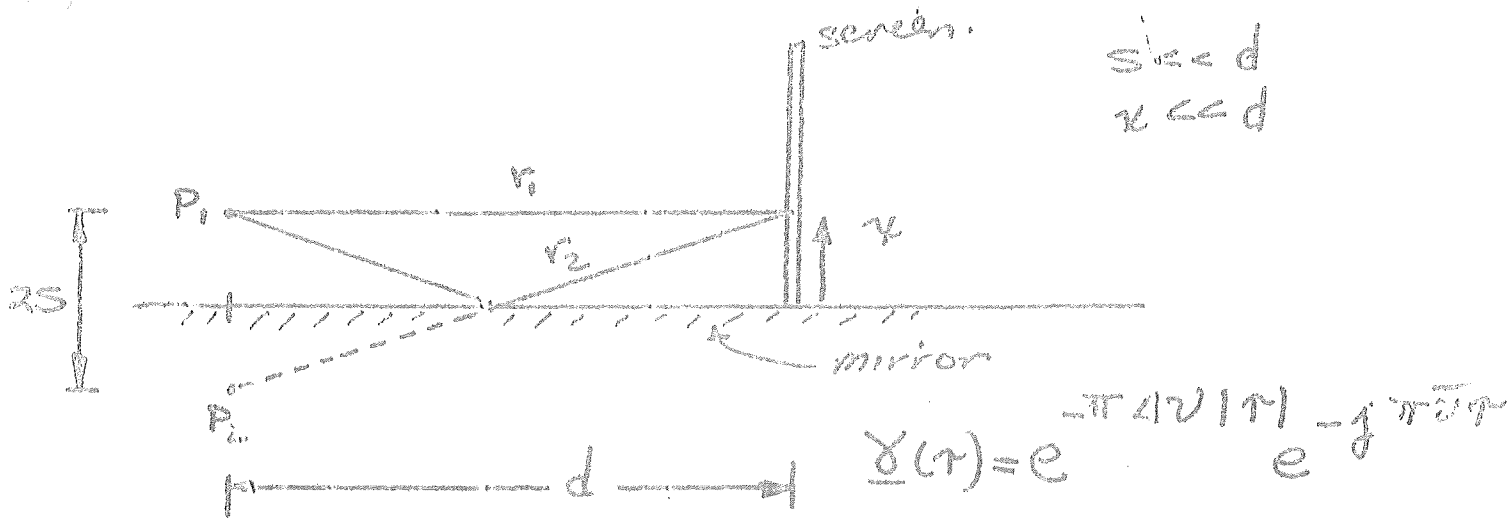
$$\left. \begin{aligned} \tau_c &= \frac{0.664}{\Delta\nu} \\ l_c &= c \tau_c \end{aligned} \right\} \Rightarrow \left\{ \begin{aligned} \tau_c &= \frac{0.664}{1.5 \times 10^9} = 0.443 \times 10^{-9} \text{ sec.} \\ l_c &= (3 \times 10^8) (0.443 \times 10^{-9}) \\ \therefore l_c &= 0.133 \text{ meter.} \end{aligned} \right.$$

HeNe laser.

While for Argon laser

$$\tau_c = \frac{0.664}{7.5 \times 10^9} = 0.088 \times 10^{-9} \text{ sec.}, \quad l_c = 0.0266 \text{ meters.}$$

③ Lloyd's mirror :



$\Rightarrow \chi(r) = |\chi(r)| = e^{-i\pi\Delta V/r}$

This is simply Young's experiment with quasimonochromatic light, however the sign change upon reflection changes the sign of the cosinusoidal interference term. The intensity at the screen is similar to the results on pg 85 of the notes.

$$I(x) = I^{(1)} + I^{(2)} - 2\sqrt{I^{(1)}I^{(2)}} \chi(r) \text{Re} \left\{ e^{-i\pi V r/d} \right\}$$

$$\approx I^{(1)} + I^{(2)} - 2\sqrt{I^{(1)}I^{(2)}} \chi(r) \cos \left[ 2\pi V \cdot \frac{2s y}{cd} \right]$$

where we made use of

$$\tau = \frac{r_2 - r_1}{c} = \frac{1}{c} \left\{ \sqrt{d^2 + (s+x)^2} - \sqrt{d^2 + (s-x)^2} \right\} \approx \frac{d}{c} \left[ \left[ 1 + \frac{(s+x)^2}{2d^2} + \dots \right] - \left[ 1 + \frac{(s-x)^2}{2d^2} + \dots \right] \right]$$

$$= \frac{2sx}{cd} = \tau$$

(a) The spatial freq of the fringe is  $\frac{25V}{cd}$  (4)

(b)  $I^{(1)} = I^{(2)}$

$$I(x) = 2I^{(1)} \left[ 1 - \gamma(\tau) \cos 2\pi V \cdot \frac{25x}{cd} \right]$$

$$V = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}} = \frac{[1 + \gamma(\tau)] - [1 - \gamma(\tau)]}{[1 + \gamma(\tau)] + [1 - \gamma(\tau)]}$$

$$= \gamma(\tau) = e^{-\pi \Delta V \left| \frac{25x}{cd} \right|}$$

which indicates that the fringe visibility falls off in a negative exponential way with increasing  $|x|$  (actually only  $x > 0$  is of interest here anyway).

(4) (a).

$$\underline{u}(Q, t) = \iint_{\Sigma_1} \frac{d}{dt} \frac{\underline{u}(P_1, t - \frac{r_1}{c})}{2\pi cr_1} \chi(\theta_1) ds_1$$

$$+ \iint_{\Sigma_2} \frac{d}{dt} \frac{\underline{u}(P_2, t - \frac{r_2}{c})}{2\pi cr_2} \chi(\theta_2) ds_2$$

$\Sigma_1, \Sigma_2$   
small

$$\approx \frac{d}{dt} \underline{u}(P_1, t - \frac{r_1}{c}) \left( \iint_{\Sigma_1} \frac{\chi(\theta_1)}{2\pi r_1 c} ds_1 \right) + \frac{d}{dt} \underline{u}(P_2, t - \frac{r_2}{c}) \left( \iint_{\Sigma_2} \frac{\chi(\theta_2)}{2\pi r_2 c} ds_2 \right)$$

$$U(Q, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \tilde{U}(P_1, t - \frac{r_1}{c}) + \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \tilde{U}(P_2, t - \frac{r_2}{c})$$

$$(b) \quad I(Q) = \langle U^*(Q, t) U(Q, t) \rangle$$

$$\Rightarrow I(Q) = \tilde{K}_1^2 \langle \left| \frac{d}{dt} U(P_1, t - \frac{r_1}{c}) \right|^2 \rangle + \tilde{K}_2^2 \langle \left| \frac{d}{dt} U(P_2, t - \frac{r_2}{c}) \right|^2 \rangle + 2\tilde{K}_1\tilde{K}_2 \operatorname{Re} \left\{ \left\langle \frac{d}{dt} U(P_1, t - \frac{r_1}{c}) \frac{d}{dt} U^*(P_2, t - \frac{r_2}{c}) \right\rangle \right\}$$

Since we have an ergodic random process,

$$\left\langle \frac{\partial}{\partial t_1} U(P_1, t_1) \frac{\partial}{\partial t_2} U^*(P_2, t_2) \right\rangle$$

$$= \frac{\partial}{\partial t_1} \frac{\partial}{\partial t_2} \langle U(P_1, t_1) U^*(P_2, t_2) \rangle$$

$$= \frac{\partial}{\partial t_1} \frac{\partial}{\partial t_2} \Gamma_{12}(t_1 - t_2) = \frac{\partial}{\partial t_1} \frac{\partial}{\partial t_2} \Gamma_{12}(\tau)$$

$$= \frac{\partial}{\partial(t_1 - t_2)} \frac{\partial}{\partial(t_1 - t_2)} \Gamma_{12}(\tau) = -\frac{\partial^2}{\partial \tau^2} \Gamma_{12}(\tau) \quad \text{where } \tau = t_1 - t_2$$

**Note:** The argument above holds only in the case of an ergodic process. - i.e.

$$\langle x'y' \rangle = E_{x'y'} = E \langle x'(t) y'(t+\tau) \rangle$$

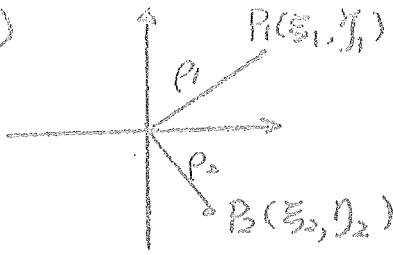
(c) now substitute the just-obtained result into the expression for  $I(Q)$  to obtain

$$I(t) = I^{(1)}(0) + I^{(2)}(0) - 2\tilde{N}_1 \tilde{N}_2 \operatorname{Re} \left\{ \frac{\partial^2}{\partial \tau^2} \Gamma_{12}(\tau) \right\} \Big|_{\tau = \frac{r_2 - r_1}{c}} \quad (6)$$

(5)

Marks

(5)



$$r_i \approx f + \frac{(x_i - x)^2}{2f} + \frac{(y_i - y)^2}{2f} = f + \frac{x^2 + y^2}{2f} + \frac{\rho_i^2}{2f} - \frac{x_i x + y_i y}{f}$$

$i = 1, 2.$

$$\therefore \frac{r_2 - r_1}{c} \approx \frac{1}{2fc} \left\{ \rho_2^2 - \rho_1^2 + 2\Delta x x + 2\Delta y y \right\} \dots \dots (1)$$

$\Delta x = x_1 - x_2$   
 $\Delta y = y_1 - y_2$

Furthermore, we note that the effect of lens is shifting the phase by  $\frac{\pi \rho_i^2}{\lambda f}$ , that is equivalent to the (path)

shifting on time by  $\frac{\pi \rho_i^2}{\lambda f c}$ , hence

without lens :  $\underline{u}(Q, t) = \underline{k}_1 \underline{u}(P_1, t - \frac{r_1}{c}) + \underline{k}_2 \underline{u}(P_2, t - \frac{r_2}{c})$

with lens :  $\underline{u}(Q, t) = \underline{k}_1 \underline{u}(P_1, t - \frac{r_1}{c} + \frac{\rho_1^2}{2cf}) + \underline{k}_2 \underline{u}(P_2, t - \frac{r_2}{c} + \frac{\rho_2^2}{2cf})$

Using this modification, and carrying out the same derivation of sec. 2.2.2. we will obtain [see P. 85]

$$I(Q) = I^{(1)}(Q) + I^{(2)}(Q) + 2 \sqrt{I^{(1)}(Q) I^{(2)}(Q)} \cos \left[ 2\pi \nu \left( \frac{r_2 - r_1}{c} + \frac{\rho_1^2 - \rho_2^2}{2cf} - \Delta t \left( \frac{r_2 - r_1}{c} + \frac{\rho_1^2 - \rho_2^2}{2cf} \right) \right) \right]$$

From eq. (1)  $\frac{r_2 - r_1}{c} + \frac{\rho_1^2 - \rho_2^2}{2cf} \approx \frac{1}{cf} [\Delta x x + \Delta y y]$



$$I(\theta) = I^{(1)}(\theta) + I^{(2)}(\theta) + 2 \sqrt{I^{(1)}(\theta) I^{(2)}(\theta)} \cos \left( \frac{(\Delta x x + \Delta y) \gamma}{c f} \right)$$

$$\cos \left[ 2\pi \vec{r} \cdot \left( \frac{\Delta x}{\lambda f}, \frac{\Delta y}{\lambda f} \right) \right] = \cos \left[ 2\pi \vec{r} \cdot \left( \frac{\Delta x x + \Delta y y}{c f} \right) \right]$$

Thus the spatial phase (in this case, " $-d_{12}(\cdot)$ ") and period of the fringe pattern depend only on separation ( $\Delta x, \Delta y$ ).

Remark: The spatial period (vector)  $\vec{L}$ , should

$$\text{satisfy } \vec{L} \cdot \left( \frac{\Delta x}{\lambda f}, \frac{\Delta y}{\lambda f} \right) = 1.$$

$$(6) \quad \underline{u}^D(t) = k_1 \underline{u}(t) + k_2 \underline{u}(t + \frac{2h}{c})$$

$$\underline{I}_D(\tau) = \langle \underline{u}^D(t) \underline{u}^{D*}(t + \tau) \rangle$$

D: detector

$$= \langle [k_1 \underline{u}(t) + k_2 \underline{u}(t + \frac{2h}{c})] [k_1 \underline{u}^*(t + \tau) + k_2 \underline{u}^*(t + \tau + \frac{2h}{c})] \rangle$$

$$= k_1^2 \underline{I}(\tau) + k_2^2 \underline{I}(\tau) + k_1 k_2 \underline{I}(\tau + \frac{2h}{c}) + k_1 k_2 \underline{I}(\tau - \frac{2h}{c})$$

Then we can relate  $\mathcal{G}_D^{(r,r)}(\nu)$ , power spectrum on detector, to the original power spectrum

$$\mathcal{G}(\nu) = \int_0^\infty \underline{I}(\tau) e^{j2\pi\nu\tau} d\tau$$

$$(7) \quad \mathcal{G}_D^{(r,r)}(\nu) = \int_0^\infty \underline{I}_D(\tau) e^{j2\pi\nu\tau} d\tau$$

$$= (k_1 e^{i\omega t} + k_2 e^{-i\omega t}) \mathcal{G}^{(c, r)}(\omega) + k_1 k_2 e^{i\omega t} \mathcal{G}^{(c, r)}(\omega)$$

$$= [k_1^2 + k_2^2 + 2k_1 k_2 \cos(4\pi \nu \frac{h}{c})] \mathcal{G}^{(c, r)}(\omega)$$

If  $\frac{c}{2h} \sim \Delta\nu$ , i.e., if  $h$  is not much smaller than  $\frac{c}{2\Delta\nu}$

we will get a modulated spectrum, [i.e., distortion in frequency domain]

(b)  $\hat{\mathcal{G}}(\nu) = \frac{1}{\Delta\nu} \text{rec}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right) = \mathcal{G}^{(c, r)}(\nu)$  for  $\nu > 0$

$$\tau = \frac{2h}{c}, \quad \hat{\mathcal{G}}_D(\omega) = \frac{\mathcal{G}_D(\omega)}{\int_0^\infty \mathcal{G}_D(\omega) d\nu} = \frac{[k_1^2 + k_2^2 + 2k_1 k_2 \cos(2\pi \nu \tau)]}{\int_0^\infty \mathcal{G}_D(\omega) d\nu}$$

$$\frac{1}{\Delta\nu} \text{rec}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right)$$

$$\int_0^\infty \mathcal{G}_D(\omega) d\nu = k_1^2 + k_2^2 + 2k_1 k_2 \int_{-\frac{\Delta\nu}{2}}^{\nu + \frac{\Delta\nu}{2}} \frac{1}{\Delta\nu} \cos 2\pi \nu \tau d\nu$$

$$= k_1^2 + k_2^2 + \frac{2k_1 k_2}{\pi \tau \Delta\nu} (\cos(2\pi \tau \bar{\nu}) \sin(\pi \tau \Delta\nu))$$

$$\therefore \hat{\mathcal{G}}_D(\omega) = \frac{[k_1^2 + k_2^2 + 2k_1 k_2 \cos(2\pi \bar{\nu} \tau)]}{k_1^2 + k_2^2 + \frac{2k_1 k_2}{\pi \tau \Delta\nu} (\cos(2\pi \bar{\nu} \tau) \sin(\pi \tau \Delta\nu))} \frac{1}{\Delta\nu} \text{rec}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right)$$

①  $\tau = 0, \quad \hat{\mathcal{G}}_D(\omega) = \frac{1}{\Delta\nu} \text{rect}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right)$

②  $\tau = \frac{1}{2\Delta\nu}, \quad \hat{\mathcal{G}}_D(\omega) = \frac{[k_1^2 + k_2^2 + 2k_1 k_2 \cos(\frac{\pi \nu}{\Delta\nu})]}{k_1^2 + k_2^2 + \frac{4k_1 k_2}{\pi} \cos(\frac{\pi \bar{\nu}}{\Delta\nu})} \left[ \text{rect}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right) \right]_{\Delta\nu}$

③  $\tau = \frac{1}{\Delta\nu}, \quad \hat{\mathcal{G}}_D(\omega) = \frac{[k_1^2 + k_2^2 + 2k_1 k_2 \cos(2\pi \nu \Delta\nu)]}{k_1^2 + k_2^2} \frac{1}{\Delta\nu} \text{rect}\left(\frac{\nu - \bar{\nu}}{\Delta\nu}\right)$

$$① \quad \underline{u}(Q, t) = \iint_{\Sigma_1} \frac{\frac{d}{dt} \underline{u}(P, t - \frac{r}{c})}{2\pi cr} \chi(\theta) ds$$

$$\underline{T}(Q_1, Q_2; \tau) = \langle \underline{u}(Q_1, t + \tau) \underline{u}^*(Q_2, t) \rangle$$

$$= \left\langle \iint_{\Sigma_1} \frac{\frac{d}{dt} \underline{u}(P_1, t + \tau - \frac{r_1}{c})}{2\pi cr_1} \chi(\theta_1) ds_1, \iint_{\Sigma_2} \frac{\frac{d}{dt} \underline{u}^*(P_2, t - \frac{r_2}{c})}{2\pi cr_2} \chi(\theta_2) ds_2 \right\rangle$$

$$= \iiint_{\Sigma_1 \Sigma_2} \frac{\langle \frac{d}{dt} \underline{u}(P_1, t + \tau - \frac{r_1}{c}) \frac{d}{dt} \underline{u}^*(P_2, t - \frac{r_2}{c}) \rangle}{4\pi^2 c^2 r_1 r_2} \chi(\theta_1) \chi(\theta_2) ds_1 ds_2$$

From Prob 4 (b) of (# 2), we know that

$$\langle \frac{d}{dt} \underline{u}(P_1, t + \tau - \frac{r_1}{c}) \frac{d}{dt} \underline{u}^*(P_2, t - \frac{r_2}{c}) \rangle = -\frac{\partial^2}{\partial \tau^2} \langle \underline{u}(P_1, t + \tau - \frac{r_1}{c}) \underline{u}^*(P_2, t - \frac{r_2}{c}) \rangle$$

$$= -\frac{\partial^2}{\partial \tau^2} \underline{T}(P_1, P_2; \tau + \frac{r_2 - r_1}{c})$$

hence

$$\underline{T}(Q_1, Q_2; \tau) = -\iint_{\Sigma_1} \iint_{\Sigma_2} \frac{\partial^2}{\partial \tau^2} \underline{T}(P_1, P_2; \tau + \frac{r_2 - r_1}{c}) \frac{\chi(\theta_1)}{2\pi cr_1} \frac{\chi(\theta_2)}{2\pi cr_2} ds_1 ds_2$$

② Wave equation for propagation of  $\underline{T}_{12}(\tau)$

$$\nabla_{1,2}^2 \underline{T}_{12}(\tau) = \frac{1}{c^2} \frac{\partial^2}{\partial \tau^2} \underline{T}_{12}(\tau)$$

Quasi-monochromatic light  $\underline{T}_{12}(\tau) = \underline{J}_{12} e^{j2\pi \bar{\nu} \tau}$

substituting, and approx.  $\nabla_{1,2}^2 \underline{J}_{12} e^{j2\pi \bar{\nu} \tau}$  by  $e^{j2\pi \bar{\nu} \tau} \nabla_{1,2}^2 \underline{J}_{12}$

$$\frac{\partial^2}{\partial \tau^2} \underline{J}_{12} e^{j2\pi \bar{\nu} \tau} \text{ by } -(2\pi \bar{\nu})^2 \underline{J}_{12} e^{j2\pi \bar{\nu} \tau}$$

we get  $(\nabla_{1,2}^2 \underline{J}_{1,2}) e^{j2\pi \bar{\nu} \tau} = -\left(\frac{2\pi \bar{\nu}}{c}\right)^2 \underline{J}_{1,2} e^{j2\pi \bar{\nu} \tau}$

$\Rightarrow \nabla_{1,2}^2 \underline{J}_{1,2} + k^2 \underline{J}_{1,2} = 0, \quad k \equiv \frac{2\pi \bar{\nu}}{c} = \frac{2\pi}{\lambda}$

B) By referring "Fig 2-7b", we know that, (2) the effect of finite bandwidth " $\Delta \nu$ " will cause a "finite" fringe extent approximately equal to  $\left(\frac{fc}{\Delta \nu S}\right)$ .  
 Furthermore, we know that the transform of a finite-size pinhole, will be an Airy Pattern, and its 1st zero will be  $\left(\frac{1.22 \lambda f}{D}\right)$

C) Now effect (1) dominates over (2) simply means that

$$\frac{fc}{\Delta \nu S} \gg \frac{1.22 \lambda f}{D}$$

$$\Rightarrow \text{i.e. } \frac{\Delta \nu}{c} \ll \frac{D}{1.22 S} \Rightarrow \frac{\Delta \nu}{\nu} \ll \frac{D}{1.22 S}$$

4) Monochromatic wave  $\underline{u}(P, t) = \underline{u}(P) e^{j2\pi \nu_0 t}$

$$\underline{T}_{12}(P) = \langle \underline{u}(P_1, t) \underline{u}^*(P_2, t + \tau) \rangle$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) \langle e^{j2\pi \nu_0 t} e^{j2\pi \nu_0 (t + \tau)} \rangle$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) \langle e^{j2\pi \nu_0 \tau} \rangle_{\text{average over } t}$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) e^{j2\pi \nu_0 \tau}$$

$$\therefore |\underline{\delta}_{12}(\tau)| = \left| \frac{\underline{I}_{12}(\tau)}{[\underline{I}_{11}(0)\underline{I}_{22}(0)]^{1/2}} \right| = \frac{|\underline{u}(P_1)| |\underline{u}^*(P_2)| |e^{-j2\pi v\tau}|}{|\underline{u}(P_1)| |\underline{u}^*(P_2)|} = 1$$

\(\therefore\) It is perfectly coherent.

(f) (a) For ideally monochromatic light,  $\underline{u}(P, t) = \underline{u}(P) e^{j2\pi v t}$

The output of the diffuser is  $\underline{\tilde{u}}(P, t) = \underline{u}(P, t) \underline{t}(x, y - vt)$

$$\begin{aligned} \underline{I}_{12}(\tau) &= \langle \underline{\tilde{u}}(P_1, t+\tau) \underline{\tilde{u}}^*(P_2, t) \rangle \\ &= \underline{u}(P_1) \underline{u}^*(P_2) \langle e^{j2\pi v(t+\tau)} e^{j2\pi v t} \underline{t}(x_1, y_1 - v(t+\tau)) \underline{t}^*(x_2, y_2 - vt) \rangle \\ &= \underline{u}(P_1) \underline{u}^*(P_2) e^{j2\pi v \tau} \langle \underline{t}(x_1, y_1 - v(t+\tau)) \underline{t}^*(x_2, y_2 - vt) \rangle \\ &= \underline{u}(P_1) \underline{u}^*(P_2) e^{j2\pi v \tau} \exp[-a[(\Delta x)^2 + (\Delta y + v\tau)^2]] \\ &= A(P_1, P_2) \exp[-a[(\Delta x)^2 + (\Delta y)^2]] e^{j2\pi v \tau} \frac{e^{-a(v\tau)^2}}{e} = \frac{e^{-2a\Delta y v \tau}}{e} \end{aligned}$$

Note  $\underline{\delta}_{12}(\tau) = |\underline{u}(P_1) \underline{u}^*(P_2)| \underline{I}_{12}(\tau)$  not separable

\(\Rightarrow\) \(\therefore\) It is Not cross-spectrally pure.

(b) In this case, output of the diffuser is

$$\underline{U}(P, t) = \underline{u}(P, t) \underline{t}_1(x, y - vt) \underline{t}_2(x, y + vt)$$

Carry out the similar procedure,

we will get

$$T_{12}(\tau) = \underline{u}(P_1) \underline{u}^*(P_2) e^{-j2\pi\nu\tau} \langle \underline{t}_1(x_1, y_1 - \nu\tau) \underline{t}_2(x_1, y_1 + \nu\tau) \rangle$$

$$\underline{t}_1^*(x_2, y_2 - \nu\tau) \underline{t}_2^*(x_2, y_2 + \nu\tau) \rangle$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) e^{-j2\pi\nu\tau} \langle \underline{t}_1(x_1, y_1 - \nu\tau) \underline{t}_1^*(x_2, y_2 - \nu\tau) \rangle$$

$$\langle \underline{t}_2(x_1, y_1 + \nu\tau) \underline{t}_2^*(x_2, y_2 + \nu\tau) \rangle$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) e^{-j2\pi\nu\tau} \underline{r}_t(\Delta x, \Delta y - \nu\tau) \underline{r}_t(\Delta x, \Delta y + \nu\tau)$$

$$= \underline{u}(P_1) \underline{u}^*(P_2) e^{-j2\pi\nu\tau} e^{-\alpha[\Delta x^2 + (\Delta y - \nu\tau)^2]} e^{-\alpha[\Delta x^2 + (\Delta y + \nu\tau)^2]}$$

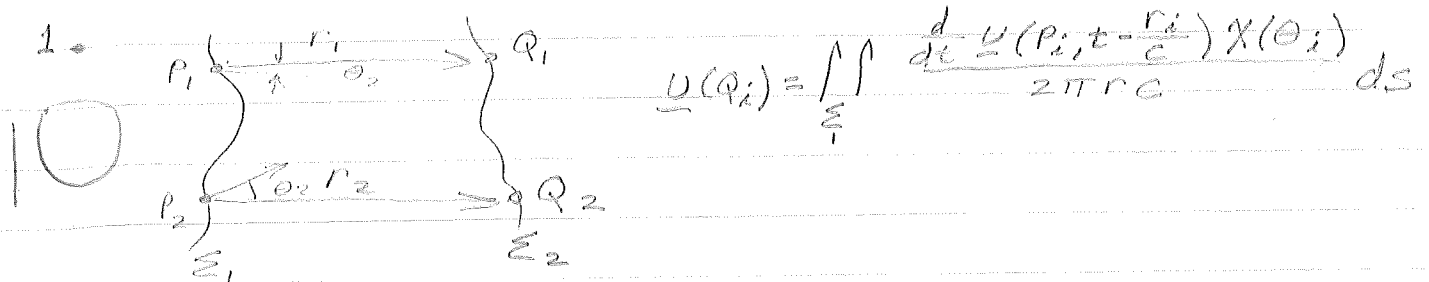
$$= \underline{u}(P_1) \underline{u}^*(P_2) e^{-\alpha[\Delta x^2 + (\Delta y)^2]} e^{-j2\pi\nu\tau} e^{-\alpha(2\nu\tau)^2}$$

$$= \underline{\mu}_{12} \underline{r}(\tau)$$

$$\Rightarrow \underline{r}_{12}(\tau) = |\underline{u}(P_1) \underline{u}^*(P_2)|^{-1} T_{12}(\tau) = \underline{\mu}_{12} \underline{r}(\tau)$$

$\therefore I_A$  is cross-spectrally pure.

\*



$$\Gamma(Q_1, Q_2, \tau) = \langle u(Q_1, t+\tau) u^*(Q_2, t) \rangle$$

$$u(Q_1, t) = \int_{\xi_1} \int \frac{\chi(\theta_1)}{2\pi r_1 c} \frac{d}{dt} u(P_1, t - \frac{r_1}{c}) ds_1$$

$$u(Q_2, t) = \int_{\xi_2} \int \frac{\chi(\theta_2)}{2\pi r_2 c} \frac{d}{dt} u(P_2, t - \frac{r_2}{c}) ds_2$$

$$u(Q_1, t+\tau) u^*(Q_2, t) = \int_{\xi_1} \int \int_{\xi_2} \int \frac{d}{dt} u(P_1, t+\tau - \frac{r_1}{c}) \frac{d}{dt} u^*(P_2, t - \frac{r_2}{c})$$

$$\times \chi(\theta_1) \chi(\theta_2) ds_1 ds_2$$

$$\Rightarrow \Gamma(Q_1, Q_2; \tau)$$

$$= \int_{\xi_1} \int \int_{\xi_2} \int \frac{\chi(\theta_1) \chi(\theta_2)}{2\pi r_2 c 2\pi r_1 c} \left\langle \frac{d}{dt} u(P_1, t+\tau - \frac{r_1}{c}) \frac{d}{dt} u^*(P_2, t - \frac{r_2}{c}) \right\rangle \quad (1)$$

SINCE  $\frac{\delta}{\delta t} F(t \pm \tau) = -\frac{\delta}{\delta \tau} F(t \pm \tau)$  AND

SINCE ERGODICITY IS ASSUMED:

$$\begin{aligned} \left\langle \frac{d}{dt} u(P_1, t+\tau - \frac{r_1}{c}) \frac{d}{dt} u^*(P_2, t - \frac{r_2}{c}) \right\rangle &= \left\langle \frac{d}{dt} u(P_1, t+\tau + \frac{r_2-r_1}{c}) \frac{d}{dt} u^*(P_2, t) \right\rangle \\ &= \left\langle \frac{d}{d\tau} u(P_1, t+\tau + \frac{r_2-r_1}{c}) \frac{d}{dt} u^*(P_2, t) \right\rangle \\ &= \frac{\delta}{\delta \tau} \left\langle u(P_1, t+\tau + \frac{r_2-r_1}{c}) \frac{d}{dt} u^*(P_2, t) \right\rangle \\ &= \frac{\delta}{\delta \tau} \left\langle u(P_1, t + \frac{r_2-r_1}{c}) \frac{d}{dt} u^*(P_2, t - \tau) \right\rangle \\ &= \frac{\delta}{\delta \tau} \left\langle u(P_1, t + \frac{r_2-r_1}{c}) \left( -\frac{\delta}{\delta \tau} \right) u^*(P_2, t - \tau) \right\rangle \\ &= -\frac{\delta^2}{\delta \tau^2} \left\langle u(P_1, t + \frac{r_2-r_1}{c}) u^*(P_2, t - \tau) \right\rangle \\ &= -\frac{\delta^2}{\delta \tau^2} \left\langle u(P_1, t + \tau + \frac{r_2-r_1}{c}) u^*(P_2, t) \right\rangle \\ &= -\frac{\delta^2}{\delta \tau^2} \Gamma(P_1, P_2, \tau + \frac{r_2-r_1}{c}) \end{aligned}$$

WHERE  $\Gamma(P_1, P_2; \tau) \triangleq \langle u(P_1, t+\tau) u^*(P_2, t) \rangle$

SUBSTITUTING INTO Eq. 1 GIVES THE  
FINAL DESIRED RESULT:

$$\Gamma(Q_1, Q_2; \gamma) = \int_{\Xi_1} \int_{\Xi_2} \frac{\delta^2}{\delta \gamma^2} \Gamma(P_1, P_2; \gamma + \frac{r_2 - r_1}{c}) \frac{\chi(O_1)}{2\pi r_1 c} \frac{\chi(O_2)}{2\pi r_2 c} ds_1 ds_2$$



2. UNDER QUASIMONOCROMATIC CONDITIONS:

$$\Gamma_{1,2}(\gamma) = \underline{J}_{1,2} e^{-j 2\pi \bar{\nu} \gamma} \Rightarrow \underline{J}_{1,2} \triangleq \Gamma_{1,2}(0)$$

10 CONSIDER, FIRST,

$$\nabla_i^2 \Gamma_{1,2}(\gamma) = \frac{1}{c^2} \frac{\delta^2}{\delta \gamma^2} \Gamma_{1,2}(\gamma)$$

THUS

$$\begin{aligned} e^{-j 2\pi \bar{\nu} \gamma} \nabla_i^2 J_{1,2} &= \frac{1}{c^2} J_{1,2} \frac{\delta^2}{\delta \gamma^2} e^{-j 2\pi \bar{\nu} \gamma} \\ &= \frac{1}{c^2} J_{1,2} (2\pi \bar{\nu})^2 e^{-j 2\pi \bar{\nu} \gamma} \\ &= -\bar{K}^2 J_{1,2} e^{-j 2\pi \bar{\nu} \gamma} \Rightarrow \bar{K} = \frac{2\pi}{\lambda} = \frac{2\pi \bar{\nu}}{c} \end{aligned}$$

$$\Rightarrow \nabla_i^2 \underline{J}_{1,2} + \bar{K}^2 \underline{J}_{1,2} = 0$$

SIMILARLY:  $\nabla_i^2 \underline{J}_{1,2} + \bar{K}^2 \underline{J}_{1,2} = 0$

NOTE: THE HELMOLTZ RELATIONSHIP IS ESSENTIALLY THE TEMPORAL FOURIER TRANSFORM OF THE ORIGINAL DIFFERENTIAL EQUATION RELATIONSHIP. DEFINE *good!*

$$\mathcal{F}[g(\gamma)] = \int_{-\infty}^{\infty} g(\gamma) e^{-j 2\pi \bar{\nu} \gamma} d\gamma$$

THEN, SINCE

$$\nabla_i^2 \Gamma_{1,2}(\gamma) = \frac{1}{c^2} \frac{\delta^2}{\delta \gamma^2} \Gamma_{1,2}(\gamma)$$

WE HAVE

$$\mathcal{F}[\nabla_i^2 \Gamma_{1,2}(\gamma)] = \frac{1}{c^2} \mathcal{F}\left[\frac{\delta^2}{\delta \gamma^2} \Gamma_{1,2}(\gamma)\right]; \quad i=1,2$$

$$\nabla_i^2 \mathcal{F}[\Gamma_{1,2}(\gamma)] = \frac{1}{c^2} (-j 2\pi \bar{\nu})^2 \mathcal{F}[\Gamma_{1,2}(\gamma)]$$

LETTING  $J_{1,2} = \mathcal{F}[\Gamma_{1,2}(\gamma)]$  GIVES

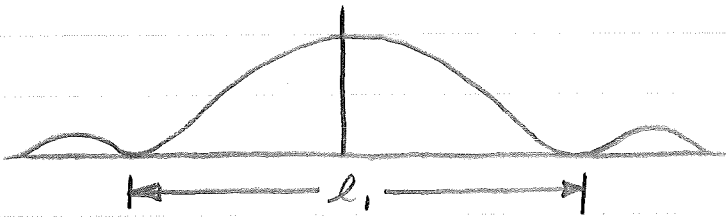
$$\nabla_i^2 J_{1,2} = -\left(\frac{2\pi \bar{\nu}}{c}\right)^2 J_{1,2}$$

$$= -\bar{K}^2 J_{1,2}$$

OR:  $\nabla_i^2 J_{1,2} + \bar{K}^2 J_{1,2} = 0$

3. TO SOLVE THIS PROBLEM, ONE MAY LOOK AT FRINGE DAMPING (OR THE FRINGE ENVELOPE) DUE TO (1) FINITE PINHOLE SIZE, AND (2) FINITE BANDWIDTH.

FOR THE FIRST CASE, THE ENVELOPE IS GIVEN IN FIG 2-9 (WE'LL WON'T HAVE THE PICTURED SHIFTING, THOUGH, DUE TO THE LENS). THE SPACE BETWEEN "NULLS" IS  $l_1 = \frac{2.44 \lambda f}{d} = \frac{2.44 c f}{v d}$ :



FOR CASE (2), THE SPACING BETWEEN "NULLS" IS GIVEN IN FIG. 2.7

$$l_2 = 2 f c / \Delta v$$

ACTUALLY,  $l_2$  IS TWICE THE "HALF-WIDTH" OF THE FRINGE ENVELOPE.

IN ORDER FOR THE EFFECT OF FINITE PINHOLES TO DOMINATE OVER THE EFFECT OF FINITE BANDWIDTH, WE MUST REQUIRE THAT  $l_2 \gg l_1$ , OR

$$\frac{2 f c}{\Delta v} \gg \frac{2.44 c f}{v d}$$

OR

$$\frac{v}{\Delta v} \gg \frac{1.22 \lambda}{d}$$

FOR THE EFFECT OF THE BANDWIDTH TO DOMINATE:

$$\frac{v}{\Delta v} \ll \frac{1.22 \lambda}{d}$$

4. THE ANALYTIC SIGNAL ASSOCIATED WITH A MONOCHROMATIC SOURCE

IS GIVEN ON PG. 3 AS  
 $U(P, t) = \underline{U}(P, \nu) e^{-j 2\pi \nu t}$

THEN:

$$\begin{aligned} \Gamma_{12}(\tau) &= \langle U(P_1, t+\tau) U^*(P_2, t) \rangle \\ &= \langle \underline{U}(P_1, \nu) e^{-j 2\pi \nu (t+\tau)} \underline{U}^*(P_2, \nu) e^{j 2\pi \nu t} \rangle \\ &= \underline{U}(P_1, \nu) \underline{U}^*(P_2, \nu) e^{-j 2\pi \nu \tau} \langle 1 \rangle \\ &= \underline{U}(P_1, \nu) \underline{U}^*(P_2, \nu) e^{-j 2\pi \nu \tau} \end{aligned}$$

$$\underline{\delta}_{12}(\tau) = \left[ \frac{\Gamma_{12}(\tau)}{\Gamma_{11}(0) \Gamma_{22}(0)} \right]^{1/2}$$

$$\Gamma_{ii}(0) = \langle |U(P_i, t)|^2 \rangle = |\underline{U}(P_i, \nu)|^2$$

$$\Rightarrow \underline{\delta}_{12} = \frac{\underline{U}(P_1, \nu) \underline{U}^*(P_2, \nu)}{|\underline{U}(P_1, \nu)| |\underline{U}(P_2, \nu)|} e^{-j 2\pi \nu \tau}$$

$$\begin{aligned} |\underline{\delta}_{12}| &= \frac{|\underline{U}(P_1, \nu)| |\underline{U}(P_2, \nu)|}{|\underline{U}(P_1, \nu)| |\underline{U}(P_2, \nu)|} |e^{-j 2\pi \nu \tau}| \\ &= 1 \end{aligned}$$

THIS IS THE STRICTEST DEFINITION OF FULL COHERENCE ON PG. 117, AND THUS FULLFILLS THE MORE LENIENT DEFINITION ON PG. 118. I.E., ANY MONOCHROMATIC SOURCE IS PERFECTLY COHERENT (BY EITHER DEFINITION).

5. THE MONOCHROMATIC LIGHT INCIDENT ON THE DIFFUSER IS

$$U_i(P_i, t) = \underline{U}(P_i, \nu) e^{-j2\pi\nu t} \quad ; \quad i=1, 2$$

THE DIFFUSER TRANSMITTANCE IS

$$\underline{t}(P_i, t) = t(x_i, y_i - \nu t)$$

THE LIGHT FROM THE DIFFUSER IS THUS

$$U_d(P_i, t) = \underline{U}(P_i, t) \underline{t}(P_i, t)$$

NOW

$$\begin{aligned} \Gamma_{12}(\tau) &= \langle U_d(P_1, t+\tau) U_d^*(P_2, t) \rangle \\ &= \underline{U}(P_1, \nu) \underline{U}^*(P_2, \nu) e^{-j2\pi\nu\tau} \\ &\quad \times \langle t(x_1, y_1 - \nu(t+\tau)) t^*(x_2, y_2 - \nu t) \rangle \end{aligned}$$

NOW

$$\Gamma_{ii}(0) = |\underline{U}_i(P_i, \nu)| \langle |t(x_i, y_i - \nu t)|^2 \rangle$$

THUS

$$\delta_{12}(\tau) = \frac{\Gamma_{12}(\tau)}{[\Gamma_{11}(0) \Gamma_{22}(0)]^{1/2}}$$

$$= \frac{\underline{U}(P_1, \nu) \underline{U}^*(P_2, \nu)}{|\underline{U}(P_1, \nu)| |\underline{U}(P_2, \nu)|} \frac{\langle t(x_1, y_1 - \nu(t+\tau)) t^*(x_2, y_2 - \nu t) \rangle}{[\langle |t(x_1, y_1 - \nu t)|^2 \rangle \langle |t(x_2, y_2 - \nu t)|^2 \rangle]}$$

NOW LETS TRY TO GUESS AT WHAT'S MEANT BY

$$\delta_t(\Delta x, \Delta y) = e^{-a(\Delta x^2 + \Delta y^2)}$$

LOOK'S LIKE IT SAYS THAT THE SECOND ORDER STATISTICS OF THE DIFFUSER DEPEND ONLY ON DIFFERENCE TWIXT SPOTS.

THAT IS

$$\underline{\gamma}_t(\Delta x, \Delta y) = \frac{E[t(x_1 + \Delta x, y_1 + \Delta y) t^*(x_1, y_1)]}{[E\{|t(x_1, y_1)|^2\} E\{|t(x_1 + \Delta x, y_1 + \Delta y)\|^2\}]}^{1/2}$$

ASSUMING ERGODISITY (IN THE SPATIAL SENSE), WE WRITE

$$\underline{\gamma}_{12} = \frac{D_1 D_2^*}{|D_1 D_2|} \underline{\gamma}_T(\Delta x, \Delta y - vT) ; \Delta x = x_1 - x_2$$

?  $\Delta y = y_1 - y_2$

DON'T LOOK CROSS-SPECTRALLY PURE: ✓

$$\underline{\gamma}_{12} = \frac{D_1 D_2^*}{|D_1 D_2|} e^{-a(\Delta x^2 + (vT - \Delta y)^2)}$$

b) FOR TWO DIFFUSERS, WE HAVE (BY INSPECTION)

$$\underline{\gamma}_{12}(T) = \frac{D_1 D_2^*}{|D_1 D_2|} \frac{\langle t_1(x_1, y_1 - v(t+T)) t_1(x_2, y_2 - vt) \rangle}{[\langle |t_1(x_1, y_1 - v(t+T))|^2 \rangle \langle |t_1(x_2, y_2 - vt)|^2 \rangle]}^{1/2}$$
$$\times \frac{\langle t_2(x_1, y_1 + v(t+T)) t_2(x_2, y_2 + vt) \rangle}{[\langle |t_2(x_1, y_1 + v(t+T))|^2 \rangle \langle |t_2(x_2, y_2 + vt)|^2 \rangle]}^{1/2}$$

WE MAY SEPARATE THEM LIKE THIS DUE TO STATISTICAL INDEPENDENCE. NOW

$$\underline{\gamma}_{12}(T) = \frac{D_1 D_2^*}{|D_1 D_2|} \underline{\gamma}_t(\Delta x, \Delta y - vT) \underline{\gamma}_t(\Delta x, \Delta y + vT)$$
$$= \frac{D_1 D_2^*}{|D_1 D_2|} e^{-2a\Delta x^2} e^{-a(\Delta y - vT)^2} e^{-a(\Delta y + vT)^2}$$

$$= \frac{D_1 D_2^*}{|D_1 D_2|} e^{-2g \Delta x^2} e^{-2g \Delta y^2} e^{-2g(v\tau)^2}$$

SO HERE, THE LIGHT IS CROSS-SPECTRALLY  
PURE WITH ✓

$$\mu_{12} = \frac{D_1 D_2^*}{|D_1 D_2|} e^{-2g(\Delta x^2 + \Delta y^2)}$$

AND  $\delta(\tau) = e^{-2g(v\tau)^2}$

## Problem Set #19

#1. The sun subtends an angle of about 32 minutes of arc ( $0.0093$  radians) on earth. Assuming a mean wavelength of  $5500 \text{ \AA}$ , calculate the separation of two pinholes for which Young's fringes first vanish, the experiment being performed on earth with filtered (quasi-monochromatic) sunlight.

#2. A one millimeter pinhole is placed immediately in front of an incoherent source. The light passed by the pinhole is to be used in a diffraction experiment for which it is desired to illuminate a distant  $1 \text{ mm}$  aperture coherently. Given  $\lambda = 5000 \text{ \AA}$ , calculate the minimum distance between the pinhole source and the diffracting aperture.

13. Consider an incoherent source radiating with spatial intensity distribution  $I(x, y)$ .

(a) Using the Van Cittert - Zernike theorem and Parseval's theorem of Fourier analysis, show that the coherence area of the light (mean wavelength  $\lambda$ ) at distance  $z$  from the source can be expressed as

$$A_c = (\lambda z)^2 \frac{\iint_{-\infty}^{\infty} I(x, y) dx dy}{\left[ \iint_{-\infty}^{\infty} I(x, y) dx dy \right]^2}$$

(b) Show that for any incoherent source with intensity distribution describable as

$$I(x, y) = I_0 P(x, y)$$

where  $P$  takes on values of 0 or 1 only, then



$$A_c = \frac{(\lambda z)^2}{A_s}$$

where  $A_s$  is the area of the source.

#4. Show that if an incoherent quasi-monochromatic source is placed in the front focal plane of a positive lens, the complex coherence factor in the rear focal plane is given by

$$\mu(x_1, y_1) = \frac{\iint_{-\infty}^{\infty} I(x, y) e^{+j \frac{2\pi}{\lambda F} (\lambda x_1 x + \lambda y_1 y)} dx dy}{\iint_{-\infty}^{\infty} I(x, y) dx dy}$$

ps: 150  
126  
sub.

where  $I(x, y)$  represents the intensity distribution in the front focal plane,  $F$  is the focal length, and the phase factor  $\mu$  of the causal Van Cittert-Zernike theorem is identically zero.

#5. Find the complex coherence factor  $\mu_{12}$  for

(a) A monochromatic spherical wave diverging from a point on the optical axis at distance  $z$  from the plane containing  $P_1$  and  $P_2$ . (Use a paraxial approximation)

(b) A monochromatic laser beam with a plane wavefront but with intensity cross section

$$I(x, y) = I_0 \exp\left\{-\frac{x^2 + y^2}{w^2}\right\}$$

under the assumption of normal incidence on the plane containing  $P_1$  and  $P_2$ .

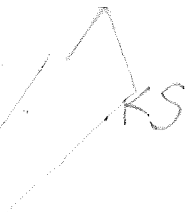
(c) An incoherent quasi-monochromatic ring source of annular shape with outer radius  $a_2$  and inner radius  $a_1$ .

## PROBLEM SET #5 (CONT.)

#6. It is desired to use a Michelson stellar interferometer to determine the brightness of two components of a twin star. The individual components are known to be uniformly bright circular disks. Their angular diameters  $\alpha$  and  $\beta$ , and their angular separation  $\delta$ , are all known. We also know that  $\delta \gg \alpha$ ,  $\delta \gg \beta$ . How could we determine their relative brightness  $I_\alpha/I_\beta$  from measurements of  $|U(\alpha, \delta)|$  with the interferometer?

Problem Set 5 due

Thursday, May 8



From the rule we have  $\lambda = 2.2 \times 10^{-3}$ , we want the visibility of fringes corresponding to  $\lambda = 1.2 \times 10^{-3}$  and the zero visibility corresponding to  $\lambda = 1.2 \times 10^{-3}$  of the  $\delta$ -fringe fraction, we have

$$\delta = 1.22 \frac{\lambda}{\theta} = \frac{1.22 \times 5500 \times 10^{-10}}{0.0093}$$

The answer to this problem is actually subjective to how "coherent" we require it to be. The possible answer is merely considering the so-called "coherence area"  $A_c$ ,  $\lambda^2$ .

$$A_c = \frac{(\delta z)^2}{\lambda^2} > \pi \left(\frac{1}{2}\right)^2, \quad A_c = A_s = \pi r^2 \text{ in this case}$$

hence we want  $z > \frac{(\pi r^2)^{1/2}}{\lambda}$

$$\Rightarrow z > \frac{\pi r^2}{\lambda} = \frac{\pi \left(\frac{1}{2} \cdot 10^{-3}\right)^2}{500 \times 10^{-10}} = 1.57 \text{ m} \approx 1.6 \text{ m}$$

The Van Cittert-Zernike theorem:

$$\begin{aligned} \mu(x, y) &= \frac{\int \int I(\xi, \eta) e^{-i2\pi(x\xi + y\eta)} d\xi d\eta}{\int \int I(\xi, \eta) d\xi d\eta} \\ &= \frac{\int \int I(\xi, \eta) d\xi d\eta}{\int \int I(\xi, \eta) d\xi d\eta} \left\{ \left( \frac{x}{\lambda z}, \frac{y}{\lambda z} \right) \right\} \end{aligned}$$

(1)

$$\begin{aligned}
 & \int_{\Delta_1} \int_{\Delta_2} \langle I_1(\mathbf{s}, \eta) I_2(\mathbf{s}', \eta') \rangle d\mathbf{s} d\eta d\mathbf{s}' d\eta' \\
 &= \langle I_1 \rangle \langle I_2 \rangle \int_{\Delta_1} \int_{\Delta_2} I_1(\mathbf{s}, \eta) I_2(\mathbf{s}', \eta') d\mathbf{s} d\eta d\mathbf{s}' d\eta' \\
 &= \langle I_1 \rangle \langle I_2 \rangle \int_{\Delta_1} \int_{\Delta_2} I_1(\mathbf{s}, \eta) d\mathbf{s} d\eta \int_{\Delta_1} \int_{\Delta_2} I_2(\mathbf{s}', \eta') d\mathbf{s}' d\eta'
 \end{aligned}$$

$$\text{or } A_c = \frac{\langle I_1 \rangle \langle I_2 \rangle \int_{\Delta_1} \int_{\Delta_2} I_1(\mathbf{s}, \eta) d\mathbf{s} d\eta}{\left[ \int_{\Delta_1} \int_{\Delta_2} I_1(\mathbf{s}, \eta) d\mathbf{s} d\eta \right]}$$

$$\text{or for } I_1(\mathbf{s}, \eta) = I_0 P(\mathbf{s}, \eta)$$

$$\int_{\Delta_1} \int_{\Delta_2} I_1(\mathbf{s}, \eta) d\mathbf{s} d\eta = \int_{\Delta_1} \int_{\Delta_2} I_0 d\mathbf{s} d\eta = A_1 I_0$$

$$\int_{\Delta_1} \int_{\Delta_2} I_1^2(\mathbf{s}, \eta) d\mathbf{s} d\eta = \int_{\Delta_1} \int_{\Delta_2} I_0^2 d\mathbf{s} d\eta = A_1 I_0^2$$

$$\therefore A_c = \frac{\langle I_1 \rangle^2 A_1 I_0^2}{(A_1 I_0^2)} = \frac{\langle I_1 \rangle^2}{A_1}$$

(2) from the boxed equation of P. 100

$$J_{\mathbf{r}}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}_1, \mathbf{r}_2) = \frac{1}{(\delta F)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \langle I_0(\mathbf{s}_1, \eta_1) I_0(\mathbf{s}_2, \eta_2) \rangle$$

$$\exp \left[ i \frac{2\pi}{\lambda} (\mathbf{r}_1 \cdot \mathbf{s}_1 + \mathbf{r}_2 \cdot \mathbf{s}_2 - \mathbf{r}_1 \cdot \mathbf{s}_2 - \mathbf{r}_2 \cdot \mathbf{s}_1) \right] d\mathbf{s}_1 d\eta_1 d\mathbf{s}_2 d\eta_2$$

For incoherent source, we can simplify the above

equation by the fact  $\langle I_0(\mathbf{s}_1, \eta_1) I_0(\mathbf{s}_2, \eta_2) \rangle = I_0^2 \delta(\mathbf{s}_1 - \mathbf{s}_2) \delta(\eta_1 - \eta_2)$

(3)

then we obtain

$$(c) \quad \frac{1}{V} \int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau = \frac{1}{V} \int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau = \frac{1}{V} \int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau$$

$$= \frac{1}{V} \int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau$$

$$\text{Hence } \psi^* \nabla^2 \psi = \nabla^2 \psi^* \psi$$

$$\int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau = \int_{\text{cell}} \nabla^2 \psi^* \psi \, d\tau = \int_{\text{cell}} \nabla^2 \psi^* \psi \, d\tau$$

$$\text{Hence we get } \frac{\int_{\text{cell}} \psi^* \nabla^2 \psi \, d\tau}{\int_{\text{cell}} \psi^* \psi \, d\tau} = \frac{\int_{\text{cell}} \nabla^2 \psi^* \psi \, d\tau}{\int_{\text{cell}} \psi^* \psi \, d\tau}$$

$$(c) \quad \psi(R) = \frac{e^{-\frac{1}{2}R}}{R}, \quad \psi'(R) = A(R) e^{-\frac{1}{2}R}$$

$$\psi''(R, R) = \langle \psi(R) \psi''(R) \rangle = \left\langle \frac{e^{-\frac{1}{2}R}}{R} \left( \frac{1}{R^3} - \frac{1}{R} \right) \right\rangle$$

$$= \frac{\int_0^\infty e^{-\frac{1}{2}R} \left( \frac{1}{R^3} - \frac{1}{R} \right) R^2 \, dR}{\int_0^\infty e^{-\frac{1}{2}R} R^2 \, dR}$$

$$\left( \because \int_0^\infty e^{-\frac{1}{2}R} R^2 \, dR = \frac{1}{\frac{1}{2}^3} \Gamma(3) \right)$$

$$\therefore \psi'' = \frac{\psi''}{\psi} = \frac{\int_0^\infty e^{-\frac{1}{2}R} (R^2 - R^4) \, dR}{\int_0^\infty e^{-\frac{1}{2}R} R^2 \, dR}$$

Since  $\psi$  is monochromatic,

$$(c) \quad \psi''(R, R) = \langle \psi(R) \psi''(R) \rangle = \psi''(R) \psi(R)$$

and  $I(x, y) = I_0 e^{-\frac{x^2+y^2}{W^2}} \Rightarrow A(x, y) = I_0 e^{-\frac{x^2+y^2}{W^2}}$

Now  $I_0(P_1, P_2) = I_0 e^{-\frac{x_1^2+y_1^2+x_2^2+y_2^2}{W^2}}$

$I_0(P_1) = I_0 e^{-\frac{x_1^2+y_1^2}{W^2}}$

$I_0(P_2) = I_0 e^{-\frac{x_2^2+y_2^2}{W^2}}$

$\therefore \frac{M_{12}}{O_{11} O_{22}} = 1$

(c) From Cihert-Zernike Theorem

$$H(x_1, y_1; x_2, y_2) = \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) e^{j\frac{2\pi}{\lambda z} (\alpha x + \beta y)} d\xi d\eta}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta}$$

here  $\alpha = \frac{\pi}{\lambda z} (P_2^2 - P_1^2)$

$I(\xi, \eta) = \begin{cases} I_0 & a_1 \leq r = (\xi^2 + \eta^2)^{1/2} \leq a_2 \\ 0 & \text{otherwise} \end{cases}$

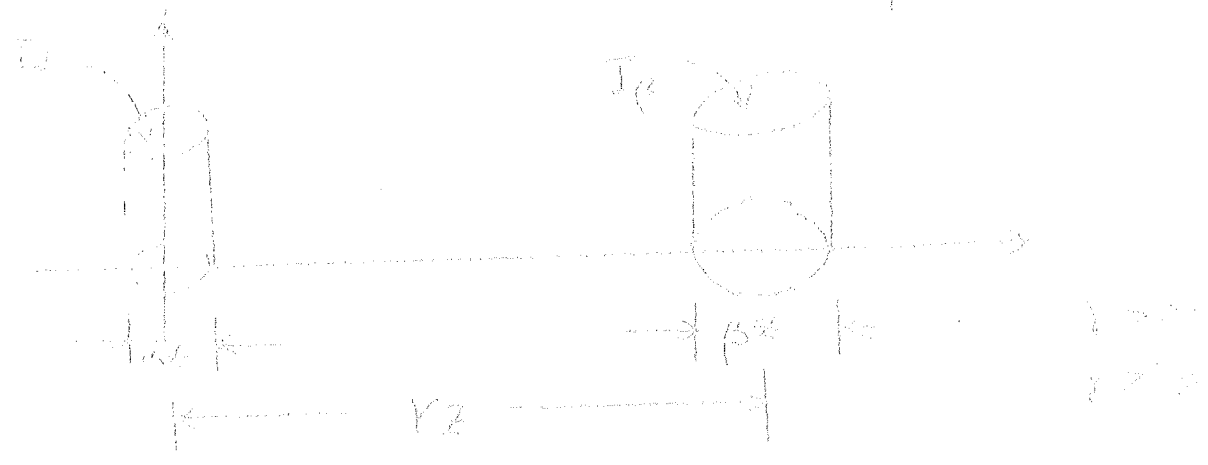
$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta = \int_{a_1}^{a_2} I_0 dS = \pi I_0 (a_2^2 - a_1^2)$

$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) e^{j\frac{2\pi}{\lambda z} (\alpha \xi + \beta \eta)} d\xi d\eta = \left[ \int_{a_1}^{a_2} \int_{-\infty}^{\infty} \right]$   
 $= I_0 \int_{a_1}^{a_2} \left[ \int_{-\infty}^{\infty} e^{j\frac{2\pi}{\lambda z} (\alpha \xi + \beta \eta)} d\xi d\eta \right] r dr$

$= I_0 \left[ \frac{a_2 \int_0^{2\pi} e^{j\frac{2\pi}{\lambda z} (\alpha r \cos\theta + \beta r \sin\theta)} d\theta}{a_2 \sqrt{V_x^2 + V_y^2}} - \frac{a_1 \int_0^{2\pi} e^{j\frac{2\pi}{\lambda z} (\alpha r \cos\theta + \beta r \sin\theta)} d\theta}{a_1 \sqrt{V_x^2 + V_y^2}} \right]$

(c) 
$$H_0(z) = \frac{2\alpha^2}{(\alpha^2 - \beta^2)} \left[ \frac{J_0(\alpha z) J_0(\beta z) - J_1(\alpha z) J_1(\beta z)}{\alpha^2 \beta^2} \right] + \frac{2\alpha\beta}{\alpha^2 - \beta^2} \left[ \frac{J_1(\alpha z) J_0(\beta z) - J_0(\alpha z) J_1(\beta z)}{\alpha\beta} \right]$$

(d) 
$$H_0(z) = T_1 \cos\left(\frac{2\sqrt{\alpha^2 - \beta^2} z}{\alpha\beta}\right) + T_0 \cos\left(\frac{2\sqrt{\alpha^2 + \beta^2} z}{\alpha\beta}\right)$$



(e) Using results, pp 136 ~ 140 of the notes

$$H_0(z) = \frac{j\omega \left[ \alpha z T_1 J_1(\alpha z) + e^{j2\sqrt{\alpha^2 - \beta^2} z} \frac{2\beta z T_0 J_1(\alpha z)}{2\beta z} \right]}{\pi \rho z^2 (\alpha^2 T_1 + \beta^2 T_0)}$$

where  $\rho = \sqrt{V_0^2 + V_1^2} = \sqrt{\left(\frac{\alpha x}{\beta z}\right)^2 + \left(\frac{\alpha z}{\beta z}\right)^2}$

One of the Many possible solutions:

$\frac{L}{\beta}$  can be determined by setting the phase of the interferometer so that  $\beta z = \left(\frac{L}{\beta z}\right) \frac{\alpha z}{\beta z}$

(f)



(c) and maximize  $|H_n(s_0)|$  at that spacing, the form

$$|H_n(s_0)| = \left| \frac{3 J_1 \left( \pi \frac{\beta}{\lambda} (1.22 \lambda) \right)}{1.22 \pi \frac{\beta}{\lambda} \left( \frac{\lambda^2}{\beta^2} \frac{E_d}{I_p} \theta \right)} \right|$$

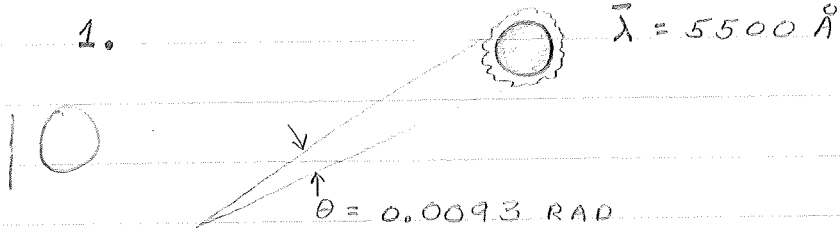
$$\frac{I_c}{I_p} = \left( \frac{\beta}{\lambda} \right)^2 \left\{ \frac{|2 J_1 \left( \pi \frac{\beta}{\lambda} (1.22 \lambda) \right)|}{1.22 \pi \frac{\beta}{\lambda} |H_n \left( \frac{1.22 \lambda}{\lambda} \right)|} - 1 \right\}$$

This can be checked by measuring  $|H_n|$

(c) for a spacing  $s = 1.22 \frac{\lambda}{\beta}$  and solving a similar equation with the variables  $\lambda$  and  $\beta$  reversed. (May yield second solution.)

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(c)



MODEL THE SUN AS A CIRCLE. FOR YOUNG'S EXPERIMENT, THE SPACING  $S$  FOR WHICH THE FRINGES WILL VANISH IS (FROM pg. 139):

$$S_0 = 1.22 \frac{\lambda}{\theta}$$
$$= 1.22 \frac{5500 \text{ \AA}}{0.0093} = 7.22 \times 10^5 \text{ \AA} = 7.22 \times 10^{-5} \text{ m} \checkmark$$
$$= 7.22 \times 10^{-2} \text{ mm}$$
$$= 0.0722 \text{ mm}$$

2.  $\lambda = 5000 \text{ \AA}$



$$A_s = (.5)^2 \pi \text{ mm}^2$$

$$A_c = (.5)^2 \pi \text{ mm}^2 \Rightarrow \text{REQUIRED COHERENCE AREA @ } z$$

$$A_c A_s = \left( \frac{\lambda z}{\sqrt{A_c A_s}} \right)^2 \quad \leftarrow \text{Pg. 134}$$

$$z = \frac{\sqrt{A_c A_s}}{\lambda} = \frac{(.25) \pi \text{ mm}^2}{5000 \text{ \AA}} \times \frac{1 \text{ \AA}}{10^{-10} \text{ m}} \times \frac{.1 \text{ m}}{10^3 \text{ mm}} = 15.71 \text{ mm} = 1.57 \text{ m} \quad \checkmark$$

3a.  $A_c \stackrel{\Delta}{=} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |u(\Delta x, \Delta y)|^2 d\Delta x d\Delta y$  (Pg. 134)

10  $= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left| \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) e^{j \frac{2\pi}{\lambda z} (\Delta x \xi + \Delta y \eta)} d\xi d\eta}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta} \right|^2 d\Delta x d\Delta y$  (Pg. 131)

LET  $f_x = \frac{\Delta x}{\lambda z}$  ;  $f_y = \frac{\Delta y}{\lambda z}$

$$\Rightarrow A_c = \frac{(\bar{\lambda} z)^2}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left| \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) e^{j 2\pi (\xi f_x + \eta f_y)} d\xi d\eta \right|^2 df_x df_y$$

$$= \frac{(\bar{\lambda} z)^2}{\left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta \right]^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left| \mathcal{F}\{I(\xi, \eta)\} \right|^2 df_x df_y$$

A FORM OF PARCEVAL'S THEM. IS

$$\int_{-\infty}^{\infty} |f(x)|^2 dx = \int_{-\infty}^{\infty} \left| \mathcal{F}\{f(x)\} \right|^2 df_x$$

THUS

$$A_c = (\bar{\lambda} z)^2 \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I^2(\xi, \eta) d\xi d\eta}{\left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta \right]^2}$$

b.  $I(\xi, \eta) = I_0 P(\xi, \eta)$

$P(\xi, \eta)$  TAKES ON VALUES OF ONLY 1  $\neq 0$

$$\Rightarrow P^2(\xi, \eta) = P(\xi, \eta)$$

$$\Rightarrow A_c = (\bar{\lambda} z)^2 \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I_0^2 P(\xi, \eta) d\xi d\eta}{\left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I_0 P(\xi, \eta) d\xi d\eta \right]^2}$$

$$= \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\xi, \eta) d\xi d\eta}{\left[ \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\xi, \eta) d\xi d\eta \right]^2}$$

BUT  $A_s = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\xi, \eta) d\xi d\eta$  IS THE TOTAL AREA OF THE SOURCE (ie AREA OVER WHICH  $P(\xi, \eta) = 1$ )

THUS  $A_c = \frac{(\bar{\lambda} z)^2}{A_s}$

4. UNDER QUASI-MONOCROMATIC CONDITIONS, THE MUTUAL INTENSITY IN THE REAR FOCAL PLANE IS GIVEN

IN SEC. 3.1.2 AS (EMPLOYING PARAXIAL APPROX):

$$J_f(x_1, y_1; x_2, y_2) = \frac{1}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int_{-\infty}^{\infty} J_0(\xi_1, \eta_1; \xi_2, \eta_2) e^{j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2)} d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

WE MAY MODEL THE SOURCE AS IN SEC. 2.4.2:

$$J_0(\xi_1, \eta_1; \xi_2, \eta_2) = K I(\xi_1, \eta_1) \delta(\xi_1 - \xi_2; \eta_1 - \eta_2)$$

SUBSTITUTING GIVES

$$\begin{aligned} J_f(x_1, y_1; x_2, y_2) &= \frac{K}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi_1, \eta_1) \delta(\xi_1 - \xi_2; \eta_1 - \eta_2) \\ &\quad \exp^{j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2)} d\xi_1 d\eta_1 d\xi_2 d\eta_2 \\ &= \frac{K}{(\lambda F)^2} \iint_{-\infty}^{\infty} I(\xi_1, \eta_1) \exp^{j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_1 - y_2 \eta_1)} d\xi_1 d\eta_1 \\ &= \frac{K}{(\lambda F)^2} \iint_{-\infty}^{\infty} I(\xi, \eta) e^{j \frac{2\pi}{\lambda F} (\Delta X \xi + \Delta Y \eta)} d\xi d\eta \end{aligned}$$

WHERE  $\Delta X = x_2 - x_1$  AND  $\Delta Y = y_2 - y_1$

IT FOLLOWS THAT

$$J_f(x_i, y_i; x_i, y_i) = \frac{K}{(\lambda F)^2} \iint_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta \quad ; \quad i=1, 2$$

THUS

$$\begin{aligned} \mu(\Delta X, \Delta Y) &= \frac{J_f(x_1, y_1; x_2, y_2)}{[J_f(x_1, y_1; x_1, y_1) J_f(x_2, y_2; x_2, y_2)]^{1/2}} \\ &= \frac{\iint_{-\infty}^{\infty} I(\xi, \eta) e^{j \frac{2\pi}{\lambda F} (\Delta X \xi + \Delta Y \eta)} d\xi d\eta}{\iint_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta} \end{aligned}$$

5a. FOR MONOCHROMATIC LIGHT (P. 5):

$$U(P_0, v) = \frac{1}{j\lambda} \int_S U(P_1, v) \frac{1}{z} e^{j2\pi r/\lambda} X(\theta) ds$$

THE POINT SOURCE GIVES (w.  $X(\theta) = 1$ )

$$U(P_0, v) = \frac{S}{j\lambda r} e^{j2\pi r/\lambda}$$

$$\left[ \text{OR, SINCE } U(P_0, t) = U(P_0, v) e^{-j2\pi vt} \right. \\ \left. U(P, t) = \frac{S}{j\lambda r} e^{-j2\pi(vt - r/\lambda)} \right]$$

EMPLOY THE PARAXIAL APPROXIMATION:

$$r \approx z \quad r \approx z + \frac{x^2 + y^2}{2z}$$

$$\Rightarrow U(P_0, v) = \frac{S}{j\lambda z} e^{j\frac{2\pi}{\lambda} \left( z + \frac{x^2 + y^2}{2z} \right)} = \frac{S}{j\lambda z} e^{-j\frac{2\pi}{\lambda} \left( z + \frac{\rho^2}{2z} \right)}$$

NOW

$$J_{12} = \langle U(P_1, t) U(P_2, t) \rangle$$

$$= U(P_1, v) U(P_2, v)$$

$$= \left( \frac{S}{\lambda z} \right)^2 e^{j\frac{\pi}{\lambda z} (\rho_1^2 - \rho_2^2)}$$

$$\Rightarrow J_{11} = \left( \frac{I_0}{\lambda z} \right)^2 = J_{22} \Rightarrow \mu_{12} = \frac{J_{12}}{\sqrt{J_{11} J_{22}}} = e^{j\frac{\pi}{\lambda z} (\rho_1^2 - \rho_2^2)}$$

5b. AGAIN ( $X_1 = X_2 = 1, r_1 = r_2 = z$ )

$$J_{12} = \left(\frac{I_0}{\lambda z}\right)^2 \iiint \iiint J_{12}' e^{-j\frac{2\pi}{\lambda}(r_2 - r_1)} ds_1 ds_2$$

$$J_{12}' = \langle u_1(t) u_2(t) \rangle = \frac{I_0}{\sqrt{x^2 + y^2/2w}} e^{-j(2\pi v_0 t - \phi)}$$

SINCE  $u_i(t) = \sqrt{I_0} e$

APPLY PARAX:

$$r_2 - r_1 = (\rho_1^2 - \rho_2^2 + 2x\Delta\xi + 2y\Delta\eta) / 2z$$

THEN

$$J_{12} = \left(\frac{I_0}{\lambda z}\right)^2 \iiint \iiint e^{-(\xi_1^2 + \eta_1^2 + \xi_2^2 + \eta_2^2) / 2w^2} e^{-j\frac{\pi}{\lambda z}(\rho_1^2 - \rho_2^2 + 2\Delta\xi x + 2\Delta\eta y)} ds_1 ds_2$$

BUT  $\Delta\xi = \xi_2 - \xi_1$ ;  $\Delta\eta = \eta_2 - \eta_1$

$$J_{12} = \left(\frac{I_0}{\lambda z}\right)^2 e^{-j\frac{\pi}{\lambda z}(\rho_1^2 - \rho_2^2)}$$

$$\iint e^{-(\xi_1^2 + \eta_1^2) / 2w^2} e^{+j\frac{2\pi}{\lambda z}(\xi_1 x + \eta_1 y)} d\xi_1 d\eta_1$$

$$= \left(\frac{I_0}{\lambda z}\right)^2 e^{-j\frac{\pi}{\lambda z}(\rho_1^2 - \rho_2^2)} \left[ \iint e^{-(\xi_2^2 + \eta_2^2) / 2w^2} e^{-j\frac{2\pi}{\lambda z}(\xi_2 y + \eta_2 y)} d\xi_2 d\eta_2 \right]^2$$

BUT

$$\int_{-\infty}^{\infty} e^{-\xi^2 / 2w^2} \cos \frac{2\pi}{\lambda z} \xi x d\xi = \sqrt{2\pi} w e^{-2(\pi w x / \lambda z)^2}$$

$$\Rightarrow J_{12} = \left(\frac{I_0}{\lambda z}\right)^2 e^{-j\frac{\pi}{\lambda z}(\rho_1^2 - \rho_2^2)} (2\pi)^2 w^2 e^{-\frac{4\pi^2}{(\lambda z)^2} (x^2 + y^2) w^2}$$

$$J_{ii} = \left(\frac{I_0}{\lambda z}\right)^2 \iiint \iiint e^{-(\xi_1^2 + \eta_1^2) / 2w^2} ds_1 ds_2 = (2\pi)^2 w \left(\frac{I_0}{\lambda z}\right)^2$$

$$\Rightarrow \mu_{12} = e^{-j\frac{\pi}{\lambda z}(\rho_1^2 - \rho_2^2)} e^{-\left(\frac{2\pi w}{\lambda z}\right)^2 (x^2 + y^2)}$$

Note soln.

$$c. I(\xi) = I_0 \left[ \text{circ} \frac{\sqrt{\xi^2 + \eta^2}}{a_2} - \text{circ} \frac{\sqrt{\xi^2 + \eta^2}}{a_1} \right]$$

$$\underline{\mu}_{12} = \frac{e^{-j\psi} \mathcal{F}_1 [ I(\xi, \eta) ] \Big|_{\substack{v_x = \Delta x / \lambda z \\ v_y = \Delta y / \lambda z}}}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta}$$

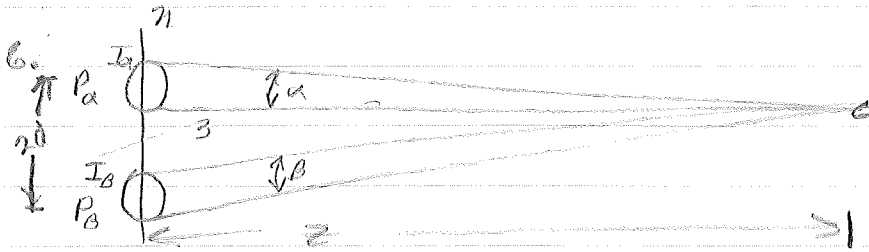
$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta = I_0 \pi (a_2^2 - a_1^2)$$

$$\mathcal{F}_1 [ I(\xi, \eta) ] \Big|_{\substack{v_x = \Delta x / \lambda z \\ v_y = \Delta y / \lambda z}} = I_0 a_2 \frac{J_1 \left( \frac{2\pi a_2}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2} \right)}{\lambda z \sqrt{\Delta x^2 + \Delta y^2}} - I_0 a_1 \frac{J_1 \left( \frac{2\pi a_1}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2} \right)}{\lambda z \sqrt{\Delta x^2 + \Delta y^2}}$$

$$\Rightarrow \underline{\mu}_{12} = e^{-j\psi} \left[ \frac{a_2 J_1 \left( \frac{2\pi a_2}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2} \right) - a_1 J_1 \left( \frac{2\pi a_1}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2} \right)}{\lambda z (a_2^2 - a_1^2) \sqrt{\Delta x^2 + \Delta y^2}} \right]$$



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WE MAY APPROXIMATE THE STARS RADII BY:

$$a \approx \frac{\alpha z}{2} \quad b \approx \frac{\beta z}{2} \quad (1)$$

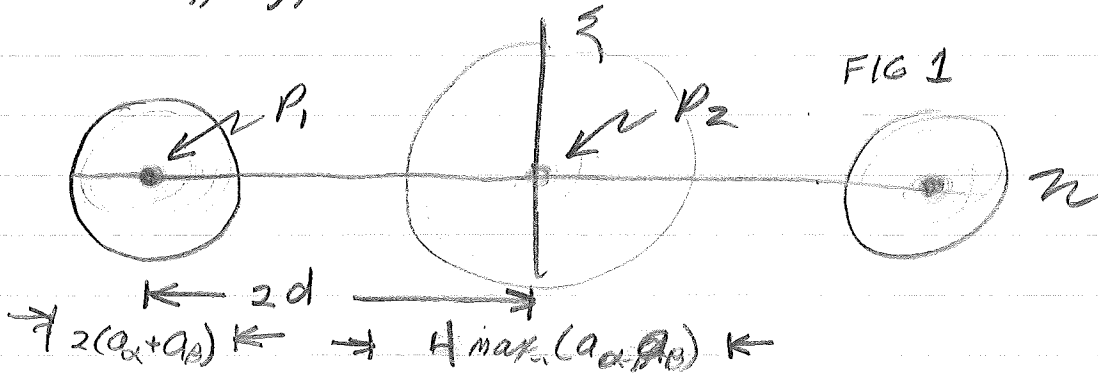
THE SEPARATION,  $2d$ , BETWEEN THE STARS, IS

$$d = \frac{\delta z}{2} \quad (2)$$

THUS, CHOOSING APPROPRIATE COORDINATE

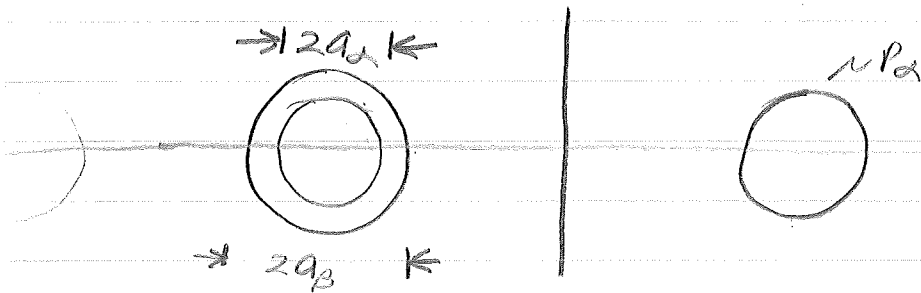
$$I(\xi, z) = I_A \text{circ} \frac{\sqrt{\xi^2 + (z-d)^2}}{a} + I_B \text{circ} \frac{\sqrt{\xi^2 + (z+d)^2}}{b}$$

SUPPOSE WE USE INTERFEROMETER TO MEASURE  $|\mu_{1,2}(s)|$  AND PERFORM A FOURIER XFORM ON  $|\mu_{1,2}(s)|^2$  WHICH GIVES THE AUTOCORRELATION OF  $I(\xi, z)$ , WHICH LOOKS LIKE: (SEE PG. 184)



SINCE  $\delta \gg \alpha, \beta$ , THESE 3 DISTRIBUTIONS WILL NOT OVERLAP.

VIEWING THE AUTOCORRELATION AS "SHIFTING",  $P_1$  IS FORMED VIA



THUS, THE VALUE,  $C_{P_1}$ , AT POINT  $P_1$  IN FIG 1 IS

$$C_{P_1}^2 = I_\alpha I_\beta \pi (\min(a_\alpha, a_\beta))^2 \quad (3)$$

AT  $P_2$ , BOTH CIRCLES OVERLAP COMPLETELY. THUS

$$C_{P_2}^2 = \pi (I_\alpha^2 a_\alpha^2 + I_\beta^2 a_\beta^2) \quad (4)$$

WE MAY MEASURE  $C_{P_1}$  AND  $C_{P_2}$  DIRECTLY. THUS Eq. 3 AND Eq. 4 (WITH Eqs 1 & 2) CONSTITUTE TWO EQUATIONS WITH TWO UNKNOWN, FROM WHICH WE MAY COMPUTE  $I_\alpha$  &  $I_\beta$

(NOTE: ACTUALLY, THE  $\sigma^2 [ |u_{12}|^2 ]$  IS PROPORTIONAL TO A SHIFTED VERSION OF  $I * I$  (ALSO SCALED). THE PROPORTIONALITY CONSTANT, THOUGH, WILL BE ABSORBED IN THE  $C_p$ 'S)

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SPRING 1975

## PROBLEM SET #5

DUE FRI 4/9

#1. Starting with the equation for the spectrum of image intensity for a partially coherent imaging system, as given on page 172 of the notes, show that:

(a) For incoherent object illumination, i.e.  $\underline{J}_0(\Delta x, \Delta y) = K I_0 \delta(\Delta x, \Delta y)$ ,

$$\frac{I(v_x, v_y)}{I(0,0)} = \frac{T(v_x, v_y)}{T(0,0)} \underline{H}(v_x, v_y)$$

where

$$T(v_x, v_y) \triangleq \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 e^{-j2\pi(v_x \xi + v_y \eta)} d\xi d\eta$$

and

$$\underline{H}(v_x, v_y) = \frac{\iint_{-\infty}^{\infty} P(\alpha, \beta) P^*(\alpha - \lambda v_x, \beta - \lambda v_y) d\alpha d\beta}{\iint_{-\infty}^{\infty} |P(\alpha, \beta)|^2 d\alpha d\beta}$$

(b) For fully coherent object illumination  
i.e.  $I_o(x_o, y_o) = I_o$ ,

$$I(x_1, y_1) = \frac{I_o}{(\Delta F)^2} \iint_{-\infty}^{\infty} \underline{S}\left(\frac{x_1}{\Delta F}, \frac{y_1}{\Delta F}\right) \underline{P}(x_1, y_1)$$

$$= \underline{S}\left(\frac{x_1 - \Delta F u_x}{\Delta F}, \frac{y_1 - \Delta F u_y}{\Delta F}\right) \underline{P}(x_1 - \Delta F u_x, y_1 - \Delta F u_y) dx_1 dy_1$$

where

$$\underline{S}(u_x, u_y) \triangleq \iint_{-\infty}^{\infty} \underline{t}_o(x, y) e^{-j\pi(u_x x + u_y y)} dx dy$$

An incoherent imaging system contains a <sup>quasi</sup> random phase screen in its pupil. The normalized autocorrelation function of its random phase is

$$\overline{T_p(x_1, y_1)} = \exp\left[-\frac{\sigma^2}{\sigma_0^2}\right] \quad 205$$

$$\text{where } \sigma = \sqrt{\sigma_x^2 + \sigma_y^2}$$

(a) Using the approximation  $\ln(1+x) \approx x$  for  $x \ll 1$ , show that for large  $\overline{\Phi^2}$  the factor  $\overline{\mu}_t$  drops to  $1/e$  at frequency

$$\nu_0 \approx \frac{h_0}{\lambda F \sqrt{\overline{\Phi^2}}}$$

(b) Assuming  $h_0 \approx 2 \text{ mm}$ , find the spatial frequency at which the factor  $\overline{\mu}_t$  drops to  $1/e$  for the specific numbers  $\lambda = 0.5 \times 10^{-4} \text{ cm}$ ,  $F = 10 \text{ cm}$  and for phase variances  $\overline{\Phi^2}$  of 10, 5 and 1 (radian)<sup>2</sup>.

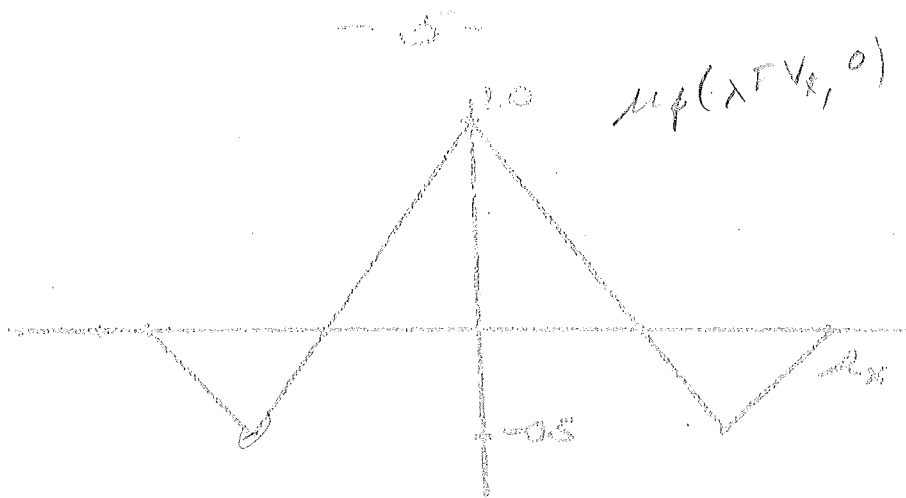
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#3. A purely absorbing random screen is placed in the pupil of an incoherent imaging system. Prove that at all frequencies corresponding to spacings that are much larger than the correlation

distance of the screen, the MTF (modulus of the OTF) is never smaller than  $|t_0 \underline{H}_0|$ , where  $t_0$  is the mean amplitude transmittance of the screen and  $\underline{H}_0$  is the OTF without the screen present. Is this conclusion necessarily valid for lower spatial frequencies?

(Hint: Remember the constraint  $t \leq 1$ ).

#4. <sup>205</sup> A gaussian, stationary random phase screen is placed in the pupil of an incoherent imaging system. The phase variance is  $1$  (radian)<sup>2</sup> and the normalized autocorrelation function of phase is sketched below for a cut along the  $x$  axis.



Considering only spatial frequencies in the  $v_x$  direction, what is the minimum (over  $v_x$ ) value of the ensemble average transfer function  $\bar{\mu}_e(v_x, 0)$  due to the screen?

## Problem Set #6 (cont.)

#5. The angular spatial frequency  $\Omega$  at which the long-exposure OTF falls to a value  $1/e$  is directly proportional to  $1/\sqrt{\lambda}$ . If  $\Omega_{1/e}$  is known to be 305 cycles per milliradian at  $\bar{\lambda} = 5 \times 10^{-7} \text{ m}$ , predict  $\Omega_{1/e}$  for  $\bar{\lambda} = 0.488 \times 10^{-6} \text{ m}$  (argon laser),  $\bar{\lambda} = 0.694 \times 10^{-6} \text{ m}$  (ruby laser),  $\bar{\lambda} = 1.06 \times 10^{-6} \text{ m}$  (Nd-glass laser), and  $\bar{\lambda} = 10.6 \times 10^{-6} \text{ m}$  (CO<sub>2</sub> laser).

#6. An imaging system operating with  $\bar{\lambda} = 5 \times 10^{-7} \text{ m}$  must image through an adjacent region of turbulence (thickness  $z$ ) which is homogeneous and isotropic and characterized by a strength parameter  $C^2_\lambda = 15^{-15} \text{ m}^{-3/5}$ . Calculate the



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BOTTOM

angular spatial frequency  $2/\lambda$   
at which  $\bar{I}_0$  falls to  $1/e$  for  
 $z = 100\text{ m}$ ,  $z = 1000\text{ m}$ ,  $z = 10,000\text{ m}$ .

\*7. A set of experimental measurements performed using an astronomical telescope and a star shows that  $\bar{I}_0(\bar{r}_0)$  falls to  $1/e$  at a spatial frequency of 0.5 cycles per arc-second. The measurements were made with light of mean wavelength  $\bar{\lambda} = 5 \times 10^{-7}\text{ m}$ . Find the value of  $\int_0^\infty C_N^2(z) dz$  looking up through the atmosphere. If we imagine the atmosphere to be a uniformly turbulent medium with  $C_N^2 = 10^{-15}\text{ m}^{-2/3}$ , what would the effective thickness or height of the turbulent atmosphere be?

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2001  
10<sup>-13</sup>

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10<sup>5 m</sup>

#8

Experiments show that the maximum angular resolution achievable under average atmospheric seeing conditions at  $\lambda = 5 \times 10^{-7} \text{ m}$  is about 0.5 cycles per arc second (the frequency).

(a) Using the results of the Kolmogorov theory, predict the maximum resolution (cycles per arc second) achievable at  $\lambda = 10^{-1} \text{ m}$  (microwaves).

(b) From the result of (a), estimate the maximum spacing (in meters) that can be used by a microwave interferometer without losing the phase of the complex coherence factor due to atmospheric turbulence effects.

(c) In fact, atmospheric effects do not in practice pose a significant obstacle at

microwave frequencies. List all the reasons you can think of why our predictions in parts (a) and (b) are incorrect.

Marks

HW #5 Solution

(1) a) For incoherent illumination:

$$J_0(x, y) = k I_0 \delta(x, y)$$

From what given in P. 170.

$$D(u_x, u_y) = \iint_{-\infty}^{\infty} J_p(x, y; x - \lambda F u_x, y - \lambda F u_y) dx dy$$

$$= \iint_{-\infty}^{\infty} P(x, y) P^*(x - \lambda F u_x, y - \lambda F u_y) J_p(x, y; x - \lambda F u_x, y - \lambda F u_y) dx dy$$

$$= \iint_{-\infty}^{\infty} P(x, y) P^*(x - \lambda F u_x, y - \lambda F u_y) \frac{k_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} J_0(\xi, \eta) \cdot$$

$$T(\xi, \eta; \lambda F u_x, \lambda F u_y) \cdot \exp\left[-\frac{j\pi}{\lambda F} [(x - \lambda F u_x)\xi +$$

$$+ (y - \lambda F u_y)\eta] \right] d\xi d\eta dx dy$$

$$= \iint_{-\infty}^{\infty} P(x, y) P^*(x - \lambda F u_x, y - \lambda F u_y) \frac{k_0}{(\lambda F)^2} k I_0 T(0, 0; \lambda F u_x, \lambda F u_y) dx dy$$

$$D(0, 0) = \iint_{-\infty}^{\infty} P(x, y) P^*(x, y) \frac{k_0}{(\lambda F)^2} k I_0 T(0, 0; 0, 0) dx dy$$

and, on fact,  $T(u_x, u_y) = k_0^{-1} T(0, 0; u_x, u_y)$

$$T(0, 0) = k_0^{-1} T(0, 0; 0, 0)$$

Thus we get

$$\frac{D(u_x, u_y)}{D(0, 0)} = \frac{T(u_x, u_y)}{T(0, 0)} \frac{\iint_{-\infty}^{\infty} P(x, y) P^*(x - \lambda F u_x, y - \lambda F u_y) dx dy}{\iint_{-\infty}^{\infty} |P(x, y)|^2 dx dy} = \frac{T(u_x, u_y)}{T(0, 0)}$$

(b) For fully coherent case,

$$J_0(\Delta x, \Delta y) = I$$

$$\text{Then } J_p(x_1, y_1; x_2, y_2) = \frac{K_0 I_0}{(\lambda F)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} T(\Delta \xi, \Delta \eta; \Delta x, \Delta y) \exp\left\{j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)\right\} d\xi d\eta$$

$$= \frac{I_0}{(\lambda F)^2} \iiint_{-\infty}^{\infty} T_0(\xi_1, \eta_1) T_0^*(\xi_1 - \Delta \xi, \eta_1 - \Delta \eta) \exp\left\{j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1)\right\} d\xi_1 d\eta_1$$

$$\exp\left\{j \frac{2\pi}{\lambda F} [x_2 (\xi_1 - \Delta \xi) + y_2 (\eta_1 - \Delta \eta)]\right\} d\xi_1 d\eta_1 d(\xi_1 - \Delta \xi) d(\eta_1 - \Delta \eta)$$

$$= \frac{I_0}{(\lambda F)^2} \int \left(\frac{x_1}{\lambda F}, \frac{y_1}{\lambda F}\right) \int^* \left(\frac{x_2}{\lambda F}, \frac{y_2}{\lambda F}\right)$$

$$\text{Now } \bar{J}(x_1, y_1) = \iint_{-\infty}^{\infty} J_p(x_1, y_1; x_1 - \lambda F \xi, y_1 - \lambda F \eta) dx_1 dy_1$$

$$= \frac{I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} P(x_1, y_1) \int \left(\frac{x_1}{\lambda F}, \frac{y_1}{\lambda F}\right) \int^* (x_1 - \lambda F \xi, y_1 - \lambda F \eta) \cdot \int^* \left(\frac{x_1 - \lambda F \xi}{\lambda F}, \frac{y_1 - \lambda F \eta}{\lambda F}\right) dx_1 dy_1$$

(2) Random phase screen,  $\bar{M}_\phi(\xi_x, \xi_y) = \exp\left[-\frac{\xi^2}{\xi_0^2}\right]$

$$\bar{M}_t = \exp\left[-\bar{\phi}^2 (1 - \bar{M}_\phi)\right]$$

(a) The factor  $\bar{M}_t$  drops to  $1/e$  when

$$\bar{\phi}^2 (1 - \bar{M}_\phi) = \bar{\phi}^2 (1 - \exp(-\frac{\xi^2}{\xi_0^2})) = 1$$

$$\exp\left[-\frac{1}{\bar{\phi}^2}\right] = 1 - \frac{1}{\bar{\phi}^2}$$

$$s^2 = s_0^2 \ln\left(\frac{\bar{\phi}^2}{\bar{\phi}^2 - 1}\right) = s_0^2 \ln\left(1 + \frac{1}{\bar{\phi}^2 - 1}\right) \approx s_0^2 \frac{1}{\bar{\phi}^2 - 1} = \frac{s_0^2}{\bar{\phi}^2}$$

for  $\bar{\phi}^2$  large.

hence 
$$\nu_0 = \frac{s}{\lambda F} = \frac{s_0}{\lambda F \sqrt{\bar{\phi}^2}}$$

(b) For  $\bar{\phi}^2$  large

since  $s_0 = 2 \text{ mm}$ ,  $\bar{\lambda} = 5.0 \times 10^{-6} \text{ mm}$ ,  $f = 1.0 \times 10^3 \text{ mm}$

$$\nu_0 = \frac{40}{\sqrt{\bar{\phi}^2}} \text{ cycles/mm}$$

$$\bar{\phi}^2 = 10 (\text{rad})^2, \nu_0 = 12.6 \text{ cycles/mm} \left\{ \begin{array}{l} \text{we can use the} \\ \text{above approx.} \end{array} \right.$$

$$\bar{\phi}^2 = 5 (\text{rad})^2, \nu_0 = 17.9 \text{ cycles/mm}$$

For  $\bar{\phi}^2 = 1 \text{ rad}^2$ , this approx. is no longer valid,

in fact,  $\nu_0 \rightarrow \infty$  for  $\bar{\phi}^2 = 1 \text{ rad}^2$ . ( $\therefore$   $\star$ )

(3) Random absorbing screen:  $t_s(x, y) = t_0 + r(x, y)$

For spacings much larger than the correlation distance of the screen,  $\bar{r}_r \rightarrow 0$

and we have 
$$\bar{H} = \frac{t_0^2}{t_0^2 + \bar{r}_s^2} H_0$$

To minimize the factor  $\frac{t_0^2}{t_0^2 + \bar{r}_s^2}$ , we maximize  $\bar{r}_s^2$

We know  $\bar{r} = 0$ , and  $0 \leq t_0 \leq 1 \Rightarrow -t_0 \leq r \leq 1-t_0$

(C) hence we are trying to maximize  $\int_{-t_0}^{1-t_0} r^2 p(r) dr$

and it is maximized when the probability mass is concentrated at the two extreme points on the interval

$$\therefore P(-t_0) + P(1-t_0) = 1$$

$$-t_0 P(-t_0) + (1-t_0) P(1-t_0) = 0 \quad (\because \text{zero mean})$$

$$\text{solving } P(-t_0) = (1-t_0), \quad P(1-t_0) = t_0$$

$$\therefore \overline{r^2}_{\max} = t_0^2 (1-t_0) + (1-t_0)^2 t_0 = t_0(1-t_0)$$

$$\bar{H} \geq \frac{t_0^2}{t_0^2 + t_0(1-t_0)} H_0 = t_0 H_0 \quad \text{Q.E.D.}$$

Since  $\mu_r \neq 0$ , in general, for low freq case

and it might be negative valued, hence the above statement is not valid for

low freq case.

$$(4) \quad \bar{M}_f(x_k, 0) = \exp \left\{ -\sigma_p^2 [1 - \bar{M}_p(x_k, 0)] \right\}$$

$$\sigma_p^2 = 1, \quad \bar{M}_p|_{\min} = -\frac{1}{2}$$

$$\therefore \bar{M}_f(x_k, 0)|_{\min} = e^{-3/2} = 0.223 \quad *$$





$$1000 \text{ m}$$

$$7.66 \times 10^4$$

$$10000 \text{ m}$$

$$1.92 \times 10^4$$

$$(7) \bar{A}_r(\lambda, \Omega) = \exp \left\{ -\frac{1}{2} \cdot 2.91 \bar{k}^2 \int_0^z C_N^2(z) dz (2\pi\lambda)^{5/3} \right\}$$

falls to  $1/e$  when

$$\frac{2.91}{2} (2\pi)^2 \frac{\Omega_1^{5/3}}{\lambda^{1/3}} \int_0^z C_N^2(z) dz = 1$$

$$\lambda = 5 \times 10^{-7} \text{ m}$$

$$\Omega_1 = 0.5 \frac{\text{cycles}}{\text{arc sec}} \frac{3600 \text{ arc sec}}{1 \text{ deg}} \frac{360 \text{ deg}}{2\pi \text{ rad}} = 1.03 \times 10^5 \frac{\text{cycles}}{\text{rad}}$$

$$\Rightarrow \int_0^z C_N^2(z) dz = \frac{2}{2.91 (2\pi)^2} \frac{(5 \times 10^{-7} \text{ m})^{5/3}}{(1.03 \times 10^5)^{5/3}} = 6.09 \times 10^{-13} \text{ m}^{5/3}$$

for uniformly turbulent medium  $C_N^2 = 10^{-15} \text{ m}^{-2/3}$

$$\Rightarrow z_{\text{eff}} = 609 \text{ m}$$

$$(8) (a) \lambda = 5 \times 10^{-7} \text{ m (visible)} \quad \Omega_{1/e} = 0.5 \frac{\text{cycles}}{\text{arc-sec}}; \quad \Omega_{\text{rad}} = 1.03 \times 10^5$$

hence for  $\lambda = 0.1 \text{ m (microwave)}$

$$\Omega_{1/e} = 0.5 \left( \frac{0.1}{5 \times 10^{-7}} \right)^{3/5} = 5.74 \frac{\text{cycles}}{\text{arc-sec}}$$

$$(b) S_{\text{max}} = \lambda F U = \lambda \Omega_{1/e}$$

$$= (0.1 \text{ m}) \left( 5.74 \frac{\text{cycles}}{\text{arc-sec}} \right) \left( 2.06 \times 10^3 \frac{\text{arc-sec}}{\text{rad}} \right) = 1.18 \times 10^3$$

(7) The result obtained in part (a) is

(8) a gross underestimate since it is based on a theory which neglected saturation of the phase structure function.

(i.e., in this case, Rytov approximation

is no longer good.)

(9)

(10)

09 APR 1976

(1-1)

10. THE LOCATION ON Pg. 170 CONT.

$$\rho(x_2, y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} J_p(x_1, y_1; x_2, y_2) dx_1 dy_1 \quad (1)$$

WHERE

$$J_p(x_1, y_1; x_2, y_2) = \frac{\partial x}{\partial \lambda} \frac{\partial y}{\partial \lambda} \quad (2)$$

WE ARE GIVEN THAT

$$J_0(\Delta x, \Delta y) = K I_0 \delta(\Delta x, \Delta y) \quad (3)$$

FROM Pg. 170,

$$J_p(x_1, y_1; x_2, y_2) = \frac{K_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} J_0(\Delta \xi, \Delta \eta) T(\Delta \xi, \Delta \eta; \Delta x, \Delta y) e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \quad (3b)$$

SUBSTITUTING (3):

$$J_p(x_1, y_1; x_2, y_2) = \frac{K K_0 I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} \delta(\Delta \xi, \Delta \eta) T(\Delta \xi, \Delta \eta; \Delta x, \Delta y) e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \\ = \frac{K K_0 I_0}{(\lambda F)^2} T(0, 0; \Delta x, \Delta y) \quad (4)$$

FROM Pg. 169

$$T(\Delta \xi, \Delta \eta; \Delta x, \Delta y) = \frac{1}{K_0} \iint_{-\infty}^{\infty} t_0(\xi, \eta) t_0^*(\xi - \Delta \xi, \eta - \Delta \eta) e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta \quad (5)$$

WHERE, FROM THE LOP OF 167:

$$K_0 = \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 d\xi d\eta \quad (6)$$

IT FOLLOWS THAT

$$T(0, 0; \Delta x, \Delta y) = \frac{1}{K_0} \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta \\ = \frac{1}{K_0} \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta \quad (6')$$

SUBSTITUTING INTO (4):

$$J_p(x_1, y_1; x_2, y_2) = \frac{K K_0 I_0}{(\lambda F)^2} \frac{1}{K_0} \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta \\ = \frac{K I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} |t_0(\xi, \eta)|^2 e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta \quad (7)$$

(CONT →)

(1-2)

FROM PG. 170

$$J_p'(x_1, y_1; x_2, y_2) = P(x_1, y_1) P^*(x_2, y_2) \int_p(x_1, y_1; x_2, y_2) \quad (8)$$

$$= \left(\frac{kI_0}{\lambda F}\right)^2 P(x_1, y_1) P^*(x_2, y_2) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j\frac{2\pi}{\lambda F}(\lambda x_2 \xi + \lambda y_2 \eta)} d\xi d\eta$$

BUT

$$\Delta x = x_1 - x_2 \quad ; \quad \Delta y = y_1 - y_2 \quad (9)$$

THUS, WE MAY WRITE (8) AS

$$J_p'(x_1, y_1; x_2, y_2) = J_p'(x, y; x_1 - \Delta x, y_1 - \Delta y)$$

$$= \left(\frac{kI_0}{\lambda F}\right)^2 P(x, y) P^*(x_1 - \Delta x, y_1 - \Delta y) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j\frac{2\pi}{\lambda F}(\lambda x \xi + \lambda y \eta)} d\xi d\eta$$

WHICH CAN BE REWRITTEN FROM (9) AS

$$J_p'(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y)$$

$$= \left(\frac{kI_0}{\lambda F}\right)^2 P(x, y) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y)$$

$$\times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j2\pi(\xi v_x + \eta v_y)} d\xi d\eta$$

SUBSTITUTING INTO (1):

$$D(v_x, v_y) = \left(\frac{kI_0}{\lambda F}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1$$

$$\times \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j2\pi(\xi v_x + \eta v_y)} d\xi d\eta \quad (10)$$

IT FOLLOWS THAT

$$D(0, 0) = \left(\frac{kI_0}{\lambda F}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x_1, y_1)|^2 dx_1 dy_1 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 d\xi d\eta$$

AND THAT

$$\frac{D(v_x, v_y)}{D(0, 0)} = \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j2\pi(\xi v_x + \eta v_y)} d\xi d\eta}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 d\xi d\eta}$$

$$\times \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x_1, y_1)|^2 dx_1 dy_1}$$

$$\frac{D(v_x, v_y)}{D(0, 0)} = \mathcal{H}(v_x, v_y)$$

$$\text{WHERE } \mathcal{H}(v_x, v_y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 e^{-j2\pi(\xi v_x + \eta v_y)} d\xi d\eta$$

$$\Rightarrow \mathcal{H}(0, 0) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |h_0(\xi, \eta)|^2 d\xi d\eta = K_0$$

AND

$$\mathcal{H}(v_x, v_y) = \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x_1, y_1)|^2 dx_1 dy_1}$$

(CONT →)

b. FROM 172

$$D(v_x, v_y) = \iint_{-\infty}^{\infty} J_p'(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1 \quad (1)$$

BUT, FROM 170

$$J_p'(x_1, y_1; x_2, y_2) = P(x_1, y_1) P^*(x_2, y_2) J_p(x_1, y_1; x_2, y_2)$$

OR EQUIVALENTLY

$$J_p'(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) = P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) \\ \times J_p(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y)$$

PLUG INTO (1):

$$D(v_x, v_y) = \iint_{-\infty}^{\infty} P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) J_p(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1 \quad (2)$$

BUT, FROM 169:

$$J_p(x_1, y_1; x_2, y_2) = \frac{1}{(\lambda F)^2} \iint_{-\infty}^{\infty} J_0(\Delta \xi, \Delta \eta) \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) t_0^*(\xi_1 - \Delta \xi, \eta_1 - \Delta \eta) \\ e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi_1 + \Delta y \eta_1)} d\xi_1 d\eta_1 e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \quad (3)$$

BUT, FOR FULLY COHERENT LIGHT:  $J_0(\Delta x, \Delta y) = I_0$ 

$$\Rightarrow J_p(x_1, y_1; x_2, y_2) = \frac{I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) t_0^*(\xi_1 - \Delta \xi, \eta_1 - \Delta \eta) \\ e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi_1 + \Delta y \eta_1)} d\xi_1 d\eta_1 e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \quad (4) \\ = \frac{I_0}{\lambda F^2} \iint_{-\infty}^{\infty} \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) t_0^*(\xi_2, \eta_2) e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi_1 + \Delta y \eta_1)} \\ e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \\ = \frac{I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi_1 + \Delta y \eta_1)} d\xi_1 d\eta_1 \\ t_0^*(\xi_2, \eta_2) e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta$$

BUT  $v_x = \frac{\Delta x}{\lambda F}$   $v_y = \frac{\Delta y}{\lambda F}$ 

$$\Rightarrow J_p(x_1, y_1; x_2, y_2) = \frac{I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) e^{-j 2\pi (\xi_1 v_x + \eta_1 v_y)} d\xi_1 d\eta_1 \\ t_0^*(\xi_1 - \Delta \xi, \eta_1 - \Delta \eta) e^{-j \frac{2\pi}{\lambda F} (x_2 \Delta \xi + y_2 \Delta \eta)} d\Delta \xi d\Delta \eta \\ = \frac{I_0}{(\lambda F)^2} \iint_{-\infty}^{\infty} t_0(\xi_1, \eta_1) e^{-j 2\pi (v_x \xi_1 + v_y \eta_1)} d\xi_1 d\eta_1 \quad (5) \\ \times \iint_{-\infty}^{\infty} t_0^*(\xi_1 - \lambda F v_x, \eta_1 - \lambda F v_y) e^{-j 2\pi (x_2 v_x + y_2 v_y)} dv_x dv_y$$

(CONT →)

(1-4)

DEFINE

$$S\left(\frac{x_1}{\lambda F}, \frac{y_1}{\lambda F}\right) \triangleq \int_{-\infty}^{\infty} t_0(\xi, \eta) e^{-j 2\pi (v_x \xi + v_y \eta)} d\xi d\eta$$

AND (5) BECOMES

$$J_p(x_1, y_1; x_2 = \lambda F v_x, y_2 = \lambda F v_y) \\ = \frac{J_0}{(\lambda F)^2} S\left(\frac{x_1}{\lambda F}, \frac{y_1}{\lambda F}\right) S^*\left(\frac{x_1 - \lambda F v_x}{\lambda F}, \frac{y_1 - \lambda F v_y}{\lambda F}\right)$$

PLUG INTO (2) GIVES DESIRED ANSWER:

$$J(v_x, v_y) = \frac{J_0}{(\lambda F)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} S\left(\frac{x_1}{\lambda F}, \frac{y_1}{\lambda F}\right) P(x_1, y_1) \\ S^*\left(\frac{x_1 - \lambda F v_x}{\lambda F}, \frac{y_1 - \lambda F v_y}{\lambda F}\right) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1$$

2a. FROM Pg. 205:

$$\bar{\mu}_t(\lambda F V_x, \lambda F V_y) = \exp \left[ -\sigma_\phi^2 \left\{ 1 - \bar{\mu}_\phi(\lambda F V_x, \lambda F V_y) \right\} \right]$$

WE ARE GIVEN THAT

$$\bar{\mu}_\phi(\Delta_x, \Delta_y) = \exp \left[ -\Delta^2 / \Delta_0^2 \right]; \quad \Delta^2 = \Delta_x^2 + \Delta_y^2$$

IT FOLLOWS THAT, FOR  $\Delta^2 = (\lambda F)^2 (V_x^2 + V_y^2)$

$$\bar{\mu}_t(\Delta) = \exp \left[ -\sigma_\phi^2 \left\{ 1 - e^{-\Delta^2 / \Delta_0^2} \right\} \right]$$

TAKE THE NAPERIAN LOG OF BOTH SIDES:

$$\ln \bar{\mu}_t(\Delta) = -\sigma_\phi^2 \left\{ 1 - e^{-\Delta^2 / \Delta_0^2} \right\}$$

IT FOLLOWS THAT  $\bar{\mu}_t(\Delta)$  WILL FALL TO

$\frac{1}{e}$  OF ITS PEAK VALUE WHEN  $\ln \bar{\mu}_t(\Delta) = -1$ . THUS

$$-1 = -\sigma_\phi^2 \left\{ 1 - e^{-\Delta^2 / \Delta_0^2} \right\}$$

$$1 / \sigma_\phi^2 = 1 - e^{-\Delta^2 / \Delta_0^2}$$

OR

$$e^{-\Delta^2 / \Delta_0^2} = 1 - \frac{1}{\sigma_\phi^2}$$

AGAIN, TAKE THE NAPERIAN LOG

$$-\frac{\Delta^2}{\Delta_0^2} = \ln \left( 1 - \frac{1}{\sigma_\phi^2} \right)$$

FOR  $\bar{\phi}^2$  LARGE, WE HAVE  $\sigma_\phi^2$  LARGE

AND  $\frac{1}{\sigma_\phi^2} \ll 1$ . THUS, WE MAY APPROXIMATE

$$\ln \left( 1 - \frac{1}{\sigma_\phi^2} \right) \approx -\frac{1}{\sigma_\phi^2} = -\frac{1}{\bar{\phi}^2}$$

THUS

$$\frac{\Delta^2}{\Delta_0^2} \approx +\frac{1}{\bar{\phi}^2}$$

$$\Delta^2 \approx \frac{\Delta_0^2}{\bar{\phi}^2}$$

$$(\lambda F V_0)^2 = \Delta_0^2 / \bar{\phi}^2$$

WHERE, DUE TO SYMMETRY, WE LET  $\Delta = \lambda F V_0$

THUS

$$V_0 = \frac{\Delta_0}{\lambda F \sqrt{\bar{\phi}^2}}$$

(CONT →)

$$b. \lambda_0 = 2 \text{ mm}$$

$$\bar{\lambda} = 0.5 \times 10^{-4} \text{ cm}$$

$$F = 10 \text{ cm}$$

$$\bullet \bar{\phi}^2 = 10$$

$$\Rightarrow v_0 = \frac{2 \text{ mm}}{(0.5 \times 10^{-4} \text{ cm})(10 \text{ cm})} \left( \frac{1 \text{ cm}}{10 \text{ mm}} \right)^2 \frac{1}{\sqrt{10}}$$
$$= \frac{40}{\sqrt{10} \text{ mm}}$$
$$= 12.65 \frac{\text{LINES}}{\text{mm}}$$

$$\bullet \bar{\phi}^2 = 5$$

$$\Rightarrow v_0 = \frac{40}{\sqrt{5} \text{ mm}}$$
$$= 17.89 \frac{\text{LINES}}{\text{mm}}$$

$$\bullet \bar{\phi}^2 = 1$$

$$\Rightarrow v_0 = \frac{40}{\sqrt{1} \text{ mm}}$$
$$= 40 \frac{\text{LINES}}{\text{mm}}$$

(actually for  $\bar{\phi}^2 = 1$ ,  
approx no longer  
valid and  $v_0 \rightarrow \infty$ ).



3. FOR THIS, IT SEEMS LIKE THE EQ. @ THE

BOTTOM OF 202 LOOKS APPLICABLE:

$$\mathcal{H}(V_X, V_Y) = \frac{t_0^2}{t_0^2 + \sigma_r^2} \mathcal{H}_0(V_X, V_Y) + \frac{\sigma_r^2}{t_0^2 + \sigma_r^2} \mathcal{H}_0(V_X, V_Y) \mu_r(\Delta E_X, \Delta E_Y)$$

IF WE GREATLY EXCEED THE CORRELATION

DISTANCE, THEN  $\mu_r(\Delta E_X, \Delta E_Y) \approx 0$

AND WE ARE LEFT WITH

$$\mathcal{H}(V_X, V_Y) = \frac{t_0^2}{t_0^2 + \sigma_r^2} \mathcal{H}_0(V_X, V_Y)$$

SINCE WE ARE DEALING WITH A

PURELY ABSORBING SCREEN,  $t_0$  IS REAL  $\frac{1}{2}$

$$|\mathcal{H}(V_X, V_Y)| = \frac{t_0^2}{t_0^2 + \sigma_r^2} |\mathcal{H}_0(V_X, V_Y)|$$

SUPPOSEDLY, THIS COULD BE SIMPLIFIED

BY USING INEQUALITIES LIKE  $\sigma_r^2 + t_0^2 \leq 1$

AND  $t_0^2 \leq t_0$

BUT...

4. WE KNOW FROM Pg 205, THAT (IN ONE DIMENSION),

$$10 \quad \mu_f(\lambda_x) = \exp \left[ -\sigma_f^2 \left( 1 - \mu_f(\lambda_x) \right)^2 \right]$$

WHERE  $\lambda_x = \lambda \text{EV}_x$

THE PHASE VARIANCE,  $\sigma_f^2$ , IS GIVEN AS UNITY.

THUS

$$\mu_f(\lambda_x) = e^{-(1 - \mu_f(\lambda_x))} = e^{\mu_f(\lambda_x) - 1}$$

NOW, FOR  $g(t)$  REAL, IT FOLLOWS

FROM THE STRICTLY MONOTONIC NATURE OF

$e^x$  WILL YIELD THE SAME EXTREMA,

(WITH EQUIVALENT POLARITY) OF  $g(t)$

AND  $e^{g(t)}$ . IT FOLLOWS THAT

$\mu_f(\lambda_x) = e^{\mu_f(\lambda_x) - 1}$  WILL BE MINIMUM

WHEN  $\mu_f(\lambda_x) = 1$ , OR SIMPLY  $\mu_f(\lambda_x)$

IS MINIMUM. FROM THE SKETCH, THE

MINIMUM VALUE OF  $\mu_f(\lambda_x)$  IS  $-0.5$ .

THUS, THE MINIMUM VALUE OF  $\mu_f(\lambda_x)$  IS

$$\begin{aligned} \mu_{f \text{ MIN}} &= e^{(-0.5) - 1} \\ &= e^{-1.5} = 0.2231301601 \checkmark \end{aligned}$$

$$5. \quad \Omega_{\frac{1}{2}} \sim \sqrt[5]{\lambda}$$

WE KNOW THAT  $\Omega_{\frac{1}{2}} = 305 \frac{\text{CYCLES}}{\text{MILIRAD}}$  CORRESPONDS  
 TO  $\bar{\lambda} = 5 \times 10^{-7} \text{ m}$ . WE WRITE THE  
 PROPORTIONALITY AS

$$\Omega_{\frac{1}{2}} = C \sqrt[5]{\lambda}$$

IT FOLLOWS THAT

$$C = \frac{\Omega_{1/e}}{\sqrt[5]{\lambda}} = \frac{305 \frac{\text{CYCLES}}{\text{MILIRAD}}}{\sqrt[5]{5 \times 10^{-7} \text{ m}}} = 5.55 \times 10^3 \frac{\text{CYCLES}}{\text{MILIRAD} \cdot \text{M}^{1/5}}$$

THUS, WITH  $\Omega_{\frac{1}{2}}$  IN  $\frac{\text{CYCLES}}{\text{MILIRADIAN}}$  AND  $\lambda$  IN  
 METERS, WE HAVE

$$\Omega_{\frac{1}{2}} = C \sqrt[5]{\lambda}$$

ENT

← A LITTLE H/P 25 PROGRAM

5

1/x

yx

RCL 7 (C)

x

GTO 00

$\bar{\lambda} = 0.488 \times 10^{-6} \text{ m}$	$\Rightarrow$	$\Omega_{\frac{1}{2}} = 303.5$	$\frac{\text{CYCLES}}{\text{MILIRADIAN}}$
$\bar{\lambda} = 0.694 \times 10^{-6} \text{ m}$	$\Rightarrow$	$\Omega_{\frac{1}{2}} = 325.7$	"
$\bar{\lambda} = 1.06 \times 10^{-6} \text{ m}$	$\Rightarrow$	$\Omega_{\frac{1}{2}} = 354.5$	"
$\bar{\lambda} = 10.6 \times 10^{-6}$	$\Rightarrow$	$\Omega_{\frac{1}{2}} = 561.8$	"

6. FROM THE BOTTOM OF 246:

$$\Omega_{\frac{1}{e}} = \frac{\bar{\lambda}^{\frac{1}{5}}}{(57.44 C_N^2 z)^{3/5}} \quad \left( \frac{\text{CYCLES}}{\text{RADIAN}} \right)$$

THIS IS OBVIOUSLY A JOB FOR THE HP 25:

$$C_N^2 = 10^{-15} \text{ m}^{-2/3} \quad \xrightarrow{\text{STO}} 7$$

$$\bar{\lambda} = 5 \times 10^{-7} \quad \xrightarrow{\text{STO}} 6$$

$$57.44 \quad \xrightarrow{\text{STO}} 5$$

```

RCL 7      1/x
X          RCL 6
RCL 5      ENT
X          5
ENT        1/x
3          Y x
ENT        X
5          GTO 00
÷
Y x
    
```

Z	$\Rightarrow \Omega_{\frac{1}{e}}$	CYCLES RAD	CYCL m.RAD
Z = 100 m	$\Rightarrow \Omega_{\frac{1}{e}} = 3.05 \times 10^5$	"	305 "
Z = 1000 m	$\Rightarrow \Omega_{\frac{1}{e}} = 7.66 \times 10^4$	"	76.6 "
Z = 10,000 m	$\Rightarrow \Omega_{\frac{1}{e}} = 1.92 \times 10^4$	"	19.2 "

7. FROM THE BOTTOM OF 256:

$$\mu_n(\lambda, \Omega) = \exp \left\{ -\frac{1}{2} 2.91 k^2 \int_0^z C_N(z) dz \right\} (\lambda \Omega)^{5/3} \quad (1)$$

WE ARE GIVEN

$$\Omega_{\frac{1}{2}} = \frac{1}{2} \text{ CYCLE} \\ = \frac{1}{2} \frac{\text{RPM}}{\text{RPM}} \times \frac{60 \text{ MIN}}{\text{MIN}} \times \frac{180^\circ}{\text{DEG}} \times \frac{1}{\pi \text{ RAD}} = 1.031 \times 10^5 \frac{\text{CYCLE}}{\text{RAD}} \quad (2)$$

AT THIS VALUE,  $\mu_n(\lambda, \Omega) = \frac{1}{2}$

TAKE NATHANIAN LOG OF (1)

$$\Rightarrow 1 = \frac{1}{2} 2.91 k^2 \int_0^z C_N(z) dz (\lambda \Omega_{\frac{1}{2}})^{5/3}$$

LET  $z \rightarrow \infty$  AND SOLVE FOR  $\int_0^\infty C_N(z) dz$ :

$$\int_0^\infty C_N(z) dz = 2.91 k^2 (\lambda \Omega_{\frac{1}{2}})^{5/3}$$

$$k = \frac{2\pi}{\lambda}$$

$$\Rightarrow \int_0^\infty C_N(z) dz = 2 \left[ 2.91 \left( \frac{2\pi}{\lambda} \right)^2 (\lambda)^{5/3} \Omega_{\frac{1}{2}}^{5/3} \right]^{-1}$$

$$= 2 \left[ 2.91 (2\pi)^2 \lambda^{-2} \lambda^{5/3} \Omega_{\frac{1}{2}}^{5/3} \right]^{-1}$$

$$= 2 \left[ 2.91 (2\pi)^2 \lambda^{-\frac{1}{3}} \Omega_{\frac{1}{2}}^{5/3} \right]^{-1}$$

$$2 \lambda^{+\frac{1}{3}}$$

$$= 2.91 (2\pi)^2 \Omega_{\frac{1}{2}}^{5/3}$$

$$= 7.68 \times 10^{-11} \lambda^{1/3}$$

BUT WE ARE GIVEN  $\lambda = 5 \times 10^{-7} \text{ m}$  (3)

$$\Rightarrow \int_0^\infty C_N(z) dz = 6.07 \times 10^{-13} \text{ m}^{1/3}$$

(CONT  $\rightarrow$ )

b. GIVEN  $C_N^2 = 10^{-15} \text{ m}^{-2/3}$

USE THE PLUG ON 246

$$\Omega_{\frac{1}{2}} = \lambda^{1/5} / (57.44 C_N^2 z)^{3/5}$$

SOLVE FOR z:

$$z = \frac{1}{57.44 C_N^2} \frac{\lambda^{1/3}}{\Omega_c^{5/3}}$$

USE (2)  $\frac{1}{3}$  (3) TO GET

$$z = 609 \text{ m}$$

# Marks

EE 5358 - Takehome Midterm Results.

4/20/12

High = 93

Mean = 83.3

Low = 71

Grade distribution

93 (2)

89

83

78

76

71

Comments: A master solution is posted outside 360B. Please don't remove it - but feel free to look it over.

Note on Prob. #4: Part (a) Recall that the def. of  $A_c$ , the coherence area, is rather arbitrary. The correct approach here is to set  $\mu = 0$  and solve for  $\rho$ , since this really does imply incoherence of the light at the two pinholes.

Part (b) When  $\mu(A, 0)$  takes on its max. negative value, then we should get the "most destructive interference" in the image plane. Now  $\mu$  is proportional to  $\rho W \cos(\rho)$  but the minimum occurs approx. when  $\cos(\rho)$  reaches its first most negative value.

Spring 1976

~~Open Book,~~

Take-Home Midterm Quiz

22

15 (something seems to be missing here)

25

21

Due 12 noon, Saturday 3/20/7683

Do all 4 problems. You must work independently! Show your assumptions and call your work.

If questions of interpretation arise, please see Dr. Walkup.

Please hand in these sheets with your exam!

Prob. 1: Assume an optical source whose normalized power spectral density  $\hat{G}(\nu)$  has the negative exponential form.  $\nu \in [\nu, \nu + \Delta\nu] \ll \bar{\nu}$

$$\hat{G}(\nu) \cong \begin{cases} \frac{K_0}{\Delta\nu} \exp\left\{-K_1 \left|\frac{\nu - \bar{\nu}}{\Delta\nu}\right|\right\}, & \nu > 0 \\ 0 & \text{otherwise} \end{cases}$$

(a) What can you say about  $K_0$  and  $K_1$ ?

(b) What is  $\hat{I}(\tau)$  for this source?

(c) If we evaluate  $\tau_c$ , the coherence time for this source, what do we get? (ie. evaluate  $\tau_c$ ).

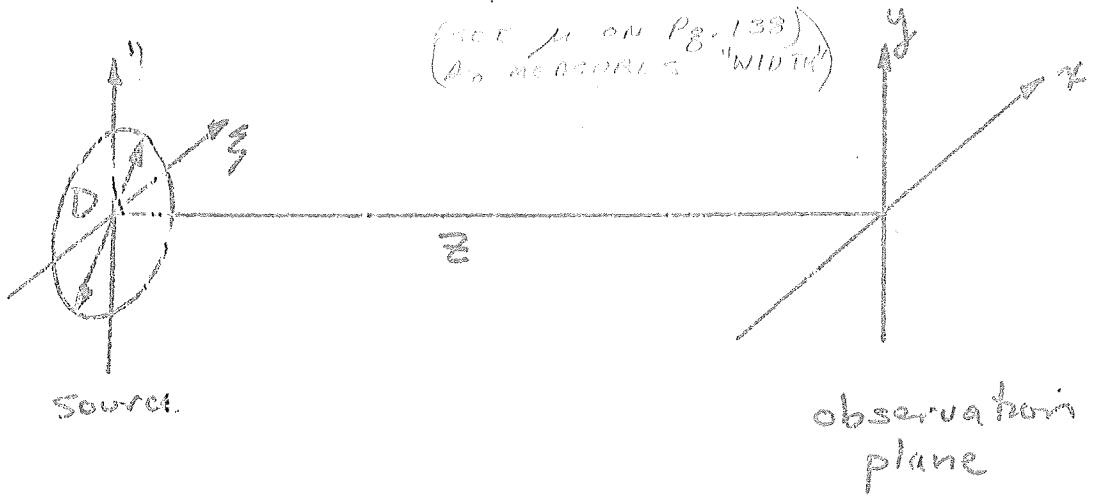
(d) What fringe visibility will be observed from a double-slit interference experiment with this source? (ie. evaluate  $V$ ).



Prob. 2: A certain partially coherent source, quasi monochromatic with mean wavelength  $\lambda$ , can be described by the mutual intensity function

$$I(\xi_1, \eta_1; \xi_2, \eta_2) \approx I_S(\xi_1, \eta_1) \mu_{12}(\xi_1 - \xi_2, \eta_1 - \eta_2)$$

Assuming that  $I_S(\xi_1, \eta_1)$  is constant over a circular disk of diameter  $D$ , and zero elsewhere, find an expression for the intensity distribution  $I(x, y)$  in the observation plane  $xz$  shown below. Assume  $\xi_2^2 - \xi_1^2 = (\xi_2 - \xi_1)(\xi_2 + \xi_1) \leq \Delta D^2$  (SHOW) ALSO  $\Delta \ll D$  (SAME FOR  $\eta_2^2 - \eta_1^2$ )



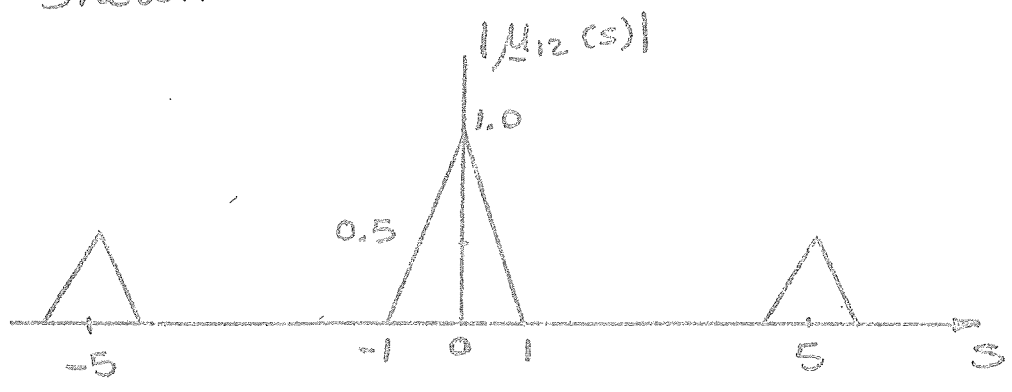
You may invoke the usual paraxial approximations. In addition, noting that  $a^2 - b^2 = (a-b)(a+b)$ , you should simplify your answer by assuming that

$$z \gg \frac{D\Delta}{\lambda}$$

where  $\Delta$  is the "width" of  $\mu_{12}(\Delta\xi, \Delta\eta)$ .

FOR ALL OF ITS "COHERENT" FOR  $D \ll D$ , COHERENT ACROSS WIDTH OF ORDER OF  $\lambda$  EXPONENT  $\Delta \ll D$

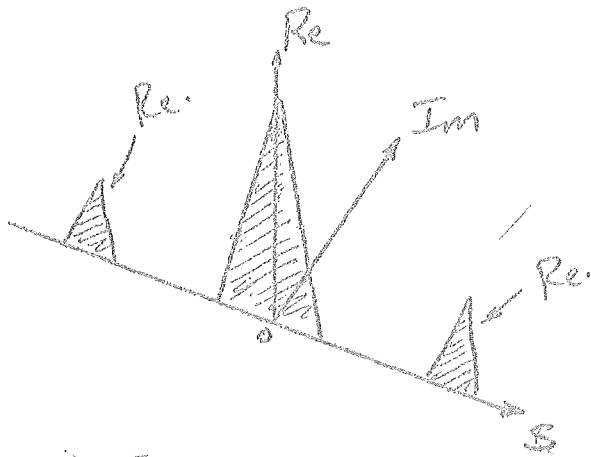
Prob. 3: In a certain one-dimensional imaging problem, a Michelson stellar interferometer measures the modulus  $|\mu_{12}(s)|$  of the complex coherence factor over a range of spacings  $s$ . The result is as shown below:



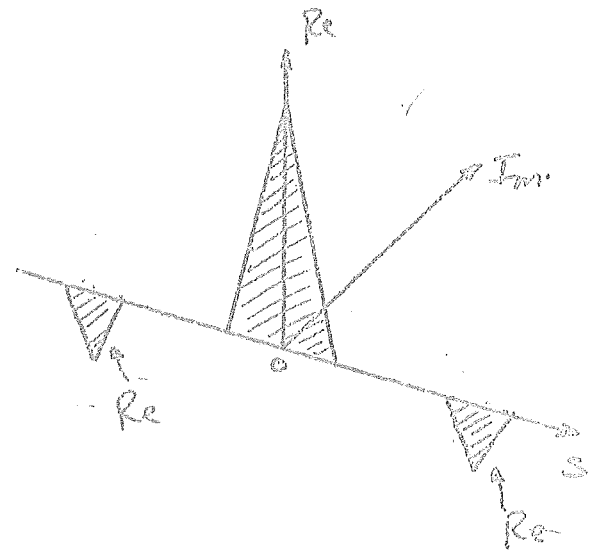
To know the intensity distribution of the incoherent source which gave rise to this curve, we must associate a phase distribution with  $|\mu_{12}|$ . Which of the following guesses at the full, complex-valued  $\mu_{12}(s)$  could possibly be right, and which could not be? Why? Would a priori knowledge that the object is brightest at its center help you narrow down the choice?



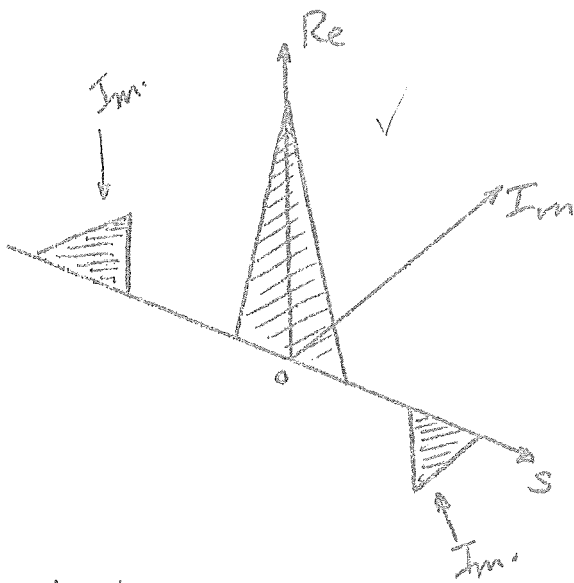
(a)



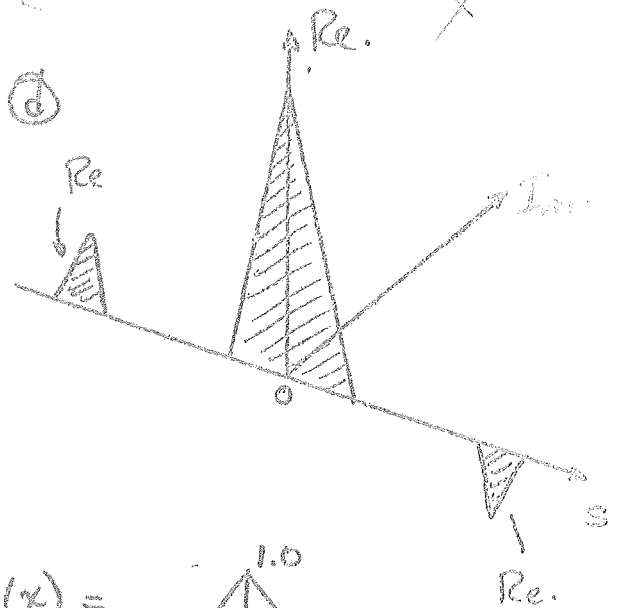
(b)



(c)



(d)



Helpful Hints:

$$\mathcal{F}\{\Lambda(x)\} = \text{sinc}^2 f \quad \text{where } \Lambda(x) =$$



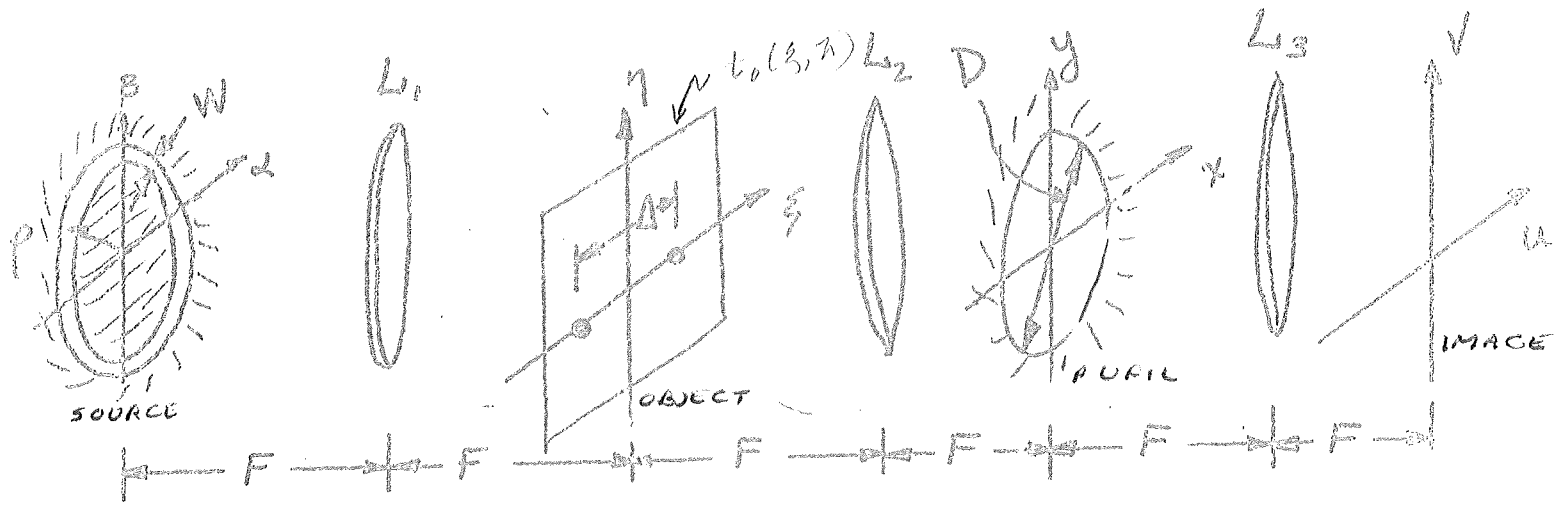
$$\mathcal{F}\{\cos 2\pi ax\} = \frac{1}{2} \delta(f-a) + \frac{1}{2} \delta(f+a)$$

$$\mathcal{F}\{\sin 2\pi ax\} = \frac{j}{2} \delta(f-a) - \frac{j}{2} \delta(f+a)$$

$$\mathcal{F}\{e^{j 2\pi ax}\} = \delta(f+a)$$

$$\mathcal{F}\{g(x) \cdot h(x)\} = \mathcal{F}\{g\} * \mathcal{F}\{h\}$$

Prob. #4 In the partially coherent imaging system shown below, the source is a thin incoherent annulus or ring, with mean radius  $\rho$  and radial width  $W$ .



The pupil aperture is circular with diameter  $D$ , and the system is free of aberrations. The object consists of two tiny pinholes, individually unresolvable, lying on the  $\xi$  axis and separated by distance  $\Delta$ . For this particular problem  $\Delta$  is known to be given by 
$$\Delta = \frac{1.22 \lambda F}{D}$$
 where  $F$  is the common focal length of all the lenses. This distance  $\Delta$  happens to be the so-called "Rayleigh distance" for which the peak of one "Airy pattern" (the diffraction-limited image of a pinhole) coincides exactly with the first zero of the second "Airy pattern."

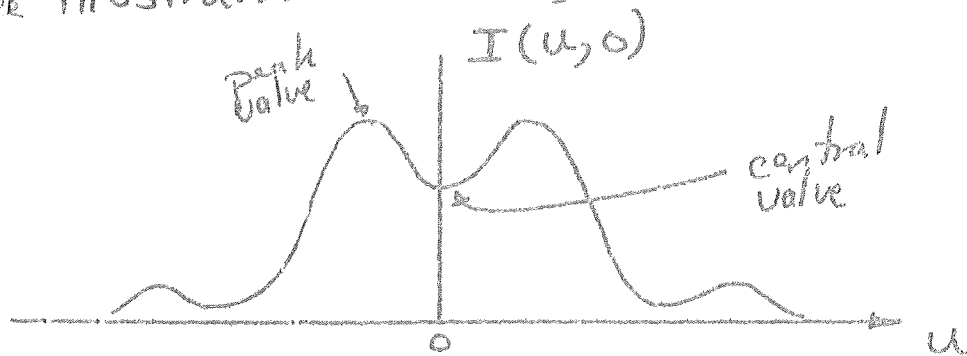
Prob. 4 contd.

(6)

(a) Find the smallest radius  $\rho$  of the annular source for which the two pinholes are illuminated incoherently

(b) Find the radius  $\rho$  of the annular source for which the central value of the intensity in the partially resolved image drops to its smallest possible value relative to the peak value

(see illustration below).



**Hint:** The Fourier transform of a thin uniform annulus of mean radius  $\rho$  and width  $W$  is given approximately by

$$A(v_x, v_y) \approx 2\pi\rho W J_0(2\pi\rho\sqrt{v_x^2 + v_y^2})$$

where  $J_0$  is a Bessel function of the first kind, order zero.

$$1. \hat{g}(v) = \frac{K_0}{\Delta v} e^{-K_1 \left| \frac{v-\bar{v}}{\Delta v} \right|} \mu(v) \quad \exists \mu(v) = \text{UNIT/STEP} \quad (1-1)$$

5. WE KNOW THAT

$$\int_0^{\infty} \hat{g}(v) dv = 1$$

THUS

$$\begin{aligned} 1 &= \frac{K_0}{\Delta v} \int_0^{\bar{v}} e^{+K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} dv + \frac{K_0}{\Delta v} \int_{\bar{v}}^{\infty} e^{-K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} dv \\ &= \frac{K_0}{\Delta v} \left( \frac{\Delta v}{K_1} \right) e^{K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} \Big|_0^{\bar{v}} + \frac{K_0}{\Delta v} \left( \frac{\Delta v}{K_1} \right) e^{-K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} \Big|_{\bar{v}}^{\infty} \\ &= \frac{K_0}{K_1} \left[ \left( 1 - e^{-K_1 \bar{v}/\Delta v} \right) - \left( 0 - 1 \right) \right] \\ &= \frac{K_0}{K_1} \left[ 2 - e^{-K_1 \bar{v}/\Delta v} \right] \\ \Rightarrow K_0 &= \frac{K_1}{2 - e^{-K_1 \bar{v}/\Delta v}} \quad (1) \end{aligned}$$

AND

$$\hat{g}(v) = \frac{K_1}{\Delta v} \left( 2 - e^{-K_1 \bar{v}/\Delta v} \right)^{-1} e^{-K_1 \left| \frac{v-\bar{v}}{\Delta v} \right|} \mu(v) \quad (2)$$

ALSO

(1) SINCE  $\hat{g}(v)$  HAS UNITS OF  $\frac{1}{\text{FREQUENCY}}$ ,  
 $K_0$  IS UNITLESS

(2)  $K_1$  IS UNITLESS

(3) IN ORDER THAT  $\int_0^{\infty} \hat{g}(v) dv$  CONVERGE,  
 WE REQUIRE THAT  $K_1 > 0$ .

(4) SIMILARLY,  $\int_0^{\infty} \hat{g}(v) dv = 1 \Rightarrow K_0 > 0$

(THIS ALSO FOLLOWS FROM  $K_0(2 - e^{-K_1 \bar{v}/\Delta v}) = K_1$ )

THUS, THE FORM OF Eq. 2 IS VALID FOR  
 ALL  $K_1 > 0$  (UNDER THE ASSUMPTIONS  
 $\bar{v} > 0$  AND  $\Delta v > 0$ ) (CONT  $\rightarrow$ )

(1-2)

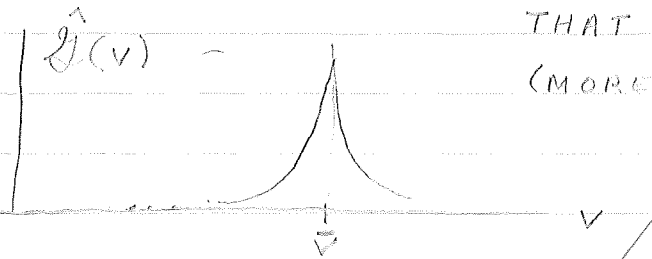
WE MAY FURTHER SIMPLIFY  $\hat{J}(v)$  BY ASSUMING

a.  $\bar{v} \gg \Delta v \Rightarrow \frac{\bar{v}}{\Delta v} \gg 1$  ✓

b.  $K_1$  IS "REASONABLY" LARGE (CONSERVATIVELY  $K_1 > 1$ )  
SO THAT  $\frac{K_1 \bar{v}}{\Delta v} \gg 1$  ✓

UNDER THESE TWO CONDITIONS  $\hat{J}(v)$  TAKES  
ON <sup>MORE OF</sup> AN IMPULSIVE NATURE IN THE SENSE

THAT  $\hat{J}(\bar{v}) \gg \hat{J}(0)$   
(MORE ON THIS LATER)



FURTHERMORE

$$K_0 = \frac{K_1}{2 - e^{-K_1 \bar{v} / \Delta v}} \approx \frac{K_1}{2}$$

(3)

AND

$$\hat{J}(v) \approx \frac{K_1}{2\Delta v} e^{-K_1 \left| \frac{v - \bar{v}}{\Delta v} \right|}$$
 ✓

b. WE MAY EXPRESS THE COMPLEX DEGREE OF COHERENCE,  $\gamma(\tau)$ , AS

$$|\circ| \quad \gamma(\tau) = \int_0^{\infty} \hat{g}(v) e^{-j2\pi v \tau} dv$$

FOR OUR PROBLEM

$$\begin{aligned} \gamma(\tau) &= \frac{K_0}{\Delta v} \int_0^{\infty} e^{-K_1 \left| \frac{v-\bar{v}}{\Delta v} \right|} e^{-j2\pi v \tau} dv \\ &= \frac{K_0}{\Delta v} \left[ \int_0^{\bar{v}} e^{K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} e^{-j2\pi v \tau} dv \right. \\ &\quad \left. + \int_{\bar{v}}^{\infty} e^{-K_1 \left( \frac{v-\bar{v}}{\Delta v} \right)} e^{-j2\pi v \tau} dv \right] \end{aligned}$$

$$= \frac{K_0}{\Delta v} \left[ e^{-\frac{K_1 \bar{v}}{\Delta v}} \int_0^{\bar{v}} e^{\left[ \frac{K_1}{\Delta v} - j2\pi \tau \right] v} dv \right. \\ \left. + e^{\frac{K_1 \bar{v}}{\Delta v}} \int_{\bar{v}}^{\infty} e^{-\left[ \frac{K_1}{\Delta v} + j2\pi \tau \right] v} dv \right]$$

$$= \frac{K_0}{\Delta v} \left[ e^{-\frac{K_1 \bar{v}}{\Delta v}} \frac{1}{\frac{K_1}{\Delta v} - j2\pi \tau} e^{\left[ \frac{K_1}{\Delta v} - j2\pi \tau \right] v} \Big|_0^{\bar{v}} \right. \\ \left. - e^{\frac{K_1 \bar{v}}{\Delta v}} \frac{1}{\frac{K_1}{\Delta v} + j2\pi \tau} e^{-\left[ \frac{K_1}{\Delta v} + j2\pi \tau \right] v} \Big|_{\bar{v}}^{\infty} \right]$$

$$= K_0 \left[ e^{-\frac{K_1 \bar{v}}{\Delta v}} \frac{1}{K_1 - j2\pi \Delta v \tau} \left( e^{\left[ \frac{K_1}{\Delta v} - j2\pi \tau \right] \bar{v}} - 1 \right) \right. \\ \left. - e^{\frac{K_1 \bar{v}}{\Delta v}} \frac{1}{K_1 + j2\pi \Delta v \tau} \left( 0 - e^{-\left[ \frac{K_1}{\Delta v} + j2\pi \tau \right] \bar{v}} \right) \right]$$

$$= K_0 \left[ \frac{1}{K_1 - j2\pi \Delta v \tau} \left( e^{-j2\pi \bar{v} \tau} - e^{-K_1 \bar{v} / \Delta v} \right) \right. \\ \left. + \frac{1}{K_1 + j2\pi \Delta v \tau} e^{-j2\pi \bar{v} \tau} \right] \quad (4)$$

$$= K_0 \left[ \frac{1}{K_1 - j2\pi \Delta v \tau} + \frac{1}{K_1 + j2\pi \Delta v \tau} \right] e^{-j2\pi \bar{v} \tau}$$

$$= \frac{2K_0 K_1}{K_1^2 + (2\pi \Delta v \tau)^2} e^{-j2\pi \bar{v} \tau} - \frac{K_0 (K_1 + j2\pi \Delta v \tau)}{K_1^2 + (2\pi \Delta v \tau)^2} e^{-K_1 \bar{v} / \Delta v}$$

$$\text{can be } \gamma(\tau) = K_0 \left\{ K_1^2 + (2\pi \Delta v \tau)^2 \right\}^{-1} \left[ 2K_1 e^{-j2\pi \bar{v} \tau} - (K_1 + j2\pi \Delta v \tau) e^{-K_1 \bar{v} / \Delta v} \right] \quad (5)$$

THIS IS THE COMPLEX DEGREE OF COHERENCE ( $\bar{v} > 0, \Delta v > 0$ ). SUBSTITUTING  $K_0$  IN EQ. 1 :

$$\gamma(\tau) = \frac{K_1}{(2 - e^{-K_1 \bar{v} / \Delta v}) \left\{ K_1^2 + (2\pi \Delta v \tau)^2 \right\}^{-1}} \left[ 2K_1 e^{-j2\pi \bar{v} \tau} - (K_1 + j2\pi \Delta v \tau) e^{-\frac{K_1 \bar{v}}{\Delta v}} \right] \quad (5a)$$

(CONT  $\rightarrow$ )



EMPLOYING THE ASSUMPTIONS IN PART 9

$\left(\frac{K_1 \bar{v}}{\Delta v} \gg 1\right)$ , WE HAVE

$$e^{-K_1 \bar{v} / \Delta v} \approx 0 \quad (6)$$

$$K_0 \approx \frac{K_1}{2}$$

AND, FROM Eq. 5:

$$\begin{aligned} X(\gamma) &\approx \frac{K_1}{2} \left\{ K_1^2 + (2\pi \Delta v \gamma)^2 \right\}^{-1} (2K_1 e^{-j2\pi \bar{v} \gamma}) \\ &= \frac{K_1^2}{K_1^2 + (2\pi \Delta v \gamma)^2} e^{-j2\pi \bar{v} \gamma} \quad (7) \end{aligned}$$

ON CLOSER INSPECTION OF Eq. 5, SOME QUESTION OF THE VALIDITY OF THE APPROXIMATION IN Eq. 6 <sup>ARISES</sup> (DUE TO THE

MULTIPLICATIVE  $j2\pi \gamma$  TERM. THAT IS

$\lim_{\gamma \rightarrow \infty} j2\pi \gamma e^{-K_1 \bar{v} / \Delta v} = \infty$  FOR ANY FINITE VALUE OF  $\frac{K_1 \bar{v}}{\Delta v}$ . HOWEVER, THE TERM

$[K_1^2 + (2\pi \Delta v \gamma)^2]^{-1}$  IN FRONT OF Eq. 5 BEATS

THIS LIMIT TO ZERO. THE VALIDITY OF

THE APPROXIMATION (Eq. 6) IS

CLEARER IN Eq. 4.

EQUATION 7 IS RECOGNIZED AS THE FOURIER TRANSFORM OF  $\hat{G}(v)$  WITHOUT THE UNIT STEP. THAT IS

$$\frac{K_1^2}{K_1^2 + (2\pi \Delta v \gamma)^2} e^{-j2\pi \bar{v} \gamma} = \int_{-\infty}^{\infty} \left[ \frac{K_0}{\Delta v} e^{-K_1 \left| \frac{v - \bar{v}}{\Delta v} \right|} \right]$$

$$= \int_{-\infty}^{\infty} \frac{K_0}{\Delta v} e^{-K_1 \left| \frac{v - \bar{v}}{\Delta v} \right|} e^{-j2\pi v \gamma} dv$$

WHERE  $K_0 = \frac{K_1}{2}$

(CONT.  $\rightarrow$ )

THUS, FOR THIS PARTICULAR PROBLEM,  
THE ASSUMPTION  $\frac{K_1 V}{A V} \gg 1$  IS EQUIVALENT  
TO  $\hat{g}(\bar{v}) \gg \hat{g}(0)$ .

C. BY GOODMAN'S DEFN (Pg. 70):

$$5 \quad \gamma_c = \int_{-\infty}^{\infty} |\underline{x}(\tau)|^2 d\tau$$

LOOKING @ Eq. 5, THE RESULTING INTEGRAL FOR THIS RELATIONSHIP LOOKS RATHER UGLY.

RECOGNIZING, THOUGH, THAT  $\underline{x}(\tau) = \hat{F}^{-1}[\hat{J}(v)]$ , WE MAY CALL ON PARSEVAL'S THEOREM AND WRITE;

$$\begin{aligned} \gamma_c &= \int_{-\infty}^{\infty} |\hat{J}(v)|^2 dv \\ &= \int_0^{\infty} |\hat{J}(v)|^2 dv \\ &= \left(\frac{K_0}{\Delta V}\right)^2 \int_0^{\infty} e^{-2K_1 \left|\frac{v-\bar{v}}{\Delta V}\right|} dv \\ &= \left(\frac{K_0}{\Delta V}\right)^2 \left[ \int_0^{\bar{v}} e^{2K_1 \left[\frac{v-\bar{v}}{\Delta V}\right]} dv + \int_{\bar{v}}^{\infty} e^{-2K_1 \left[\frac{v-\bar{v}}{\Delta V}\right]} dv \right] \\ &= \left(\frac{K_0}{\Delta V}\right)^2 \left[ \frac{\Delta V}{2K_1} e^{2K_1 \left(\frac{v-\bar{v}}{\Delta V}\right)} \Big|_0^{\bar{v}} - \frac{\Delta V}{2K_1} e^{-2K_1 \left(\frac{v-\bar{v}}{\Delta V}\right)} \Big|_{\bar{v}}^{\infty} \right] \\ &= \left(\frac{K_0}{\Delta V}\right)^2 \left(\frac{\Delta V}{2K_1}\right) \left[ (1 - e^{-2K_1 \bar{v}/\Delta V}) - (0 - 1) \right] \\ &= \frac{K_0^2}{2K_1 \Delta V} (2 - e^{-2K_1 \bar{v}/\Delta V}) \end{aligned}$$

FIRST OFF, WE SHALL USE THE STRICT (NO ASSUMPTION) VALUE OF  $K_0$  GIVEN IN Eq. 1:

$$\gamma_c = \frac{K_0^2}{2K_1 \Delta V} \frac{2 - e^{-2K_1 \bar{v}/\Delta V}}{(2 - e^{-K_1 \bar{v}/\Delta V})^2} = \frac{K_1}{2\Delta V} \frac{2 - e^{-2K_1 \bar{v}/\Delta V}}{(2 - e^{-K_1 \bar{v}/\Delta V})^2}$$

UNDER THE ASSUMPTION  $\frac{K_1 \bar{v}}{\Delta V} \gg 0$ , WE HAVE

$$e^{-K_1 \bar{v}/\Delta V} \approx e^{-2K_1 \bar{v}/\Delta V} \approx 0 \quad \text{AND}$$

$$\gamma_c \approx \frac{K_1}{4\Delta V}$$

— this is the one I'm looking for.

NOTE: (SEE Pg. 71) IF  $\gamma_c$  IS TO BE SAME ORDER OF MAGNITUDE OF  $\Delta V^{-1}$ , IT FOLLOWS THAT  $K_1 \Delta V$  MUST BE "REASONABLY LARGE" AS PREVIOUSLY ESTIMATED.

2

(1-6)

d. FOR THE MICHELSON INTERFEROMETER, THE VISIBILITY IS GIVEN AS (Pg. 51)

$$3 \quad \mathcal{V}(h) = \frac{2C_1 C_2}{C_1^2 + C_2^2} \cdot \gamma\left(\frac{2h}{c}\right) \quad \text{Now subst. in the results of (b)}$$

WHERE  $C_1$  AND  $C_2$  ARE THE LOSSES IN THE RESPECTIVE ARMS OF THE INTERFEROMETER.

AND  $\gamma(\tau) = |\gamma(\tau)|$ . NEAR  $h=0$ , THIS

RELATIONSHIP BECOMES

I wanted an  $\mathcal{V}(h)$  near, not at  $h=0$

$$\mathcal{V}(0) = C \gamma(0) \quad \text{expresses for } h=0$$

WHERE  $C = \frac{2C_1 C_2}{C_1^2 + C_2^2}$  (FOR EQUAL LOSSES,  $C_1 = C_2$  AND  $C = 1$ ).

THE "NO ASSUMPTION" VISIBILITY COMES FROM Eq. 50 (@  $\tau = 0$ )

$$\gamma(0) = K_1 \left[ (2 - e^{-K_1 \sqrt{\lambda \Delta V}}) K_1^2 \right]^{-1} \left[ 2K_1 - K_1 e^{-\frac{K_1 \sqrt{\lambda \Delta V}}{\lambda V}} \right]$$

$$= \frac{1}{(2 - e^{-K_1 \sqrt{\lambda \Delta V}})} (2 - e^{-K_1 \sqrt{\lambda \Delta V}})$$

$$= 1 = |\gamma(0)| = \gamma(0)$$

IT FOLLOWS THAT  $\mathcal{V}(0) = C$ . (SINCE

A FURTHER APPROXIMATION WILL PROVIDE

NO FURTHER SIMPLIFICATION, WE WON'T

DO IT.) AGAIN, FOR THE CASE OF EQUAL

ARM LOSSES,  $\mathcal{V}(0) = 1$ . RATHER A

GOOD VISIBILITY! (True, but we knew that

~~the~~  $\gamma(0) = 1$  before starting!)

(2-1)

2. THE INTENSITY DISTRIBUTION ON THE X-Y PLANE  
CAN BE WRITTEN AS (Pg. 111)

$$15 \quad I(x, y) = \iint_{\xi_1, \eta_1} \iint_{\xi_2, \eta_2} \underline{J}(\xi_1, \eta_1; \xi_2, \eta_2) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} \frac{\chi(\theta_1) \chi(\theta_2)}{\lambda r_1 \lambda r_2} ds_1 ds_2$$

WHERE  $ds_i = d\eta_i d\xi_i$ ,

SINCE  $\underline{J}(\xi_1, \eta_1; \xi_2, \eta_2) = I_S(\xi_1, \eta_1) \mu_{12}(\Delta\xi, \Delta\eta)$

WHERE  $\Delta\xi = \xi_1 - \xi_2$  AND  $\Delta\eta = \eta_1 - \eta_2$ ;

$$I(x, y) = \iint_{\substack{\xi_1, \eta_1 \\ (\xi_1) \\ (\eta_1)}} \iint_{\substack{\xi_2, \eta_2 \\ (\xi_2) \\ (\eta_2)}} I_S(\xi_1, \eta_1) \mu_{12}(\Delta\xi, \Delta\eta) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} \frac{\chi(\theta_1) \chi(\theta_2)}{\lambda r_1 \lambda r_2} ds_1 ds_2$$

MAKE THE USUAL APPROXIMATION

$$\chi(\theta_1) \approx \chi(\theta_2) = 1$$

$$r_1 \approx r_2 \approx z$$

$$\Rightarrow I(x, y) = \left(\frac{1}{\lambda z}\right)^2 \iint_{\xi_1, \eta_1} \iint_{\xi_2, \eta_2} I_S(\xi_1, \eta_1) \mu_{12}(\Delta\xi, \Delta\eta) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} ds_1 ds_2$$

AND THE PARAXIAL APPROXIMATION: (Pg. 89)

$$r_2 - r_1 \approx \frac{1}{2z} \left[ \rho_2^2 - \rho_1^2 + 2\Delta\xi x + 2\Delta\eta y \right]$$

$$\Rightarrow I(x, y) = \left(\frac{1}{\lambda z}\right)^2 \iint \iint I_S(\xi_1, \eta_1) \mu_{12}(\Delta\xi, \Delta\eta) e^{-j \frac{\pi}{\lambda z} (\rho_2^2 - \rho_1^2 + 2\Delta\xi x + 2\Delta\eta y)} ds_1 ds_2$$

WHERE

$$\rho_2^2 = \eta_2^2 + \xi_2^2$$

$$\rho_1^2 = \eta_1^2 + \xi_1^2$$

(CONT →)

(2-2)

WE ARE GIVEN THAT  $I(\xi_1, \eta_1)$  IS  
UNIFORM OVER  $\Sigma_1$ . LET

$$I(x, y) = \frac{I_0}{(\lambda z)^2} \iint_{\Sigma_1} \iint_{\Sigma_2} \mu_{12}(\Delta \xi, \Delta \eta) e^{-j \frac{\pi}{\lambda z} (\rho_2^2 - \rho_1^2 + 2\Delta \xi x + 2\Delta \eta y)} ds_1 ds_2$$

FURTHERMORE, BOTH  $\Sigma_1$  &  $\Sigma_2$  CORRESPOND  
TO A CIRCLE FUNCTION  $\text{circ} \frac{2\rho_i}{D}$ .

WE EXPLICITLY STATE THIS, AND LET  
THE INTEGRAL LIMITS GO FROM  $-\infty$  TO  $\infty$ ;

$$I(x, y) = \frac{I_0}{(\lambda z)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \text{circ} \left( \frac{2\rho_1}{D} \right) \text{circ} \left( \frac{2\rho_2}{D} \right)$$

$$\times \mu_{12}(\Delta \xi, \Delta \eta) e^{-j \frac{\pi}{\lambda z} (\rho_2^2 - \rho_1^2 + 2\Delta \xi x + 2\Delta \eta y)} ds_1 ds_2 \quad (1)$$

NOW

$$z \gg \frac{D^2}{\lambda}$$

(2)

CONSIDER, THEN, THE EXPONENT TERM  
IN Eq. 1:

$$\begin{aligned} \rho_2^2 - \rho_1^2 &= (\xi_2^2 + \eta_2^2) - (\xi_1^2 + \eta_1^2) \\ &= (\xi_2^2 - \xi_1^2) + (\eta_2^2 - \eta_1^2) \\ &= (\xi_2 - \xi_1)(\xi_2 + \xi_1) + (\eta_2 - \eta_1)(\eta_2 + \eta_1) \\ &= -\Delta \xi (\xi_2 + \xi_1) - \Delta \eta (\eta_2 + \eta_1) \end{aligned}$$

quite a bit  
more simplifica-  
is possible  
here.

-10

Is there  
more?

?

(CONT →)

25

(3-1)

3. WE KNOW FROM THE VAN CITTERT-ZERNIKE THEOREM THAT THE FOURIER TRANSFORM OF THE INTENSITY IS PROPORTIONAL TO THE COMPLEX COHERENCE FACTOR (FOR AN INCOHERENT SOURCE) TO WITHIN A PHASE FACTOR  $e^{j\psi}$  (Pg. 131). SINCE WE ARE USING A MICHELSON STELLAR INTERFEROMETER (IN WE ARE LOOKING AT STARS),  $Z \gg \frac{2(p_2^2 - p_1^2)}{\lambda}$ , AND, BY CONDITION (1) ON Pg. 135, WE MAY SET  $e^{-j\psi} \approx 1$ , LEAVING A DIRECT PROPORTIONALITY: (IN ONE DIMENSION) OF:

$$\mu(\Delta x) = \frac{1}{E} \int_{-\infty}^{\infty} I(\xi) e^{j \frac{2\pi}{\lambda z} \xi \Delta x} d\xi$$

WHERE  $\Delta x = x_1 - x_2 = S =$  "PINHOLE" SPACING, AND

$$E = \int_{-\infty}^{\infty} I(\xi) d\xi > 0$$

(THIS RELATIONSHIP IS GIVEN ON BOTTOM OF 131,  $\psi=0$ )  
 SETTING  $v = S/\lambda z$ , WE HAVE

$$\begin{aligned} \mu(v) &= \frac{1}{E} \int_{-\infty}^{\infty} I(\xi) e^{j 2\pi v \xi} d\xi \\ &= \frac{1}{E} \mathcal{F}^{-1} [I(\xi)] \end{aligned}$$

WHERE  $\mathcal{F}^{-1}(\cdot)$  DENOTES FOURIER TRANSFORMATION.

IT FOLLOWS THAT

$$I(\xi) = E \mathcal{F}^{-1} [\mu(v)] \stackrel{\Delta}{=} E \int_{-\infty}^{\infty} \mu(v) e^{-j 2\pi v \xi} dv$$

(1)  
(CONT  $\rightarrow$ )

(3-2)

NOW TO THE PROBLEM. WE KNOW THAT

$I(\xi)$  IS NON-NEGATIVE AND REAL. THUS  $\mu(u)$  MUST BE HERMETIAN:

$$\mu(v) = \mu^*(-v)$$

THIS IMMEDIATELY RULES OUT  $\mu_a(s)$  AS PICTURED IN FIG. d OF THE PROBLEM STATEMENT. (u, IT ISN'T HERMETIAN)

WE MUST NOW CHECK a, b, AND c TO SEE IF THEY ARE NON-NEGATIVE DEFINATE.

\* (FOOTNOTE NEXT PAGE)

$$\begin{aligned} a. \mu_a(s) &= \Lambda(s) + \frac{1}{2} [\Lambda(s+5) + \Lambda(s-5)] \\ \Rightarrow \mu_a(v) &= \Lambda(v) + \frac{1}{2} [\Lambda(v+5) + \Lambda(v-5)] \end{aligned}$$

THUS, FROM Eq. 1:

$$\begin{aligned} \frac{1}{E} I_a(\xi) &= \text{sinc}^2(\xi) + \frac{1}{2} [\text{sinc}^2 \xi e^{+j2\pi(5)\xi} + \text{sinc}^2 \xi e^{-j2\pi(5)\xi}] \\ &= \text{sinc}^2(\xi) [1 + \cos 10\pi\xi] \\ &= 2 \text{sinc}^2(\xi) \cos^2 5\pi\xi \\ \Rightarrow I_a(\xi) &= 2E \text{sinc}^2(\xi) \cos^2(5\pi\xi) \geq 0 \quad (2) \end{aligned}$$

THUS, THE  $\mu_a(s)$  IN FIG. a COULD VERY WELL BE THE ACTUAL COMPLEX COHERENCE FACTOR, AND  $I_a(\xi)$  THE CORRESPONDING INTENSITY.

(CONT. →)



(3-3)

$$b. \mu_b(v) = \Lambda(v) - \frac{1}{2} [\Lambda(v+5) + \Lambda(v-5)]$$

GOING THROUGH THE STEPS IN PART a:

$$\frac{1}{E} I_b(\xi) = \text{sinc}^2(\xi) [1 - \cos(10\pi\xi)]$$

$$\Rightarrow I_b(\xi) = 2E \text{sinc}^2(\xi) \sin^2(5\pi\xi) \geq 0 \quad (3)$$

THUS,  $\mu_b(s)$  COULD ALSO BE THE ACTUAL COMPLEX COHERENCE FACTOR

$$c. \mu_c(v) = \Lambda(v) + \frac{1}{2} [\Lambda(v+5) - \Lambda(v-5)]$$

$$\frac{1}{E} I_c(\xi) = \text{sinc}^2(\xi) + \frac{1}{2} [\text{sinc}^2(\xi) e^{j2\pi(5)\xi} - \text{sinc}^2(\xi) e^{-j2\pi(5)\xi}]$$

$$= \text{sinc}^2(\xi) - \text{sinc}^2(\xi) \frac{1}{2} [e^{j10\pi\xi} - e^{-j10\pi\xi}]$$

$$= \text{sinc}^2(\xi) - \text{sinc}^2(\xi) \sin(10\pi\xi)$$

$$\Rightarrow I_c(\xi) = E \text{sinc}^2(\xi) [1 - \sin(10\pi\xi)] \geq 0 \quad (4)$$

(SINCE  $0 \leq 1 - \sin(10\pi\xi) \leq 2$ )

AGAIN,  $\mu_c(s)$  COULD BE THE ACTUAL COMPLEX COHERENCE FACTOR.

ACTUALLY, THE WORK UP TO THIS POINT COULD HAVE BEEN AVOIDED, SINCE ALL HERMETIAN FUNCTIONS ARE NON-NEGATIVE DEFINITE\*, AND, BY INSPECTION, a, b, AND c ARE HERMETIAN.

\* [A NECESSARY CONDITION FOR  $\mu(v)$  TO BE NON-NEGATIVE DEFINITE IS THAT  $\mathcal{F}^{-1}[\mu(v)] \geq 0$  FOR ALL  $\xi$ ] (CONT.  $\rightarrow$ )

(3.4)

WE MAY, HOWEVER, USE THESE RESULTS TO ANSWER THE SECOND PART OF THE PROBLEM. FROM EQ.S. (2), (3), AND (4), WE WRITE

$$I_a(0) = 2E$$

$$I_b(0) = 0$$

$$I_c(0) = E$$

THUS, OF THESE THREE REMAINING CHOICES,  $I_a$  IS BRIGHTEST IN ITS CENTER ( $\xi=0$ ).

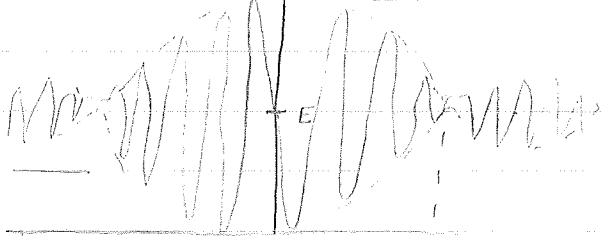
THUS, IF WE WERE TO CHOOSE BETWEEN

$\mu_a$ ,  $\mu_b$ , AND  $\mu_c$ , WITH THE APRIORI KNOWLEDGE THAT THE OBJECT IS

THE BRIGHTEST IN ITS CENTER,

WE WOULD HAVE TO CHOOSE  $\mu_a$ .

NOTE:  $I_c$  LOOKS ROUGHLY LIKE



AND OBVIOUSLY IS NOT BRIGHTEST IN ITS CENTER (i.e.  $I_c(0) < I_c(\text{MAX})$ )

4.9. WE HAVE SHOWN IN PROBLEM #4 OF  
 HOMEWORK SET #4, THAT WHEN AN INCOHERENT  
 SOURCE WITH INTENSITY  $I(\alpha, \beta)$  IS PLACED  
 IN THE FRONT FOCAL PLANE OF A THIN  
 LENS, THEN THE COMPLEX COHERENCE  
 FACTOR IN THE REAR FOCAL PLANE IS  
 GIVEN BY

$$\underline{\mu}(\Delta\xi, \Delta\eta) = \frac{1}{E} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\alpha, \beta) e^{j \frac{2\pi}{\lambda f} (\Delta\xi \alpha + \Delta\eta \beta)} d\alpha d\beta \quad (1)$$

WHERE

$$E = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\alpha, \beta) d\alpha d\beta \quad (2)^*$$

$$\Delta\xi = \xi_1 - \xi_2 \quad \Delta\eta = \eta_1 - \eta_2$$

AND  $(\xi, \eta)$  IS THE REAR FOCAL PLANE  
 (FOR THIS PROBLEM, THE OBJECT PLANE)

WE MAY EQUIVALENTLY WRITE Eq. 1 AS

$$\begin{aligned} \underline{\mu}(v_x, v_y) &= \frac{1}{E} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\alpha, \beta) e^{j 2\pi (\alpha v_x + \beta v_y)} d\alpha d\beta \\ &= \frac{1}{E} \mathcal{F}_1 [I(\alpha, \beta)] \quad ; \quad v_x = \frac{\Delta\xi}{\lambda f}, \quad v_y = \frac{\Delta\eta}{\lambda f} \end{aligned}$$

FOR THE GIVEN UNIFORM <sup>THIN</sup> ANNULUS, WE HAVE  
 BEEN INFORMED THAT

$$\mathcal{F}_1 [I(\alpha, \beta)] \cong 2\pi \rho W J_0(2\pi \rho \sqrt{v_x^2 + v_y^2})$$

(CONT  $\rightarrow$ )

\* FOR  $E$  TO BE CONSTANT, IT IS NECESSARY TO  
 REQUIRE  $W$  BE INVERSELY PROPORTIONAL TO  $\rho$   
 (SINCE  $\int \int I(\alpha, \beta) d\alpha d\beta \leq I_0 W (2\pi \rho)$ )

(4-2)

ASSUMING THAT THIS APPROXIMATION IS PRETTY GOOD, WE WRITE

$$\mu(v_x, v_y) \approx \frac{2\pi\rho W}{E} J_0 \left[ 2\pi\rho \sqrt{v_x^2 + v_y^2} \right]$$

$$\text{OR } \mu(\Delta\xi, \Delta\eta) \approx \frac{2\pi\rho W}{E} J_0 \left( \frac{2\pi\rho}{\lambda F} \sqrt{\xi^2 + \eta^2} \right)$$

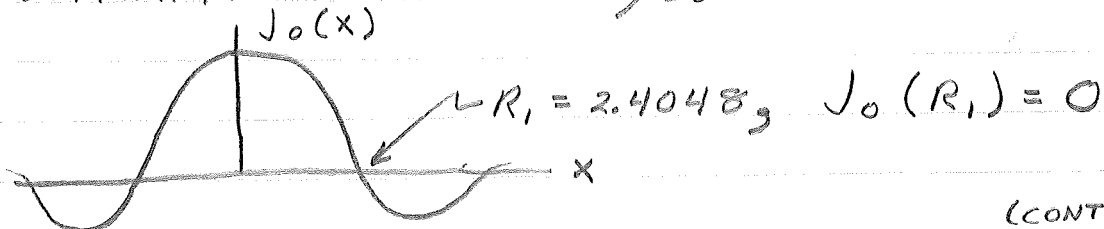
$$= \frac{2\pi\rho W}{E} J_0 \left( \frac{2\pi\rho r}{\lambda F} \right) \quad (3)$$

WHERE  $r = \sqrt{\xi^2 + \eta^2}$

WITH REFERENCE TO THE GEOMETRY, WE WISH TO FIND A  $\rho$  SUCH THAT THE LIGHT FALLING ON THE PINHOLES ON THE  $\xi$  AXIS IS INCOHERENT. WE SAY THE LIGHT IS INCOHERENT WHEN  $\mu = \mathcal{V} = \text{VISIBILITY} = 0$ . FROM Eq. 3, THIS OCCURS WHEN

$$J_0 \left( \frac{2\pi\rho r}{\lambda F} \right) = 0 \quad \checkmark$$

FROM THE LOWLY CRC, WE FIND THAT  $R_1 = 2.4048$  GIVES THE FIRST ZERO OF  $J_0(x)$ . THIS WILL GIVE THE SMALLEST VALUE OF  $\rho$  FOR INCOHERENT ILLUMINATION. CALL IT  $\rho_0$ .

(CONT  $\rightarrow$ )

(4-3)

THUS, WE HAVE

$$\rho_0 = \frac{\lambda F R_1}{2\pi r}$$

BUT! SINCE  $\Delta r = 0$ , WE HAVE

$$r = \Delta \xi \cdot \frac{\Delta \xi = \Delta}{1.22 \lambda F} \quad \text{not } \frac{\Delta}{2}$$
$$x = \frac{1}{2} \Delta = \frac{\Delta}{2}$$

THUS

$$\rho_0 = \left( \frac{\lambda F R_1}{2\pi} \right) \left( \frac{2D}{1.22 \lambda F} \right)$$

$$= \frac{DR_1}{1.22 \pi} = \frac{D(2.40)}{1.22 \pi}$$
$$= 0.626 D$$

correct answer  
 $\approx 0.32 D$

(half your result)

(DUE TO SYMMETRY WE RESTRICT  $\Delta$

TO BE EVENLY SPACED ABOUT THE ORIGIN:

$$\left( \begin{array}{c} \frac{\Delta}{2} \quad \frac{\Delta}{2} \\ \hline 0 \quad 0 \end{array} \right)$$

(4-4)

b. THIS PROBLEM IS ADDRESSED

IN SEC. 8.3.3. WE NEED MERELY  
TO COPY DOWN SOME RELATIONSHIPS  
AND INTERPRET.

THE OBJECT TRANSPARENCY IS

$$L_o(\xi, \eta) = a \delta\left(\xi - \frac{\Delta}{2}; \eta\right) + a \delta\left(\xi + \frac{\Delta}{2}, \eta\right)$$

THE CORRESPONDING IMAGE PLANE

INTENSITY (Pg. 163) IS

$$I_i(u, v) = I \left[ \left| \underline{K}(u - \frac{\Delta}{2}, v) \right|^2 + \left| \underline{K}(u + \frac{\Delta}{2}, v) \right|^2 \right. \\ \left. + 2 \operatorname{Re} \left\{ \underline{\mu} \underline{K}(u - \frac{\Delta}{2}, v) \underline{K}^*(u + \frac{\Delta}{2}, v) \right\} \right]$$

WHERE  $I = a^2 \underline{J}_0(0, 0)$  ("0" FOR OBJECT)

$$\underline{\mu} = \frac{1}{I} a^2 \underline{J}_0(\Delta, 0)$$

FOR A CIRCULAR PUPIL FUNCTION

(ABERRATION-FREE), WE HAVE (FROM 164)

$$\underline{K}(u, v) = \overset{\text{REAL}}{K(s)} = \frac{\bar{R} D^2}{8 F} \left[ 2 \frac{J_1\left(\frac{\bar{R} D s}{2 F}\right)}{\frac{\bar{R} D s}{2 F}} \right]$$

WHERE  $s = \sqrt{u^2 + v^2}$  AND  $D$  IS THE  
PUPIL'S DIAMETER, IT FOLLOWS

THAT

$$\underline{K}(u \pm \frac{\Delta}{2}, 0) = K(u \pm \frac{\Delta}{2})$$

$$= \frac{\bar{R} D^2}{8 F} \left[ 2 \frac{J_1\left\{ \frac{\bar{R} D (u \pm \frac{\Delta}{2})}{2 F} \right\}}{\frac{\bar{R} D (u \pm \frac{\Delta}{2})}{2 F}} \right] \quad (1)$$

(CONT. →)

(4.5)

WE MAY REWRITE THE INTENSITY (@  $V=0$ )  
(VIA THE Eq. @ THE TOP OF 165)

$$I_i(u, 0) = I \left[ K^2(u - \frac{\Delta}{2}) + K^2(u + \frac{\Delta}{2}) + 2\mu K(u - \frac{\Delta}{2}) K(u + \frac{\Delta}{2}) \cos \phi \right] \quad (5)$$

WHERE

$$\mu = |\mu| \quad \text{AND} \quad \phi = \arg \mu$$

THIS RELATIONSHIP FOLLOWS, SINCE  
 $K$  IS STRICTLY REAL.

WE NOW WISH TO FIND A  $\rho_i$ , SUCH  
THAT  $I_{i, \text{MAX}} / I_i(0, 0)$  IS MAXIMUM. FIRST

OFF, WE NOTE THAT  $I$  WILL NOT ENTER  
INTO THE CALCULATION. SECONDLY,

$K^2(u \pm \frac{\Delta}{2})$  IS INDEPENDENT OF OUR  
SOURCE PARAMETERS. THUS, WE

CONCLUDE, THAT THE PARAMETER  
OF INTEREST IN Eq. 5 IS  $\mu$ ,

HERE REWRITTEN FROM Eq. 3:

$$\underline{\mu} = \frac{2\pi \rho W}{E} J_0 \left( \frac{2\pi \rho r}{\lambda F} \right)$$

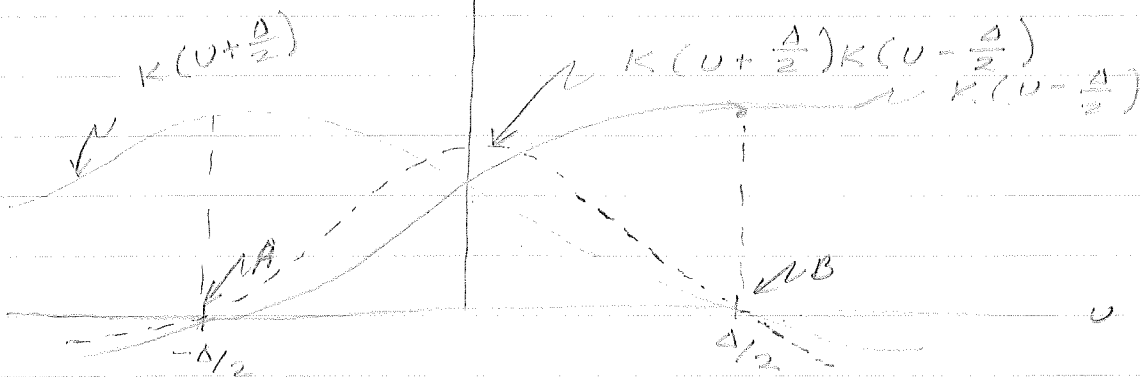
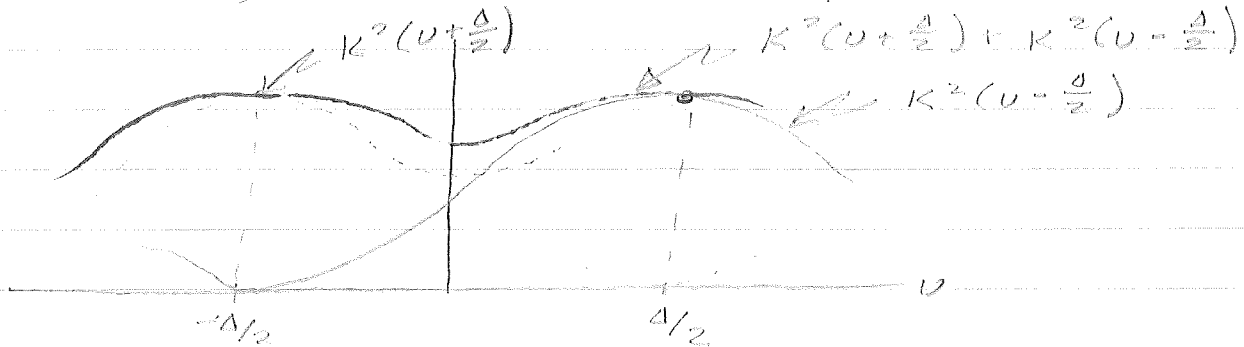
IN Eq. 5, WE ARE INTERESTED IN  $\underline{\mu}$   
EVALUATED @  $r = \frac{\Delta}{2}$

$$\Rightarrow \underline{\mu} = \frac{2\pi \rho W}{E} J_0 \left( \frac{\pi \rho \Delta}{\lambda F} \right) = \frac{2\pi \rho W}{E} J_0 \left( \frac{1.22 \pi \rho}{D} \right) \quad (6)$$

(CONT.  $\rightarrow$ )

(4-6)

ROUGHLY, A SKETCH OF  $I(u, 0)$ 'S TERMS ARE



FOR FIXED  $I$ ,  $I_i(\pm \frac{\Delta}{2})$  REMAINS FIXED.  
BY VARYING THE AMPLITUDE OF THE  
BOTTOM SKETCH (BY  $\text{Re } \mu$ ), WE  
GENERATE AN INTENSITY CURVE MUCH  
LIKE THAT ON Pg. 165. (THE TOP  
SKETCH <sup>ABOVE</sup> REMAINS FIXED).

IT WOULD BE NICE TO SAY THAT  
THE RELATIVE MAXIMA OF THIS INTENSITY  
CURVE (FOR SUFFICIENTLY SMALL  $\text{Re } \mu$ )  
LIES @  $u = \pm \frac{\Delta}{2}$ . THE ACTUAL MAXIMA,  
HOWEVER, WILL BE A WEE BIT MORE  
CLOSELY SPACED. (FOR CURVES AS SHOWN)  
TO DETERMINE THESE VALUES EXACTLY  
WOULD REQUIRE DIFFERENTIATING

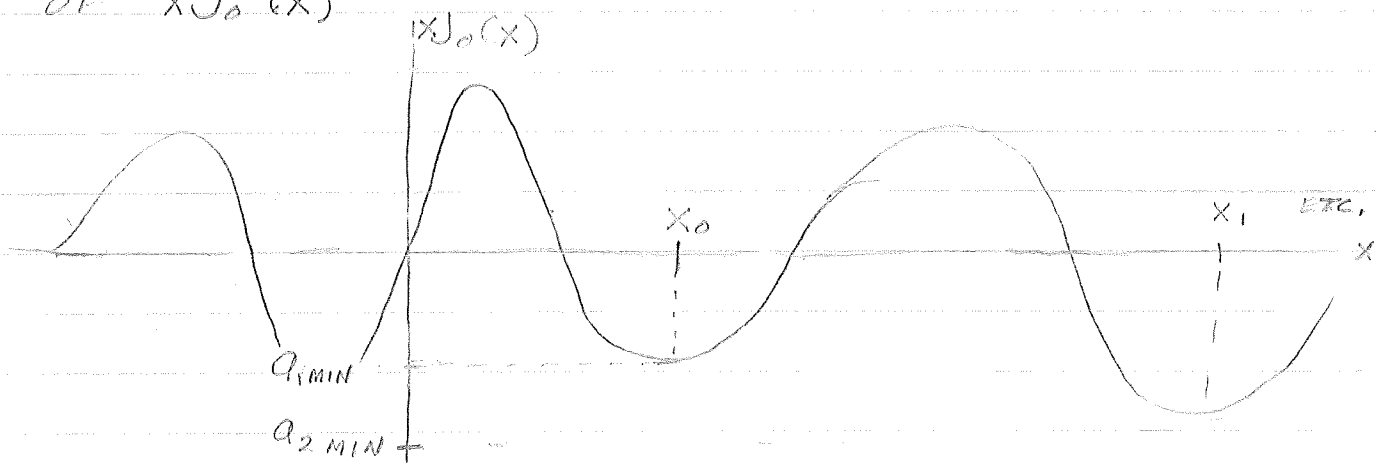
(CONT →)



@  
 SOMBRARO FUNCTIONS, (A BLEAK  
 UNDERTAKING). AS SUCH, AS ENGINEERS,  
 LETS ASSUME THAT THE SLOPES AT  
 POINTS A AND B ARE SUFFICIENTLY  
 SMALL, AND THAT THE RELATIVE  
 MAXIMA (IF ANY), OCCUR @  $U = \pm \frac{\Delta}{2}$ .  
 (THERE WOULD ALSO BE A MAXIMA @  
 $U=0$  FOR LARGE ENOUGH  $R_0 \mu$ ).

IT REMAINS TO MINIMIZE THE  
 TERM  $\mu K(U + \frac{\Delta}{2}) K(U - \frac{\Delta}{2})$  @  $U=0$  (HERE  $\mu$  IS REAL)  
 ONE'S FIRST INCLINATION IS TO  
 SET  $\mu=0$ . (COMPLETE INCOHERENCE),  
 BUT WE CAN DO BETTER THAN THAT. (i.e. SET  $\mu < 0$ ).  
 WITH REFERENCE TO Eq. 6, THE  
 SMALLEST VALUES OF  $\mu$  COME  
 FROM THE NEGATIVE EXTREMA  
 OF  $XJ_0(x)$

$\left\{ \begin{array}{l} \sqrt{\mu^2} = \mu \\ \cos \phi = -1 \end{array} \right.$



FROM CRC

$x_0 = 4.1$  AND  $a_{MIN} = 1.6$

( ) BUT  $a_{MIN_2} < a_{MIN_1}$

$\Rightarrow$  MAGNITUDE OF MINIMUMS INCREASE WITH  $x$

(CONT  $\rightarrow$ )

(4-8)

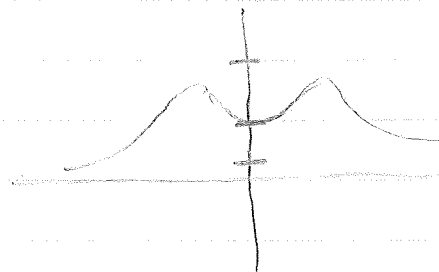
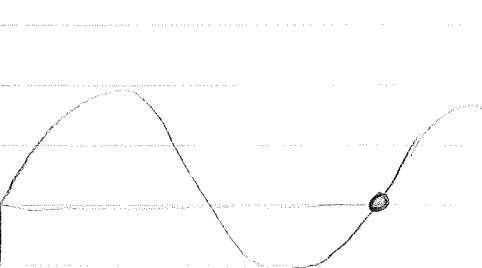
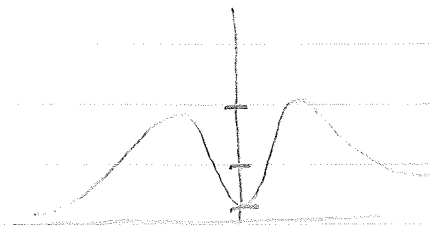
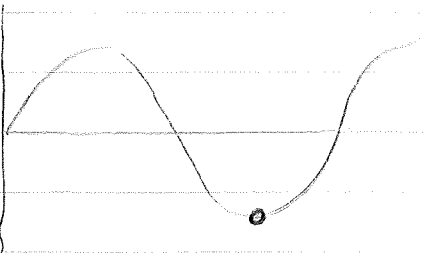
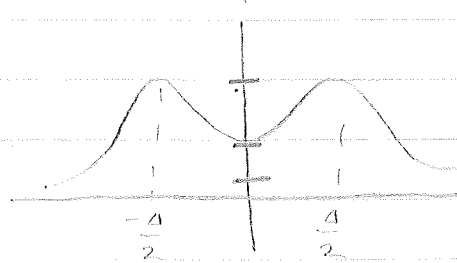
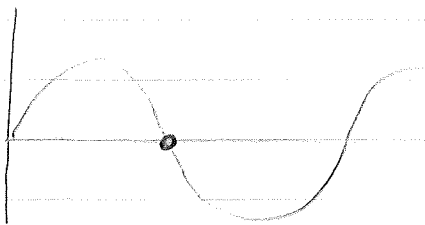
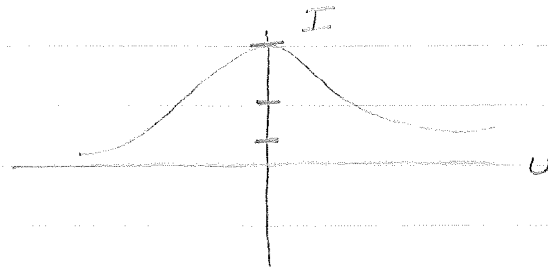
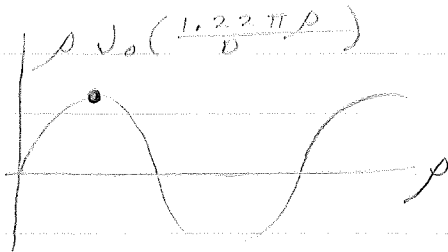
SO WHATS THE ANSWER TO THE PROBLEM?

THERE IS NONE.

ROUGHLY,  $\rho$  MAY BE THOUGHT OF AS  $x$  ON THE PREVIOUS SKETCH, AS

$\rho$  ( $> 0$ ) GOES FROM PEAK TO PEAK,  
THE INTENSITY (@  $u=0$ ) GOES

THROUGH AN OSCILLATION OF SORTS?



ETC.

(CONT  $\rightarrow$ )

(4-9)

FURTHERMORE, THE VALUE OF  $I_2(0)$  BECOMES LESS AND LESS FOR EACH VALUE OF  $\rho$  <sup>INCREASING</sup> CORRESPONDING TO A RELATIVE MINIMUM. FOR LARGE  $\rho$ ,  $\rho J_0(\rho)$  ACTS PURELY SINUSOIDAL.

TO ACTUALLY SOLVE THIS PROBLEM, ONE WOULD NEED TO KNOW SOME CONSTRAINTS ON THE SYSTEM (SUCH AS PUPIL SIZES) TO <sup>UPPER</sup> BOUND ALLOWABLE  $\rho$ 'S. THE GIVEN PROBLEM STATEMENT IS MEANINGLESS (UNLESS I MISS SOMETHING).

SUPPOSE WE WISH TO CALCULATE THE FIRST MINIMUM, CORRESPONDING TO  $x_0 = 4.1$ . THEN, FROM Eq. 6:

$$x_0 = 4.1 = \frac{1.22 \pi \rho}{D}$$

-2

THE DESIRED  $\rho$  IS

$$\rho = \frac{D}{\pi} \frac{4.1}{1.22} \approx \frac{3.4}{\pi} D \approx 1.1 D$$

$$\rho \approx 0.5 D$$

So again you're too high by a factor of two.

Bob - I think you're making part (b) appear much tougher than it is. It seems to me that all one needs to ask is for what  $\rho$  is does  $J_{1,2}(x, 0)$  have its max negative value.  $J_0(x) \approx -0.4$  for  $x \approx 3.8$ , then plug in.

EE 5355 (JFW)

Spring 1976

## Project

- ① Read 2-3 basic papers in an area of your choice (choose from journals, monographs, etc.). See sample topics below.
- ② Write an 8-10 page paper summarizing the key results and indicating the state-of-the-art in the area. Paper due on April 23, 1976.
- ③ Present a 20 minute illustrated talk to the class based on your research efforts. The talks will be presented during the week of April 26, 1976.

### Sample topics:

- ① speckle interferometry
- ② info. processing with speckle
- ③ active optics for imaging through the atmosphere
- ④ suppression of optical noise sources
- ⑤ synthetic aperture imaging

To: Interested Faculty/Students

From: John Mallon

Subject: EE 5353 (Statistical Optics) Oral Talks

The members of the class are going to be giving 20 minute oral talks on various areas of statistical optics. These talks will be presented in the EE Conference Room (Rullen Room- EE 105) on ~~Wed~~, 9:30 am of the week of 26-30 April. The talks will be illustrated and should (we hope) be informative. Please feel free to sit in if interested.

Monday 4/21: Steven Bell - "Introduction to Superresolution"

9:30-10:30 am Bob Marks - "Degrees of Freedom of an Image: Superresolution and Effects of Coherence"

Wednesday 4/23:

9:30-11:00 am Ajit Khatra- "Interferometric Star-Tracking"

Doug Brandon-"Stellar Speckle Interferometry"

Lloyd Matthews-"Propagation of Intensity through the Turbulent Atmosphere"

Friday 4/30:

9:30-10:30 am Tong Yao- "Measurements of Atmospheric Turbulence"

Ching-Tsai Pan- "Measurement of Surface Roughness using Speckle"

## The Degrees of Freedom of an Image:

### Superresolution and Effects of Coherence

Robert J. Marks II

The number of degrees of freedom of an image is a rough measure of the amount of information contained in the image. From a communications viewpoint, if one were to transmit a signal with a certain number of degrees of freedom (DOF), the optimal receiver would receive the same amount of information. Noise and channel capacity, though, many times limit such a performance.

In optics, the signal to be sent is termed the object. The channel consists of the system geometry (including aberrations) and appropriate channel noise. The receiver is simply an output plane whereon the image is received. The capacity of an imaging system rests on the channel and upon the mode of transmittance, or, more specifically, the degree of coherence of the (quasi-monochromatic) light.

This paper traces the development of the concept of degrees of freedom as applied to imaging systems, and particularly addresses the role of coherence on determining the system's information capacity. For effects of aberrations, the motivated reader is referred to Gori et. al.<sup>1</sup> and for effects of noise, to Bendinelli et. al.<sup>2</sup>

#### The concept of degrees of freedom

The idea of DOF, as applied to optics, was probably first suggested by di Francia<sup>3</sup> in 1955. He considered the simple one dimensional imaging system pictured in Fig. 1. An object is illuminated by a normally incident coherent plane wave and is Fourier transformed by L1. On the back focal plane of L1, there is a pupil of spatial width  $2S$ . The spectrum of the

( )

( )

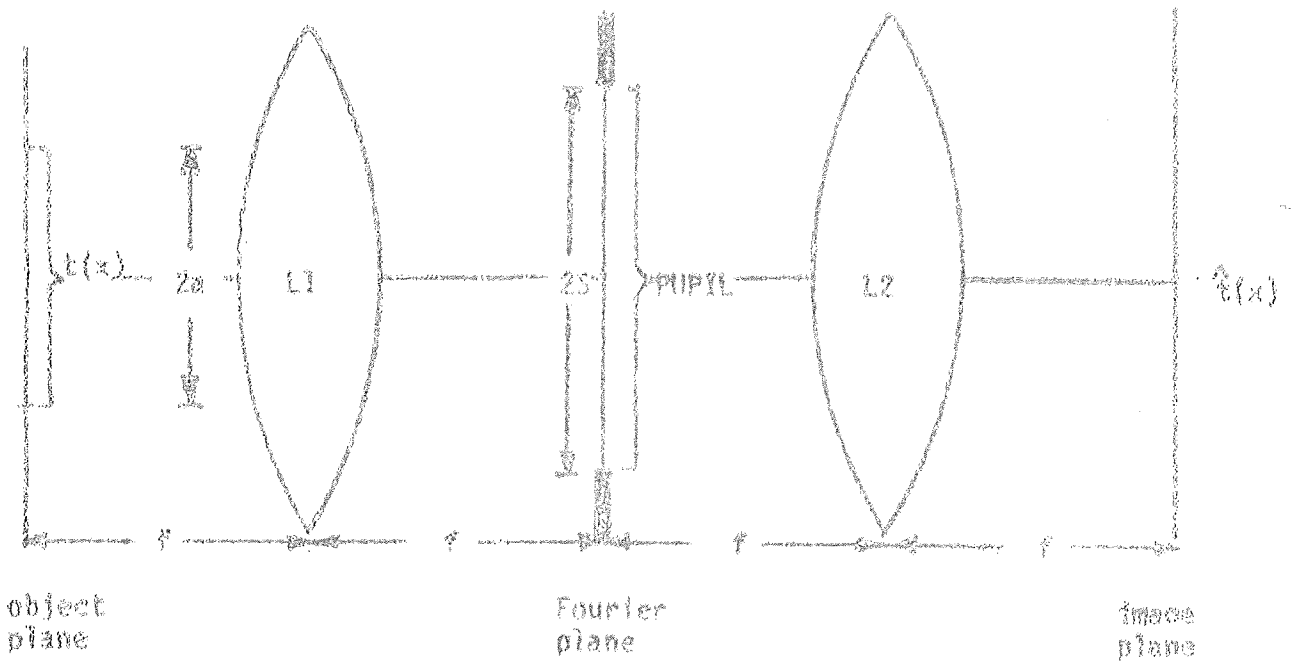


Fig.1: Geometry of a simple imaging system.

( )

object,  $t(x)$ , is thus effectively placed through a low pass filter of bandwidth

$$2w = \frac{2f}{\lambda f} \quad (1)$$

where  $\lambda$  is the wavelength of the spatially coherent illumination and  $f$  is the focal length of  $L_1$ . Disregarding coordinate reversal, the field amplitude on the back focal plane of  $L_2$  is

$$\hat{t}(x) = \int_{-w}^w T(v) e^{j2\pi vx} dv \quad (2)$$

where  $T(v)$  is the Fourier transform of the object:

$$T(v) = \int_{-\infty}^{\infty} t(\xi) e^{-j2\pi v\xi} d\xi \quad (3)$$

Since the image is bandlimited, we may expand it via the sampling theorem:

$$\hat{t}(x) = \sum_{n=-\infty}^{\infty} \hat{t}\left(\frac{n}{2w}\right) \text{sinc}(2wx - n) \quad (4)$$

If the object is zero outside of the interval  $x \in [-a, a]$ , then to a good approximation, we may write Eq. 4 as

$$\hat{t}(x) \approx \sum_{n=-S/2}^{S/2} \hat{t}\left(\frac{n}{2w}\right) \text{sinc}(2wx - n) \quad (5)$$

where the space-bandwidth product

$$S = 4wa \quad (6)$$

is the required number of sample values to characterize  $\hat{t}(x)$ . Di Francia terms  $S$  the Shannon number, which is a measure of the amount of information or degrees of freedom capable of being transmitted by the given imaging system.



Di Francia concluded that, due to the finite capacity of the system, different objects might give rise to equivalent images. As noted by Waller<sup>6</sup> and others, such is not the case for an object of finite support, since, incident on the rear focal plane of L1 (Fig.1) is a bandlimited function. Bandlimited functions have been shown to be analytic.<sup>7</sup> Any analytic function known over a finite neighborhood can be constructed exactly in the region of its analyticity. (For example, consider the region of convergence of a Taylor series expanded about a single analytic point.<sup>8</sup>) Thus, it follows that since we know  $T(v)$  over the finite pupil in the Fourier plane, and since  $T(v)$  is analytic, we know  $T(v)$  everywhere. Thus, with knowledge of  $\hat{t}(x)$ , we know  $t(x)$ , and, as modeled, the imaging system has an infinite capacity for transmittance of information! One must furthermore conclude that, contrary to di Francia's statement, a given image must correspond to a unique object.

As we shall see, though, the above "something for nothing" statements are true only in the strictest of mathematical senses and disregard important physical realities, the foremost of which is noise.

### The problem with superresolution

Consider Fig.2 in which a face and a corresponding nose are pictured. By previous arguments, if the face can be represented by a (two dimensional) analytic function, then, with knowledge of only the nose, we could, in principle, reconstruct the entire face! Such a use of analytic continuation is known in the optics community as superresolution.

Analytic continuation for the case where one has knowledge of the function over a finite (rectangular) region has been admirably presented by Slepian and Pollak.<sup>10</sup> With reference again to Fig.1, we may express the image,  $\hat{t}(x)$ , as

$$\hat{t}(x) = \int_{-a}^a t(\xi) \operatorname{sinc} 2W(x - \xi) d\xi \quad (7)$$

The corresponding integral equation is

$$\lambda_n \psi_n(x) = \int_{-a}^a \psi_n(\xi) \operatorname{sinc} 2W(x - \xi) d\xi \quad (8)$$



Fig.2: A pose and a corresponding face

Solution of this relationship gives orthonormal eigen functions,  $\psi_n(x)$  which are proportional to appropriately scaled spheroidal wave functions which have the unusual property of being orthonormal over the interval  $[-a, a]$  and over  $[-\infty, \infty]$ . The  $\lambda_n$  are, of course, the resulting eigenvalues.

We may now expand the object in an orthonormal series:

$$t(x) = \sum_{n=-\infty}^{\infty} c_n \psi_n(x) \quad (9)$$

where

$$c_n = \int_{-\infty}^{\infty} \psi_n^*(x) t(x) dx \quad (10)$$

It follows from Eqs.7 and 8 that the corresponding image is simply

$$\hat{t}(x) = \sum_{n=-\infty}^{\infty} \lambda_n c_n \psi_n(x) \quad (11)$$

Thus, with knowledge of the image in terms of its coefficients,  $\lambda_n c_n$ , we have exact knowledge of the object simply by weighting each coefficient with its corresponding eigenvalue. This constitutes superresolution.

Stephan and Sonnenblick<sup>11</sup> have evaluated the eigenvalues of Eq.8 which are here roughly sketched in Fig.3. Note the step function nature of the curve, which, as noted by di Francia<sup>12</sup>, goes nearly zero for  $n$  larger than the Shannon number. We must therefore conclude that information about the object in Eq.11 is almost completely carried by the first  $\psi_n$ 's up to  $n=5$ , while information carried by higher values of  $n$  is virtually lost.

This result reveals the weakness of superresolution. For  $n > 5$ , small perturbations in  $\lambda_n c_n$  due to noise or measurement error will cause drastic changes in the computed object. The idea of degrees of freedom, as proposed by di Francia, thus remains valid since only 5 output coefficients can be physically measured to a sufficient degree of

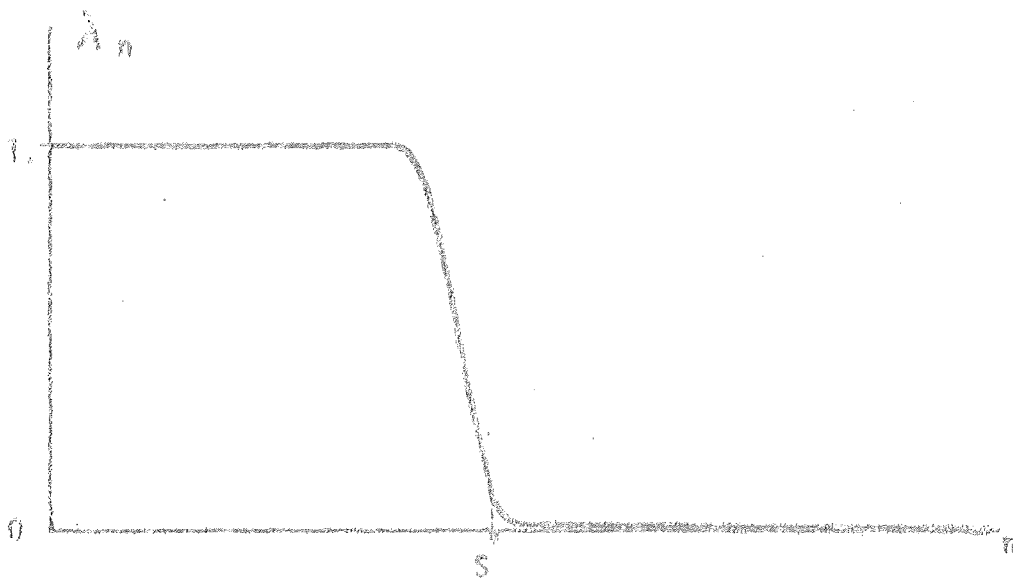


FIG 3: A sketch of the eigenvalues for the prolate spheroidal wave functions. (The curve has been smoothed by connecting adjacent points for clarity of presentation).

accuracy.

Attempts at implementing superresolution have been reported in the literature. Evans<sup>13</sup> presents an interesting scheme whereby a priori knowledge of the object is utilized. Sato, Ueda, and their colleagues<sup>14</sup> have presented schemes by which more information may be transmitted through the imaging system.

### Effects of coherence on degrees of freedom

#### 1. Di Francia vs. Walther

The first discussion of the effect of coherence on the information capacity of an imaging system must again be credited to di Francia.<sup>12</sup> He reasoned as follows. For coherent illumination, the DOF is as given in Eq.6. For incoherent illumination, one must essentially replace the pupil in Fig.1 with its autocorrelation which will have twice the spatial extent of the original pupil. Regarding only this spatial extent as a measure of bandwidth, we see that the number of DOF is almost doubled:

$$S_i \approx [2(2w)](2a) = 8aw \quad (12)$$

However, we have failed to take into account that  $S$  in Eq.6 denotes the number of complex samples, each of which represents two real samples. Thus, we must rewrite  $S$  as

$$S_e \approx 2(4aw) = 8aw \quad (13)$$

Since  $S_i$  is real, we must conclude that, in all practical cases, the number of DOF for this one dimensional example is equivalent for both coherent and incoherent illumination.

For certain pupils in two dimensions, di Francia claims this is not the case. For example, a (pseudo-one-dimensional) thin ring pupil of radius  $r$  transmits a number of DOF proportional to its arc length

(and loss  $r$ ) for the case of incoherent illumination. This follows from the one dimensional nature. For incoherent illumination, we are interested in the pupil autocorrelation which covers the area of an entire pupil. Again employing the argument of proportionality of pupil area and DOF, we conclude that, for incoherent illumination, the information capacity of the system is greatly increased. That is, the number of DOF is now proportional to  $r^2$ .

In the above argument, we have ignored the fact that for incoherent illumination there is a roll off in attenuation of higher frequencies. Referring di Francia's observations, and defining one of his earlier papers, Walker<sup>15</sup> claims this omission invalidates di Francia's conclusions. In fact, the inability to claim information from the higher attenuated frequencies led Walker to conclude that the information capacity of the imaging system was equivalent for both coherent and incoherent illumination regardless of pupil geometry. In the same letter,<sup>15</sup> di Francia stands by his conclusion and cites experimental evidence in its support. di Francia, as we shall see, was correct.

## 2. The pupil as points approach

The problem of the effects of partial coherence on resolution should one year after the di Francis-Walker conflict by Carl and Rastner,<sup>16</sup> a later paper by the same authors<sup>17</sup> is also worthy of noting.

Carl and Rastner considered the imaging system in Fig. 4 which is suitably analyzed by Goodman<sup>18</sup>. The image irradiance on the image plane is:

$$I(x) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\xi_1) P(\xi_2) e^{-\frac{i 2\pi x}{\lambda f} (\xi_1 - \xi_2)} \times \left[ \int_{-\infty}^{\infty} I(\sigma) h(\sigma + \xi_1) h^*(\sigma - \xi_2) d\sigma \right] d\xi_1 d\xi_2 \quad (16)$$

where

$P(\xi)$  is the pupil function on the pupil plane,

$I(\sigma)$  is the quasi-monochromatic radiance distribution on the source plane,

$h(y)$  is the complex amplitude transmittance on the object plane.

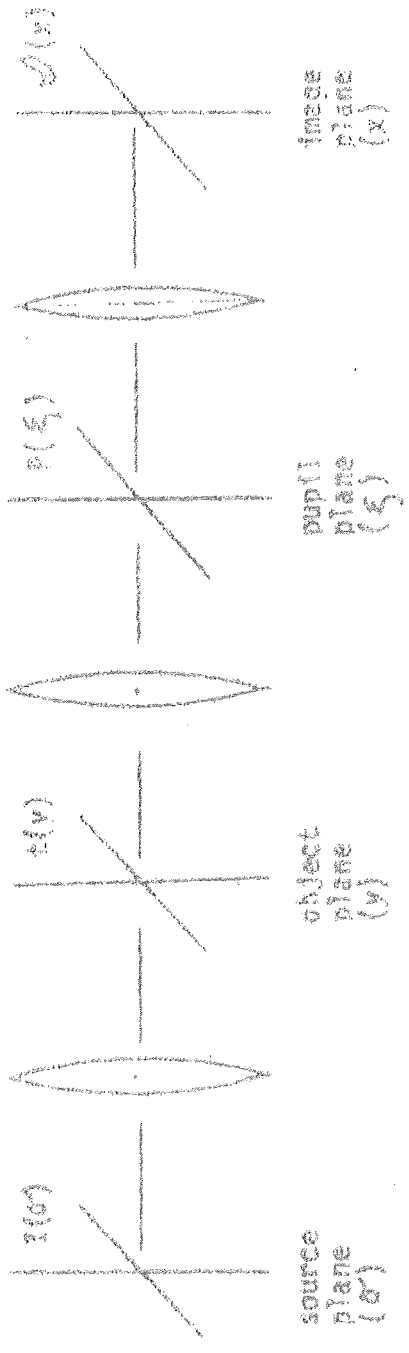


Fig. 4: Imaging system geometry for the purpose of determining the effect of the source's degree of coherence on the capability of the system to transmit information.

We consider the special case where the pupil is an array of point-like sources:

$$P(\xi) = \sum_{\alpha=1}^n P_{\alpha} \delta(\xi - \xi_{\alpha}) \quad (15)$$

Here,  $P_{\alpha}$  and  $\xi_{\alpha}$  are the respective amplitude and location of these points and  $\delta(x)$  is the Dirac delta. Substituting into Eq. 14 and simplifying gives

$$\mathcal{D}(x) = \mathcal{D}_0 + 2 \sum_{\alpha > \beta}^n \mathcal{D}_{\alpha, \beta} \cos \left\{ \frac{\pi}{\lambda f} (\xi_{\alpha} - \xi_{\beta}) x + \phi_{\alpha, \beta} \right\} \quad (16)$$

where

$$\mathcal{D}_{\alpha} = \sum_{\alpha=1}^n |P_{\alpha}|^2 \int_{-\infty}^{\infty} I(\sigma) |t(\sigma + \xi_{\alpha})|^2 d\sigma \quad (17)$$

and

$$\mathcal{D}_{\alpha, \beta} e^{i\phi_{\alpha, \beta}} \Big|_{\alpha \neq \beta} = P_{\alpha} P_{\beta}^* \int_{-\infty}^{\infty} I(\sigma) t(\sigma + \xi_{\alpha}) t^*(\sigma + \xi_{\beta}) d\sigma \quad (18)$$

From these relationships, we see that, in order to express  $\mathcal{D}(x)$ , we need knowledge of  $n(n-1)$  terms. Specifically,  $n(n-1)/2$  phase terms,



$\phi_{\alpha, \beta}$ ,  $n(n-1)/2$  intensity terms,  $\mathcal{D}_{\alpha, \beta}$ , and lastly, the single value  $\mathcal{D}_{\alpha}$ . Thus, assuming these terms are independent and unequal, we have a number of DOF equal to

$$N_L = n(n-1) + 1 \quad (19)$$

However, some terms might be dependent or equal. Thus,  $N_L$  must be the upper bound on the number of DOF for the given system.

To examine the possibility of equivalence of terms, Gori and Guattari introduce the geometric-efficiency factor  $\mathcal{N}$ . Some reflection on the reader's part will reveal that the number of unique values in Eq. 16 is the number of points,  $N_{\max}$ , in the pupil's autocorrelation function. As far as the pupil is concerned,  $N_{\max}$  is the maximum allowable number of DOF. The geometric-efficiency factor for the pupil is thus defined as

$$\mathcal{N} = N_{\max} / N_L \quad (20)$$

It follows that, for a given pupil,  $\mathcal{N} N_L$  real parameters are needed to define  $\mathcal{D}(x)$  in Eq. 16. It remains to determine the corresponding information required to specify  $\mathcal{D}(x)$ . That is, what dependence exists between the various parameters.

We consider first the limiting cases of coherent and incoherent illumination. For the coherent case, we may write

$$I(\sigma) = \delta(\sigma) \quad (21)$$

Equations 17 and 18 thus become

$$D_{\alpha} = \sum_{\alpha=1}^n |P_{\alpha} t(\xi_{\alpha})|^2 \quad (22)$$

and

$$\begin{aligned} D_{\alpha, \beta} e^{i\phi_{\alpha, \beta}} \Big|_{\alpha \neq \beta} &= |P_{\alpha} t(\xi_{\alpha})| |P_{\beta} t(\xi_{\beta})| \\ &\times e^{i[\arg P_{\alpha} t(\xi_{\alpha}) - \arg P_{\beta} t(\xi_{\beta})]} \end{aligned} \quad (23)$$

If we know  $|t|$  and all of the differences  $\arg P_{\alpha} t(\xi_{\alpha}) - \arg P_{\beta} t(\xi_{\beta})$ , then we have complete knowledge of  $\mathcal{D}(x)$  in Eq. 16. This constitutes  $n(n-1)$  degrees of freedom.

For the incoherent case

$$I(\sigma) = I_0 \quad (24)$$

Equations 17 and 18 become

$$D_{\alpha} = \sum_{\alpha=1}^n |P_{\alpha}|^2 I_0 \int_{-\infty}^{\infty} |t(\sigma)|^2 d\sigma \quad (25)$$

and

$$\begin{aligned} D_{\alpha, \beta} e^{i\phi_{\alpha, \beta}} \Big|_{\alpha \neq \beta} &= P_{\alpha} P_{\beta} I_0 \\ &\times \int_{-\infty}^{\infty} t(\sigma) t^{*}(\sigma - \xi_{\alpha} - \xi_{\beta}) d\sigma \end{aligned} \quad (26)$$

Both Eq. 25 and Eq. 26 are determined by the  $N_{\max} = M N_L$  terms in the auto-correlation of  $t$ . Thus, we have  $N_{\max}$  DOF and have reached the previously derived upper bound.

For the partially coherent case, we expect the number of DOF to be somewhere between the worst case coherent and optimal incoherent number. We model our partially coherent source as a number of point elements:

$$I(\sigma) = \sum_{\gamma=1}^m I_{\gamma} \delta(\sigma - \sigma_{\gamma}) \quad (27)$$

Equations 17 and 18 become

$$D_{\alpha} = \sum_{a=1}^A |P_a|^2 \sum_{\gamma=1}^m I_{\gamma} |t(\sigma_{\gamma} + \xi_a)|^2 \quad (28)$$

and

$$D_{a,b} e^{j\phi_{a,b}} \Big|_{a \neq b} = P_a P_b \sum_{\gamma=1}^m I_{\gamma} |t(\sigma_{\gamma} + \xi_a)| |t(\sigma_{\gamma} + \xi_b)| \times e^{j[\arg t(\sigma_{\gamma} + \xi_a) - \arg t(\sigma_{\gamma} + \xi_b)]} \quad (29)$$

If we want to express all of the terms in Eqs. 28 and 29, by knowledge of the complex function  $t$ , we should know  $|t|$  at all of the points

$\sigma_{\gamma} + \xi_{a\gamma}$ , and the differences of  $\arg(t)$  at these same points. To evaluate the number of distinct values of the sum  $\sigma_{\gamma} + \xi_{a\gamma}$ , we must count the number  $M$  of the points of the convolution function between

the source radiance distribution and the pupil function. This amounts to  $2M-1$  real data. Ergo, the  $2L N_L$  unknown terms are all independent only if  $2M-1 \geq 2L N_L$ . Otherwise, only  $2M-1$  terms are independent. This is the resulting number of DOF. Employing the coherent limit as the lower bound, the minimum value of the DOF is  $2n-1$ .

### Discussion

The DOF measure of the information capacity of an imaging system as introduced by di Francia has withstood attacks of superresolution principles. The number of DOF has been shown to increase monotonically with the degree of incoherence of illumination for the case of point like pupils by Gori and Guattari. This is in direct contradiction with Walther's statement that DOF was independent of the degree of coherence.

It is this author's conjecture that sampling theorem notions could be applied to characterize the effects of coherence for a larger class of pupils. This follows from the investigation of Gori and Guattari where the 1) pupil 2) input transmittance and 3) source were all modeled as sampled processes at some time in the analysis.

### Acknowledgements

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INTRODUCTION TO STATISTICAL OPTICS

by J. W. GOODMAN

## VOCABULARY AND DEFINITIONS

HUYGEN'S FRESNEL PRINCIPLE:  $U(P_0, v) = \frac{1}{\lambda} \int_{\Sigma} U(P, v) \frac{e^{j2\pi r/\lambda}}{r} \chi(\theta) d\Sigma$

OBLIQUITY FACTOR

CIRCULAR COMPLEX RANDOM PROCESS

THERMAL LIGHT

DEGREE OF POLARIZATION:  $\rho = \frac{I_1 - I_2}{I_1 + I_2}$

STRUCTURE FUNCTION:  $D_\theta \triangleq E[(\theta(t_2) - \theta(t_1))^2]$

MICHELSON INTERFEROMETER

TEMPORAL COHERENCE

INTERFEROGRAM

SELF-COHERENCE FUNCTION:  $\Gamma(\tau) = \langle U(t+\tau) U^*(t) \rangle$

COMPLEX DEGREE OF COHERENCE:  $\gamma(\tau) = \frac{\Gamma(\tau)}{\Gamma(0)}$

FRINGE VISIBILITY:  $\mathcal{V} = \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$

COHERENCE TIME:  $\tau_c = \int_{-\infty}^{\infty} |\gamma(\tau)|^2 d\tau \approx \frac{1}{\Delta\nu}$

POWER SPECTRAL DENSITY:  $\Gamma(\tau) = \int_0^{\infty} 4 \mathcal{G}^{(r,r)}(\nu) e^{-j2\pi\nu\tau} d\nu$

NORMALIZED POWER SPECTRAL DENSITY:  $\hat{\mathcal{G}}(\nu) = \frac{\mathcal{G}^{(r,r)}(\nu)}{\int_0^{\infty} \mathcal{G}(\nu) d\nu}$

FOURIER SPECTROSCOPY

YOUNG'S EXPERIMENT

MUTUAL COHERENCE FUNCTION:  $\Gamma_{12}(\tau) = \langle U_1(t+\tau) U_2^*(t) \rangle$

COMPLEX COHERENCE FACTOR:  $\gamma_{12}(\tau) = \frac{\Gamma_{12}(\tau)}{\sqrt{\Gamma_{11}(0)\Gamma_{22}(0)}}$

SPATIAL COHERENCE:  $\gamma_{12}(0)$

PARAXIAL APPROXIMATION:  $r_2 - r_1 \approx \frac{1}{2z_2} [\rho_2^2 - \rho_1^2 + 2\delta\xi x + 2\delta\eta y]$

NARROWBAND ASSUMPTION:  $\Delta\nu \ll \bar{\nu}$

FRINGE WASHOUT

COHERENCE LENGTH ASSUMPTION:  $r_2 - r_1 \ll c\tau_c$

MUTUAL INTENSITY:  $J_{12} = \Gamma_{12}(0) = \langle U(P_1, t) U^*(P_2, t) \rangle$

COMPLEX COHERENCE FACTOR:  $\mu_{12} = \frac{J_{12}}{\sqrt{I_1 I_2}}$

AIRY PATTERN

FRAUNHOFER DIFFRACTION

QUASIMONOCROMATIC ASSUMPTION

LAPLACIAN OPERATOR:  $\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$

HILBERT TRANSFORM:  $f(x) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{F(\xi)}{\xi - x} d\xi$

HELMHOLTZ EQUATION:  $\nabla^2 J_{12} + k^2 J_{12} = 0$



SCHWARZ' INEQUALITY

EVANESCENT WAVES

VAN CITTERT-ZERNIKE THEOREM

COHERENCE AREA:  $A_c \triangleq \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |u(\delta x, \delta y)|^2 d\delta x d\delta y$

RAYLEIGH DISTANCE

AMBIGUITY FUNCTION

FIZEAU STELLAR INTERFEROMETER

ANGULAR DIAMETER

MICHELSON STELLAR INTERFEROMETER

ENSEMBLE AVERAGE

OPTICAL TRANSFER FUNCTION (OTF)

POINT-SPREAD FUNCTION

HOMOGENEOUS

ISOTROPIC

TURBULONS

INNER AND OUTER SCALES

STRUCTURE CONSTANT:  $C_N^2$

RYTOV APPROXIMATION

LOG AMPLITUDE

LOG NORMAL DISTRIBUTION

AUTO COVARIANCE:  $\tilde{\Gamma}_X^{\sim}(A_x, A_y) = E[\{X(x, y) \cdot \bar{X}\} \{X(x+A_x, y+A_y) \cdot \bar{X}\}]$

SCINTILLATIONS

MODULATION TRANSFER FUNCTION (MTF)

POISSON DISTRIBUTION:  $p(k; \tau) = \frac{[\alpha w_T(\tau)]^k}{k!} e^{-\alpha w_T(\tau)}$

QUANTUM EFFICIENCY:  $\eta$

MANDEL'S FORMULA:  $p(k, \tau) = \int_0^{\infty} \frac{(\alpha w)^k}{k!} e^{-\alpha w} p_w(w) dw$

BOSE-EINSTEIN DISTRIBUTION:  $p(k, \tau) = \frac{1}{1+B} \left(\frac{B}{1+B}\right)^k$

CORRELATION INTERVAL

BOXCAR APPROXIMATION

GAMMA DISTRIBUTION:  $p_w(w) = \left(\frac{m}{w}\right)^m \frac{1}{\Gamma(m)} w^{m-1} e^{-mw/w} u(w)$

NEGATIVE BINOMIAL DISTRIBUTION

DEGENERACY PARAMETER:  $\delta_c = \bar{k}/m$

SHOT NOISE

WAVE DEGENERACY PARAMETER:  $\delta_w = \delta_c/\pi$

CROSS SPECTRALLY PURE

BLACKBODY RADIATION

DISCRETE FOURIER TRANSFORM:  $X(p_0) = \sum_0^{N-1} \frac{1}{N} K(l) e^{j \frac{2\pi l p_0}{N}}$

INTENSITY INTERFEROMETER

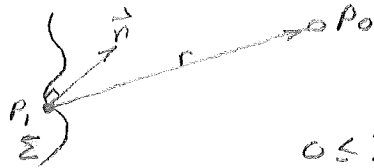
# I. SOME FIRST ORDER PROPERTIES OF LIGHT BEAMS

## A. PROPERTIES OF LIGHT WAVES

### 1. MONOCHROMATIC LIGHT

$$\underline{u}(P, t) = \underline{U}(P, \nu) e^{-j2\pi\nu t}$$

$$\underline{U}(P, \nu) = \text{PHASOR.}$$



HUYGENS-FRESNEL PRINCIPLE:

$$\underline{u}(P_0, \nu) = \frac{1}{j\lambda} \iint_{\Sigma} \underline{u}(P_1, \nu) \frac{e^{j2\pi r/\lambda}}{r} \chi(\theta) ds$$

$$0 \leq \chi(\theta) \leq 1 = \text{OBLIQUITY FACTOR} \quad (r \gg \lambda)$$

### 2. NON-MONOCHROMATIC LIGHT

WE MUST TRUNCATE  $\underline{u}(P, t)$  TO  $-T$  TO  $T$ . CALL IN  $\underline{u}_T(P, t)$ .  
LET  $\underline{u}_T(t)$  BE ANALYTIC SIGNAL ASSOCIATED WITH  $\underline{u}_T(P, t)$

$$\underline{u}_T(P, t) = \int_0^{\infty} 2 \underline{U}_T(P, \nu) e^{-j2\pi\nu t} d\nu$$

$$\text{WHERE } \underline{U}_T(P, \nu) = \lim_{T \rightarrow \infty} \int_{-T}^T \underline{u}_T(P, t) e^{j2\pi\nu t} dt$$

GOING THROUGH SOME MATH GIVES HUYGENS-FRESNEL AS

$$\underline{u}(P_0, t) = \iint_{\Sigma} \int \frac{d}{dt} \underline{u}(P_1, t - r/c) \frac{\chi(\theta) ds}{2\pi r c}$$

### 3. NARROWBAND LIGHT ( $\Delta\nu \ll \bar{\nu}$ )

$$\underline{u}(P_0, t) = \iint_{\Sigma} \int \frac{1}{j\lambda r} \underline{u}(P_1, t - \frac{r}{c}) \chi(\theta) ds$$

## B. THERMAL LIGHT

### 1. POLARIZED THERMAL LIGHT

$\underline{u}_x(P, t)$  = X COMPONENT OF POLARIZED FIELD

$\underline{u}_i(P, t)$  = CONTRIBUTION FROM  $i^{\text{TH}}$  ATOM

$$\underline{u}_x(P, t) = \sum_{\text{ALL ATOMS}} \underline{u}_i(P, t)$$

$$\underline{A}_x(P, t) = \sum_{\text{ALL ATOMS}} \underline{A}_i(P, t)$$

WHERE

$$\underline{A}_x(P, t) = \underline{u}_x(P, t) e^{j2\pi\bar{\nu} t}$$

$$\underline{A}_i(P, t) = \underline{u}_i(P, t) e^{j2\pi\bar{\nu} t}$$

BOTH  $\underline{A}_x$  &  $\underline{u}_x$  ARE CIRCULAR COMPLEX GAUSSIAN RANDOM PROCESSES



IF  $\text{Im} \underline{A}_x$  &  $\text{Re} \underline{A}_x$  ARE GAUSSIAN  
RANDOM PROCESSES WITH

VARIANCES  $\sigma^2$  AND  $A \triangleq |A_x(P, t)|$ , THEN

$$p_A(A) = \frac{A}{\sigma^2} e^{-A^2/2\sigma^2} \mu(A) \leftarrow \text{RAILEIGH DISTRIBUTION}$$

FOR  $I = A^2$ ,  $\sigma_I = \bar{I} = 2\sigma^2$

$$p_I(I) = \frac{1}{I} e^{-I/\bar{I}} \mu(I) \leftarrow \text{EXPONENTIAL DISTRIBUTION}$$

2. UNPOLARIZED THERMAL LIGHT

TWO NECESSARY CONDITIONS:

a. LIGHT PASSED BY POLARIZAR IS INDEPENDENT ON ORIENTATION

b. FOR  $\underline{U}_x$  AND  $\underline{U}_y$  ORTHOGONAL FIELD COMPONENTS

$$\langle \underline{U}_x^*(t+\tau) \underline{U}_y(t) \rangle = 0 \quad \forall \tau$$

BOTH  $\underline{U}_x$  &  $\underline{U}_y$  ARE COMPLEX GAUSSIAN PROCESSES

$$\begin{aligned} I(p, t) &= |\underline{U}_x(p, t)|^2 + |\underline{U}_y(p, t)|^2 \\ &= |A_x(p, t)|^2 + |A_y(p, t)|^2 \\ &= I_x(p, t) + I_y(p, t) \end{aligned}$$

$$\bar{I}(p) = 2 \bar{I}_x(p) = 2 \bar{I}_y(p)$$

$$P_{I_x}(I_x) = \frac{2}{\bar{I}} e^{-2I_x/\bar{I}} ; P_{I_y}(I_y) = \frac{2}{\bar{I}} e^{-2I_y/\bar{I}}$$

$$\begin{aligned} P_I(I) &= P_{I_x}(I_x) * P_{I_y}(I_y) \\ &= (2/\bar{I})^2 I e^{-2(I/\bar{I})} \mu(I) \end{aligned}$$



3. PARTIALLY POLARIZED THERMAL LIGHT

MAY ALWAYS EXPRESS I AS SUM OF TWO UNCORRELATED INTENSITY COMPONENTS:

$$I(p, t) = I_1(p, t) + I_2(p, t)$$

FOR GAUSSIAN, UNCORRELATED  $\Rightarrow$  INDEPENDENT  
DEGREE OF POLARIZATION

$$\rho = \frac{\bar{I}_1 - \bar{I}_2}{\bar{I}_1 + \bar{I}_2} \quad (\bar{I}_1 \geq \bar{I}_2)$$

$$\text{THEN: } \bar{I}_1(p) = \frac{1}{2}(1+\rho)\bar{I} \quad \bar{I}_2(p) = \frac{1}{2}(1-\rho)\bar{I}$$

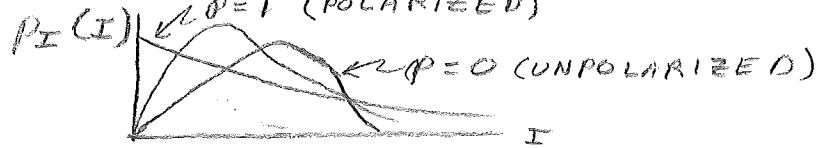
$$P_{I_1}(I_1) = \frac{2}{(1+\rho)\bar{I}} e^{-\frac{2I_1}{(1+\rho)\bar{I}}} ; P_{I_2}(I_2) = \frac{2}{(1-\rho)\bar{I}} e^{-\frac{2I_2}{(1-\rho)\bar{I}}}$$

THE CHARACTERISTIC FUNCTION OF I IS THE PRODUCT OF THE CHARACTERISTIC FUNCTIONS OF  $I_1$  &  $I_2$ :

$$\begin{aligned} M_I(\omega) &= \left[ \frac{1 - j \frac{\omega}{2} (1+\rho)\bar{I}}{(1+\rho)/2\bar{I}} \right] \left[ \frac{1 - j \frac{\omega}{2} (1-\rho)\bar{I}}{(1-\rho)/2\bar{I}} \right] \\ &= \frac{1 - j \frac{\omega}{2} (1+\rho)\bar{I}}{1 - j \frac{\omega}{2} (1+\rho)\bar{I}} - \frac{1 - j \frac{\omega}{2} (1-\rho)\bar{I}}{1 - j \frac{\omega}{2} (1-\rho)\bar{I}} \end{aligned}$$

THE RESULTING DENSITY IS

$$P_I(I) = \frac{1}{\bar{I}} \left[ e^{-\frac{2I}{(1+\rho)\bar{I}}} - e^{-\frac{2I}{(1-\rho)\bar{I}}} \right]$$



### C. LASER LIGHT

1. SINGLE MODE OSCILLATION (HIGHLY IDEALIZED)

$$2. U(t) = S \cos[2\pi\nu_0 t - \phi]$$

S AND  $\nu_0$  KNOWN. LINEAR POLARIZATION ASSUMED.

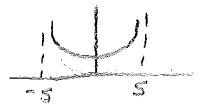
$\phi$  IS A RANDOM VARIABLE WITH UNIFORM DISTRIBUTION ON  $-\pi, \pi$

$$\text{CONSIDER } U(0) = S \cos \phi$$

CHARACTERISTIC FUNCTION OF  $U$  IS

$$M_U(\omega) = E[e^{j\omega S \cos \phi}] = J_0(\omega S)$$

$$\Rightarrow p_\phi(U) = \frac{1}{\pi \sqrt{S^2 - U^2}} \text{rect}\left[\frac{U}{2S}\right]$$



THE INTENSITY IS  $I = S^2$

$$p_I(I) = \delta(I - S^2)$$

$$b. U(t) = S \cos\{2\pi\nu_0 t + \Theta(t)\} = S \cos \psi(t)$$

$$\nu_i(t) = \text{INSTANTANEOUS FREQUENCY} = \frac{1}{2\pi} \frac{d\psi}{dt} = \nu_0 - \frac{1}{2\pi} \frac{d\Theta}{dt}$$

$$\text{"A-C" COMPONENT OF FREQUENCY: } \nu_R(t) = \frac{1}{2\pi} \frac{d\Theta}{dt}$$

$\nu_R(t)$  IS ZERO-MEAN  $\nabla$  STATIONARY

$$\Theta(t) = 2\pi \int_{-\infty}^t \nu_R(\xi) d\xi \text{ IS NON-STATIONARY.}$$

(STRUCTURE FUNCTION, THOUGH, IS IND. OF ORIGIN)

$$D_\Theta(t_1, t_2) \stackrel{\Delta}{=} [\Theta(t_2) - \Theta(t_1)]^2 \leftarrow \text{STRUCTURE FUNCTION}$$

$$= 4\pi^2 \left[ \int_{-\infty}^{\infty} \text{rect}\left[\frac{\xi - (t_1+t_2)/2}{t_2-t_1}\right] \nu_R(\xi) d\xi \right]^2$$

$$= 4\pi^2 \int_{-\infty}^{\infty} \Lambda(\tau/\tau) \Gamma_\nu(\tau) d\tau$$

$$\approx 4\pi^2 \tau \int_{-\infty}^{\infty} \Gamma_\nu(\tau) d\tau \text{ FOR } \tau \gg \text{CORRELATION TIME}$$

$$c. U(t) = S \cos[2\pi\nu_0 t - \Theta(t)] + U_n(t)$$

$U_n(t)$  IS GAUSSIAN  $\nabla$  INDEPENDENT OF  $\Theta(t)$



$$|U_n(t)| = A_n(t)$$

$$I = |S + A_n|^2 = |S|^2 + 2 \text{Re}[S^* A_n]$$

$$\text{LET } S = S e^{j\theta}, \quad A_n = A_n e^{j\phi_n}$$

$\text{Re} S^* A_n$  IS GAUSSIAN WITH  $\sigma_I^2 = 4S^2 A_n^2 \cos^2(\theta - \phi_n) = 2I_S \bar{I}_N$

$$p_I(I) \approx \frac{1}{\sqrt{4\pi I_S \bar{I}_N}} e^{-\frac{(I - I_S)^2}{4 I_S \bar{I}_N}}; \quad I_S \gg \bar{I}_N$$

d. RISKEN'S MODEL

$$P_I(I) = \frac{2}{\pi I_0} \frac{1}{1 + \operatorname{erf} w} e^{-\left(\frac{I}{\sqrt{\pi} I_0} - w\right)^2} \mu(I)$$

$I_0 =$  AVERAGE INTENSITY AT THRESHOLD

$w =$  PARAMETER

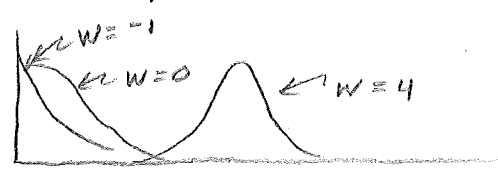
$$\bar{I} = I_0 \left[ \sqrt{\pi} w + \frac{e^{-w^2}}{1 + \operatorname{erf} w} \right]$$

$$\operatorname{erf} w \triangleq \frac{2}{\sqrt{\pi}} \int_0^w e^{-x^2} dx \leftarrow \text{ERROR FUNCTION}$$

$$w \ll 0 \Rightarrow P_I(I) = \frac{1}{\pi I_0} e^{-w^2} e^{-\frac{2w}{\sqrt{\pi} I_0} I} \mu(I) \leftarrow \text{THERMAL}$$

$$w = 0 \Rightarrow P_I(I) = \frac{2}{\pi I_0} e^{-\frac{I^2}{\pi I_0^2}} \mu(I) \leftarrow \frac{1}{2} \text{ OF GAUSSIAN}$$

$$w \gg 0 \Rightarrow P_I(I) = \frac{1}{\pi I_0} e^{-\left(\frac{I - w\sqrt{\pi} I_0}{\sqrt{\pi} I_0}\right)^2} \mu(I) \leftarrow \text{GAUSSIAN}$$



2. MULTIMODE LASER LIGHT

$$U(t) = \sum_{i=1}^N S_i \cos\{2\pi\nu_i t - \theta_i(t)\}$$

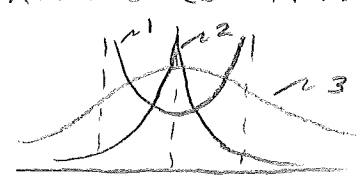
ASSUME THE VARIOUS MODES ARE INDEPENDENT.

FOR A SINGLE MODE (AS BEFORE)  $M_i(w) = J_0(w S_i)$

FOR N MODES OF EQUAL AMPLITUDES:

$$M_U(w) = J_0^N(w \sqrt{I/N})$$

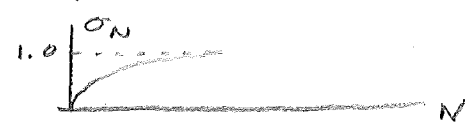
PERFORMING (DIGITAL) FOURIER TRANSFORM:



ALSO WE MAY SHOW

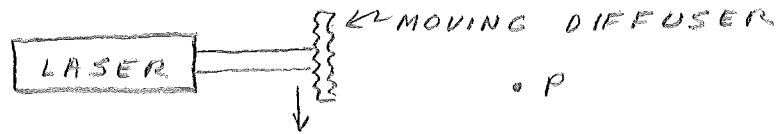
$$\sigma_N^2 / \bar{I} = \left[ \left(\frac{N-1}{N}\right)^2 \left(\frac{\sigma_{N-1}}{\bar{I}}\right)^2 + \left(\frac{N-1}{N}\right)^2 + \frac{1}{N^2} + \frac{4(N-1)}{N^2} - 1 \right]^{\frac{1}{2}}$$

$$\sigma_1 = 0$$



FOR  $n \gg 5$ , WE HAVE, FOR ALL PRACTICAL PURPOSES, THERMAL LIGHT.

- 3 ● QUASI-THERMAL LIGHT PRODUCED BY PASSING LASER LIGHT THROUGH A MOVING DIFFUSER WE MAY GENERATE "THERMAL" LIGHT WITH LASER

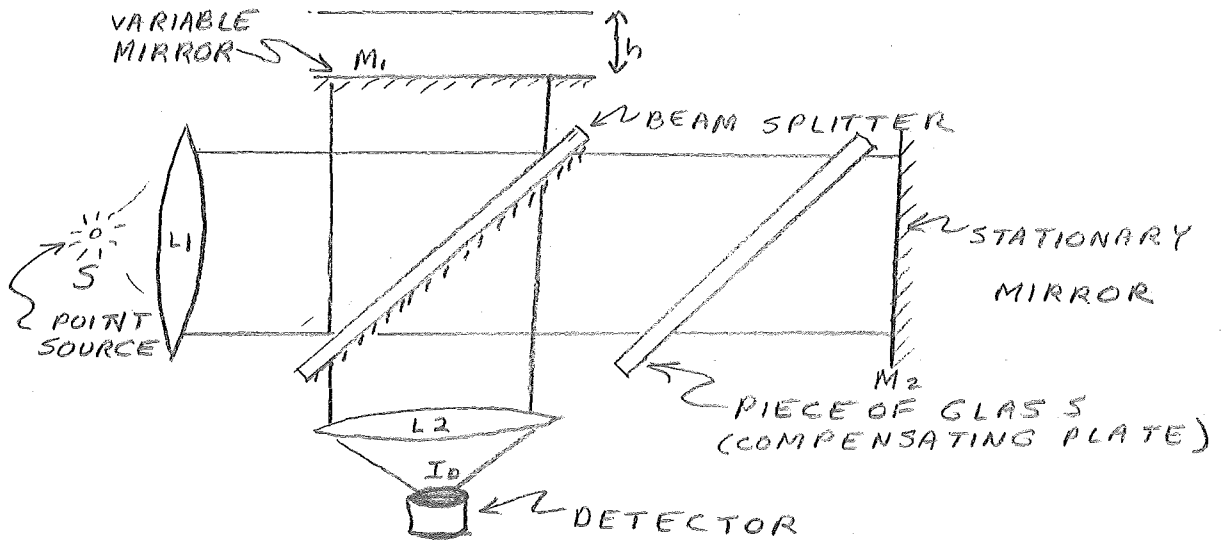


THE COMPLEX FIELD OBSERVED @ P MAY BE THOUGHT OF AS CIRCULAR GAUSSIAN RANDOM PROCESS

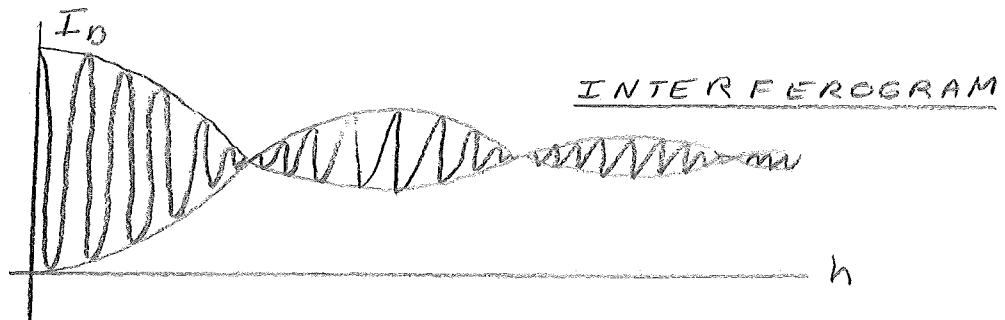
## II. THEORY OF SECOND ORDER COHERENCE

### A. TEMPORAL COHERENCE

#### ● 1 ● THE MICHELSON INTERFEROMETER



- COMPENSATING PLATE USED SO THAT BOTH BEAMS TRAVEL THE SAME DISTANCE IN "GLASS"
- WILL GET DESTRUCTIVE/CONSTRUCTIVE INTERFERENCE AS  $M_1$  MOVES. ENVELOPE OF THIS SINUSOIDAL VARIATIONS IS WHAT IS OF INTEREST.





● 2 ● MATHEMATICAL DESCRIPTION OF THE EXPERIMENT

THE LIGHT INTENSITY FALLING ON THE DETECTOR IS

$$I_0(h) = \langle |K_1 U(t) + K_2 U(t + \frac{zh}{c})|^2 \rangle$$

$$= K_1^2 \langle |U(t)|^2 \rangle + K_2^2 \langle |U(t + \frac{zh}{c})|^2 \rangle$$

$$+ K_1 K_2 \langle U(t + \frac{zh}{c}) U^*(t) \rangle + K_1 K_2 \langle U^*(t + \frac{zh}{c}) U(t) \rangle$$

WHERE  $K_1 \neq K_2$  DENOTE LOSSES IN RESPECTIVE BEAMS

$$I_0 = \langle |U(t)|^2 \rangle = \langle |U(t + \frac{zh}{c})|^2 \rangle$$

$$\Gamma(\tau) = \langle U(t + \tau) U^*(t) \rangle \Rightarrow \text{SELF COHERENCE FUNCTION}$$

$$\Rightarrow I_0(h) = (K_1^2 + K_2^2) I_0 + 2K_1 K_2 \text{Re} \Gamma(\frac{zh}{c})$$

NOTING THAT  $\Gamma(0) = I_0$ ,

$$\underline{\gamma}(\tau) \triangleq \Gamma(\tau) / \Gamma(0) \Rightarrow \text{COMPLEX DEGREE OF COHERENCE}$$

$$\gamma(0) = 1 \quad 0 \leq |\underline{\gamma}(\tau)| \leq 1$$

$$\Rightarrow I_0(h) = (K_1^2 + K_2^2) I_0 \left[ 1 + \frac{2K_1 K_2}{K_1^2 + K_2^2} \text{Re} \underline{\gamma}(\frac{zh}{c}) \right]$$

$$\text{LET } \underline{\gamma}(\tau) = \gamma(\tau) \exp[-j \{ 2\pi \bar{\nu} \tau - \alpha(\tau) \}]; \tau = \frac{zh}{c}$$

AND ASSUME EQUAL LOSSES:  $K = K_1 = K_2$

$$\Rightarrow I_0(h) = 2K^2 I_0 \left\{ 1 + \gamma(\frac{zh}{c}) \cos [2\pi \bar{\nu} \tau - \alpha(\frac{zh}{c})] \right\}$$

$$\gamma \triangleq \frac{I_{\text{MAX}} - I_{\text{MIN}}}{I_{\text{MAX}} + I_{\text{MIN}}} \Rightarrow \text{FRINGE VISIBILITY}$$

$$\mathcal{V}(h) = |\underline{\gamma}(zh/c)| = \gamma(zh/c)$$

$$\text{FOR } K_1 \neq K_2 \Rightarrow \mathcal{V}(h) = \frac{2K_1 K_2}{K_1^2 + K_2^2} \gamma(\frac{zh}{c})$$

WHEN THE VISIBILITY ESSENTIALLY GOES TO

ZERO, WE HAVE EXCEEDED THE COHERENCE

LENGTH OR, EQUIVALENTLY, THE COHERENCE TIME.

THE CONCEPT OF COHERENCE HAS TO DO WITH

THE ABILITY OF LIGHT BEAMS TO FORM FRINGES.

3. RELATION BETWEEN THE INTERFEROGRAM AND THE POWER SPECTRAL DENSITY OF THE LIGHT

$$I(\tau) = \int_0^\infty 4 \mathcal{G}^{(r,r)}(\nu) e^{-j 2\pi \nu \tau} d\nu$$

$\mathcal{G}^{(r,r)}(\nu) =$  POWER SPECTRAL DENSITY OF  $U(t)$

$$\underline{\mathcal{G}}(\tau) = \int_0^\infty 4 \mathcal{G}^{(r,r)}(\nu) e^{-j 2\pi \nu \tau} d\nu / 4 \int_0^\infty \mathcal{G}^{(r,r)}(\nu) d\nu$$

$$= \int_0^\infty \hat{\mathcal{G}}(\nu) e^{-j 2\pi \nu \tau} d\nu$$

$$\hat{\mathcal{G}}(\nu) = \mathcal{G}^{(r,r)}(\nu) / \int_0^\infty \mathcal{G}^{(r,r)}(\nu) d\nu \leftarrow \text{NORMALIZED PWR. SPEC. DEN.}$$

$$\int_0^\infty \hat{\mathcal{G}}(\nu) d\nu = 1$$

VARIOUS LIGHT TYPES:

a. LOW-PRESSURE  $\Rightarrow \hat{\mathcal{G}}(\nu) = \frac{2 \ln 2}{\sqrt{\pi} \Delta \nu} e^{-(2 \ln 2 \frac{\nu - \bar{\nu}}{\Delta \nu})^2} \leftarrow$  GAUSSIAN

$$\underline{\mathcal{G}}(\tau) = \exp\left[-\left(\frac{\pi \Delta \nu \tau}{2 \sqrt{\ln 2}}\right)^2\right] e^{-j 2\pi \bar{\nu} \tau}$$

$$|\underline{\mathcal{G}}(\tau)| = \mathcal{G}(\tau) = \exp\left[-\left(\frac{\pi \Delta \nu \tau}{2 \sqrt{\ln 2}}\right)^2\right]$$

b. HIGH-PRESSURE  $\Rightarrow \frac{2}{\pi \Delta \nu} \frac{1}{1 + (2 \frac{\nu - \bar{\nu}}{\Delta \nu})^2} \leftarrow$  LORENTZIAN

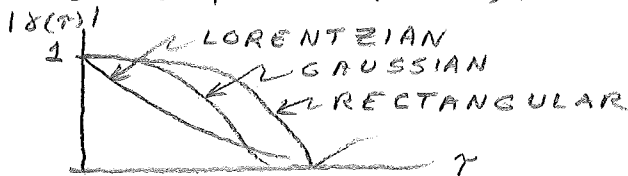
$$\underline{\mathcal{G}}(\tau) = \exp(-\pi \Delta \nu |\tau|) \exp(-j 2\pi \bar{\nu} \tau)$$

$$\mathcal{G}(\tau) = e^{-\pi \Delta \nu |\tau|}$$

c. RECTANGULAR  $\Rightarrow \hat{\mathcal{G}}(\nu) = \frac{1}{\Delta \nu} \text{rect}\left(\frac{\nu - \bar{\nu}}{\Delta \nu}\right)$

$$\underline{\mathcal{G}}(\tau) = \text{sinc}(\Delta \nu \tau) e^{-j 2\pi \bar{\nu} \tau}$$

$$\mathcal{G}(\tau) = |\text{sinc}(\Delta \nu \tau)|$$



GENERAL PROPERTIES OF  $\underline{\mathcal{G}}(\tau)$

- $\underline{\mathcal{G}}(\tau) = \mathcal{G}(\tau) e^{-j 2\pi \bar{\nu} \tau}$  FOR LINE SHAPES SYMMETRIC ABOUT  $\bar{\nu}$
- $\mathcal{G}(\tau)$  IS AN EVEN FUNCTION ( $\mathcal{G}(\tau) = \mathcal{G}(-\tau)$ )

DEFINITION OF COHERENCE TIME

$$\tau_c \triangleq \int_{-\infty}^{\infty} |\underline{\mathcal{G}}(\tau)|^2 d\tau \text{ (ALWAY ROUGHLY EQUAL } \frac{1}{\Delta \nu})$$

a. LOW-PRESSURE (GAUSSIAN)

$$\tau_c = \sqrt{\frac{2 \ln 2}{\pi}} \frac{1}{\Delta \nu} = \frac{0.664}{\Delta \nu}$$

b. HIGH PRESSURE (LORENTZIAN)

$$\tau_c = \frac{1}{\pi \Delta \nu} = \frac{0.318}{\Delta \nu}$$

c. RECTANGULAR

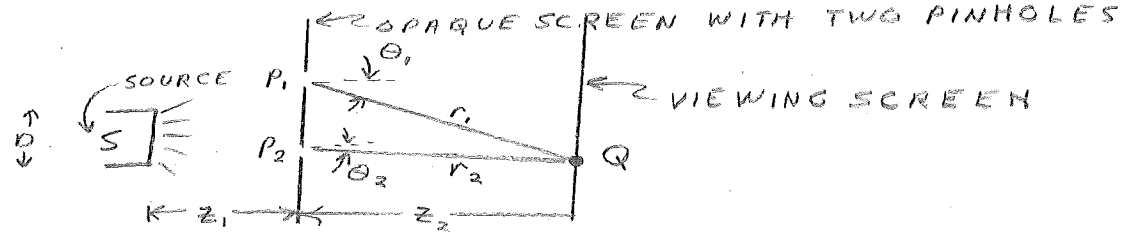
$$\tau_c = \frac{1}{\Delta \nu}$$

• 4 • FOURIER SPECTROSCOPY

AS POWER SPECTRAL DENSITY GIVES INTERFEROGRAM,  
SO DOES INTERFEROGRAM GIVE THE SPECTRUM.  
THIS IS FOURIER SPECTROSCOPY.

B. SPATIAL COHERENCE

• 1 • YOUNG'S EXPERIMENT



• 2 • MATHEMATICAL DESCRIPTION OF YOUNG'S EXPERIMENT

AT POINT Q, THE INTENSITY IS  $I(Q) = \langle U^*(Q, t) U(Q, t) \rangle$

$$U(Q, t) = K_1 U(P_1, t - r_1/c) + K_2 U(P_2, t - r_2/c)$$

(WE ARE HERE ASSUMING NARROWBAND LIGHT)

$$K_1 = \int_{P_1} \chi(\theta_1) ds_1 / \int \chi(\theta) ds / \lambda r \quad K_2 = \int_{P_2} \chi(\theta_2) ds_2 / \int \chi(\theta) ds / \lambda r_2$$

$$\text{NOW } I(Q) = |K_1|^2 \langle |U(P_1, t - r_1/c)|^2 \rangle + |K_2|^2 \langle |U(P_2, t - r_2/c)|^2 \rangle \\ + K_1 K_2^* \langle U(P_1, t - r_1/c) U(P_2, t - r_2/c) \rangle \\ + K_1^* K_2 \langle U^*(P_1, t - r_1/c) U(P_2, t - r_2/c) \rangle$$

LET  $I^{(i)}(Q) \triangleq |K_i|^2 \langle |U(P_i, t - r_i/c)|^2 \rangle, i=1,2$   
= LIGHT PRODUCED BY PINHOLES INDIVIDUALLY

$$\Gamma_{12}(\tau) \triangleq \langle U(P_1, t+\tau) U^*(P_2, t) \rangle \leftarrow \text{MUTUAL COHERENCE FUNCTION}$$

$$\text{THEN } I(Q) = I^{(1)}(Q) + I^{(2)}(Q) + K_1 K_2^* \Gamma_{12}(\frac{r_1 - r_2}{c}) + K_1^* K_2 \Gamma_{12}(\frac{r_1 - r_2}{c}) \\ = I^{(1)}(Q) + I^{(2)}(Q) + 2 K_1 K_2 \text{Re} \{ \Gamma_{12}(\frac{r_2 - r_1}{c}) \}$$

DEFINE  $\underline{\gamma}_{12}(\tau) \triangleq \Gamma_{12}(\tau) / \sqrt{\Gamma_{11}(0) \Gamma_{22}(0)} \leftarrow \text{COMPLEX COHERENCE FACTOR}$

$$|\underline{\gamma}_{12}(0)| = 1 \quad 0 \leq |\underline{\gamma}_{12}(\tau)| \leq 1$$

$$I^{(1)}(Q) = K_1^2 \Gamma_{11}(0) \quad I^{(2)}(Q) = K_2^2 \Gamma_{22}(0)$$

LET  $\underline{\gamma}_{12}(\tau) = \gamma_{12}(\tau) \exp \{ -j [2\pi \nu \tau - \alpha_{12}(\tau)] \}$

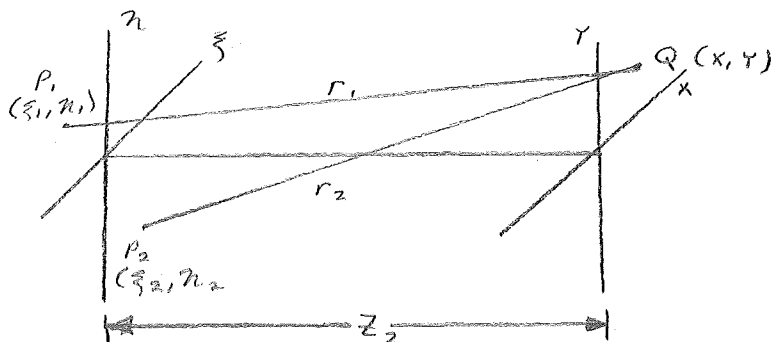
$$\text{THEN } I(Q) = I^{(1)}(Q) + I^{(2)}(Q) + 2 \sqrt{I^{(1)}(Q) I^{(2)}(Q)} \gamma_{12}(\frac{r_2 - r_1}{c})$$

$$\gamma = \frac{2 \sqrt{I^{(1)}(Q) I^{(2)}(Q)}}{I^{(1)} + I^{(2)}} \gamma_{12}(0) \text{ AROUND } r_2 \approx r_1$$

$\gamma_{12}(0)$  MEASURES THE SPATIAL COHERENCE ( $r_2 = r_1$ )

FOR

• 3 • SOME GEOMETRICAL CONSIDERATIONS



RIGOROUSLY:  $r_i = \sqrt{z_2^2 + (\xi_i - x)^2 + (\eta_i - Y)^2}$ ;  $i = 1, 2$   
 $= z_2 \left[ 1 + \left( \frac{\xi_i - x}{z_2} \right)^2 + \left( \frac{\eta_i - Y}{z_2} \right)^2 \right]^{1/2}$   
 $\approx z_2 + \frac{(\xi_i - x)^2}{2z_2} + \frac{(\eta_i - Y)^2}{2z_2}$ ;

THIS APPROXIMATION IS ONLY GOOD FOR  $z_2 \gg x, Y, \xi_i, \eta_i$

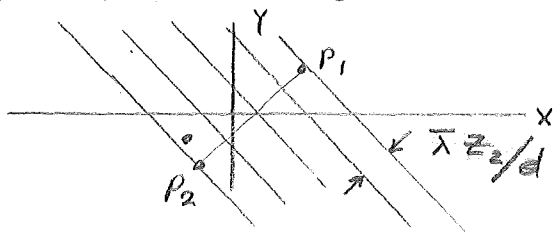
$r_2 - r_1 \approx \frac{1}{2z_2} [r_2^2 - r_1^2 + 2\Delta\xi x + 2\Delta\eta Y]$

$r_i \triangleq [\xi_i^2 + \eta_i^2]^{1/2}$        $\Delta\xi = \xi_1 - \xi_2$        $\Delta\eta = \eta_1 - \eta_2$

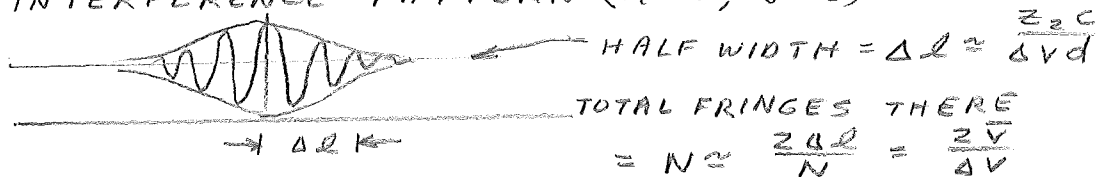
• FROM YOUNG'S EXPERIMENT

RECALL  $I(Q) = I^{(1)}(Q) + I^{(2)}(Q) + 2\sqrt{I^{(1)}(Q) \times I^{(2)}(Q)} \gamma_{12} \left( \frac{r_2 - r_1}{\lambda} \right) \times \cos \left[ 2\pi \nu \left( \frac{r_2 - r_1}{c} \right) - \alpha_{12} \left( \frac{r_2 - r_1}{c} \right) \right]$

FROM CONSIDERATIONS ABOVE, WE SEE THAT FRINGES WILL RUN PERPENDICULAR TO  $P_1$  &  $P_2$  WITH PERIOD OF  $\frac{\lambda z_2}{d}$   $\ni d = \sqrt{\Delta\xi^2 + \Delta\eta^2}$



THE INTERFERENCE PATTERN ( $\alpha \approx 0$ ,  $\gamma \approx 1$ )



40 INTERFERENCE UNDER QUASI-MONOCROMATIC CONDITIONS

BEFORE, WE ASSUMED NARROWBAND ASSUMPTION:

$\Delta v \ll \bar{v}$ . THIS ELIMINATES FRINGE WASHOUT.

WE NOW ALSO INCLUDE THE COHERENCE LENGTH ASSUMPTION:

$\rho_2 - \rho_1 \ll c \tau_c$ . THIS ELIMINATES TEMPORAL COHERENCE EFFECTS

TOGETHER, THESE CONSTITUTE QUASI-MONOCROMATIC ASSUMPTION

THIS GIVES  $\Gamma_{12}(\tau) = \underline{J}_{12} e^{-j 2\pi \bar{v} \tau}$

$\underline{J}_{12} \triangleq \Gamma_{12}(0) = \langle \underline{U}(\rho_1, t) \underline{U}^*(\rho_2, t) \rangle = \langle \underline{A}(\rho_1, t) \underline{A}_2^*(\rho_2, t) \rangle \Rightarrow$  MUTUAL INTENSITY

$\underline{\mu}_{12} \triangleq \underline{\gamma}_{12}(0) = \underline{J}_{12} / \sqrt{I(\rho_1) I(\rho_2)} \Rightarrow$  COMPLEX COHERENCE FACTOR

$0 \leq \underline{\mu}_{12} \leq 1$ .  $\underline{J}_{12}$  IS PHASOR AMPLITUDE OF THE SPATIAL SINUSOID FRINGE.  $\underline{\mu}_{12}$  IS NORMALIZED VERSION

THE YOUNG'S INTERFERENCE PATTERN BECOMES

$$I(x, y) = I^{(1)} + I^{(2)} + 2k_1 k_2 \underline{J}_{12} \cos \left[ \frac{2\pi}{\lambda z_2} (\Delta x x + \Delta z y) - \phi_{12} \right]$$

$$= I^{(1)} + I^{(2)} + 2 \sqrt{I^{(1)} I^{(2)}} \underline{\mu}_{12} \cos \left[ \frac{2\pi}{\lambda z_2} (\Delta x x + \Delta z y) - \phi_{12} \right]$$

WHERE  $\underline{J}_{12} = |\underline{J}_{12}|$ ,  $\underline{\mu}_{12} = |\underline{\mu}_{12}|$  AND

$\phi_{12} = \arg \underline{J}_{12} - \frac{\pi}{\lambda z_2} (\rho_2^2 - \rho_1^2) = \alpha_{12} - \frac{\pi}{\lambda z_2} (\rho_2^2 - \rho_1^2)$

NOTE THAT WE NOW HAVE CONSTANT VISIBILITY:

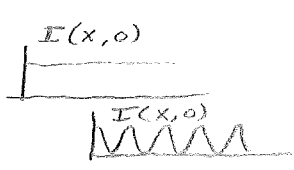
$\mathcal{V} = \frac{2 \sqrt{I^{(1)} I^{(2)}}}{I^{(1)} + I^{(2)}} \underline{\mu}_{12}$

$= \underline{\mu}_{12}$  (FOR  $I^{(1)} = I^{(2)}$ )

FOR  $\underline{\mu}_{12} = 0$  INCOHERENT

$\underline{\mu}_{12} = 1$  COHERENT

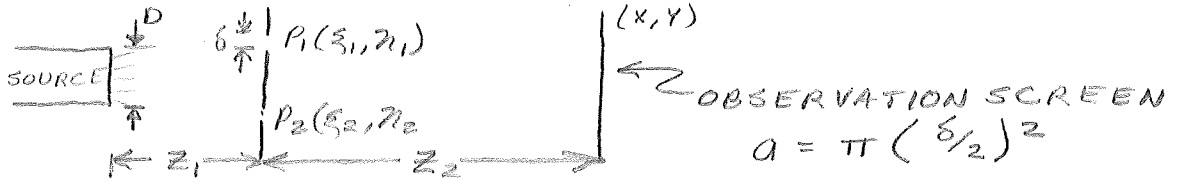
$0 < \underline{\mu}_{12} < 1$  PARTIALLY COHERENT



A SHORT TABLE OF TERMS

SYMBOL	DEFINITION	NAME	MEASURED
$\Gamma_{11}(\tau)$	$\langle \underline{U}(\rho_1, t + \tau) \underline{U}^*(\rho_1, t) \rangle, \Gamma_{11}(0) = I(\rho_1)$	SELF COHERENCE	TEMPORAL
$\Gamma_{12}(\tau)$	$\langle \underline{U}(\rho_1, t + \tau) \underline{U}_2^*(\rho_2, t) \rangle$	MUTUAL COHERENCE	BOTH
$\underline{\gamma}_{12}(\tau)$	$\Gamma_{12}(\tau) / [\Gamma_{11}(0) \Gamma_{22}(0)]^{1/2}$	COMPLEX DEGREE OF COHERENCE	BOTH
$\underline{J}_{12}$	$\langle \underline{U}(\rho_1, t) \underline{U}_2^*(\rho_2, t) \rangle = \Gamma_{12}(0)$	MUTUAL INTENSITY	SPATIAL
$\underline{\mu}_{12}$	$\underline{J}_{12} / [\underline{J}_{11} \underline{J}_{22}]^{1/2}$	COMPLEX COHERENCE FACTOR	SPATIAL

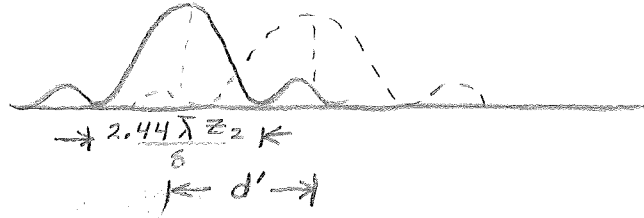
• 5 • EFFECTS OF FINITE PINHOLE SIZE



THE INTENSITY DISTRIBUTION OF THE CIRCULAR PINHOLES ARE AIRY PATTERNS

$$I^{(i)}(x, y) = \left(\frac{a}{\lambda z_2}\right)^2 I(P_i) \left[ \frac{2 J_1 \left( \frac{\pi \delta}{\lambda z_2} \sqrt{\left(x - \frac{z_1+z_2}{z_1} \xi_i\right)^2 + \left(y - \frac{z_1+z_2}{z_1} \eta_i\right)^2} \right)}{\frac{\pi \delta}{\lambda z_2} \sqrt{\left(x - \frac{z_1+z_2}{z_1} \xi_i\right)^2 + \left(y - \frac{z_1+z_2}{z_1} \eta_i\right)^2}} \right]^2$$

WE ARE HERE ASSUMING FRAUNHOFER DIFFRACTION AND THAT  $D \ll \lambda z_1 / \delta$ . THESE PATTERNS LOOK LIKE



$d' = \text{SEPARATION OF AIRY PATTERNS} = \frac{z_1 + z_2}{z_1} d$

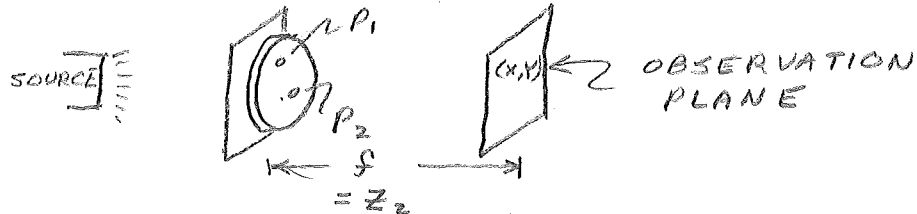
WHERE  $d$  IS THE SEPARATION BETWEEN PINHOLES

WE EXPECT NEARLY COMPLETE OVERLAP IF

$d' \ll \frac{2.44 \lambda z_2}{\delta}$  OR  $d \ll \frac{2.44 \lambda z_1 z_2}{(z_1 + z_2) \delta}$

NOTE THAT VISIBILITY DOES NOT EQUAL  $\mu_{12}$ . MUST INCLUDE A CORRECTION FACTOR.

MAY ALLEVIATE NON-OVERLAPPING BY USING A LENS



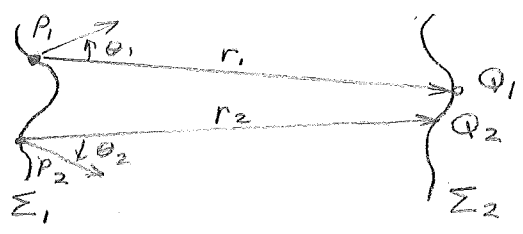
GIVES  $I(x, y) = \left(\frac{a}{\lambda f}\right)^2 I \left[ \frac{2 J_1 \left( \frac{\pi \delta}{\lambda f} \sqrt{x^2 + y^2} \right)}{\frac{\pi \delta}{\lambda f} \sqrt{x^2 + y^2}} \right]^2 \times 2 \left[ 1 + \mu_{12} \cos 2\pi(\Delta \xi x + \Delta \eta y) \right]^{1/2}$

NOTE THAT THE LENS TAKES OUT EFFECT OF  $P_2^2 \frac{1}{z_1} P_1^2$

$\phi_{12} = \arg J_{12} = \alpha_{12}$

C. PROPAGATION OF MUTUAL COHERENCE

1. SOLUTION BASED ON HUYGENS-FRESNEL PRINCIPLE



THE MUTUAL COHERENCE FUNCTION ON  $\Sigma_2$  IS  $\Gamma(P_1, P_2; \tau)$

a. NARROWBAND LIGHT:  $\Gamma(Q_1, Q_2; \tau) = \langle u(Q_1, t + \tau) u^*(Q_2, t) \rangle$

FOR NARROW BAND LIGHT, HUYGENS-FRESNEL PRINCIPLE:

$$u(Q_1, t + \tau) = \iint_{\Sigma_1} \frac{1}{j\lambda r_1} u(P_1, t + \tau - \frac{r_1}{c}) \chi(\theta_1) ds_1$$

$$u^*(Q_2, t) = \iint_{\Sigma_1} \frac{1}{j\lambda r_2} u^*(P_2, t - \frac{r_2}{c}) \chi(\theta_2) ds_2$$

THIS GIVES

$$\Gamma(Q_1, Q_2, \tau) = \iiint_{\Sigma_1} \iiint_{\Sigma_1} \frac{\langle u(P_1, t + \tau - \frac{r_1}{c}) u^*(P_2, t - \frac{r_2}{c}) \rangle}{\lambda^2 r_1 r_2} \chi(\theta_1) \chi(\theta_2) ds_1 ds_2$$

$$= \iint_{\Sigma_1} \iint_{\Sigma_1} \Gamma(P_1, P_2; \tau + \frac{r_2 - r_1}{c}) \frac{\chi(\theta_1)}{\lambda r_1} \frac{\chi(\theta_2)}{\lambda r_2} ds_1 ds_2$$

b. BROADBAND LIGHT:

$$\underline{J}(Q_1, Q_2) = - \iint_{\Sigma_1} \iint_{\Sigma_1} \frac{S^2}{S^2 r^2} \underline{J}(P_1, P_2; \tau + \frac{r_2 - r_1}{c}) \frac{\chi(\theta_1)}{\lambda r_1} \frac{\chi(\theta_2)}{\lambda r_2} ds_1 ds_2$$

c. QUASI-MONOCROMATIC LIGHT

(SAME AS NARROWBAND WITH COHERENCE LENGTH ASSUMPTION ADDED)

NOW,  $\underline{J}(Q_1, Q_2) = \underline{J}(Q_1, Q_2; 0)$

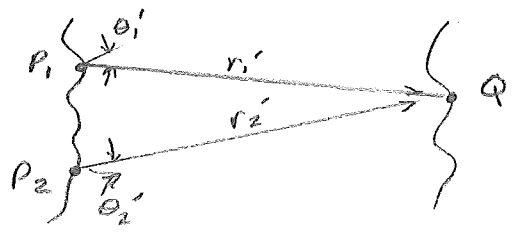
AND  $\underline{J}(P_1, P_2; \frac{r_2 - r_1}{c}) = \underline{J}(P_1, P_2) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)}$

GIVES:  $\underline{J}(Q_1, Q_2) = \iiint_{\Sigma_1} \iiint_{\Sigma_2} \underline{J}(P_1, P_2) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} \frac{\chi(\theta_1)}{\lambda r_1} \frac{\chi(\theta_2)}{\lambda r_2} ds_1 ds_2$

THE INTENSITY DISTRIBUTION ON  $\Sigma_2$  IS

FOUND BY LETTING  $Q_1 \rightarrow Q_2 = Q$ :

$$I(Q) = \iiint_{\Sigma_1} \iiint_{\Sigma_1} \underline{J}(P_1, P_2) e^{-j \frac{2\pi}{\lambda} (r_2' - r_1')} \frac{\chi(\theta_1)}{\lambda r_1'} \frac{\chi(\theta_2)}{\lambda r_2'} ds_1 ds_2$$



ALL OF THE ABOVE ARE BASED ON THE HUYGENS-FRESNEL PRINCIPLE, AND THUS, CORRESPONDING ASSUMPTIONS MUST FOLLOW,

● 2 ● WAVE EQUATION GOVERNING PROPAGATION OF MUTUAL COHERENCE

IN FREE SPACE, THE WAVE EQUATION IS

$$\nabla^2 U^r(P, t) = \frac{1}{c^2} \frac{\delta^2}{\delta t^2} U^r(P, t)$$

$$\nabla^2 = \frac{\delta^2}{\delta x^2} + \frac{\delta^2}{\delta y^2} + \frac{\delta^2}{\delta z^2} \leftarrow \text{LAPLACIAN OPERATOR}$$

$$U^i = \mathcal{H}[U^r] = \text{HILBERT TRANSFORM}[U^r] = \rho_m \underline{U}$$

$$\Rightarrow \nabla^2 U^i(P, t) = \frac{1}{c^2} \frac{\delta^2}{\delta t^2} U^i(P, t)$$

$$\text{COMBINING: } \nabla^2 \underline{U}(P, t) = \frac{1}{c^2} \frac{\delta^2}{\delta t^2} \underline{U}(P, t)$$

$$\text{NOW } \underline{\Gamma}_{12}(\tau) = \langle \underline{U}_1(t+\tau) \underline{U}_2^*(t) \rangle$$

$$\text{LET } \nabla_1^2 \triangleq \frac{\delta^2}{\delta x_1^2} + \frac{\delta^2}{\delta y_1^2} + \frac{\delta^2}{\delta z_1^2} \leftarrow \text{OPERATES ON } P_1$$

$$\text{THEN } \nabla_1^2 \underline{\Gamma}_{12}(\tau) = \nabla_1^2 \langle \underline{U}_1(t+\tau) \underline{U}_2^*(t) \rangle$$

$$\text{BUT } \nabla^2 \underline{U}_1(t+\tau) = \frac{1}{c^2} \frac{\delta^2}{\delta t^2} \underline{U}_1(t+\tau) / \delta(t+\tau)^2$$

$$\begin{aligned} \Rightarrow \nabla_1^2 \underline{\Gamma}_{12}(\tau) &= \frac{1}{c^2} \frac{\delta^2}{\delta(t+\tau)^2} \langle \underline{U}_1(t+\tau) \underline{U}_2^*(t) \rangle \\ &= \frac{1}{c^2} \frac{\delta^2}{\delta(t+\tau)^2} \underline{\Gamma}_{12}(\tau) \end{aligned}$$

$$\text{THUS } \nabla_1^2 \underline{\Gamma}_{12}(\tau) = \frac{1}{c^2} \frac{\delta^2}{\delta \tau^2} \underline{\Gamma}_{12}(\tau)$$

$$\text{ALSO } \nabla_2^2 \underline{\Gamma}_{12}(\tau) = \frac{1}{c^2} \frac{\delta^2}{\delta \tau^2} \underline{\Gamma}_{12}(\tau)$$

UNDER THE QUASIMONOCROMATIC ASSUMPTION,

THESE RELATIONSHIPS BECOME HELMHOLTZ EQUATIONS

$$\nabla_1^2 \underline{J}_{12} + \bar{k}^2 \underline{J}_{12} = 0 \quad , \quad \nabla_2^2 \underline{J}_{12} + \bar{k}^2 \underline{J}_{12} = 0$$



## D. LIMITING FORMS OF THE MUTUAL COHERENCE FUNCTION

### • 1 • A COHERENT FIELD

$|\gamma_{12}(\tau)| = 1 \forall P_1, P_2, \tau$ , ALLOWS ONLY PERFECT MONOCHRO. LIGHT

MORE USEFUL DEFINITION IS:  $\max_{\tau} |\gamma_{12}(\tau)| = 1 \forall P_1, P_2$

$$|\gamma_{12}(\tau)| = \frac{|\langle U(P_1, t + \tau_{12}) U^*(P_2, t) \rangle|}{\sqrt{[\langle |U(P_1, t + \tau_{12})|^2 \rangle] \sqrt{[\langle |U(P_2, t)|^2 \rangle]}}$$

$$= \frac{|\langle A(P_1, t + \tau_{12}) A^*(P_2, t) \rangle|}{\sqrt{[\langle |A(P_1, t + \tau_{12})|^2 \rangle] \sqrt{[\langle |A(P_2, t)|^2 \rangle]}}$$

$$\left| \int f(t) g^*(t) dt \right| \leq \left[ \int |f(t)|^2 dt \int |g(t)|^2 dt \right]^{\frac{1}{2}}$$

THIS IS SCHWARZ'S INEQUALITY. WE HAVE EQUALITY ONLY IF  $g(t) = k f(t)$

THUS  $|\gamma_{12}(\tau_{12})| = 1$  ONLY IF  $A(P_2, t) = k_{12} A(P_1, t + \tau_{12})$

WAVE IS COHERENT IF  $\forall P_1, P_2 \exists \tau_{12} \ni |\gamma_{12}(\tau_{12})| = 1$

UNDER QUASIMONOCHROMATIC CONDITIONS,  $\forall P_1, P_2$ ,

THE SAME  $\tau_{12}$  IS REQUIRED  $\Rightarrow A(P_2, t) = k_{12} A(P_1, t)$

### • FOR FULLY-COHERENT QUASIMONOCHROMATIC LIGHT

LET  $P_0$  BE A REFERENCE POINT. THEN

$$A(P_1, t) = \underline{A}(P_1) \frac{A(P_0, t)}{[I(P_0)]^{\frac{1}{2}}}; \quad A(P_2, t) = A(P_2) \frac{A(P_0, t)}{[I(P_0)]^{\frac{1}{2}}}$$

$$\text{THEN } \underline{J}_{12} = \langle \underline{A}(P_1, t) \underline{A}^*(P_2, t) \rangle = \underline{A}(P_1) \underline{A}^*(P_2)$$

$$\mu_{12} = \exp j [\phi(P_1) - \phi(P_2)]$$

$$\text{WHERE } \phi(P_i) = \arg [A(P_i)]; \quad i = 1, 2$$

AND THE RESULTING FRINGE PATTERN IS

$$I(Q) = I^{(1)} + I^{(2)} + 2\sqrt{I^{(1)} I^{(2)}} \cos \left[ \frac{2\pi}{\lambda z} (\delta_1 x + \delta_2 y) - \phi_{12} \right]$$

(VALID ONLY FOR ITTY-BITTY PINHOLES)

NOTE, FOR  $I^{(1)} = I^{(2)}$ ,  $\gamma = 1$

### • 2 • AN INCOHERENT FIELD

$$|\gamma_{12}(\tau)| = 0 \forall P_1 \neq P_2 \forall \tau$$

BUT THIS GIVES  $\Gamma(Q_1, Q_2; \tau) = 0 \Rightarrow$  NO LIGHT PROPAGATION

WE HAVE FAILED TO TAKE INTO ACCOUNT EVANESCENT WAVES

DOING SO TURNS OUT TO GIVE

$$J(P_1, P_2) = \sqrt{I(P_1) I(P_2)} \left[ \frac{2 J_1 \left( k \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2} \right)}{k \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}} \right]$$

$$\approx k I(P_1) \delta(x_1 - x_2, y_1 - y_2) \quad ; \quad k = \bar{\lambda}^2 / \pi$$

## E. THE VAN CITTERT-ZERNIKE THEOREM

### 1. MATHEMATICAL DERIVATION

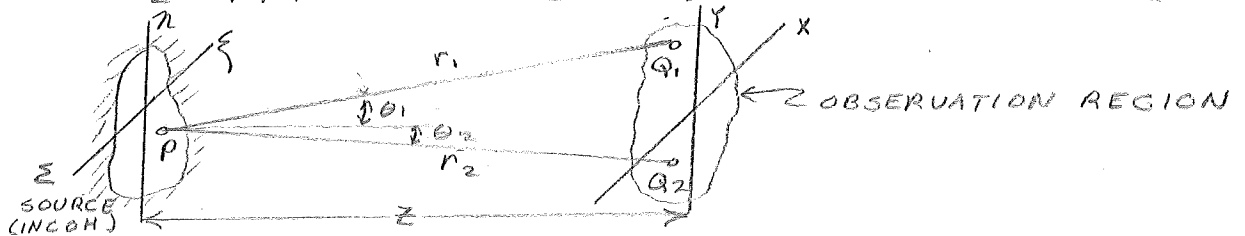
RESTRICT ATTENTION TO QUASIMONOCROMATIC LIGHT

$$\underline{J}(Q_1, Q_2) = \iint_S \iint_{S_2} \underline{J}(P_1, P_2) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} \frac{\chi(\theta_1)}{\lambda r_1} \frac{\chi(\theta_2)}{\lambda r_2} ds_1 ds_2$$

FURTHER RESTRICT ATTENTION TO INCOHERENT LIGHT

$$\underline{J}(P_1, P_2) = K I(P_1) \delta(|P_1 - P_2|)$$

$$\Rightarrow \underline{J}(Q_1, Q_2) = \frac{K}{\lambda^2 z^2} \iint_S I(P) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} \frac{\chi(\theta_1)}{r_1} \frac{\chi(\theta_2)}{r_2} ds$$



APPROXIMATIONS:  $\frac{1}{r_1} \frac{1}{r_2} \approx \frac{1}{z^2}$ ;  $\chi(\theta_1) = \chi(\theta_2) = 1$

$$\Rightarrow \underline{J}(Q_1, Q_2) = \frac{K}{(\lambda z)^2} \iint_S I(P) e^{-j \frac{2\pi}{\lambda} (r_2 - r_1)} ds$$

PARAXIAL APPROX:  $r_i = z + \frac{(x_i - \xi)^2 + (y_i - \eta)^2}{2z}$ ;  $i = 1, 2$

ALSO, LET  $\Delta X = X_1 - X_2$ ,  $\Delta Y = Y_1 - Y_2$

$$\Rightarrow \underline{J}(X_1, Y_1; X_2, Y_2) = \frac{K e^{j\psi}}{(\lambda z)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{j \frac{2\pi}{\lambda z} (\Delta X \xi + \Delta Y \eta)} I(\xi, \eta) d\xi d\eta$$

$$\psi = \frac{\pi}{\lambda z} [(X_2^2 + Y_2^2) - (X_1^2 + Y_1^2)] = \frac{\pi}{\lambda z} [\rho_2^2 - \rho_1^2]$$

EQUIVALENTLY, WE MAY EXPRESS VAN-CITTERT ZERNIKE AS

$$e^{-j\psi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) e^{j \frac{2\pi}{\lambda z} (\Delta X \xi + \Delta Y \eta)} d\xi d\eta$$

$$\underline{\mu}(X_1, Y_1; X_2, Y_2) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I(\xi, \eta) d\xi d\eta$$

IN MOST CASES,  $I(X_1, Y_1) = I(X_2, Y_2)$  AND  $\underline{\mu}$

REPRESENTS THE CLASSICAL FRINGE VISIBILITY

### 2. DISCUSSION

a. THE MUTUAL INTENSITY IS FOURIER XFORM OF SOURCE INTENSITY

b. ANALAGOUS TO  $\mathcal{T}_c$ , WE DEFINE THE COHERENCE AREA AS

$$A_c \triangleq \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |\underline{\mu}(\Delta X, \Delta Y)|^2 d\Delta X d\Delta Y \quad (\lambda z)^2$$

FOR UNIFORMLY BRIGHT INCOHERENT SOURCE,  $A_c = A_s$

c. WE CAN IGNORE THE  $e^{-j\psi} = e^{-j \frac{\pi}{\lambda z} (\rho_2^2 - \rho_1^2)}$  TERM WHEN

$$\Rightarrow z \gg 2(\rho_2^2 - \rho_1^2) / \lambda \Rightarrow \psi \ll \frac{\pi}{2} \Rightarrow e^{-j\psi} \approx 1$$

$\Rightarrow Q_1$  &  $Q_2$  ARE MAINTAINED @ EQUAL DISTANCE

FROM OPTICAL AXIS  $\Rightarrow \psi \approx 0$

• 3 • AN EXAMPLE

LET SOURCE BE UNIFORMLY ILLUMINATED CIRCLE :

$$I(\xi, \eta) = I_0 \text{ circ } \frac{\sqrt{\xi^2 + \eta^2}}{a} \leftarrow \text{RADIUS } a$$

$$\Rightarrow \text{circ } w = \begin{cases} 1 & ; w < 1 \\ \frac{1}{2} & ; w = 1 \\ 0 & ; w > 1 \end{cases}$$

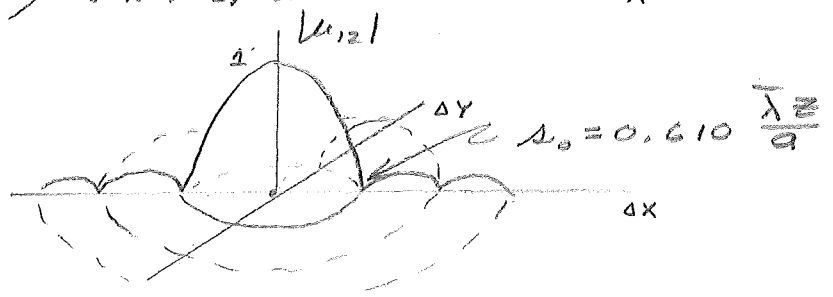
$$\mathcal{F}\left[\text{circ } \frac{\sqrt{\xi^2 + \eta^2}}{a}\right] = a^2 \frac{J_1(2\pi a \sqrt{v_x^2 + v_y^2})}{a \sqrt{v_x^2 + v_y^2}}$$

SUBSTITUTING  $v_x = \frac{\Delta x}{\lambda z}$   $v_y = \frac{\Delta y}{\lambda z}$ , WE GET

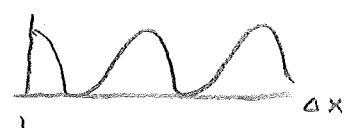
$$\underline{J}(x_1, y_1; x_2, y_2) = \frac{\pi a^2 I_0 k e^{-j\gamma}}{(\lambda z)^2} \left[ \frac{2 J_1\left(\frac{2\pi a}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2}\right)}{\frac{2\pi a}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2}} \right]$$

OR

$$\mu(x_1, y_1; x_2, y_2) = e^{-j\gamma} \left[ \frac{2 J_1\left(\frac{2\pi a}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2}\right)}{\frac{2\pi a}{\lambda z} \sqrt{\Delta x^2 + \Delta y^2}} \right]$$



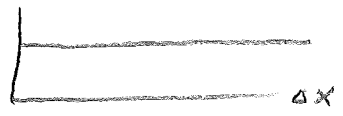
IF  $Q_1, Q_2$  ARE EQUADISTANT FROM OPTICAL AXIS  $\frac{\Delta y}{z} = 0$



$$0 < \Delta x \ll 0.610 \frac{\lambda z}{a} = \Delta_0$$



$$0 < \Delta x < \Delta_0$$



$$\Delta x = \Delta_0$$

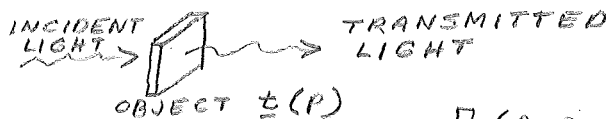


$\Delta x$  A BIT BIGGER THAN  $\Delta_0$   
(NOTE PHASE REVERSAL)

### III. PARTIAL COHERENCE EFFECTS IN IMAGE FORMATION

#### A. SOME PRELIMINARY CONSIDERATIONS

##### 1. EFFECTS OF A TRANSMITTING OBJECT ON MUTUAL COHERENCE

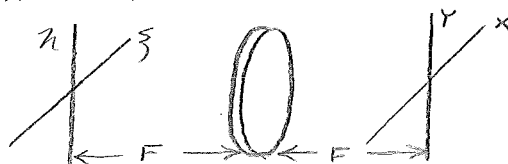


$$\Rightarrow \underline{J}_{\text{TRANS}}(P_1, P_2; \gamma) = t(P_1) t^*(P_2) \underline{J}_{\text{INC}}(P_1, P_2; \gamma)$$

OR, FOR QUASIMONOCROMATIC LIGHT

$$\underline{J}_{\text{TRANS}}(P_1, P_2) = t(P_1) t^*(P_2) \underline{J}_{\text{INC}}(P_1, P_2)$$

##### 2. FOCAL PLANE - FOCAL PLANE COHERENCE RELATIONS



FOR MONOCROMATIC LIGHT, THE PHASER AMPLITUDES ARE

$$A_F(x, y) = \frac{1}{j\lambda F} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A_0(\xi, \eta) e^{-j \frac{2\pi}{\lambda F} (x\xi + y\eta)} d\xi d\eta$$

WHEN LIGHT IS QUASI-MONO, WE REPLACE  $A_0(\xi, \eta)$  BY  $A_0(\xi, \eta; t)$ :

$$\underline{A}_F(x, y; t) = \frac{1}{j\lambda F} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A_0(\xi, \eta; t - \tau_0) e^{-j \frac{2\pi}{\lambda F} (x\xi + y\eta)} d\xi d\eta$$

$$\Rightarrow \tau_0 = \text{PROPAGATION TIME} = \frac{2F}{c}$$

THE RESULTING MUTUAL INTENSITY IS

$$\begin{aligned} \underline{J}_F(x_1, y_1; x_2, y_2) &= \langle \underline{A}_F(x_1, y_1; t) \underline{A}_F^*(x_2, y_2; t) \rangle \\ &= \left(\frac{1}{\lambda F}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \langle A_0(\xi_1, \eta_1; t - \tau_{01}) A_0^*(\xi_2, \eta_2; t - \tau_{02}) \rangle \\ &\quad \times \exp\left\{-j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2)\right\} d\xi_1 d\eta_1 d\xi_2 d\eta_2 \end{aligned}$$

UNDER QUASIMONO CONDITIONS,  $\tau_{01} - \tau_{02} \ll 1/\Delta\nu$  AND

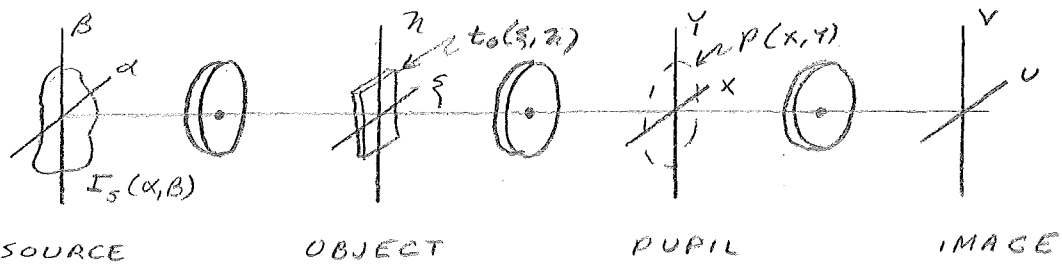
$$\langle A_0(\xi_1, \eta_1; t - \tau_{01}) A_0^*(\xi_2, \eta_2; t - \tau_{02}) \rangle = \underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2)$$

THUS, THE MUTUAL INTENSITIES ARE

RELATED BY A FOUR-DIMENSIONAL FOURIER XFORM:

$$\begin{aligned} \underline{J}_F(x_1, y_1; x_2, y_2) &= \left(\frac{1}{\lambda F}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2) \\ &\quad \times \exp\left\{-j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2)\right\} d\xi_1 d\eta_1 d\xi_2 d\eta_2 \end{aligned}$$

## B. IMAGE CALCULATION BY INTEGRATION OVER THE SOURCE



HOPKIN'S METHOD:

DIVIDE SOURCE INTENSITY INTO  $d\sigma = d\alpha dB$

IF  $\underline{A}_s(\alpha, B)$  REPRESENTS COMPLEX AMPLITUDE OF SOURCE;  
 $A_o(\xi, \eta; \alpha, B; t) = t_o(\xi, \eta) e^{-j 2\pi(\xi\alpha + \eta B)} \cdot \frac{1}{\lambda F} \underline{A}_s(\alpha, B, t - \tau) d\alpha dB$

$$\langle |A_s(\alpha, B; t)|^2 \rangle = I_s(\alpha, B)$$

LET  $\underline{K}$  REPRESENT AMPLITUDE-SPREAD FUNCTION FROM OBJECT TO IMAGE PLANE. THEN

$$\underline{A}_i(u, v; \alpha, B; t) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{K}(u-\xi, v-\eta) \underline{A}_o(\xi, \eta; \alpha, B; t - \tau) d\xi d\eta$$

$$\Rightarrow I_i(u, v; \alpha, B) = \langle |A_i(u, v; \alpha, B; t)|^2 \rangle$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{K}(u-\xi_1, v-\eta_1) \underline{K}^*(u-\xi_2, v-\eta_2) \\ \times \langle A_o(\xi_1, \eta_1; \alpha, B; t - \tau_1) A_o^*(\xi_2, \eta_2; \alpha, B; t - \tau_2) \rangle d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

UNDER QUASI-MONO, THE TIME AVERAGE IS MUTUAL INTENSITY:

$$J_o(\xi_1, \eta_1; \xi_2, \eta_2; \alpha, B) = t_o(\xi_1, \eta_1) t_o^*(\xi_2, \eta_2) \left(\frac{1}{\lambda F}\right)^2 \\ \times \exp \left\{ -j \frac{2\pi}{\lambda F} (\xi_1 \alpha + \eta_1 B - \xi_2 \alpha - \eta_2 B) \right\} I_s(\alpha, B) d\alpha dB$$

$$\Rightarrow I_i(u, v; \alpha, B) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{K}(u-\xi_1, v-\eta_1) \underline{K}^*(u-\xi_2, v-\eta_2) t_o(\xi_1, \eta_1) t_o^*(\xi_2, \eta_2) \\ \times \left[ \left(\frac{1}{\lambda F}\right)^2 e^{-j 2\pi \frac{\alpha}{\lambda F} (\xi_1 \alpha + \eta_1 B - \xi_2 \alpha - \eta_2 B)} I_s(\alpha, B) d\alpha dB \right] d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

INTEGRATING OVER THE SOURCE (ALL  $\alpha \neq B$ ):

$$I_i(u, v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} I_i(u, v; \alpha, B) d\alpha dB \\ = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{K}(u-\xi_1, v-\eta_1) \underline{K}^*(u-\xi_2, v-\eta_2) t_o(\xi_1, \eta_1) t_o^*(\xi_2, \eta_2) \\ \left[ \left(\frac{1}{\lambda F}\right)^2 \int_{-\infty}^{\infty} I_s(\alpha, B) e^{-j 2\pi \frac{\alpha}{\lambda F} (\xi_1 \alpha + \eta_1 B - \xi_2 \alpha - \eta_2 B)} d\alpha dB \right] d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

BUT, FROM VAN CITTERT-ZERNIKE THEOREM, THE TERM INSIDE THE BRACKETS IN MUTUAL INTENSITY OF THE LIGHT ON THE OBJECT PLANE

$$\Rightarrow I_i(u, v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \underline{K}(u-\xi_1, v-\eta_1) \underline{K}^*(u-\xi_2, v-\eta_2) \\ t_o(\xi_1, \eta_1) t_o^*(\xi_2, \eta_2) J_o(\Delta\xi, \Delta\eta) d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

## C. ALTERNATIVE METHOD FOR IMAGE CALCULATION

### • 1 • DERIVATION

$$A_i(u, v; t) = \iint_{-\infty}^{\infty} K(u-\xi; v-\eta) \underline{A}_0(\xi, \eta; t-\tau) d\xi d\eta$$

NOTE: THIS APPROACH ALLOWS FOR ALL OF THE LIGHT.

$$\text{NOW } I_i(u, v) = \langle |A(u, v; t)|^2 \rangle$$

$$= \iiint_{-\infty}^{\infty} \iiint_{-\infty}^{\infty} K(u-\xi_1, v-\eta_1) K^*(u-\xi_2, v-\eta_2) \\ \times \langle \underline{A}_0(\xi_1, \eta_1; t-\tau_1) \underline{A}_0^*(\xi_2, \eta_2; t-\tau_2) \rangle d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

FOR QUASI-MONO,  $\langle \rangle$  IS MUTUAL INTENSITY OF LIGHT

TRANSMITTED BY THE OBJECT:

$$I_i(u, v) = \iiint_{-\infty}^{\infty} \iiint_{-\infty}^{\infty} K(u-\xi_1, v-\eta_1) K^*(u-\xi_2, v-\eta_2) \underline{I}_0(\xi, \eta) \underline{I}_0^*(\xi_2, \eta_2) \\ \times \underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2) d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

NOTE: INCOHERENT SOURCE HAS NOT BEEN ASSUMED. FOR

INCOHERENT SOURCE,  $\underline{J}_0 = \underline{J}_0(\Delta\xi, \Delta\eta)$

### • 2 • COHERENT AND INCOHERENT LIMITS

a. COHERENT: SOURCE IS A SINGLE POINT

$$\Rightarrow \underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2) = A(\xi, \eta) A^*(\xi_2, \eta_2)$$

$$\underline{A}_0(\xi, \eta) = \underline{A}(\xi, \eta) t_0(\xi, \eta)$$

$$\Rightarrow I_i(u, v) = \left| \iint_{-\infty}^{\infty} K(u-\xi, v-\eta) \underline{A}_0(\xi, \eta) d\xi d\eta \right|^2$$

NOTE: THE SYSTEM IS LINEAR IN AMPLITUDE

b. INCOHERENT:  $\underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2) = K I(\xi_1, \eta_1) \delta(\xi_1 - \xi_2, \eta_1 - \eta_2)$

$$\Rightarrow I_i(u, v) = K \iint_{-\infty}^{\infty} |K(u-\xi, v-\eta)|^2 I_0(\xi, \eta) d\xi d\eta$$

$$\text{WHERE } I_0(\xi, \eta) = I(\xi, \eta) |t_0(\xi, \eta)|^2$$

NOTE: SYSTEM IS LINEAR IN INTENSITY

ONE MAY SHOW THE RELATIONSHIP BETWEEN

MUTUAL INTENSITIES IS

$$J_i(u_1, v_1; u_2, v_2) = \iiint_{-\infty}^{\infty} \iiint_{-\infty}^{\infty} K(u_1-\xi_1, v_1-\eta_1) K^*(u_2-\xi_2, v_2-\eta_2) \\ \times t_0(\xi_1, \eta_1) t_0^*(\xi_2, \eta_2) \underline{J}_0(\xi_1, \eta_1; \xi_2, \eta_2) d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

AGAIN, NOTE LINEARITY OF MUTUAL INTENSITY  
RELATIONSHIP FOR INCOHERENT ILLUMINATION.

• 3 • AN EXAMPLE - THE IMAGE OF TWO CLOSELY SPACED POINTS

$$\text{LET } t_0(\xi, \eta) = a \delta\left(\xi - \frac{x}{2}; \eta\right) + a \delta\left(\xi + \frac{x}{2}; \eta\right)$$

WHERE  $x$  IS THE SEPARATION BETWEEN PINHOLES

$$\Rightarrow I_i(u, v) = I \left[ |K(u - \frac{x}{2}, v)|^2 + |K(u + \frac{x}{2}, v)|^2 \right. \\ \left. + 2 \operatorname{Re} \left[ \mu K(u - \frac{x}{2}, v) K^*(u + \frac{x}{2}, v) \right] \right]$$

$$\text{WHERE } I = a^2 J_0(0, 0) \quad ; \quad \mu = \frac{1}{2} a^2 J_0(x, 0)$$

AND WE HAVE USED THE RELATION  $J_0(-x, 0) = J_0^*(x, 0)$

$$\text{NOW } K(u, v) = \frac{1}{\lambda F} \iint_{-\infty}^{\infty} P(x, y) e^{-j \frac{2\pi}{\lambda F} (ux + vy)} dx dy$$

LET  $P(x, y)$  BE (HERMITIAN) CIRCULAR PUPIL OF RADIUS  $r_p$

$$\Rightarrow K(u, v) = K(\rho) = \frac{K r_p^2}{2F} \left[ 2 \frac{J_1(K r_p \rho / F)}{K r_p \rho / F} \right]$$

$$\Rightarrow I_i(u, v) = I \left[ K^2(u - \frac{x}{2}, v) + K^2(u + \frac{x}{2}, v) \right] \\ + 2 \mu K(u - \frac{x}{2}, v) K(u + \frac{x}{2}, v) \cos \phi$$

$$\Rightarrow \mu = |\mu| e^{j\phi} \quad \phi = \arg \mu$$

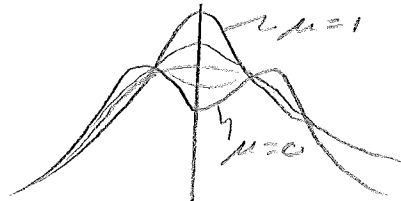
IF WE ASSUME

(1) NO ABERRATIONS

(2)  $\mu$  IS REAL AND POSITIVE ( $\mu = \mu$ )

(3) SEPARATION IS  $x = \frac{2F\lambda}{\pi r_p} \approx \text{RAYLEIGH DISTANCE}$

$$\text{LET } \mu' = \frac{2\pi r_p \mu}{\lambda F}$$



$\mu'$

## D. YET ANOTHER APPROACH TO THE PROBLEM

### 010 MUTUAL INTENSITY IN THE IMAGE PLANE

CONSIDER MUTUAL INTENSITY INCIDENT ON PUPIL PLANE

(ADOPT NOTATION  $J'$  = TRANSMITTED  $\neq$   $J$  = INCIDENT)

$$J_p(x_1, y_1; x_2, y_2) = \frac{1}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int J'_0(\xi_1, \eta_1; \xi_2, \eta_2) \\ \times \exp \left[ -j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2) \right] d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

$$J'_0(\xi_1, \eta_1; \xi_2, \eta_2) = t_0(\xi_1, \eta_1) t_0^*(\xi_2, \eta_2) J_0(\Delta\xi, \Delta\eta)$$

$$\Rightarrow J_p(x_1, y_1; x_2, y_2) = \frac{1}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int t_0(\xi_1, \eta_1) t_0^*(\xi_2, \eta_2) J_0(\Delta\xi, \Delta\eta) \\ \exp \left[ -j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_2 - y_2 \eta_2) \right] d\xi_1 d\eta_1 d\xi_2 d\eta_2$$

$$\text{LET } \xi_2 = \xi_1 + \Delta\xi \quad \eta_2 = \eta_1 + \Delta\eta$$

$$\Rightarrow J_p(x_1, y_1; x_2, y_2) = \frac{1}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int J_0(\Delta\xi, \Delta\eta) t_0(\xi_1, \eta_1) t_0^*(\xi_1 + \Delta\xi, \eta_1 + \Delta\eta) \\ \times \exp \left[ -j \frac{2\pi}{\lambda F} (x_1 \xi_1 + y_1 \eta_1 - x_2 \xi_1 - x_2 \Delta\xi - y_1 \eta_1 - y_2 \Delta\eta) \right] d\xi_1 d\eta_1 d\Delta\xi d\Delta\eta$$

$$\text{DEFINE } K_0 = \int_{-\infty}^{\infty} \int |t_0(\xi, \eta)|^2 d\xi d\eta$$

GIVES

$$J_p(x_1, y_1; x_2, y_2) = \frac{K_0}{(\lambda F)^2} \iiint_{-\infty}^{\infty} \int J_0(\Delta\xi, \Delta\eta) T(\Delta\xi, \Delta\eta; \Delta x, \Delta y) \\ \times \exp \left[ -j \frac{2\pi}{\lambda F} (x_2 \Delta\xi + y_2 \Delta\eta) \right] d\Delta\xi d\Delta\eta$$

WHERE THE AMBIGUITY FUNCTION IS

$$T(\Delta\xi, \Delta\eta; \Delta x, \Delta y) \triangleq \frac{1}{K_0} \iiint_{-\infty}^{\infty} \int t_0(\xi, \eta) t_0^*(\xi + \Delta\xi, \eta + \Delta\eta) \\ \times e^{-j \frac{2\pi}{\lambda F} (\Delta x \xi + \Delta y \eta)} d\xi d\eta$$

THE MUTUAL INTENSITY TRANSMITTED BY THE PUPIL IS

$$J_p'(x_1, y_1; x_2, y_2) = P(x_1, y_1) P^*(x_2, y_2) J_p(x_1, y_1; x_2, y_2)$$



## 2. THE IMAGE INTENSITY AND ITS FOURIER SPECTRUM

WE WISH TO FIND  $I_i(u, v)$  FROM  $J_p$ .

$$I(u, v) = J_i(u, v; u, v) \\ = \left(\frac{1}{\lambda F}\right) \iiint_{-\infty}^{\infty} J_p'(x_1, y_1; x_2, y_2) e^{-\frac{j2\pi}{\lambda F}(ux_1 + vy_1 - ux_2 - vy_2)} dx_1 dx_2 dy_2$$

OR, EQUIVALENTLY, FOR  $x_2 = x_1 - \Delta x$  AND  $y_2 = y_1 - \Delta y$ :

$$I_i(u, v) = \left(\frac{1}{\lambda F}\right)^2 \iint_{-\infty}^{\infty} \left[ \int_{-\infty}^{\infty} J_p'(x_1, y_1; x_1 - \Delta x, y_1 - \Delta y) dx_1 dy_1 \right] \\ \times \exp \left[ -\frac{j2\pi}{\lambda F}(u\Delta x + v\Delta y) \right] d\Delta x d\Delta y$$

IF  $\mathcal{D}(v_x, v_y) =$  FOURIER SPECTRUM OF  $I(u, v)$  WHERE

$$v_x = \Delta x / \lambda F \quad \frac{1}{\lambda F} \quad v_y = \Delta y / \lambda F, \text{ IT FOLLOWS THAT}$$

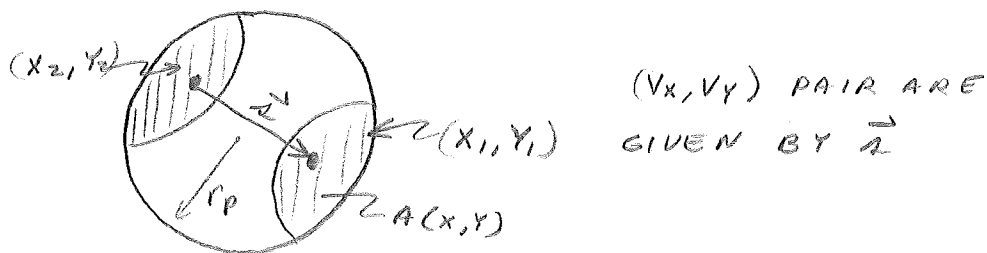
$$\mathcal{D}(v_x, v_y) = \iint_{-\infty}^{\infty} J_p'(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1$$

$v_x$  &  $v_y$  DENOTE SPATIAL FREQUENCY OF RESULTING FRINGE

THE ACT OF INTEGRATING OVER  $x_1$  &  $y_1$ , MAY

BE VIEWED AS A SLIDING VECTOR  $\vec{A} = (\Delta x, \Delta y)$

SLIDING ACROSS THE PUPIL IN ALL POSSIBLE WAYS



TAKING INTO ACCOUNT THE FINITE PUPIL:

$$\mathcal{D}(v_x, v_y) = \iint_{-\infty}^{\infty} P(x_1, y_1) P^*(x_1 - \lambda F v_x, y_1 - \lambda F v_y) \\ \cdot J_p(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1$$

FOR ABERRATION FREE SYSTEM

$$\mathcal{D}(v_x, v_y) = \iint_{A(v_x, v_y)} J_p(x_1, y_1; x_1 - \lambda F v_x, y_1 - \lambda F v_y) dx_1 dy_1$$

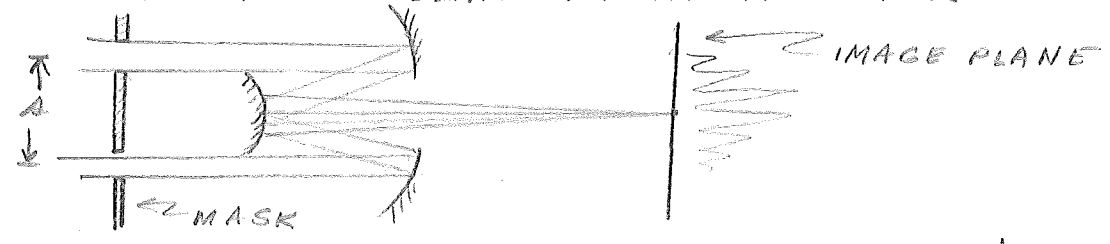
NOTE: THE MAXIMUM SPATIAL FREQUENCY IS

$$\rho_{MAX} = \sqrt{v_x^2 + v_y^2} \Big|_{MAX} = \frac{2r_p}{\lambda F}$$

E. GATHERING IMAGE INFORMATION WITH INTERFEROMETERS

FOR INCOHERENT IMAGE, VAN CITTERT-ZERNIKE SAYS WE CAN FIND IMAGE WITH KNOWLEDGE OF  $u(x, y)$ . WILL CONCENTRATE HERE ONLY ON CERTAIN OBJECT INFORMATION

1. THE FIZEAU STELLAR INTERFEROMETER



NEAR THE FRINGES' CENTER,  $\mathcal{V}(s) = |u_{12}(A)|$

FOR A CIRCULAR SOURCE OF RADIUS  $a$ :

$$u_{12}(\bar{A}) = 2 J_1 \left( \frac{2\pi a}{\lambda z} \sqrt{A_x^2 + A_y^2} \right) / \left( \frac{2\pi a}{\lambda z} \sqrt{A_x^2 + A_y^2} \right)$$

IN TERMS OF ANGULAR DIAMETER  $\theta = 2a/z$

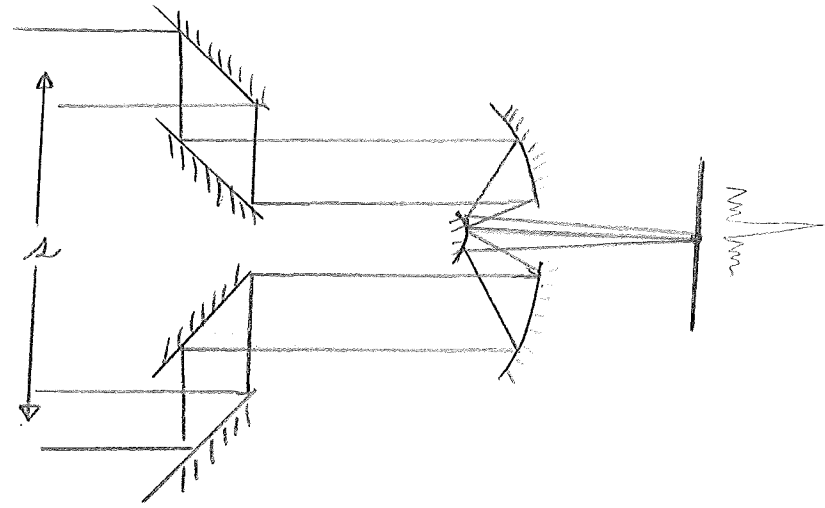
$$u_{12}(\bar{A}) = 2 J_1 \left( \frac{\pi \theta}{\lambda} \sqrt{A_x^2 + A_y^2} \right) / \left( \frac{\pi \theta}{\lambda} \sqrt{A_x^2 + A_y^2} \right)$$

THE FRINGES WILL VANISH WHEN  $A_0 = \frac{1.22 \lambda}{\theta}$

THUS, WE MAY FIND ANGULAR DIAMETER:  $\theta = 1.22 \lambda / A_0$

(NOTE: A PROBLEM IS LIMITATION OF TELESCOPE APERTURE)

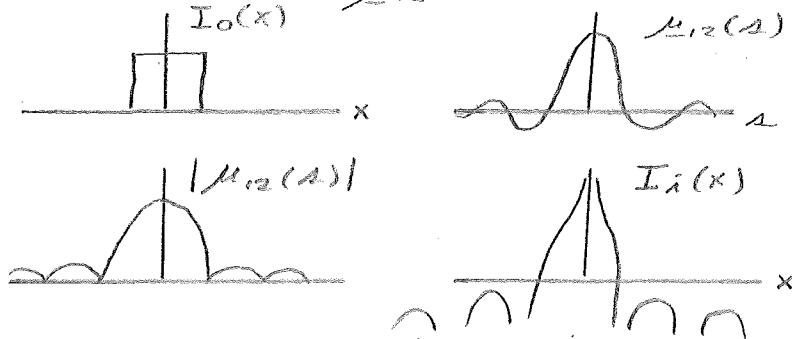
2. THE MICHELSON STELLAR INTERFEROMETER



OVERCOMES PROBLEMS ASSOCIATED WITH THE FIZEAU INTERFEROMETER

• 3 • INFORMATION OBTAINABLE FROM  $|\mu_{12}|$

THE PHASE OF  $\mu_{12}$  IS VERY IMPORTANT:



IF WE KNOW  $\mu_{12}(x)$  IS POS & REAL, WE'RE OKAY

NOTE:  $|\mu_{12}(a)|^2$ , UPON FOURIER TRANSFORMATION, BECOMES  $I * I$

• 4 • THE PHASE PROBLEM OF COHERENCE THEORY

IF  $I_0(x)$  HAS FINITE SUPPORT, & IS BOUNDED, THEN  $\mu_{12}(a)$  IS ANALYTIC. SHIFT  $I_0(x)$  SO THAT  $I_0(x) = 0$  FOR  $x < 0$ . THEN  $\mu_{12}(a)$  BECOMES AN ANALYTIC SIGNAL.

$$\text{LET } \mu_{12}(a) = e^{\alpha_{12}(a) + j\beta_{12}(a)}$$

$$\alpha_{12}(a) = \ln |\mu_{12}(a)| \text{ IS MEASURABLE}$$

IF  $\mu_{12}(a)$  HAS NO ZEROS IN THE LOWER HALF PLANE, THEN  $\ln \mu_{12}(a)$  IS ANALYTIC AND

$$\beta_{12}(a) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\ln |\mu_{12}(a')|}{a' - a} da'$$

ie, THEY ARE RELATED BY A HILBERT TRANSFORM

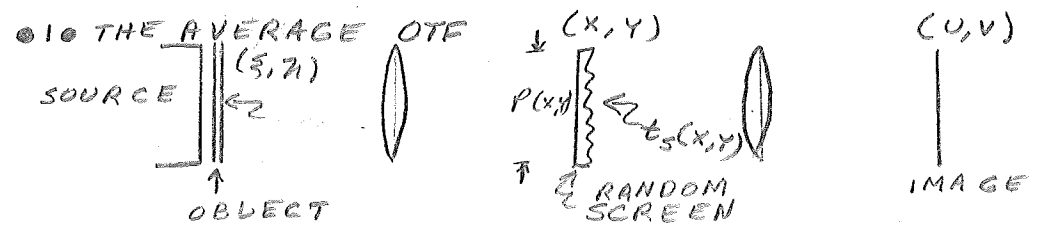
IF ZEROS OF  $\mu_{12}$  ARE IN THE LOWER HALF PLANE

$$\beta_{12}(a) = \frac{1}{\pi} \int \frac{\ln |\mu_{12}(a')|}{a' - a} da' + \sum_j \arg \left( \frac{a - a_j}{a - a_j^*} \right)$$

### IV. IMAGING THROUGH RANDOMLY INHOMOGENEOUS MEDIA

CONSIDER ONLY INCOHERENT SOURCES AND QUASI MONOCHROMATIC LIGHT.

#### A. EFFECTS OF THIN RANDOM SCREENS



WISH TO COMPUTE ENSEMBLE AVERAGE PROPERTIES OF SYSTEM

$P(x, y)$  DENOTES (POSSIBLY COMPLEX) PUPIL FUNCTION

THE OTF OR OPTICAL TRANSFER FUNCTION IS

$$\underline{H}(v_x, v_y) = \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) t_s(x, y) P^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y) t_s^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y) dx dy}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x, y) t_s(x, y)|^2 dx dy}$$

$$\underline{H}(v_x, v_y) = E[\text{NUMERATOR}] / E[\text{DENOMINATOR}]$$

(FOR A PHASE SCREEN,  $\underline{H}(v_x, v_y) = E[\underline{H}(v_x, v_y)]$ )

$$E[\text{NUM}] = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) P^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y) \times E[t_s(x, y) t_s^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y)] dx dy$$

ASSUMING SPATIAL STATIONARITY

$$E[t_s(x, y) t_s^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y)] = \underline{J}_T(\bar{\lambda} F v_x, \bar{\lambda} F v_y)$$

$$\Rightarrow E[\text{NUM}] = \underline{J}_T(\bar{\lambda} F v_x, \bar{\lambda} F v_y) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) P^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y) dx dy$$

$$E[\text{DEN}] = \underline{J}_T(0, 0) \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x, y)|^2 dx dy$$

THIS ALL BOILS DOWN TO

$$\underline{H}(v_x, v_y) = \underline{H}_0(v_x, v_y) \underline{\mu}_t(\bar{\lambda} F v_x, \bar{\lambda} F v_y)$$

WHERE

$$\underline{H}_0(v_x, v_y) = \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) P^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y) dx dy}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |P(x, y)|^2 dx dy}$$

IS THE OTF OF THE SYSTEM WITHOUT THE SCREEN,

AND  $\underline{\mu}_t(\bar{\lambda} F v_x, \bar{\lambda} F v_y) = \underline{J}(\bar{\lambda} F v_x, \bar{\lambda} F v_y) / \underline{J}_T(0, 0)$

IS THE OTF OF THE SCREEN ITSELF

THE AVERAGE POINT-SPREAD FUNCTION IS

$$\underline{A}(u, v) = \mathcal{F}^{-1}[\underline{H}(v_x, v_y)] = \underline{A}_0(u, v) * \underline{A}_t(u, v)$$

• 2 • A RANDOM ABSORBING SCREEN

LET  $t_a(x, y) = t_0 + r(x, y)$  ;  $0 \leq t_a \leq 1$  ,  $E[r] = 0$   
 $\Rightarrow \bar{J}_T(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = E[(t_0 + r(x, y))(t_0 + r(x - \bar{\lambda} F V_x, y - \bar{\lambda} F V_y))]$   
 $= t_0^2 + \bar{J}_r(\bar{\lambda} F V_x, \bar{\lambda} F V_y)$   
 $\Rightarrow \bar{\mu}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = [t_0^2 + \bar{J}_r(\bar{\lambda} F V_x, \bar{\lambda} F V_y)] / (t_0^2 + \sigma_r^2)$   
 WHERE  $\sigma_r^2 = \overline{r^2}$

EQUIVALENTLY:  $\bar{\mu}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = \frac{t_0^2}{t_0^2 + \sigma_r^2} + \frac{\sigma_r^2}{t_0^2 + \sigma_r^2} \bar{\mu}(\bar{\lambda} F V_x, \bar{\lambda} F V_y)$   
 $\bar{H}(V_x, V_y) = \frac{t_0^2}{t_0^2 + \sigma_r^2} \bar{H}_0(V_x, V_y) + \frac{\sigma_r^2}{t_0^2 + \sigma_r^2} \bar{H}_0(V_x, V_y) \bar{\mu}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y)$



• 3 • A RANDOM PHASE SCREEN

LET  $t_s(x, y) = e^{j\phi(x, y)}$  ,  $\phi(x, y)$  IS ZERO MEAN STATIONARY  
 $\bar{J}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = E[e^{j\phi(x, y) - j\phi(x - \bar{\lambda} F V_x, y - \bar{\lambda} F V_y)}]$   
 $= M_\phi(1, -1)$

$M_\phi(\omega_1, \omega_2)$  IS SECOND ORDER CHARACTERISTIC FUNCTION OF  $\phi_1 = \phi(x, y)$  ,  $\phi_2 = \phi(x - \bar{\lambda} F V_x, y - \bar{\lambda} F V_y)$

ASSUME  $\phi$  IS GAUSSIAN

$\Rightarrow M_\phi(\omega_1, \omega_2) = e^{-\frac{1}{2}[\sigma_1^2 \omega_1^2 + \sigma_2^2 \omega_2^2 + 2\sigma_1 \sigma_2 \omega_1 \omega_2]}$   
 $\sigma_1^2 = \overline{\phi_1^2}$  ,  $\sigma_2^2 = \overline{\phi_2^2}$  ,  $\bar{\mu}_\phi = \overline{\phi_1 \phi_2} / \sigma_1 \sigma_2$

THE AVERAGE OTF OF THE SCREEN IS

$\bar{\mu}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = e^{-\sigma_\phi^2 (1 - \bar{\mu}_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y))}$

WHERE  $\sigma_1^2 = \sigma_2^2 = \sigma_\phi^2$  HAS BEEN ASSUMED

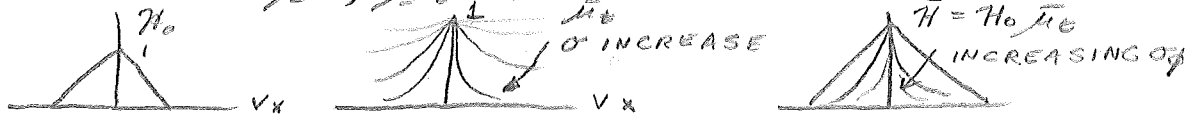
OR, USING THE STRUCTURE FUNCTION

$D_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = E[(\phi(x, y) - \phi(x - \bar{\lambda} F V_x, y - \bar{\lambda} F V_y))^2]$

GIVES  $\bar{\mu}_E(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = e^{-\frac{1}{2} D_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y)}$

IT TURNS OUT  $D_\phi = 2\sigma_\phi^2 [1 - \bar{\mu}_\phi]$

FOR LARGE  $\bar{\mu}_E$  ,  $\bar{\mu}_E(\omega, \infty) = e^{-\sigma_\phi^2}$



• 40 LIMITING FORMS OF THE OTF AND POINT-SPREAD  
FUNCTION FOR LARGE PHASE VARIANCE

ASSUME  $\sigma_\phi^2 \gg 1$  AND  $\mu_\phi(A) \approx 1 - \kappa A^2$

$$\Rightarrow A^2 = A_x^2 + A_y^2$$

RECALL  $\mu_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y) = e^{-\sigma_\phi^2 [1 - \bar{\mu}_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y)]}$

IF  $\sigma_\phi^2 \gg 1$ ,  $\bar{\mu}_\phi(\bar{\lambda} F V) \approx e^{-\kappa \sigma_\phi^2 \bar{\lambda}^2 F^2 V^2}$

AND, WE HAVE A GAUSSIAN

$$\begin{aligned} \Rightarrow \bar{H}(V_x, V_y) &= \bar{H}_0(V_x, V_y) \bar{\mu}_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y) \\ &\approx \bar{\mu}_\phi(\bar{\lambda} F V_x, \bar{\lambda} F V_y) \\ &= e^{-\kappa \sigma_\phi^2 \bar{\lambda}^2 F^2 V^2} \end{aligned}$$

NOTE: POINT SPREAD FUNCTION WILL ALSO BE GAUSSIAN

## B. EFFECTS OF AN EXTENDED RANDOMLY INHOMOGENEOUS MEDIA



### 1. NOTATION AND DEFINITIONS

$n(\vec{r}, t) = n_0 + n_1(\vec{r}, t)$  ← REFRACTIVE INDEX OF ATMOSPHERE

IS MEDIA IS HOMOGENEOUS (SPATIALLY STATIONARY IN 3D):

$$\Gamma_n(\vec{r}) = n_1(\vec{r}_1) n_1(\vec{r}_1 + \vec{r})$$

$$\Phi_n(\vec{k}) = \frac{1}{(2\pi)^3} \iiint \Gamma_n(\vec{r}) e^{i\vec{k} \cdot \vec{r}} d\vec{r} \leftarrow \text{PWR SPECTRAL DEN}$$

$$\Gamma_n(\vec{r}) = \iiint \Phi_n(\vec{k}) e^{-i\vec{k} \cdot \vec{r}} d\vec{k}$$

IF MEDIA IS ISOTROPIC (HAS SPHERICAL SYMMETRY)

$$\Phi_n(k) = \frac{1}{2\pi^2 k} \int_0^\infty \Gamma_n(r) r \sin kr dr$$

$$\Gamma_n(r) = \frac{4\pi}{r} \int_0^\infty \Phi_n(k) k \sin kr dk$$

WE WILL WISH TO LOOK AT A TWO-DIMENSIONAL SLICE:

$$F_n(k_x, k_y; z) = \int_{-\infty}^{\infty} \Phi_n(k_x, k_y, k_z) \cos k_z z dz dk_z$$

$$= \frac{1}{(2\pi)^2} \iint B_n(\vec{p}; z) e^{i\vec{k} \cdot \vec{p}} d\vec{p}$$

$$B_n(\vec{p}; z) = \iint F_n(\vec{k}; z) e^{-i\vec{k} \cdot \vec{p}} d\vec{k}$$

WHERE  $\vec{k} = (k_x, k_y)$ ,  $\vec{p} = (p_x, p_y)$

$$B_n(\vec{p}; z) = E [n_1(\vec{p}_1, z) n_1(\vec{p}_1 + \vec{p}; z)]$$

IF THE FLUCTUATIONS OF  $n$  ARE ISOTROPIC IN  $Z$  PLANE:

$$F_n(k; z) = \frac{1}{2\pi} \int_0^\infty B_n(p; z) J_0(kp) p dp$$

$$B_n(p; z) = 2\pi \int_0^\infty F_n(k; z) J_0(kp) k dk$$

## • 2 • ATMOSPHERIC MODEL

TURBULONS = "GLUMPS" OF AIR, EACH WITH REFRACTIVE INDEX

SCALE SIZE OF TURBULONS IS  $L = 2\pi/k$

INTERNAL RANGE  $L < 10\text{mm}$  (USE TURBULENCE THEORY)

OUTER SCALE  $L \approx \text{HT. ABOVE GROUND}$

TATARSKI'S REFRACTIVE INDEX SPECTRUM

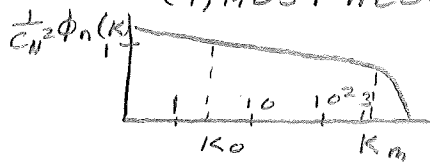
$$\Phi_n(k) = 0.033 C_n^2 k^{-11/3} e^{-k/k_m}$$

$$(1) k_m L_0 = 5.92$$

$$(2) \text{POOR FOR } k \lesssim k_0$$

$$(3) \text{GOOD FOR } k \gtrsim k_m$$

$$(4) \text{MOST ACCURATE FOR } k_0 \lesssim k \lesssim k_m$$



$C_n^2 \Rightarrow$  STRUCTURE CONSTANT  $\Rightarrow$  MEASURES TURBULENCE

$10^{-13} \text{m}^{-2/3}$  (STRONG)  $10^{-17} \text{m}^{-2/3}$  (WEAK)  $10^{-15} \text{m}^{-2/3}$  (TYPICAL)

SINGULARITY DOES EXIST @  $k=0 \Rightarrow$  NO AUTOCORRELATION

USE, INSTEAD, THE STRUCTURE FUNCTION

$$D_n(r) = 8\pi \int_0^\infty \left(1 - \frac{\sin kr}{kr}\right) \Phi_n(k) k^2 dk$$

$$= C_n^2 r^{2/3} ; l_0 < r < L_0$$

## • 3 • PLANE WAVE PROPAGATION. THE RYTOV APPROXIMATION

NO SOURCES  $\Rightarrow \nabla^2 \bar{E} + k^2 n^2 \bar{E} + 2\nabla(\bar{E} \cdot \nabla \ln n) = 0$

IN THE OPTICAL REGION OF SPECTRUM,  $l_0 \gg \lambda$

$$\Rightarrow \nabla^2 \bar{E} + k^2 n^2 \bar{E} = 0$$

RYTOV METHOD: LET  $E = e^\psi$ . THIS GIVES RICCATI EQ:

$$\Rightarrow \nabla^2 \psi(r) + \nabla \psi(r) \cdot \nabla \psi(r) + k^2 n^2(r) = 0$$

$\psi = \chi + jS$ .  $\chi = \ln A$  IS THE LOG AMPLITUDE

FOR UNIT PLANE WAVE OF  $\infty$  EXTENT, PERTURBATION THEORY:

$$\psi_1(x, y, z) \approx \frac{k^2}{2\pi} \int_0^L dz' \int_{-\infty}^{\infty} dx' dy' n(x', y', z')$$

$$\times \exp\left[jk \frac{(x-x')^2 + (y-y')^2}{2(L-z')}\right] / (L-z')$$

$L$  = PATH LENGTH. FRESNEL DIFFRACTION ASSUMED.

$\psi$  MAY BE ARGUED GAUSSIAN

$$\Rightarrow I = A^2 = e^{2\chi} \text{ IS } \underline{\text{LOG NORMAL}}$$



40 LONG EXPOSURE OTF IN TERMS OF WAVE STRUCTURE FUNCTION

SHORT EXPOSURE:  $T \ll \frac{1}{100}$  SEC LONG EXPOSURE:  $T \gg \frac{1}{100}$  SEC.

ERGODICITY ASSUMED



INCIDENT ON THE LENS IS  $\underline{U}(x, y) = e^{X(x, y) + jS(x, y)}$

$X$  AND  $S$  (BY RYTOV APPROXIMATION) ARE GAUSSIAN

OTF IS:  $\underline{H}(v_x, v_y) = \underline{H}_0(v_x, v_y) \underline{J}_A(\bar{\lambda} F v_x, \bar{\lambda} F v_y) / \underline{J}_A(0, 0)$

$\underline{J}_A(\bar{\lambda} F v_x, \bar{\lambda} F v_y) = E[U(x, y) U^*(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y)]$

ASSUME  $\underline{J}_A(0, 0) = 1$  ( $\bar{\mu}$ , ATMOSPHERE IS LOSSLESS)

ADOPT NOTATION:  $X_1 = X(x, y)$   $X_2 = X(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y)$

$S_1 = S(x, y)$   $S_2 = S(x - \bar{\lambda} F v_x, y - \bar{\lambda} F v_y)$

THEN  $\underline{J}_A(\bar{\lambda} F v_x, \bar{\lambda} F v_y) = E[e^{X_1 + X_2 + jS_1 - jS_2}]$

SINCE  $\overline{X_1 S_1} = \overline{X_2 S_2}$  AND  $\overline{X_2 S_1} = \overline{X_1 S_2}$ ,  $\overline{(X_1 + X_2)(S_1 - S_2)} = 0$

THAT IS,  $X$  &  $S$  ARE UNCORRELATED, & THUS INDEPENDENT

$\Rightarrow \underline{J}_A = e^{\overline{X_1 + X_2}} e^{j \overline{(S_1 - S_2)}}$

BUT  $e^{j \overline{(S_1 - S_2)}} = e^{-\frac{1}{2} D_S(\bar{\lambda} F v_x, \bar{\lambda} F v_y)}$

WHERE  $D_S = \overline{(S_1 - S_2)^2}$

$e^{\overline{X_1 + X_2}} = e^{\tilde{\Gamma}_X(0) + \tilde{\Gamma}_X(\bar{\lambda} F v_x, \bar{\lambda} F v_y)} e^{2\bar{X}}$

$\Rightarrow \tilde{\Gamma}_X(\lambda_x, \lambda_y) = E\{[X(x, y) - \bar{X}][X(x - \lambda_x, y - \lambda_y) - \bar{X}]\}$

= AUTO-COVARIANCE

ENERGY CONSERVATION SAYS  $\bar{I} = 1 = e^{2\bar{X}}$

$\bar{X} = -\frac{1}{2} \tilde{\Gamma}_X(0) \Rightarrow e^{\overline{X_1 + X_2}} = e^{\tilde{\Gamma}_X(0) + \tilde{\Gamma}_X(\bar{\lambda} F v) - 2\tilde{\Gamma}_X(0)}$

=  $2\tilde{\Gamma}_X(\bar{\lambda} F v) = e^{-\frac{1}{2} D_X(\bar{\lambda} F v)}$

WHERE  $D_X = \overline{(X_1 - X_2)^2}$

WE CONCLUDE THAT

$\underline{J}_A(\bar{\lambda} F v) = \underline{\mu}_A(\bar{\lambda} F v) = e^{-\frac{1}{2} D(\bar{\lambda} F v)}$

AND

$\underline{H}(v) = \underline{H}_0(v) e^{-\frac{1}{2} D(\bar{\lambda} F v)}$

- 5 ● NEAR-FIELD CALCULATIONS OF LONG EXPOSURE OTF
- ASSUME (1) OBJECT IS  $\infty$  DISTANCE FROM LENS  
 (2) TURBULANCE EXTENDS DISTANCE  $z$  IN FRONT OF LENS  $\frac{1}{2}$  IS HOMO  $\frac{1}{2}$  ISOTROPIC  
 (3) ISOPLANATIC ASSUMPTION  
 (4) SYSTEM LIES DEEP IN NEAR FIELD OF TURBULONS (i.e., WE JUST HAVE A PHASE DELAY)



$$S_1 = \bar{k} \int_0^z n_1(\vec{r}_1) dz \quad S_2 = \bar{k} \int_0^z n_1(\vec{r}_2) dz$$

$$\chi_1 = \chi_2 = \bar{\chi}, \quad D_{\chi}(\Delta) = 0$$

$$n(\vec{r}_1) = n_1(z, s) \quad n_1(\vec{r}_2) = n_1(z, 0)$$

$$\begin{aligned} \bar{\mu}_A(\bar{\lambda} F V) &= e^{-\frac{1}{2} D_S(\bar{\lambda} F V)} = e^{-\frac{1}{2} (S_1 - S_2)^2} \\ &= e^{-\bar{k}^2 C_N^2 \int_0^z (z - \Delta z) [(\Delta z^2 + (\bar{\lambda} F V)^2)^{\frac{1}{2}} - \Delta z^{2/3}] dz} \end{aligned}$$

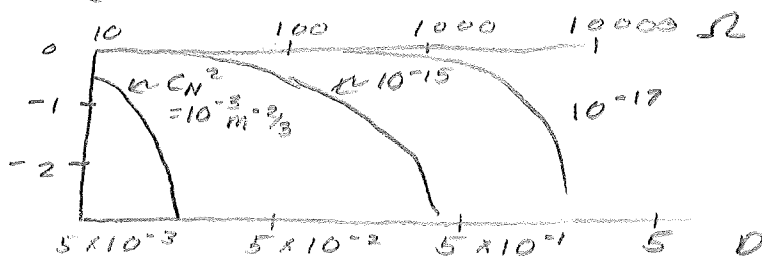
$$\text{WHERE } D_n(r) = C_N^2 r^{2/3} \quad l_0 < r < L_0$$

FURTHER SIMPLIFYING GIVES

$$\begin{aligned} \bar{\mu}_A(\bar{\lambda} F V) &\approx \exp \left[ -\bar{k}^2 C_N^2 z \int_0^{\infty} [(\Delta z^2 + \Omega^2)^{\frac{1}{2}} - \Delta z^{2/3}] d\Delta z \right] \\ &= \exp \left[ -57.44 C_N^2 \frac{z F^{5/3}}{\lambda^{1/3} V^{5/3}} \right] \\ &= \exp \left[ -57.44 C_N^2 \frac{z}{\lambda^{1/3} \Omega^{5/3}} \right] \end{aligned}$$

WHERE  $\Omega = F V$  IS SPATIAL FREQUENCY

$$\Omega_{1/e} = \lambda^{1/5} / (57.44 C_N^2 z)^{3/5}$$



6. GENERALIZATIONS AND EXTENSIONS (WITHOUT PROOF)

a. WAVE-STRUCTURE FUNCTION BEYOND THE NEAR FIELD  
WHEN LENS IS NOT IN THE NEAR DEEP FIELD,

AMPLITUDE FLUCTUATION ARE SCINTILLATIONS

- IT TURNS OUT THAT THE PREVIOUSLY DERIVED  
TIME-AVERAGE OTF APPLIES OVER MUCH LARGER  
DISTANCES THAN HAVE BEEN SUPPOSED FROM  
THE NEAR FIELD CALCULATIONS

b. EFFECTS OF Z DEPENDENCE OF  $C_N^2$

ASSUME  $C_N^2$  "JUMPS" EVERY  $\Delta z$  METERS

TAKING LIMIT, WE GET.

$$\bar{M}_A(\bar{\lambda}, \Omega) = \exp\left(-\frac{1}{2} 2.91 \bar{k}^2 \int_0^z C_N^2(z) dz\right) (\bar{\lambda}, \Omega)^{5/3}$$

c. STRUCTURE FUNCTION FOR A SPHERICAL WAVE



$$D_r(r) = \frac{3}{8} [2.91 \bar{k}^2 C_N^2 z r^{5/3}]$$

SAME AS PLANE WAVE  $\times 3/8$

d. EFFECTS OF FINITE OUTER SCALE

PREVIOUS  $\Phi_n(k) = 0.033 C_N^2 k^{-11/3} e^{-k^2/k_m^2}$

PREDICTS  $\infty$  RMS & IGNORES OUTER SCALE OF TURBULANCE

ANOTHER SUGGESTION HAS BEEN

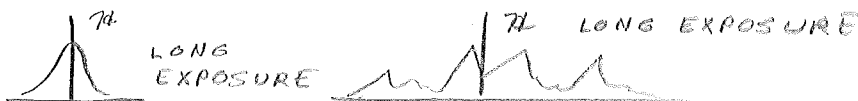
$$\Phi_n(k) = 0.063 \frac{C_N^2}{n_1^2} L_0^3 \frac{e^{-k^2/k_m^2}}{(1+k^2 L_0^2)^{11/6}}$$

AGREES WELL WITH TATARSKI FOR LARGE

WAVE NUMBERS PROVIDED  $C_N^2 \approx 1.9 n_1^2 L^{-2/3}$

e. SHORT EXPOSURE MTF

MTF = MODULATION TRANSFER FUNCTION



MUST SUBTRACT OUT ATMOSPHERIC TILT.

GIVES "NEAR FIELD" & "FAR FIELD" RESULTS

THERE'S SEVERE PHASE RESPONSE, BUT

BETTER HIGH FREQUENCY RESPONSE.

f. LIMITATIONS: RYTOU APPROXIMATION GOOD WHEN

$\bar{\lambda}^2 \leq 8$  (AMPLITUDE)  $D_s \ll \pi$  (PHASE)

# V. STATISTICS OF DETECTION PROCESSES

## A. PHOTON COUNTING STATISTICS

### 1. SEMI-CLASSICAL MODEL FOR PHOTON COUNTING

ASSUMPTIONS (1) FOR SUFFICIENTLY SMALL  $\Delta t$

$$P[1; t; t + \Delta t] = \alpha I(t) \Delta t$$

$$(2) P[0; t; t + \Delta t] = 1 - \alpha I(t) \Delta t$$

(3) # OF PHOTOEVENTS IN NONOVERLAPPING REGIONS IS INDEPENDENT

(4)  $I(t)$  IS DETERMINISTIC

CONSIDER INTERVAL  $t + \tau$  TO  $t + \tau + \Delta \tau$

$$P(k; t, t + \tau + \Delta \tau) = P(k; t, t + \tau) [1 - \alpha I(t + \tau) \Delta \tau] + P(k-1; t, t + \tau) \alpha I(t + \tau) \Delta \tau$$

$$\text{OR } \frac{1}{\Delta \tau} [P(k; t, t + \tau + \Delta \tau) - P(k; t, t + \tau)] = \alpha I(t + \tau)$$

$$\text{LET } \Delta \tau \rightarrow 0 \Rightarrow \frac{dP(k, t, t + \tau)}{d\tau} = \alpha I(t + \tau) [P(k-1; t, t + \tau) - P(k, t, t + \tau)]$$

$$\text{FOR } k=0 \Rightarrow P(0; t; t + \tau) = C_0 \exp \left[ -\alpha \int_t^{t + \tau} I(\xi) d\xi \right]$$

$$\text{SINCE } P(0, t, t) = 1 \Rightarrow \text{LET } W_+( \tau ) \triangleq \int_t^{t + \tau} I(\xi) d\xi \leftarrow$$

$$\text{AND } P[0, t, t + \tau] = e^{-\alpha W_+( \tau )}$$

$$k=1 \text{ GIVES } P(1, t; t + \tau) = \alpha W_+( \tau ) e^{-\alpha W_+( \tau )}$$

GOING FURTHER GIVES POISSON DISTRIBUTION

$$P(k, t; t + \tau) = \frac{1}{k!} [\alpha W_+( \tau )]^k e^{-\alpha W_+( \tau )}$$

OR, SINCE WE MUST BE "GIVEN"  $W$ :

$$P(k; t; \tau | W) = \frac{[\alpha W_+( \tau )]^k}{k!} e^{-\alpha W_+( \tau )}$$

$$\bar{R} = \alpha W_+( \tau ) = \alpha \int_t^{t + \tau} I(\xi) d\xi$$

$\alpha$  COMES FROM ENERGY ON DETECTOR OF AREA  $A$ :

$$E = A \int_t^{t + \tau} I(\xi) d\xi$$

WE EXPECT  $\bar{R} = \frac{\eta E}{h \nu}$  WHERE  $\eta$  IS DETECTOR'S QUANTUM EFFICIENCY

$$\Rightarrow \alpha = \eta A / h \nu$$

$$\text{IN GENERAL } P(k, t; \tau) = \int_0^\infty P(k; t; \tau | W) P_W(W) dW$$

FOR STATIONARY  $I(x)$ :

$$P(k, \tau) = \int_0^\infty \frac{(\alpha W)^k}{k!} e^{-\alpha W} P_W(W) dW \text{ = MANDEL'S FORMULA}$$

NOTE:  $k$  NEED NOT BE POISSON

• 2 • PHOTOCOUNT STATISTICS FOR WELL-STABILIZED SINGLE-MODE LASER RADIATION

$$W_L(\gamma) = \int_t^{t+\gamma} I_0 d\xi = I_0 \gamma \Rightarrow P_W(W) = \delta(W - I_0 \gamma)$$

$$P(k; \gamma) = \frac{(\bar{K})^k}{k!} e^{-\bar{K}} ; \bar{K} = \alpha I_0 \gamma$$

THUS, LASER LIGHT IS POISSON

• 3 • PHOTOCOUNT STATISTICS OF POLARIZED THERMAL RADIATION WITH  $\gamma \ll 1/\Delta\nu$

$$W_L(\gamma) = \int_t^{t+\gamma} I(\xi) d\xi \approx \gamma I(t)$$

$$\text{RECALL } P_I(I) = \frac{1}{\bar{I}} e^{-I/\bar{I}} \mu(I) \Rightarrow P_W(W) = \frac{1}{\bar{W}} e^{-W/\bar{W}} \mu(W)$$

$$\text{WHERE } \bar{W} = \bar{I} \gamma$$

$$\text{GIVES } P(k; \gamma) = \frac{1}{1 + \bar{K}} \left( \frac{\bar{K}}{1 + \bar{K}} \right)^k ; \bar{K} = \alpha \bar{I} \gamma$$

THIS IS BOSE-EINSTEIN OR GEOMETRIC DISTRIBUTION

• 4 • COMPARISON OF POISSON & BOSE-EINSTEIN DISTRIBUTIONS

BOSE-EINSTEIN

POISSON

MONOTONIC

$$\sigma_K^2 = \bar{K}(1 + \bar{K})$$

$$\sigma_K^2 = \bar{K}$$

EXCESS FLUCTUATION NOISE

$$\bar{K} \ll 1 \quad P(0, \gamma) \approx 1 - \bar{K}$$

$$P(0, \gamma) \approx 1 - \bar{K} \leftarrow \text{CLOSE}$$

$$P(1, \gamma) \approx \bar{K}$$

$$P(1, \gamma) = \bar{K} \leftarrow \text{CLOSE}$$

FOR  $\bar{K} > 1$ , THEY DIFFER A LOT

5. PHOTOCOUNT STATISTICS FOR POLARIZED THERMAL RADIATION WITH AN ARBITRARY COUNTING INTERVAL  
 DIVIDE  $\tau$  INTO  $m$  EQUAL SUBDIVISIONS (INDEPENDENT)

CALL SUBDIVISIONS CORRELATION INTERVAL

ASSUME  $I(t)$  IS CONSTANT IN EACH OF THESE

WE ARE EQUIVALENTLY DOING A BOXCAR APPROXIMATION:



$$\Rightarrow W = \sum_{i=1}^m W_i$$

$$P_{W_i}(w_i) = \frac{1}{W_i} e^{-w_i/\bar{W}_i} = \frac{m}{W} e^{-m w_i/W} ; w_i > 0$$

$$\Rightarrow M_{W_i}(w) = \frac{1}{1 - j \bar{W}_i w} = \frac{1}{1 - j \frac{W}{m} w}$$

DUE TO STATISTICAL INDEPENDENCE:

$$M_W(w) = M_{W_i}^m(w) = \frac{1}{[1 - j \frac{W}{m} w]^m}$$

INVERSE FOURIER XFORM GIVES GAMMA DISTRIBUTION

$$P_W(w) = \left(\frac{m}{W}\right)^m \frac{1}{\Gamma(m)} e^{-m w/\bar{W}} w^{m-1} \mu(w)$$

TWO PARAMETERS:  $m$  AND  $\bar{W} = \bar{I}\tau$ . NOTE  $\sigma^2 = \frac{\bar{W}^2}{m}$

WE MAY SHOW

$$\sigma_W^2 = 2\tau \int_0^\tau (1 - \frac{z}{\tau}) P_I(z) dz - (\bar{W})^2$$

$$\text{WHERE } \Gamma_I(\epsilon_1, \epsilon_2) = E[I(\epsilon_1)I(\epsilon_2)] = (\bar{I})^2 (1 + R(\tau)|^2)$$

$$\sigma_W^2 = \frac{2(\bar{W})^2}{\tau} \int_0^\tau (1 - \frac{z}{\tau}) |R(z)|^2 dz$$

$$\text{BUT } \sigma_W^2 = \frac{(\bar{W})^2}{m} \Rightarrow m = \left[ \frac{2}{\tau} \int_0^\tau (1 - \frac{z}{\tau}) |R(z)|^2 dz \right]^{-1}$$

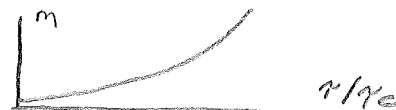


$$m \approx \left[ \frac{2}{\tau} \int_0^\tau (1 - \frac{z}{\tau}) dz \right]^{-1} \approx 1$$



$$m \approx \left[ \frac{2}{\tau} \int_0^\tau |R(z)|^2 dz \right]^{-1} = \frac{\tau}{\tau_c} \approx \tau/\tau_c$$

IN GENERAL:



AND NOW, THE DISTRIBUTION

$$P(k, \tau) = \int_0^\infty \left[ \frac{(\alpha w)^k}{k!} e^{-\alpha w} \right] \frac{(m/\bar{W})^m w^{m-1} e^{-m w/\bar{W}}}{\Gamma(m)} dw$$

$$= \frac{\Gamma(k+m)}{\Gamma(k+1)\Gamma(m)} \left[ 1 - \frac{m}{k} \right]^k \left[ 1 + \frac{k}{m} \right]^{-m} \quad \leftarrow \text{NEGATIVE BINOMIAL}$$

FOR SMALL  $\tau$ , THIS BECOMES BOSE-EINSTEIN

(CAUSE  $m \approx 1$ )

• 6 • FLUCTUATIONS OF PHOTOCOUNTS - THE DEGENERACY PARAMETER

WE MAY SHOW  $\sigma_k^2 = \bar{k} + \alpha^2 \sigma_w^2$

$\bar{k}$  IS PURE SHOT NOISE FROM PHOTOEMISSIVE STATISTICS

RECALL  $\sigma_w^2 = \bar{w}^2 / m \Rightarrow \sigma_k^2 = \bar{k} (1 + \bar{k}/m)$

$\delta_c = \frac{\bar{k}}{m}$  IS DEGENERACY PARAMETER

(REPRESENT AVE # OF PHOTOEVENTS PER CORREL. INTERVAL)

$\delta_c \ll 1 \Rightarrow$  ALL COUNTS ARE IN SEPARATE CORREL. INTERVALS

$\delta_c \gg 1 \Rightarrow$  "BUNCHED" PHOTOEVENTS, CLASSICALLY

INDUCED NOISE PREDOMINATES OVER SHOT NOISE.

$\delta_w = \delta_c / \bar{k} =$  WAVE DEGENERACY PARAMETER

COUNT DISTRIBUTION DETERMINED BY  $\bar{k}$  &  $\delta_c$ :

$$P(k; \gamma) = \frac{\Gamma(k + \bar{k}/\delta_c)}{k! \Gamma(\bar{k}/\delta_c)} \left[ (1 + \delta_c)^{\bar{k}/\delta_c} \left(1 + \frac{1}{\delta_c}\right)^k \right]^{-1}$$

AS  $\delta_c \rightarrow 0$ ,  $P(k, \gamma)$  GOES TO POISSON

• 7 • SPATIAL COHERENCE EFFECTS

CONSIDER CROSS SPECTRALLY PURE LIGHT.  $\omega$ , TEMPORAL

AND SPATIAL COHERENCE TREATED SEPARATELY

$$m_\Delta \approx A/A_c \quad A_c \approx \bar{\lambda}^2 / \Omega \Rightarrow m_\Delta \approx \frac{A \Omega_0}{\bar{\lambda}^2} \cdot (A \gg A_c)$$

$$m_\Delta \approx 1 \quad (A \ll A_c)$$

THEN

$$\delta_c = \bar{k}/m = \begin{cases} \frac{\bar{k}}{\gamma \Omega} \frac{\bar{\lambda}^2}{A \Omega_0} & ; \gamma \gg \gamma_c \quad A \gg A_c \\ \frac{\bar{k}}{\gamma \Omega} & \gamma \gg \gamma_c ; A \ll A_c \end{cases}$$

• 8 • DEGENERACY PARAMETER FOR POLARIZED

BLACKBODY RADIATION

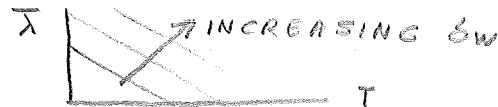
$$E_V = \frac{\Omega_0 A}{\lambda^2} h \nu \tau / (e^{h\nu/kT} - 1)$$

$\Omega_0$  = SOLID ANGLE SUBTENDED BY SOURCE

$\tau$  = INTEGRATION TIME

$$\text{GIVES } \delta_c = \frac{\bar{K}}{m} = \begin{cases} \frac{n}{(e^{h\nu/kT} - 1)} & ; A \gg A_c \\ \frac{n \Omega_0 A}{\lambda^2} / (e^{h\nu/kT} - 1) & A \ll A_c \end{cases}$$

CLASSICAL FLUCTUATION MORE IMPORTANT IN  
MICROWAVES THAN IN OPTICS



• 9 • PHOTOCOUNT STATISTICS FOR PARTIALLY POLARIZED THERMAL LIGHT

$$P_I(I) = \frac{1}{I!} [e^{-2I/(1+\rho)} - e^{-2I/(1-\rho)}] \mu(I)$$

$$\sigma_K^2 = \bar{K} [1 + \frac{1}{2} (1 + \rho^2) \bar{K}]$$

$$P(K, t) = \frac{1}{\rho R} \left\{ \left[ 1 + \frac{2}{(1+\rho)R} \right]^{-K-1} - \left[ 1 - \frac{2}{(1-\rho)R} \right]^{-K-1} \right\}$$

$\rho = 0$  GIVES GAMMA (2M D.O.F)

• 10 • THE INVERSION PROBLEM

$$\text{GIVEN } p(K; \tau) = \int_0^\infty \frac{(\alpha w)^K}{K!} e^{-\alpha w} p_w(w) dw$$

FIND  $p_w(w)$

$$\text{LET } F(x) \triangleq \int_0^\infty e^{ixw} [p_w(w) e^{-\alpha w}] dw$$

$$\text{SINCE } e^{ixw} = \sum_{k=0}^{\infty} \frac{1}{k!} (ixw)^k$$

$$F(x) = \sum_{k=0}^{\infty} \left( \frac{ix}{\alpha} \right)^k p(K, \tau)$$

$$\Rightarrow p_w(w) = \frac{1}{2\pi} e^{\alpha w} \int_{-\infty}^{\infty} F(x) e^{-ixw} dx$$

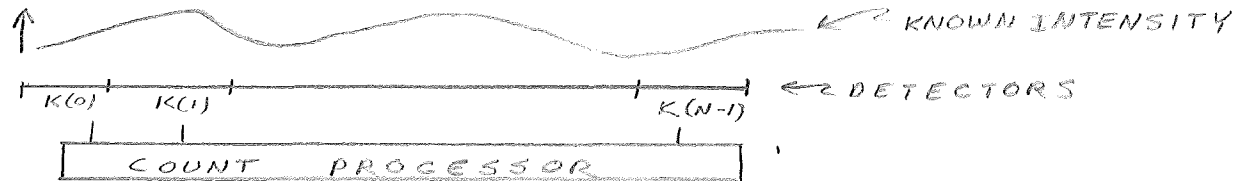


## B. INTERFEROMETRIC MEASUREMENTS AT LOW LIGHT LEVELS

CONSIDER 3 WAYS TO COMPUTE  $|\mu_{12}|$  AND  $\mu_{12}$

### 1. PRE-DETECTION OF LIGHT BEAMS

QUASI-MONO LIGHT & ITTY BITTY PINHOLES



INCIDENT ON THE ARRAY IS

$$I(x) = I_1 + I_2 + 2\sqrt{I_1 I_2} \mu_{12} \cos(2\pi f_0 x + \beta_{12})$$

$$\mu_{12} = |\mu_{12}|, \quad \beta_{12} = \arg \mu_{12}$$

ASSUME: 1) FRINGE PERIOD LARGE WRT DETECTOR

2) INTEGRAL # OF FRINGES ACROSS ARRAY

$$\bar{K}(l) = \alpha \gamma [I_1 + I_2 + 2\sqrt{I_1 I_2} \mu_{12} \cos(\frac{2\pi l p_0}{N} + \beta_{12})]$$

$$p_0 = \# \text{ PERIODS ON ARRAY}, \quad \alpha = \frac{\pi A}{h \nu}$$

$$\overline{K^2(l)} = \bar{K}(l) + [\bar{K}(l)]^2 (1 + \frac{1}{m})$$

$$K(l) K(q) = \bar{K}(l) \bar{K}(q) (1 + \frac{1}{m}) \quad l \neq q$$

PERFORM A DISCRETE FOURIER TRANSFORM:

$$X(p_0) = \frac{1}{N} \sum_{l=0}^{N-1} K(l) e^{j 2\pi l p_0 / N} = R(p_0) + i I(p_0)$$

$$\bar{R}(p_0) = \alpha \gamma \sqrt{I_1 I_2} \mu_{12} \quad \bar{I}(p_0) = 0$$

$$\sigma_R^2 = \frac{2\gamma(I_1 + I_2)}{2N} \left[1 + \gamma \frac{N}{m}\right]; \quad \sigma_I^2 = \frac{\alpha \gamma (I_1 + I_2)}{2N}$$

$$E[(R - \bar{R})(I - \bar{I})] = 0 \Rightarrow R \text{ \& \ } I \text{ ARE UNCORRELATED}$$



$$\left(\frac{S}{N}\right)_{\text{RMS}} = \frac{\sqrt{K_1 + K_2}}{\sqrt{2}} \gamma = \sqrt{\frac{K}{2}} \gamma \text{ FOR } K_1 = K_2 = K/2$$

TO HOLD FIXED  $(S/N)$ ,  $\gamma \propto 1/\eta^2$

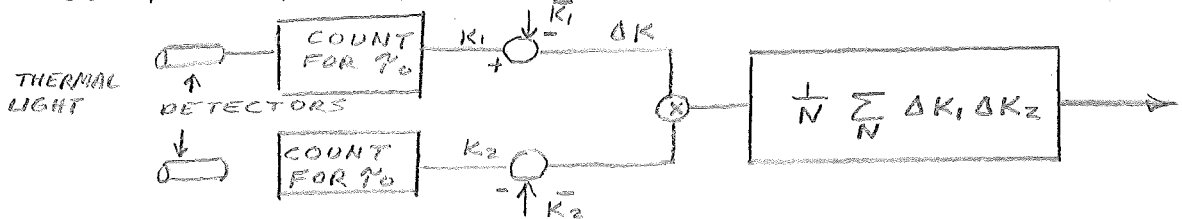
$$\text{ALSO, FOR } \delta_c = \frac{K}{m}; m = \frac{\gamma}{\gamma_c}, \quad \left(\frac{S}{N}\right)_{\text{RMS}} = \sqrt{\frac{\gamma}{2\gamma_c}} \sqrt{\delta_c} \gamma$$

FOR QUASI THERMAL SOURCES

$$\left(\frac{S}{N}\right)_{\text{RMS}} = \sqrt{T/2\gamma_c} \sqrt{K/N} \sqrt{\gamma}$$

## 20 POST DETECTION CORRELATION OF LIGHT BEAMS - THE INTENSITY INTERFEROMETER

USE TWO PHOTODETECTORS



$$\overline{\Delta K_1 \Delta K_2} = \frac{\overline{k_1 k_2}}{m} \stackrel{z}{=} \mu_{12}$$

PRIMARY NOISE IS SHOT (INDEPENDENT)

TURNS OUT  $\left(\frac{z}{N}\right)_{\text{RMS}} = \delta_c \gamma^2 (\text{BAD}) (\delta_c \ll 1, \gamma \leq 1)$

INDEPENDENT OF  $\gamma \Rightarrow$  MAKE IT SHORT

TAKE  $N$  COUNT INTERVALS

$$\left(\frac{z}{N}\right)_{\text{RMS}} = \sqrt{N} \delta_c \gamma^2 = \sqrt{\gamma/\tau_0} \delta_c \gamma^2$$

$\Rightarrow \tau_0 =$  BASIC COUNT INTERVAL  $\gamma = N\tau_0$

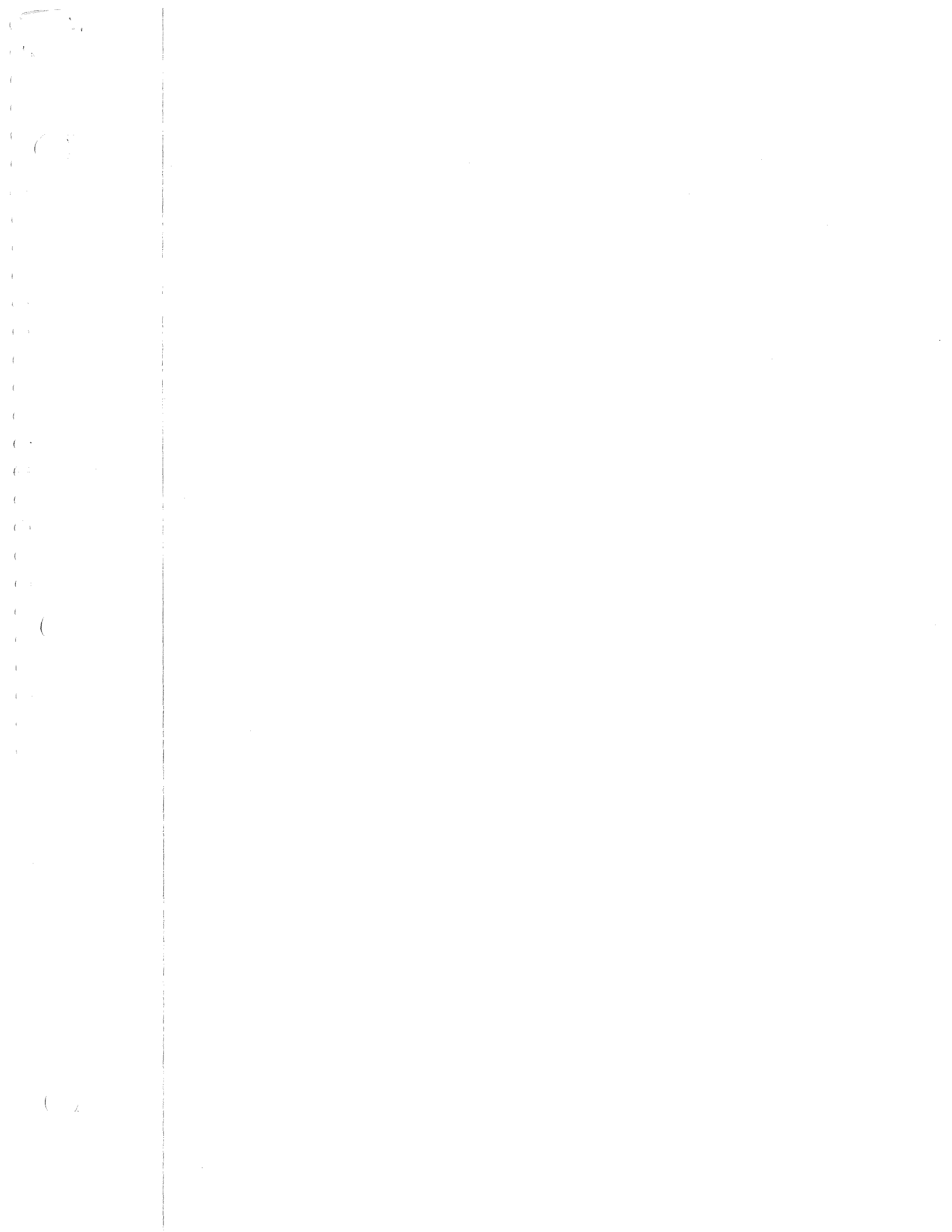
ADVANTAGES: (1) PATH LENGTH NOT AS IMPORTANT

(2) "ROUGH" MIRRORS CAN BE USED

(3) LITTLE EFFECT FROM ATMOSPHERE

DISADVANTAGES: (1) LOW SENSITIVITY

(2) ONLY  $|\mu_{12}|$  IS MEASURABLE



## EE 5358 - Oral Talks - Grading Sheet

I. Content (50%) 35

Overall score: (80)

II. Presentation (50%) 45

I. Content: (Subject; introduction; manner of treating subject; use of math, visual aids in presenting subj; level of presentation, etc.).

Introduction good. might have used a visual aid to introduce & motivate the topic. A little too much detail at front end (we got lost in the detail). You seem to want to give a lecture rather than a 20 minute talk. You did try to be tutorial which was good. I think Di Francia is Italian (he lives in Florence). Summary needs to be stronger.

II. Presentation: (Voice modulation, eye contact, organization, visual aids, absence of distracting habits, etc.)

Don't look at your notes so often! eye contact good - visual aids good. Repeated looking at notes shouldn't be necessary. good voice modulation.

ALFRED E. NUEMAN

## INTRODUCTION:

- TREAT IMAGING SYSTEM AS  
A COMMUNICATIONS CHANNEL  
OBJECT  $\rightarrow$  TO BE SENT  
IMAGING SYSTEM  $\rightarrow$  CHANNEL (NOISE)  
IMAGE  $\rightarrow$  RECEIVED

DOF A MEASURE OF SYSTEM'S  
INFORMATION CAPACITY

## SUMMARY

- CONCEPT OF D.O.F. (DIFRANCIA)
- ATTACK (AND SURVIVAL)  
BY SUPPERRESOLUTION (WOLTER)
- ORIGINAL OBSERVATIONS ON (DIFRANCIA)  
EFFECTS OF COHERENCE
- ATTACK (AND SURVIVAL) (WOLTER)
- PUPIL OF POINTS APPROACH (GORE)

(COMPUTER)

"A LACK OF INFORMATION CANNOT  
BE REMEDIED BY ANY MATHEMATICAL  
TRICKERY"

C. LANCZOS

LINEAR DIFFERENTIAL OPERATORS  
NEW YORK: VAN NOSTRAND, 1961  
p.132

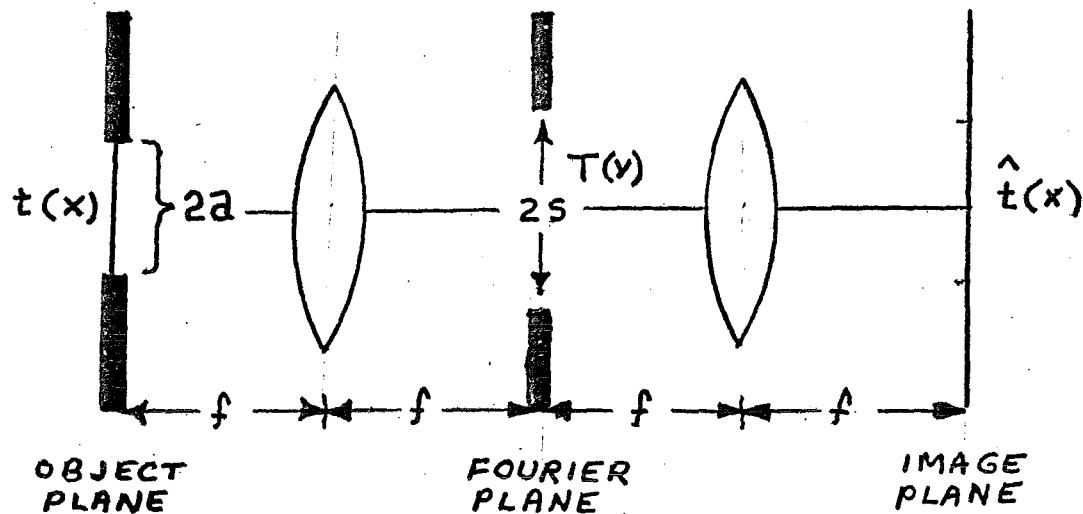
"COPYING THE WORK OF ONE PERSON IS  
TERMED 'PLAGIARISM'. COPYING THE  
WORK OF MANY IS TERMED 'RESEARCH'"

(PARAPHRASED FROM)

ALFRED. E. NEUMAN

MAD MAGAZINE

## DEGREES OF FREEDOM OF AN IMAGE



$$\text{BANDWIDTH OF IMAGE} = 2W = \frac{2S}{\lambda f}$$

$$\hat{t}(x) = \int_{-W}^W T(v) e^{j2\pi vx} dv \quad ; \quad v = \frac{x}{\lambda f}$$

$$= \sum_{n=-\infty}^{\infty} \hat{t}\left(\frac{n}{2W}\right) \text{sinc}(2Wx - n)$$

$S$  = SHANNON NUMBER

= SPACE · BANDWIDTH PRODUCT

= DEGREES OF FREEDOM

$$\approx 4W = 4S/\lambda f$$

$$\rightarrow \hat{t}(x) \approx \sum_{n=-S/2}^{S/2} \hat{t}\left(\frac{n}{2W}\right) \text{sinc}(2Wx - n)$$

## DEGREES OF FREEDOM OF AN IMAGE

SYSTEM / COMMUNICATION ANALOG  
COHERENT ILLUMINATION

- OBJECT HAS FINITE SUPPORT, <sup>GAUSSIAN</sup>
- OBJECT'S SPECTRUM IS BANDLIMITED
- IMAGE IS BANDLIMITED

EMPLOY SAMPLING THEOREM ON OUTPUT  
SAMPLE @ NYQUIST RATE OVER

-  $a$  TO  $a \rightarrow S = 4W \Delta$  # SAMPLES

$\Rightarrow S$  D.O.F.



## ● SUPERRESOLUTION ●

$\hat{t}(x) = \text{IMAGE (OUTPUT)}$

$t(x) = \text{OBJECT (INPUT)}$

$$\rightarrow \hat{t}(x) = \int_{-a}^a t(\xi) \text{sinc } 2W(x-\xi) d\xi$$

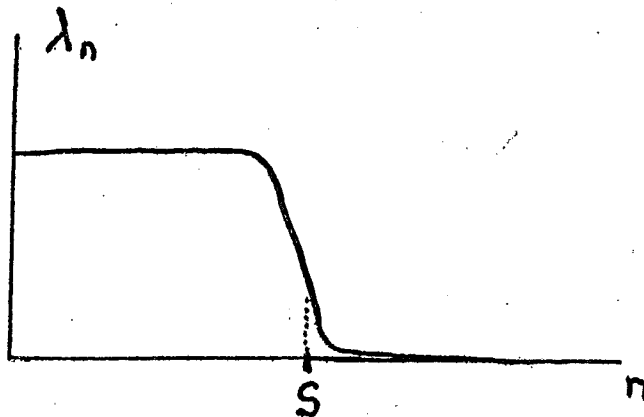
● CORRESPONDING INTEGRAL EQ:

$$\lambda_n \psi_n(x) = \int_{-a}^a \psi_n(\xi) \text{sinc } 2W(x-\xi) d\xi$$

$\psi_n \sim$  PROLATE SPHEROIDAL WAVE FUNCTIONS

● OBJECT:  $t(x) = \sum_n c_n \psi_n(x)$   
 $c_n = \int_{-\infty}^{\infty} t(x) \psi_n^*(x) dx$

● IMAGE:  $\hat{t}(x) = \sum_n \lambda_n c_n \psi_n(x)$   
 $\lambda_n c_n = \int_{-\infty}^{\infty} \hat{t}(x) \psi_n^*(x) dx$



SUPERRESOLUTION

ANALYTICITY OF BANDLIMITED FUNCTION  
(THEMES IN FEB. 1973 IEEE PROC)  
COMPARE WITH TAYLOR SERIES.

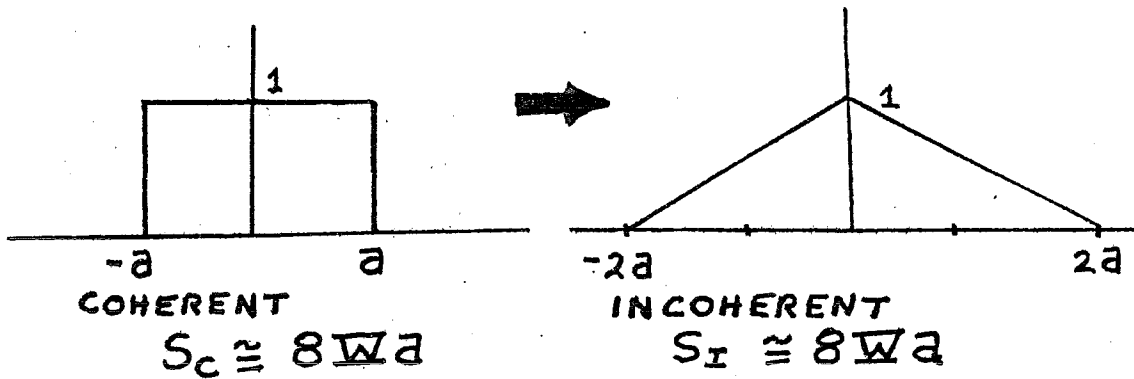
RECTANGULAR PUPIL - SLEPIAN & POLLAK

EIGEN-VALUE'S MESS YOU UP

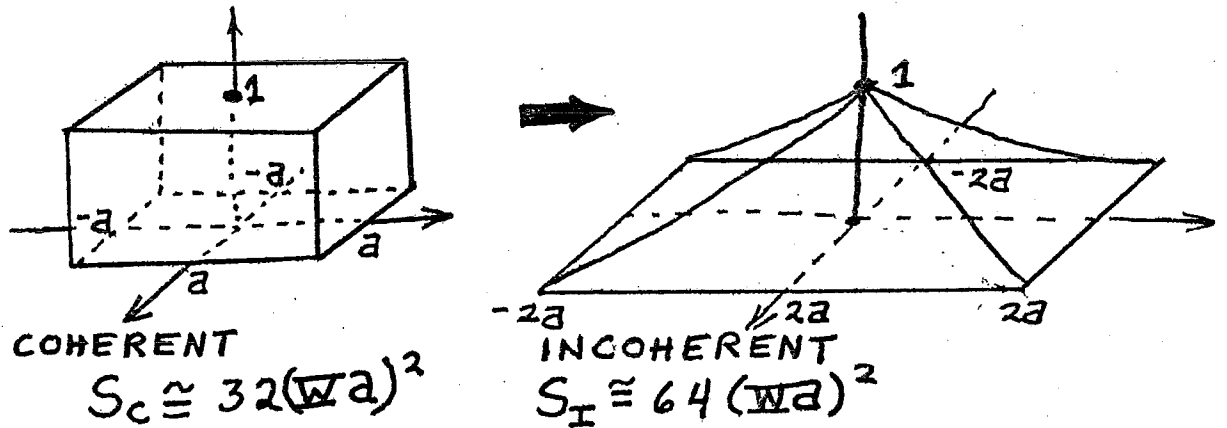
# ● EFFECTS OF COHERENCE ●

$2W \rightarrow$  SPATIAL EXTENT OF OBJECT

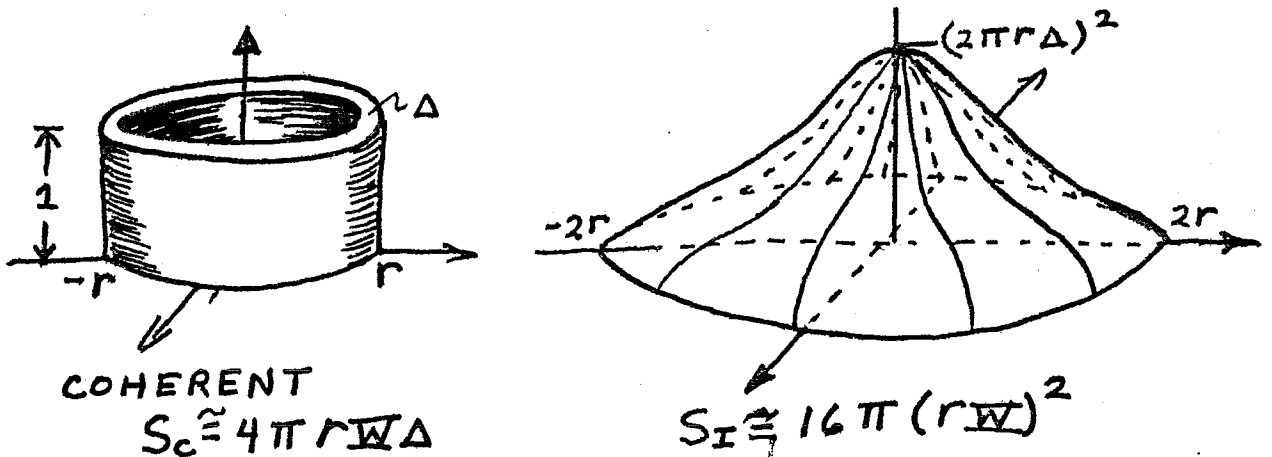
## 1. RECTANGULAR PUPIL - ONE DIMENSION



## 2. RECTANGULAR PUPIL - TWO DIMENSIONS



## 3. THIN RING PUPIL



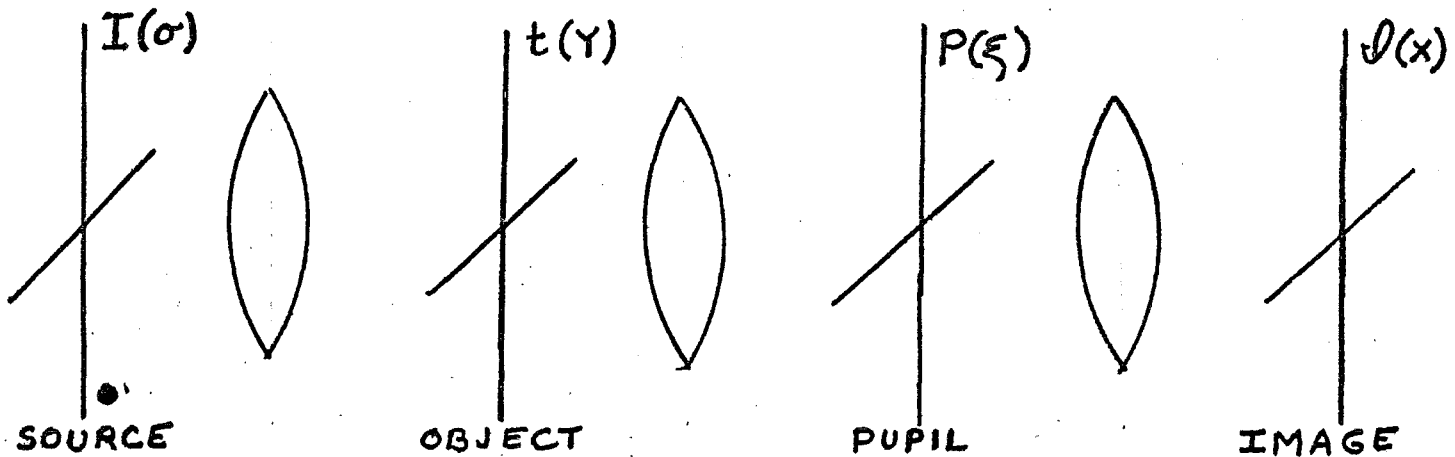
EFFECTS OF COHERENCE

DIFERENCIA: DOF  $\propto$  BANDWIDTH

COHERENT  $\rightarrow$  COMPLEX SAMPLES

INCOHERENT  $\rightarrow$  REAL SAMPLES

● EFFECTS OF COHERENCE ●



ASSUME :  $P(\xi) = \sum_{\alpha=1}^n P_{\alpha} \delta(\xi - \xi_{\alpha}) \leftarrow n \text{ POINTS}$

$$I(x) = I_{\alpha} + 2 \sum_{\alpha > \beta}^n I_{\alpha, \beta} \cos \left[ \frac{2\pi}{\lambda f} (\xi_{\alpha} - \xi_{\beta}) x + \phi_{\alpha, \beta} \right]$$

$I_{\alpha}, I_{\alpha, \beta}, \phi_{\alpha, \beta}$  FUNCTION OF  $I(\sigma), t(\gamma)$   
TOTAL OF  $N_L = n(n-1) + 1$  D.O.F. (MAX)

● GEOMETRIC EFFICIENCY FACTOR:

$$N_{MAX} = \# \text{ POINTS IN PUPIL'S AUTOCORRELATION}$$

$$\mathcal{R} = N_{MAX} / N_L$$

① COHERENT ILLUMINATION:

$$I(\sigma) = \delta(\sigma) \xrightarrow{\text{GIVES}} n(n-1) \text{ DOF}$$

② INCOHERENT ILLUMINATION

$$I(\sigma) = I_0 \xrightarrow{\text{GIVES}} N_{MAX} \text{ DOF}$$

③ PARTIALLY COHERENT ILLUMINATION

$$I(\sigma) = \sum_{\gamma=1}^m I_{\gamma} \delta(\sigma - \sigma_{\gamma})$$

$M = \# \text{ OF POINTS IN CONVOLUTION OF } I \dagger P$   
 $\xrightarrow{\text{GIVES}} 2M - 1 \text{ DOF}$

$$\frac{2n^2 - 2n}{2} \leq 2M - 1 \leq N_{MAX}$$

# EFFECTS OF COHERENCE (GORT & GUITMART)

TREAT PUPIL AS ARRAY OF POINTS

GEOMETRIC EFFICIENCY FACTOR

$N_{MAX}$  IS MAXIMUM D.O.F., FOR  $\eta=1$

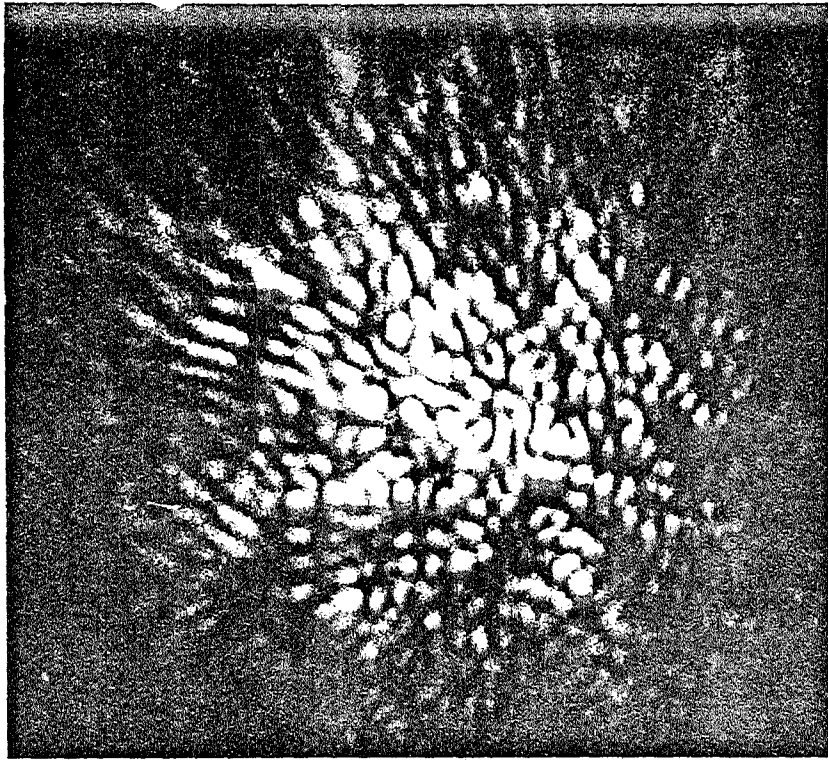


Fig. 7.1. Short exposure narrow band photograph of a magnified image of an unresolved star taken with the 5m Mount Palomar telescope (taken by GEZARI, LABEYRIE and STACHNIK)

the speckle "size" is of the same order of magnitude as the Airy disc of the telescope in the absence of atmospheric turbulence. A long exposure image is simply the sum of many short exposure images, each with a speckle structure that is different in detail, and is therefore a smooth intensity distribution whose diameter is approximately one arc second in good seeing. The minimum speckle size, on the other hand, is approximately 0.02 arc seconds for a 5 m telescope and a mean wavelength of 400nm; by extracting correctly the information in short exposure pictures of objects with more than one resolvable element we can observe detail down to the diffraction limit of the telescope and not be limited to the one arc second of conventional images.

A laboratory simulation illustrating the basic method is shown in Fig. 7.2 for an unresolved star, binary stars of two separations and a resolved star (shown as a uniformly illuminated disc). A large number of short exposure records are taken, each through a different realisation of the

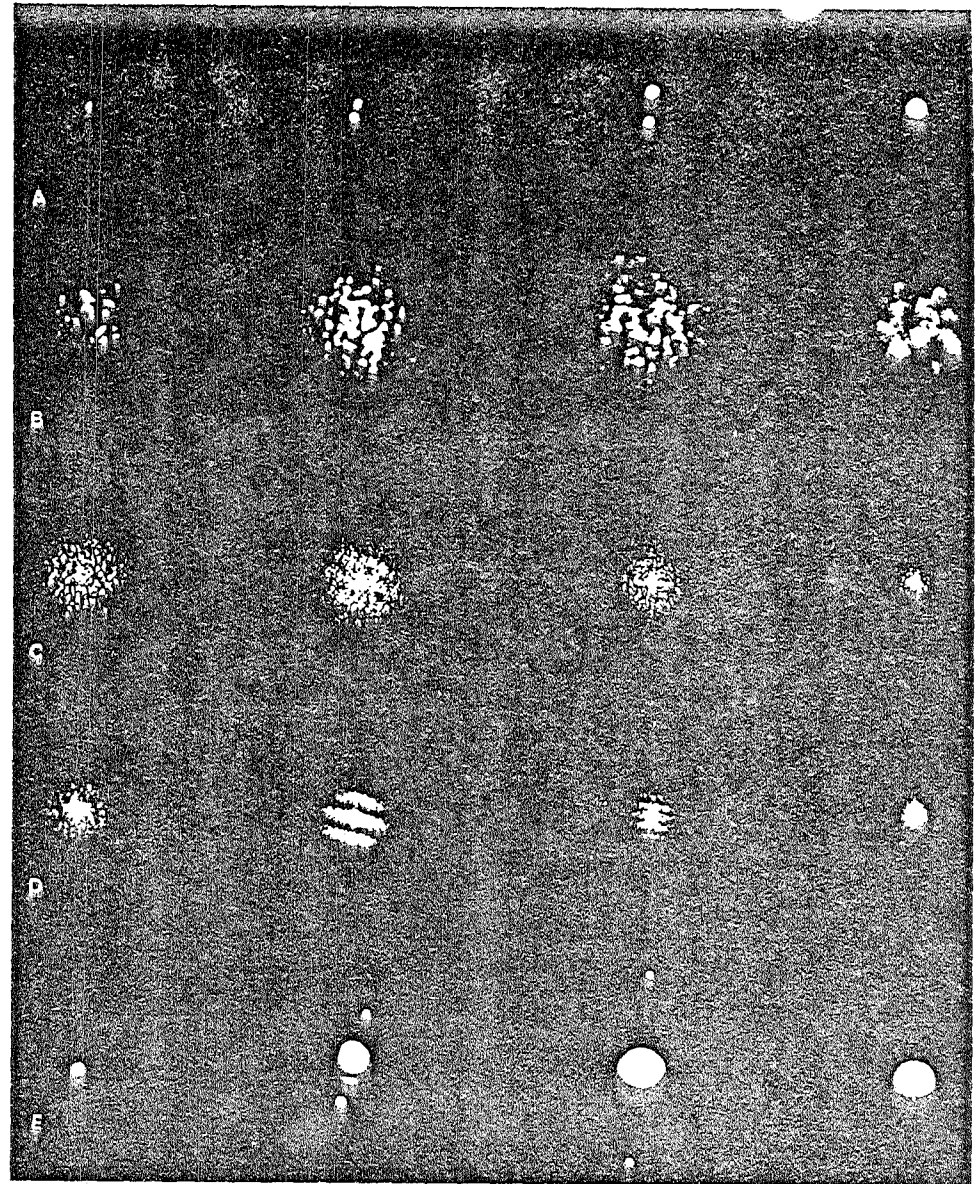


Fig. 7.2. Laboratory simulation showing principles of stellar speckle interferometry. (A, objects; B, typical short exposure photographs; C, diffraction patterns of row B; D, sum of 20 diffraction patterns; E, diffraction pattern of row D) (courtesy of A. LABEYRIE)

Using an analysis similar to that given in Subsection 7.2.1 we can show that if the field entering the telescope from a point source is complex Gaussian,

$$\begin{aligned} \langle T(u', v') T^*(u'', v'') \rangle &= T_0(u', v') T_0^*(u'', v'') \cdot C_A(\xi', \eta') C_A^*(\xi'', \eta'') \\ &+ \iiint_{-\infty}^{\infty} C_A(\xi_2 - \xi_1, \eta_2 - \eta_1) \\ &\cdot C_A^*(\xi_2 + \xi'' - \xi_1 - \xi', \eta_2 + \eta'' - \eta_1 - \eta') \\ &\cdot H_0^*(\xi_1, \eta_1) H_0(\xi_1 + \xi', \eta_1 + \eta') H_0(\xi_2, \eta_2) \\ &\cdot H_0^*(\xi_2 + \xi'', \eta_2 + \eta'') d\xi, d\eta, d\xi_2 d\eta_2 \end{aligned} \quad (7.22)$$

where  $\xi' = \lambda f u'$ , etc.

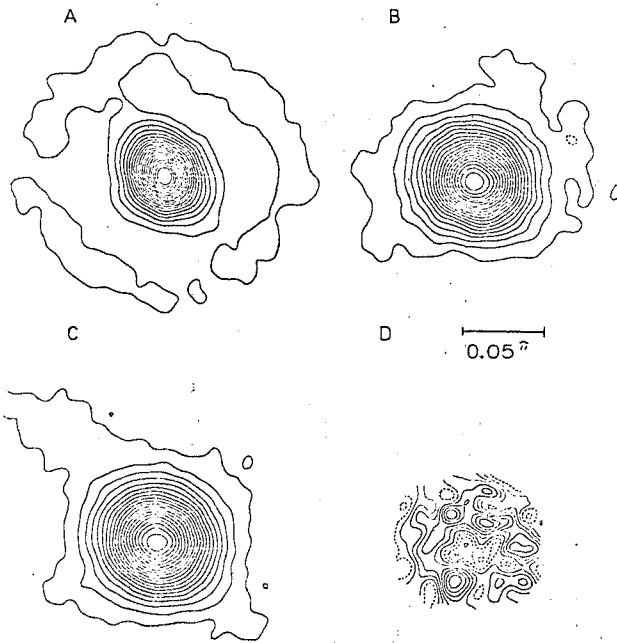


Fig. 7.10A-D. Diffraction-limited images computed from short-exposure photographs by LYNDIS et al. [7.29]. A) unresolved star ( $\gamma$ -Ori) apparently showing a diffraction ring, B) Betelgeuse ( $\alpha$ -Ori), a red supergiant, in the continuum, C) Betelgeuse in the TiO band, and D) the difference image of B and C. The contour intervals are 5% of the peak intensity in A)-C); in D) the interval is 2% with the dashed curve indicating that the continuum is brighter. The difference picture D) indicates the possible temperature fluctuation over the surface of the star

Examination of (7.22) reveals that the overall transfer function can indeed have non-zero complex values for all  $(u', v')$  and  $(u'', v'')$  up to the diffraction limit provided that the differences  $\xi'' - \xi'$  and  $\eta'' - \eta'$  (or  $u'' - u'$  and  $v'' - v'$ ) are small relative to the scale of the seeing correlation function  $C_A$ . In practice this means that we must compute phase differences in the spatial frequency domain; the actual phase is then found by summing these differences from the origin to the spatial frequency of interest and clearly such a procedure may lead to the accumulation of errors in the presence of noise. If the seeing is poor (i.e.  $C_A$  has non-zero values over relatively small distances) then many phase differences will have to be added to find the phase at a given spatial frequency and consequently any error will be greater than that obtained in good seeing. In the limit of very poor seeing,  $C_A \rightarrow \delta(\xi) \delta(\eta)$ , Eq. (7.22) predicts that diffraction-limited resolution is only obtained if  $\xi'' - \xi'$  and  $\eta'' - \eta'$  both equal zero (i.e. no phase information).

Impressive results have been obtained using this technique in a computer simulation with excellent seeing and no measurement noise [7.25]; however, as the authors pointed out, the true test of the technique is on actual short exposure photographs and these results are awaited. It should be noted that the phase information can only be recovered if the telescope guides accurately on the object [7.28].

Another numerical method for finding the original object distribution directly from short exposure photographs has been apparently successfully implemented by LYNDIS et al. [7.29]. A few bright speckles are selected from short exposure photographs of a resolvable object and are superimposed with the aid of a digital microdensitometer and computer. The resulting picture (see Fig. 7.10) is an image of the object and may contain information to the diffraction limit of the telescope.

To see why this very simple method may provide a picture of the original object, consider first the hypothetical case in which it is supposed that a short exposure photograph of a point source consists of a few widely separated bright speckles. The short exposure image for an extended object is simply the convolution of the object intensity with this speckle pattern (7.1) and provided that the object is not too large this will produce a pattern which is a collection of images of the object, each one centred at an original speckle position. Superposition of these images improves the signal-to-noise ratio. The speckle pattern from a point source is not a collection of widely separated bright speckles; however, because of the approximately negative exponential statistics for the intensity of a speckle pattern from a point source, a few speckles may be significantly brighter than the others and thus give images of a resolved object, albeit on a noisy background. By careful selection of the speckles reliable images may be obtained.



The area that I investigated was the propagation of light in a turbulent atmosphere. The first paper involves the derivation of the mutual coherence and intensity of a gaussian beam on the observation plane after propagating through a turbulent atmosphere. (by T. L. Ho, J. Opt. Soc. Am. 69, 667 (1970)). The second paper derives the intensity for a laser beam on the observation plane after propagating through a turbulent atmosphere, and determination of the mean-square value of the phase fluctuations of the turbulence. (by M. Bertolotti, L. Muzii, and D. Sette, J. Opt. Soc. Am. 60, 1603 (1970)).

We consider a random scalar monochromatic wave field each realization of which satisfies the reduced wave equation

$$\nabla^2 V(\mathbf{r}) + k^2 n(\mathbf{r}) V(\mathbf{r}) = 0$$

in a random medium characterized by the random refractive index  $n(\mathbf{r})$ , where  $k$  is the wavenumber. The random medium is assumed to fill the half space  $Z > 0$  and to have a minimum correlation length  $l_c$  that is much greater than the wavelength  $\lambda$ . The refractive index is assumed to be undergoing small fluctuations.

A gaussian beam is emitted from the plane  $Z=0$ , propagating toward  $Z > 0$ . The mutual coherence in the observation plane  $Z=L$  is approximated by

$$\Gamma = \Gamma_0 + \Gamma_{11} + \Gamma_{22} + \Gamma_{12} \quad (1)$$

where

$$\Gamma_0 = E[V_0(\mathbf{r}) V_0^*(\mathbf{r})] \quad \Gamma_{12} = E[V_1(\mathbf{r}) V_2^*(\mathbf{r})]$$

$$I_{20} = E [V_2(P) V_0^*(P)] \quad I_{11} = E [V_1(P) V_1^*(P)]$$

and where  $V_1$  and  $V_2$  are first and second order perturbation terms from the solution of the wave equation, and  $V_0$  is the solution when the refractive index is not fluctuating (1).

$$V_1(P) = -\frac{\pi}{\lambda} \int_{V'} \frac{\exp[j\frac{2\pi}{\lambda} r(P',P)]}{r(P',P)} \epsilon'(P') V_0(P') dP'$$

$$V_2(P) = \frac{\pi^2}{\lambda^2} \left\{ \int_{V'} \int_{V''} \frac{\exp\{j\frac{2\pi}{\lambda} (r(P',P) + r(P'',P))\}}{r(P',P) r(P'',P)} \epsilon'(P') \right. \\ \left. \cdot V_0(P'') \epsilon'(P'') dP' dP'' \right\}$$

where

{ } represents the ensemble average

$$r(P_1, P_2) = \left[ (x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2 \right]^{1/2}$$

$$P = (x_i, y_i, z_i)$$

$\epsilon'$  is the small fluctuation in the refractive index

The wave field  $V_0$  in free space for a gaussian beam of effective radius  $a$  at  $Z=0$  and radius of wave front curvature  $R_0$  is given by (2).

$$V_0(P) = \frac{A}{B(z)} \exp \left[ -CB^*(z) \frac{x^2 + y^2}{2a^2 |B(z)|^2} + j \frac{2\pi}{\lambda} z \right]$$

where

$$B(z) = (1 - z/r) + jz\lambda/2\pi a^2$$

$$C = 1 + j2\pi a^2/\lambda r$$

and A is the amplitude of the wave at  $x=0$

Now  $\underline{I}_x$ ,  $\underline{I}_y$ ,  $\underline{I}_z$ , and  $\underline{I}_r$  are calculated individually at the observation plane to obtain  $\underline{I}$ . First  $\underline{I}_x$  is calculated to be

$$\underline{I}_x = \frac{A^2}{|B(z)|^2} \exp \left[ -CB^*(z) \frac{x_1^2 + y_1^2}{2a^2 |B(z)|^2} - C^* B(z) \frac{x_2^2 + y_2^2}{2a^2 |B(z)|^2} \right]$$

The assumptions used to calculate  $\underline{I}_x$  are that we have a statistically homogeneous and isotropic medium, the sagittal approximation for an exponential is used (3), the mean-value theorem for the reduced wave equation is used, and the maximum scale  $L_M$  of the turbulence that affects the problem is smaller than the propagation length L.

$$\underline{I}_z = -\frac{1}{k} L \underline{I}_x \int_0^\infty \sigma(s) ds$$

$\underline{I}_y$  can be obtained directly from  $\underline{I}_z$  because they are complex conjugates. Thus

$$\underline{I}_y = -\frac{1}{k} L \underline{I}_z \int_0^\infty \sigma(s) ds$$

The assumptions to calculate  $\underline{I}_r$  are  $L/L_M \gg 1$ ,  $a/r \ll 1$ ,

(1)  $\frac{2\pi a}{\lambda} \gg 1$ ,  $a^2/cL_m \ll 1$  and the sagittal approximation for an exponential is used.

$$\Gamma = \frac{D^2}{\lambda^2} \int_0^L \iint_{-\infty}^{\infty} \sigma(\eta_x, \eta_y, s) \exp\left\{-\frac{(\eta_x^2 + \eta_y^2)(L-p)^2}{2\pi a^2 |B(L)|^2}\right. \\ \left. \cdot \exp\left\{i[\beta(p)\chi_1 - \beta^*(p)\chi_2]\eta_x + i[\beta(p)\chi_1 - \beta^*(p)\chi_2]\eta_y\right\}\right. \\ \left. \cdot ds d\eta_x d\eta_y dp\right.$$

where

$$s = z'' - z' \quad \rho = \frac{1}{2}(z'' + z')$$

$$\beta(p) = B(p)/B(L) \quad \sigma = \mathcal{F}[\sigma]$$

$\eta_x$  and  $\eta_y$  are the frequency terms of the inverse Fourier transform.

Now, by substituting the equations for  $\Gamma$ ,  $\Gamma_x$ ,  $\Gamma_y$ ,  $\Gamma_z$  into (1), by restricting our consideration to the special case in which  $\chi_1 = -\chi_2$  and  $\chi_1 = -\chi_2$ , by using the Kolmogorov theory for turbulent atmosphere we have

$$\Gamma \cong \frac{A^2}{|B(L)|^2} \exp\left[-C B^*(L) \frac{\chi_1^2 + \chi_2^2}{2a^2 |B(L)|^2} - C B(L) \frac{\chi_1^2 + \chi_2^2}{2a^2 |B(L)|^2}\right] \\ \cdot \exp\left[-4.35 C_0^2 \frac{4\pi^2}{\lambda^2} L \eta_m^{-5/2}\right. \\ \left. \cdot \int_0^1 \left\{ (1 + \sigma^2 \rho^2)^{5/2} F\left[-\frac{5}{6}, 1, -\frac{1}{4} \beta^*(p) \eta_m^2 \eta_x^2 / (1 + \sigma^2 \rho^2)\right] - 1 \right\} dp \right]$$

where

$C_0$  is the structure constant of the refractive index

$$\eta_0 = \sqrt{\lambda}/k$$

$$Q^2 = L^2 \eta_0^2 / 4\pi^2 a^2 |B(\omega)|^2$$

$$\beta_0(\omega) = 1 + [ (1 - L/\kappa) / |B(\omega)|^2 ] - \beta$$

$$|B(\omega)|^2 = (1 - L/\kappa)^2 + L^2 \eta_0^2 / 4\pi^2 a^2$$

$F$  is the confluent hypergeometric function.

Now, from the mutual coherence we get the average intensity in the observation plane

$$I = \frac{A^2}{|B(\omega)|^2} \exp\left[-\frac{\chi^2 + \chi'^2}{a^2 |B(\omega)|^2}\right]$$

$$\times \exp\left\{i\chi\chi' \left[ \frac{L}{\kappa} \frac{\eta_0^2}{\lambda} \left( \frac{L}{\kappa} \right)^2 \right] F\left[-\frac{\chi}{\chi'}, 1, \beta_0(\omega) \chi^2 \eta_0^2 / (1 + \sigma^2 \eta_0^2)\right] - \chi^2 \eta_0^2\right\}$$

where

$$\beta_0(\omega) = - [L\lambda / 2\pi a^2 |B(\omega)|^2] - \beta$$

The intensity can be determined by numerical evaluation on a computer.

Now let us consider first a two-beam interferometer, such as the Mach-Zehnder interferometer. When a coherent beam is used, fringes are produced by the interference of rays from conjugate points of the two beams of the interferometer. If one of the two beams travels in a homogeneous medium and the other travels in a medium where the refractive index changes in time and space, the fringe-pattern distortion at each time allows us to study the refractive index in-homogeneities integrated over the path in the arm.

We can write the wave field equations as

$$V_0 = A_0 \exp[i(\omega t + \phi_0)] \quad \text{for the reference beam}$$

$$V = A_0 \alpha(\omega, z) \exp[i(\omega t + (\omega_1 z + \phi_1))] \quad \text{for the distorted beam}$$

where  $A(x, y, t)$  and  $\phi(x, y, t)$  are the amplitude and phase of the distorted beam.

Now, if we assume that the two beams incident on the observation plane make a small angle  $\Theta$  between them in the  $X, Y$  plane we have

$$I(x, y, t) = |V_0 + V|^2 = A_0^2 + A^2(x, y, t) + 2A_0 A(x, y, t) \cos\left[\phi_0 - \phi(x, y, t) - \frac{2\pi x \sin\Theta}{\lambda}\right]$$

Let us now suppose that  $\phi_0 - \phi(x, y, t) = \Delta\phi(x, y, t)$ , and  $A(x, y, t)$  are random variables fluctuating in time, and that we know their joint probability density  $p(A, \Delta\phi)$ . If a photographic plate is exposed for a length of time to the interference fringes, then we are essentially taking the time average of the instantaneous intensity  $I(x, y, t)$ . If an ergodic process is assumed we have

$$I(x, y) = A_0^2 + \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A^2 + 2A_0 A \cos\left[\Delta\phi - \frac{2\pi x \sin\Theta}{\lambda}\right] \cdot p(A, \Delta\phi) dA d\Delta\phi$$

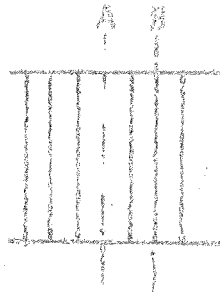
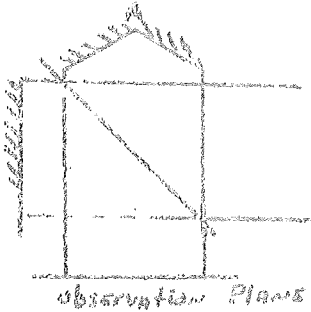
Now assuming  $A$  and  $\Delta\phi$  are statistically independent and  $p(\Delta\phi)$  is gaussian we have

$$I(x, y) = A_0^2 + \langle A^2 \rangle + 2A_0 \langle A \rangle \cos\left(\frac{2\pi x \sin\Theta}{\lambda}\right) \exp\left(-\frac{\sigma^2}{2}\right)$$

and the visibility is

$$V = \frac{2A_0 \langle A \rangle e^{-\frac{\sigma^2}{2}}}{A_0^2 + \langle A^2 \rangle}$$

Now if we consider the reversing-front interferometer (4) there is no reference beam. With a monochromatic plane wave, fringes are obtained that are parallel to the projection of the roof-prism edge. The fringes are produced by interference of points of the incident beam that are symmetric with respect to the roof-prism edge and that are separated by a distance equal to twice the distance  $X=AB$ .



In this case we have for the intensity

$$\begin{aligned}
 I(x, z) &= |V(x, z) + V^*(x, z)|^2 \\
 &= A^2(x, z) + A^2(-x, z) + 2A(x, z)A(-x, z) \cos\left[\phi(x, z) - \phi(-x, z) - \frac{2\pi X \sin \theta}{\lambda}\right]
 \end{aligned}$$

and for statistically independent random variables and a gaussian density function for  $\Delta\phi$  we have

$$I(x) = 2\langle A^2(x) \rangle + 2\langle A(x)A(-x) \rangle \cos\left(\frac{2\pi X \sin \theta}{\lambda}\right) \exp(-\sigma^2/2) \quad (2)$$

and the visibility is

$$V = \left[ \langle A(x)A(-x) \rangle / A^2(x) \right] \exp(-\sigma^2/2)$$

When amplitude fluctuations are negligible

$$I(x) = 2A^2 \left[ 1 + \cos\left(\frac{2\pi x \sin\theta}{\lambda}\right) \exp\left(-\frac{\sigma^2}{2}\right) \right] \quad (2)$$

and

$$V = \exp\left[-\sigma^2(x_1 - x)/2\right]$$

A measurement of  $I(x)$  vs  $x$  must therefore give a damped sinusoidal curve whose envelope is the exponential term  $\exp(-\sigma^2/2)$ . By measuring the visibility we can obtain the variance  $\sigma^2$  for the gaussian density of  $\Delta\phi$ .

Two cases of special interest are:

- (1) Propagation over short paths. Assume that amplitude fluctuations are negligible. Here we use equation (3).
- (2) Propagation over very long paths. It seems reasonable to assume independence between phase and amplitude fluctuations. Here we use equation (2).



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